

## Lecture 3

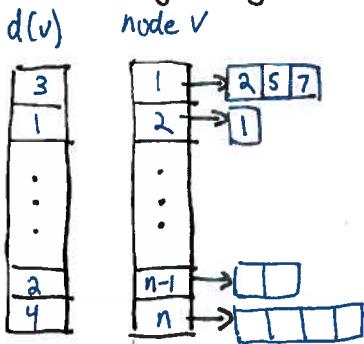
### Estimating Average Degree

## Approximating Average Degree

def Average degree  $\bar{d} = \frac{\sum_{u \in V} d(u)}{n}$

Assume:  $G$  simple (no parallel edges, self-loops)  
 $\Omega(n)$  edges (not "ultra-sparse")

representation: adjacency list + degrees



- degree queries: on  $v$  return  $d(v)$
- neighbor queries: for  $(v, j)$  return  $j^{\text{th}}$  nbr of  $v$

### Naive Sampling:

Pick ?? sample nodes  $v_1, \dots, v_s$

output  $\frac{1}{s} \sum_i d(v_i)$  (ave degree of sample)

using straight forward Chernoff/Hoeffding  $\Rightarrow \Omega(\frac{1}{\epsilon})$  samples  
needed

ave deg 2

Degree sequences are special?

$(n-1, 0, 0, 0, \dots, 0)$  not possible

$(n-1, 1, 1, \dots, 1)$  is possible

Some lower bounds:

"ultrasparse case":

need linear time to get any multiplicative approx

graph with 0 edges

$$\text{ave deg} = 0$$

graph with 1 edge

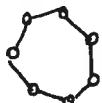
$$\text{ave deg} = \frac{1}{n}$$



need  $\Omega(n)$  queries  
to distinguish

ave deg  $\geq 2$ :

$n$ -cycle  $\bar{d} = 2$



$n - cn^{1/2}$  cycle  $\bar{d} \approx 2 + c^2$   
+  $cn^{1/2}$ -clique



need  $\Omega(n^{1/2})$  queries to find clique node

ave deg 3

Algorithm idea:

group nodes of similar degrees  
estimate average w/in each group

- + each group has bounded variance
- doesn't work for estimating ave of arbitrary numbers, why should it work here?

Bucketing:

set parameters  $\beta = \epsilon/c$   
 $t = O(\log n / \epsilon)$  # buckets

$$B_i = \{v \mid (1+\beta)^{i-1} \leq d(v) \leq (1+\beta)^i\}$$

for  $i \in \{0..(t-1)\}$  ← can add extra bucket for degree 0 nodes

Note:

total degree of nodes in  $B_i$

$$(1+\beta)^{i-1} |B_i| \leq d_{B_i} \leq (1+\beta)^i |B_i|$$

total degree of graph

$$\sum_i (1+\beta)^{i-1} |B_i| \leq d_{\text{total}} \leq \sum_i (1+\beta)^i |B_i|$$

or can assume (for now) that there are now (lets do the latter)

ave-deg 4

First idea for algorithm:

- Take sample  $S$  of nodes
- $S_i \leftarrow S \cap B_i$  (samples that fall in  $i$ th bucket  
use degree queries to determine this)
- estimate average degree contribution from  $B_i$   
using  $S_i$
- i.e.  $\rho_i \leftarrow \frac{|S_i|}{|S|}$
- Output  $\sum_i \rho_i (1+\beta)^{i-1}$

note:  $\forall i$

$$E[\rho_i] = E\left[\frac{|S_i|}{|S|}\right] = E\left[\sum_{j=1}^{|S|} \delta_j^{(i)}\right]$$

$$= \frac{|B_i|}{n}$$

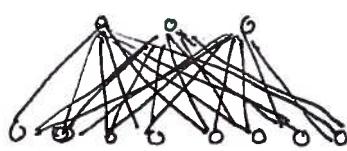
↖ undercounting  
(by definition)

Problem:

$i$  st.  $|S_i|$  is small  
likely come from  $i$  st.  $|B_i|$  small

for these, our estimate of  $|S_i|$  could be terrible

example of problem:



← 3 nodes, deg  $n-3$

←  $n-3$  nodes, deg 3

$$a \leftarrow i \text{ st. } (1+\beta)^{i-1} \leq 3 \leq (1+\beta)^i$$

$$b \leftarrow i \text{ st. } (1+\beta)^{i-1} \leq n-3 \leq (1+\beta)^i$$

$|B_a| = n-3$  contributes  $(n-3) \cdot 3$  edges

$|B_b| = 3$  contributes  $3 \cdot (n-3)$  edges

Still, maybe good enough for 2-approximation?

