Optimal Deterministic Routing and Sorting on the Congested Clique

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ABSTRACT

Consider a clique of n nodes, where in each synchronous round each pair of nodes can exchange $\mathcal{O}(\log n)$ bits. We provide deterministic constant-time solutions for two problems in this model. The first is a routing problem where each node is source and destination of n messages of size $\mathcal{O}(\log n)$. The second is a sorting problem where each node i is given n keys of size $\mathcal{O}(\log n)$ and needs to receive the i^{th} batch of n keys according to the global order of the keys. The latter result also implies deterministic constant-round solutions for related problems such as selection or determining modes.

Categories and Subject Descriptors

F.2.2 [Analysis of Algorithms and Problem Complexity]: Nonnumerical Algorithms and Problems—routing and layout, sorting and searching; C.2.4 [Computer Communication Networks]: Distributed Systems

General Terms

Algorithms, Performance, Theory

Keywords

CONGEST model; upper bounds; bulk-synchronous communication

1. INTRODUCTION & RELATED WORK

Arguably, one of the most fundamental questions in distributed computing is what amount of communication is required to solve a given task. For systems where communication is dominating the "cost"—be it the time to communicate information, the money to purchase or rent the required infrastructure, or any other measure derived from a notion of communication complexity—exploring the imposed limitations may lead to more efficient solutions.

Clearly, in such systems it does not make sense to make the complete input available to all nodes, as this would be

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too expensive; typically, the same is true for the output. For this reason, one assumes that each node is given a part of the input, and each node needs to compute a corresponding part of the output. For graph theoretic questions, the local input comprises the neighborhood of the node in the respective graph, potentially augmented by weights for its incident edges or similar information that is part of the problem specification. The local output then e.g. consists of indication of membership in a set forming the global solution (a dominating set, independent set, vertex cover, etc.), a value between 0 and 1 (for the fractional versions), a color, etc. For verification problems, one is satisfied if for a valid solution all nodes output "yes" and at least one node outputs "no" for an invalid solution.

Since the advent of distributed computing, a main research focus has been the *locality* of such computational problems. Obviously, one cannot compute, or even verify, a spanning tree in less than D synchronous communication rounds, where D is the diameter of the graph, as it is impossible to ensure that a subgraph is acyclic without knowing it completely. Formally, the respective lower bound argues that there are instances for which no node can reliably distinguish between a tree and a non-tree since only the local graph topology (and the parts of the prospective solution) up to distance R can affect the information available to a node after R rounds. More subtle such *indistinguishability* results apply to problems that can be solved in o(D) time (see e.g. [6, 8, 11]).

This type of argument breaks down in systems where all nodes can communicate directly or within a few number of rounds. However, this does not necessitate the existence of efficient solutions, as due to limited bandwidth usually one has to be selective in what information to actually communicate. This renders otherwise trivial tasks much harder, giving rise to strong lower bounds. For instance, there are *n*-node graphs of constant diameter on which finding or verifying a spanning tree and many related problems require $\Omega(\sqrt{n})$ rounds if messages contain a number of bits that is polylogarithmic in n [3, 14, 15]; approximating the diameter up to factor $3/2 - \varepsilon$ or determining it exactly cannot be done in $\tilde{o}(\sqrt{n})$ and $\tilde{o}(n)$ rounds, respectively [4]. These and similar lower bounds consider specific graphs whose topology prohibits to communicate efficiently. While the diameters of these graphs are low, necessitating a certain connectivity, the edges ensuring this property are few. Hence, it is impossible to transmit a linear amount of bits between some nodes of the graph quickly, which forms the basis of the above impossibility results.

This poses the question whether non-trivial lower bounds also hold in the case where the communication graph is well-connected. After all, there are many networks that do not feature small cuts, some due to natural expansion properties, others by design. Also, e.g. in overlay networks, the underlying network structure might be hidden entirely and algorithms may effectively operate in a fully connected system, albeit facing bandwidth limitations. Furthermore, while for scalability reasons full connectivity may not be applicable on a system-wide level, it could prove useful to connect multiple cliques that are not too large by a sparser high-level topology.

These considerations motivate to study distributed algorithms for a fully connected system of n nodes subject to a bandwidth limitation of $\mathcal{O}(\log n)$ bits per round and edge, which is the topic of the present paper. Note that such a system is very powerful in terms of communication, as each node can send and receive $\Theta(n \log n)$ bits in each round, summing up to a total of $\Theta(n^2 \log n)$ bits per round. Consequently, it is not too surprising that, to the best of our knowledge, so far no negative results for this model have been published. On the positive side, a minimum spanning tree can be constructed in $\mathcal{O}(\log \log n)$ rounds [9], and, given to each node the neighbors of a corresponding node in some graph as input, it can be decided within $\mathcal{O}(n^{1/3}/\log n)$ rounds whether the input graph contains a triangle [2]. These bounds are deterministic; constant-round randomized algorithms have been devised for the routing [7] and sorting [12] tasks that we solve deterministically in this work. The randomized solutions are about 2 times as fast, but there is no indication that the best deterministic algorithms are slower than the best randomized algorithms.

Contribution

We show that the following closely related problems can be deterministically solved, within a constant number of communication rounds in a fully connected system where messages are of size $\mathcal{O}(\log n)$.

Routing: Each node is source and destination of (up to) n messages of size $\mathcal{O}(\log n)$. Initially only the sources know destinations and contents of their messages. Each node needs to learn all messages it is the destination of. (Section 3)

Sorting: Each node is given (up to) n comparable keys of size $\mathcal{O}(\log n)$. Node i needs to learn about the keys with indices $(i-1)n+1,\ldots,in$ in a global enumeration of the keys that respects their order. Alternatively, we can require that nodes need to learn the indices of their keys in the total order of the union of all keys (i.e., all duplicate keys get the same index). Note that this implies constant-round solutions for related problems like selection or determining modes. (Section 4)

We note that the randomized algorithms from previous work are structurally very different from the presented deterministic solutions. They rely on near-uniformity of load distributions obtained by choosing intermediate destinations uniformly and independently at random, in order to achieve bandwidth-efficient communication. In contrast, the presented approach achieves this in a style that has the flavor of a recursive sorting algorithm (with a single level of recursion).

While our results are no lower bounds for well-connected systems under the CONGEST model, they shed some light on why it is hard to prove impossibilities in this setting: Even without randomization, the overhead required for coordinating the efforts of the nodes is constant. In particular, any potential lower bound for the considered model must, up to constant factors, also apply in a system where each node can in each round send and receive $\Theta(n \log n)$ bits to and from arbitrary nodes in the system, with no further constraints on communication.

We note that due to this observation, our results on sorting can equally well be followed as corollaries of our routing result and Goodrich's sorting algorithm for a bulk-synchronous model [5]. However, the derived algorithm is more involved and requires at least an order of magnitude more rounds.

Since for such fundamental tasks as routing and sorting the amount of local computations and memory may be of concern, we show in Section 5 how our algorithms can be adapted to require $\mathcal{O}(n \log n)$ computational steps and memory bits per node. Trivially, these bounds are near-optimal with respect to computations and optimal with respect to memory (if the size of the messages that are to be exchanged between the nodes is $\Theta(\log n)$).

To complete the picture, in Section 6 we vary the parameters of bandwidth, message/key size, and number of messages/keys per node. Our techniques are sufficient to obtain asymptotically optimal results for almost the entire range of parameters. For keys of size $o(\log n)$, we show that in fact a huge number of keys can be sorted quickly; this is the special case for which our bounds might not be asymptotically tight.

2. MODEL

In brief, we assume a fully connected system of n nodes under the congestion model. The nodes have unique identifiers 1 to n that are known to all other nodes. Computation proceeds in synchronous rounds, where in each round, each node performs arbitrary, finite computations, ¹ sends a message to each other node, and receives the messages sent by other nodes. Messages are of size $\mathcal{O}(\log n)$, i.e., in each message nodes may encode a constant number of integer numbers that are polynomially bounded in n.² To simplify the presentation, nodes will treat also themselves as receivers, i.e., node $i \in \{1, \ldots, n\}$ will send messages to itself like to any other node $j \neq i$.

These model assumptions correspond to the congestion model on the complete graph $K_n = (V, \binom{V}{2})$ on the node set $V = \{1, \ldots, n\}$ (cf. [13]). We stress that in a given round, a node may send different messages along each of its edges and thus can convey a total of $\Theta(n \log n)$ bits of information. As our results demonstrate, this makes the considered model much stronger than one where in any given round a node must broadcast the same $\Theta(\log n)$ bits to all other nodes.

When measuring the complexity of the computations performed by the nodes, we assume that basic arithmetic operations on $\mathcal{O}(\log n)$ -sized values are a single computational step.

¹Our algorithms will perform polynomial computations with small exponent only.