$|B_c| = 0$

Never sampled but contributes  $\frac{1}{2}$  edges!!

ave deg 5

Next idea: use "0" for small buckets

Algorithm:

- sample  $S$  ← how big?
- $S_i \leftarrow S \cap B_i$
- For all  $i$  ← so  $|S| > t \sqrt{\frac{n}{\epsilon}} = \Omega\left(\frac{\log n}{\epsilon} \cdot \sqrt{\frac{n}{\epsilon}}\right)$ 
  - if  $|S_i| \geq \sqrt{\frac{\epsilon}{n}} \cdot \frac{|S|}{c \cdot t}$  ←  $|S| = \Theta(\sqrt{n} \text{ polylog } n \times \text{poly } 1/\epsilon)$   
use  $p_i \leftarrow \frac{|S_i|}{|S|}$  call  $i$  "big"
  - else  $p_i \leftarrow 0$  ← call  $i$  "small"
- output  $\sum_i p_i (1 + \beta)^{i-1}$  ⇒ (via union bnd + Chernoff bnd)  
 $\forall i \quad (1-\gamma) \frac{|B_i|}{n} \leq p_i \leq (1+\gamma)$   
for  $\gamma \sim \Theta(\epsilon)$

Analysis:

1) Output not too large

idealistic  
(but unrealistic)  
case  $\Rightarrow$  Suppose  $\forall i \quad p_i = \frac{|B_i|}{n}$ , then  $\sum_i p_i (1 + \beta)^{i-1} = \sum_i \frac{|B_i|}{n} \underbrace{(1 + \beta)^{i-1}}_{\leq \bar{d}}$   $\leq \bar{d}$   
bound on sampling error when  $|S_i|$  is big (note that trivial when  $|S_i|$  not big since  $p_i \leftarrow 0$ )

realistic  
case  $\Rightarrow$  Suppose  $\forall i \quad p_i \leq \frac{|B_i|}{n} (1 + \gamma)$   $\leq \bar{d} (1 + \gamma)$

ave deg  $b$

2) Can output be too small?

$$\text{if } \forall i \quad p_i = \frac{|B_i|}{n} \text{ then } \sum_i p_i (1+\beta)^{i-1} = \sum_i \frac{|B_i|}{n} (1+\beta)^{i-1}$$

(since multiply by  
 $(1+\beta)(1-\beta) \leq 1$ )

$$\geq (1-\beta) \sum_i \frac{|B_i|}{n} (1+\beta)^i$$

$\geq (1-\beta) \overline{d}$   
 $\geq \text{deg of node in } B_i$

$$\text{By sampling, for big } i, \quad p_i \geq \frac{|B_i|}{n} (1-\gamma)$$

For small  $i$  ????

How much undercounting?

divide edges into 3 types:

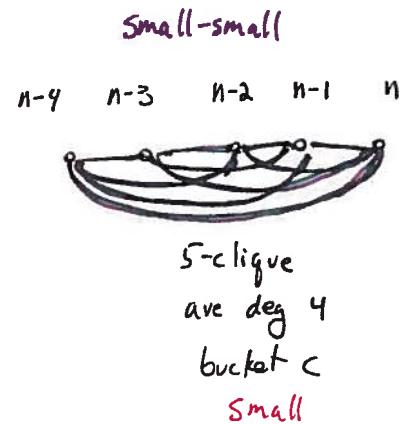
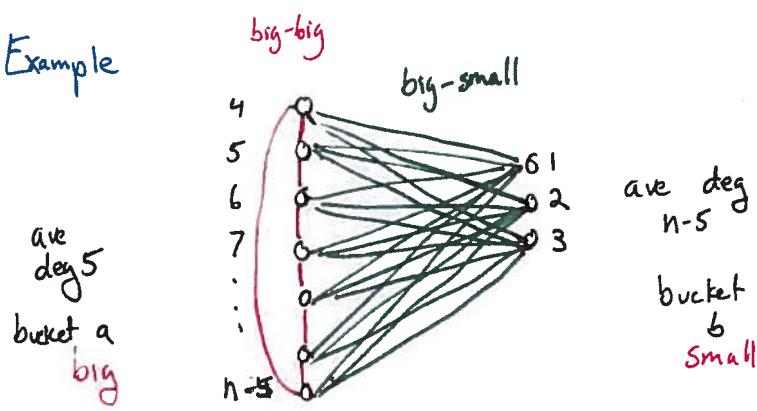
- |   |   |               |
|---|---|---------------|
| <i>type is determined by run of algorithm</i> | 1) big-big - both endpts in big buckets                 | counted twice |
|   | 2) big-small - one endpt in big bucket<br>" " " small " | counted once  |
|   | 3) small-small - both endpts in small buckets           | never counted |

[See example]

note: big-big + big-small get counted (off by factor of two)  
but small-small can be a real problem

ave deg 7

Example



$$\begin{aligned} \text{Total degree} &= \text{LHS of Bipartite} + \text{RHS of bipartite} + 5\text{-clique} \\ 5(n-g) + (n-g)(3) + 4 \cdot 5 &= 8(n-g) + 20 \\ \text{ave deg } \approx 8n & \quad \text{Algorithm will output } \approx 5n \end{aligned}$$

Samples

$\boxed{6, 10^4, 82,}$   
 $\boxed{157, 74}$   
 $\boxed{41, \dots}$

bucket a

$\boxed{\emptyset}$

bucket b

$\boxed{\emptyset}$

bucket c

↑  
most nodes here

$\Rightarrow$  (whp) bucket a is big, in fact,  
whp  $P_a \leftarrow 1$

very few nodes in these buckets  
so unlikely to see any samples

$\Rightarrow$  (whp) b+c are small

$P_b \leftarrow 0 \quad P_c \leftarrow 0$

output  $\approx 5$

# big-small edges std:  $3(n-g)$

Fraction:  $\approx \frac{3(n-g)}{5(n-g)} = 3/5$   
of big-big + big-small

$$E[a_j] = \frac{3}{5}$$

$$\text{Output } 1 \cdot \underbrace{(1 + \frac{3}{5})}_{\approx 5} \cdot (1 + \rho)^5 \approx 8$$

Good news: Small buckets can't have too many nodes  
 $\Rightarrow$  can bound total # small-small edges

If  $|B_i| > \frac{2\sqrt{\varepsilon n}}{ct}$  then Expected size of  $S_i$  is  $\geq |S_i| \cdot \frac{|B_i|}{n} \geq |S_i| \cdot 2\sqrt{\frac{\varepsilon n}{n}} \cdot \frac{1}{ct}$

$O(\frac{\log n}{\varepsilon})$

twice the threshold for being "big"

so very likely algorithm will decide via Chernoff bounds that  $i$  is "big"

So assume  $|B_i| \leq \frac{2\sqrt{\varepsilon n}}{ct}$  for all  $i$  "small"

then total # small-small edges

$$\leq \left( \frac{2\sqrt{\varepsilon n}}{ct} \cdot t \right)^2 = O\left(\frac{\varepsilon n}{c^2}\right) = O(\varepsilon n)$$

# nodes / small buckets      # buckets

if we ignore them, they affect approx of

$$\bar{d} \text{ by } \leq (1+\varepsilon) \text{ multiplicative factor } \leftarrow \begin{array}{l} \text{here we assume} \\ \text{graph has ave} \\ \text{degree} \geq 1 \end{array}$$

$$\leq \varepsilon n \text{ additive factor}$$

First Claim:

Algorithm almost gives factor 2 mult approx

since large-small underestimated by  $\leq$  factor  $\gamma_2$

we get  $(2+\varepsilon)$ -multiplicative approx

large-small error      small-small error

Improving further:

need to do better on "big-small" edges ...

can we estimate the fraction of them & correct for them?