²We will not discuss this constraint when presenting our algorithms and only reason in a few places why messages are not too large; mostly, this should be obvious from the context.

3. ROUTING

In this section, we derive a deterministic solution to the following task introduced in [7].

PROBLEM 3.1 (INFORMATION DISTRIBUTION TASK). Each node $i \in V$ is given a set of n messages of size $\mathcal{O}(\log n)$

$$S_i = \{m_i^1, \dots, m_i^n\}$$

with destinations $d(m_i^j) \in V$, $j \in \{1, ..., n\}$. Messages are globally lexicographically ordered by their source i, their destination $d(m_i^j)$, and j. For simplicity, each such message explicitly contains these values, in particular making them distinguishable. The goal is to deliver all messages to their destinations, minimizing the total number of rounds. By

$$\mathcal{R}_k := \left\{ m_i^j \in igcup_{i \in V} \mathcal{S}_i \,\middle|\, d(m_i^j) = k
ight\}$$

we denote the set of messages a node $k \in V$ shall receive. We require that $|\mathcal{R}_k| = n$ for all $k \in V$, i.e., also the number of messages a single node needs to receive is n.

We remark that it is trivial to relax the requirement that each node needs to send and receive exactly n messages; this assumption is made to simplify the presentation. If each node sends/receives at most n messages, our techniques can be applied without change, and instances with more than n sent/received messages per node can be split up into smaller ones.

3.1 Basic Communication Primitives

Let us first establish some basic communication patterns our algorithms will employ. We will utilize the following classical result.

Theorem 3.2 (Koenig's Line Coloring Thm.). Every d-regular bipartite multigraph is a disjoint union of d perfect matchings.

Proof. See e.g. Theorem 1.4.18 in [10]. \Box

We remark that such an optimal coloring can be computed efficiently [1].

Using this theorem, we can solve Problem 3.1 efficiently provided that it is known a priori to all nodes what the sources and destinations of messages are, an observation already made in [2]. We will however need a more general statement applying to subsets of nodes that want to communicate among themselves. To this end, we first formulate a generalization of the result from [2].

Corollary 3.3. We are given a subset $W \subseteq V$ and a bulk of messages such that the following holds.

- 1. The source and destination of each message is in W.
- 2. The source and destination of each message is known in advance to all nodes in W, and each source knows the contents of the messages to send.
- 3. Each node is the source of f|W| messages, where $f := \lfloor n/|W| \rfloor$.
- 4. Each node is the destination of f|W| messages.

Then a routing scheme to deliver all messages in 2 rounds can be found efficiently. The routing scheme makes use of edges with at least one endpoint in W only.

PROOF. Consider the bipartite multigraph $G = (S \dot{\cup} R, E)$ with |S| = |R| = |W|, where $S = \{1_s, \ldots, |W|_s\}$ and $R = \{1_r, \ldots, |W|_r\}$ represent the nodes in their roles as senders and receivers, respectively, and each input message at some node i that is destined for some node j induces an edge from i_s to j_r .

By Theorem 3.2, we can color the edge set of G with $m := f|W| \le n$ colors such that no two edges with the same color have a node in common. Moreover, as all nodes are aware of the source and destination of each message, they can deterministically and locally compute the same such coloring, without the need to communicate. Now, in the first communication round, each node sends its (unique) message of color $c \in \{1, ..., m\}$ to node c. As each node holds exactly one message of each color, at most one message is sent over each edge, i.e., by the assumptions of the corollary this step can indeed be performed in one round. Observe that this rule ensures that each node will receive exactly one message of each color in the first round. Hence, because the coloring also guarantees that each node is the destination of exactly one message of each color, it follows for each $i, j \in \{1, ..., n\}$ that node i receives exactly f messages that need to be delivered to node i in the first round. Therefore all messages can be delivered by directly sending them to their destinations in the second round. \square

We stress that we can apply this result concurrently to multiple disjoint sets W, provided that each of them satisfies the prerequisites of the corollary: since in each routing step, each edge has at least one endpoint in W, there will never be an edge which needs to convey more than one message in each direction. This is vital for the success of our algorithms.

An observation that will prove crucial for our further reasoning is that for subsets of size at most \sqrt{n} , the amount of information that needs to be exchanged in order to establish common knowledge on the sources and destinations of messages becomes sufficiently small to be handled. Since this information itself consists, for each node, of |W| numbers that need to be communicated to $|W| \leq n/|W|$ nodes—with sources and destination known a priori!—we can solve the problem for unknown sources and destinations by applying the previous corollary twice.

Corollary 3.4. We are given a subset $W \subseteq V$, where $|W| \leq \sqrt{n}$, and a bulk of messages such that the following holds.

- 1. The source and destination of each message is in W.
- Each source knows the contents of the messages to send.
- 3. Each node is the source of f|W| messages, where f := |n/|W||.
- 4. Each node is the destination of f|W| messages. Then a routing scheme to deliver all messages in 4 rounds

then a routing scheme to active all messages in 4 rounds can be found efficiently. The routing scheme makes use of edges with at least one endpoint in W only.

PROOF. Each node in W announces the number of messages it holds for each node in W to all nodes in W. This requires each node in W to send and receive $|W|^2 \le f|W|$ messages. As sources and destinations of these helper messages are known in advance, by Corollary 3.3 we can perform

 $^{^3}$ Also, a simple greedy coloring of the line graph results in at most 2d-1 (imperfect) matchings, which is sufficient for our purposes. This will be used in Section 5 to reduce the amount of computations performed by the algorithm.

this preprocessing in 2 rounds. The information received establishes the preconditions of Corollary 3.3 for the original set of messages, therefore the nodes now can deliver all messages in another two rounds. \square

3.2 Solving the Information Distribution Task

Equipped with the results from the previous section, we are ready to tackle Problem 3.1. In the pseudocode of our algorithms, we will use a number of conventions to allow for a straightforward presentation. When we state that a message is moved to another node, this means that the receiving node will store a copy and serve as the source of the message in subsequent rounds of the algorithm, whereas the original source may "forget" about the message. A step where messages are moved is thus an actual routing step of the algorithm; all other steps serve to prepare the routing steps. The current source of a message holds it. Moreover, we will partition the node set into subsets of size \sqrt{n} , where for simplicity we assume that \sqrt{n} is integer. We will discuss the general case in the main theorem. We will frequently refer to these subsets, where W will invariably denote any of the sets in its role as source, while W' will denote any of the sets in its role as receiver (both with respect to the current step of the algorithm). Finally, we stress that statements about moving and sending of messages in the pseudocode do not imply that the algorithm does so by direct communication between sending and receiving nodes. Instead, we will discuss fast solutions to the respective (much simpler) routing problems in our proofs establishing that the described strategies can be implemented with small running times.

This being said, let us turn our attention to Problem 3.1. The high-level strategy of our solution is given in Algorithm 1.

Algorithm 1: High-level strategy for solving Problem 3.1

- **1.** Partition the nodes into the disjoint subsets $\{(i-1)\sqrt{n}+1,\ldots,i\sqrt{n}\}\$ for $i\in\{1,\ldots,\sqrt{n}\}$.
- 2. Move the messages such that each such subset W holds exactly |W||W'| = n messages for each subset W'.
- 3. For each pair of subsets W, W', move all messages destined to nodes in W' within W such that each node in W holds exactly $|W'| = \sqrt{n}$ messages with destinations in W'.
- For each pair of subsets W, W', move all messages destined to nodes in W' from W to W'.
- For each W, move all messages within W to their destinations.

Clearly, following this strategy will deliver all messages to their destinations. In order to prove that it can be deterministically executed in a constant number of rounds, we now show that all individual steps can be performed in a constant number of rounds. Obviously, the first step requires no communication. We leave aside Step 2 for now and turn to Step 3.

COROLLARY 3.5. Step 3 of Algorithm 1 can be implemented in 4 rounds.

PROOF. The proof is analogous to Corollary 3.4. First, each node in W announces to each other node in W the number of messages it holds for each set W'. By Corol-

lary 3.3, this step can be completed in 2 rounds, for all sets W in parallel.

With this information, the nodes in W can deterministically compute (intermediate) destinations for each message in W such that the resulting distribution of messages meets the condition imposed by Step 3. Applying Corollary 3.3 once more, this redistribution can be performed in another 2 rounds, again for all sets W concurrently. \square

Trivially, Step 4 can be executed in a single round by each node in W sending exactly one of the messages with destination in W' it holds to each node in W'. According to Corollary 3.4, Step 5 can be performed in 4 rounds.

Regarding Step 2, we follow similar ideas. Algorithm 2 breaks our approach to this step down into smaller pieces.

Algorithm 2: Step 2 of Algorithm 1 in more detail.