Can do via sampling if we can pick a "random edge"

New queries:

random neighbor query( $v$ ):

given  $v$ , return random nbr of  $v$

implementation:

1. degree query for  $v$

2. pick random  $i \in [1.. \deg(v)]$

3. neighbor query  $(v, i)$

pick (almost) random edge in (big)bucket  $i$ :

pick random edge by sampling nodes until one falls in bucket  $i$   
return random nbr query from that node

Estimate fraction big-small in  $B_i$  (big):

repeat  $O(1/\epsilon)$  times:

pick random node  $u \in B_i$

$e \leftarrow$  random nbr of  $u$       if  $e$  is "big-small"  
set  $a_j$  to be  $\begin{cases} 1 & \text{if } e \text{ is "big-small"} \\ 0 & \text{o.w. (} e \text{ is "big-big")} \end{cases}$

Output  $\alpha_i = \text{average } a_j$

Analysis:

Easy case: All nodes in  $B_i$  have same degree  $d$

$T_i \leftarrow \#$  "big-small" edges in  $B_i$

$$\Pr[\text{"big-small" edge } e \text{ in } B_i \text{ chosen}] = \frac{1}{|B_i|} \cdot \frac{1}{d}$$

$$\text{so } \Pr[a_j=1] = E[a_j] = \frac{T_i}{d \cdot |B_i|}$$

$e=(u,v)$  only one of  $u,v$  is  
big since  $e$  is "big-small"

general case: all nodes in bucket  $B_i$  have

degree within  $(1+\beta)$  factor of each other

$$\frac{1}{|B_i|(1+\beta)^i} \leq \Pr[\text{"big small" edge } e \text{ in } B_i \text{ chosen}] \leq \frac{1}{|B_i|}(1+\beta)^{i-1}$$

$$\frac{T_i}{|B_i|(1+\beta)^i} \leq E[a_j] \leq \frac{T_i}{|B_i|(1+\beta)^{i-1}} \Rightarrow E[a_j] |B_i| (1+\beta)^{i-1} \leq T_i \leq E[a_j] |B_i|$$

$\uparrow$   
estimate to  $(1+\epsilon)$ -mult factor

to get  $(1+\epsilon)(1+\beta)$  estimate of  $\frac{T_i}{n}$  via  $\underbrace{\alpha_i p_i (1+\beta)^{i-1}}_{\text{undercount of edges in } B_i}$

## Final Algorithm :

- Sample  $\Theta\left(\frac{\sqrt{n}}{\epsilon} \cdot t\right)$  nodes + place in  $S \Leftarrow \tilde{O}\left(\frac{\sqrt{n}}{\epsilon^2}\right)$  sample

$$\cdot S_i \leftarrow S \cap B_i$$

- For all  $i$

$$\text{if } |S_i| \geq \sqrt{\frac{\epsilon}{n}} \cdot \frac{|S|}{ct}$$

$$\text{use } p_i \leftarrow \frac{|S_i|}{|S|}$$

For all  $v \in S_i$

- Pick random nbr  $u$  of  $v$

$$\chi(v) \leftarrow \begin{cases} 1 & \text{if } u \text{ small} \\ 0 & \text{o.w.} \end{cases}$$

$$\alpha_i \leftarrow \frac{|\{v \in S_i \mid \chi(v) = 1\}|}{|S_i|}$$

else use  $p_i \leftarrow 0$

$$\cdot \text{Output } \sum_{\text{large } i} p_i (1 + \alpha_i) (1 + \beta)^{i-1}$$

includes big-big  
 + one side of big-small  
 other side of correction big-small

Where do errors come from?

estimating  $p_i$ 's  $\xrightarrow{\text{multiplicative}} (1 + \epsilon)$  factor

estimating  $\alpha_i$ 's  
 small-small edges  $\xrightarrow{\text{additive}} + \epsilon \cdot n$  additive error