- 1. Each subset W computes, for each set W', the number of messages its constituents hold in total for nodes in W'. The results are announced to all nodes.
- 2. All nodes locally compute a pattern according to which the messages are to be moved between the sets. It satisfies that from each set W to each set W', n messages need to be sent, and that in the resulting configuration, each subset W holds exactly |W||W'| = n messages for each subset W'.
- 3. All nodes in subset W announce to all other nodes in W the number of messages they need to move to each set W' according to the previous step.
- 4. All nodes in W compute a pattern for moving messages within W so that the resulting distribution permits to realize the exchange computed in Step 2 in a single round (i.e., each node in W must hold exactly $|W'| = \sqrt{n}$ messages with (intermediate) destinations in W').
- ${\bf 5.}\,$ The redistribution within the sets according to Step 4 is executed.
- The redistribution among the sets computed in Step 2 is executed.

We now show that following the sequence given in Algorithm 2, Step 2 of Algorithm 1 requires a constant number of communication rounds only.

Lemma 3.6. Step 2 of Algorithm 1 can be implemented in 7 rounds.

PROOF. We will show for each of the six steps of Algorithm 2 that it can be performed in a constant number of rounds and that the information available to the nodes is sufficient to deterministically compute message exchange patterns the involved nodes agree upon.

Clearly, Step 1 can be executed in two rounds. Each node in W simply sends the number of messages with destinations in the i^{th} set W' it holds, where $i \in \{1, \ldots, \sqrt{n}\}$, to the i^{th} node in W. The i^{th} node in W sums up the received values and announces the result to all nodes.

Regarding Step 2, consider the following bipartite graph $G = (S \dot{\cup} R, E)$. The sets S and R are of size \sqrt{n} and represent the subsets W in their role as senders and receivers, respectively. For each message held by a node in the i^{th} set W with destination in the j^{th} set W', we add an edge from $i \in S$ to $j \in R$. Note that after Step 1, each node can locally construct this graph. As each node needs to send and

receive n messages, G is of uniform degree $n^{3/2}$. By Theorem 3.2, we can color the edge set of G with $n^{3/2}$ colors so that no two edges of the same color share a node. We require that a message of color $c \in \{1, \ldots, n^{3/2}\}$ is sent to the $(c \mod \sqrt{n})^{th}$ set. Hence, the requirement that exactly n messages need to be sent from any set W to any set W' is met. By requiring that each node uses the same deterministic algorithm to color the edge set of G, we make sure that the exchange patterns computed by the nodes agree.

Note that a subtlety here is that nodes cannot yet determine the precise color of the messages they hold, as they do not know the numbers of messages to sets W' held by other nodes in W and therefore also not the index of their messages according to the global order of the messages. However, each node has sufficient knowledge to compute the number of messages it holds with destination in set W' (for each W'), as this number is determined by the total numbers of messages that need to be exchanged between each pair W and W' and the node index only. This permits to perform Step 3 and then complete Step 2 based on the received information.

As observed before, Step 3 can be executed quickly: Each node in W needs to announce \sqrt{n} numbers to all other nodes in W, which by Corollary 3.3 can be done in 2 rounds. Now the nodes are capable of computing the color of each of their messages according to the assignment from Step 2.

With the information gathered in Step 3, it is now feasible to perform Step 4. This can be seen by applying Theorem 3.2 again, for each set W to the bipartite multigraph $G = (W \dot{\cup} R, E)$, where R represents the \sqrt{n} subsets W' in their receiving role with respect to the pattern computed in Step 2, and each edge corresponds to a message held by a node in W with destination in some W'. The nodes can locally compute this graph due to the information they received in Steps 2 and 3. As G has degree n, we obtain an edge-coloring with n colors. Each node in W will move a message of color $i \in \{1, \ldots, n\}$ to the $(i \mod \sqrt{n})^{th}$ node in W, implying that each node will receive for each W' exactly \sqrt{n} messages with destination in W'.

Since the exchange pattern computed in Step 4 is, for each W, known to all nodes in W, by Corollary 3.3 we can perform Step 5 for all sets in parallel in 2 rounds. Finally, Step 6 requires a single round only, since we achieved that each node holds for each W' exactly \sqrt{n} messages with destination in W' (according to the pattern computed in Step 2), and thus can send exactly one of them to each of the nodes in W' directly.

Summing up the number of rounds required for each of the steps, we see that 2+0+2+0+2+1=7 rounds are required in total, completing the proof. \square

Overall, we have shown that each step of Algorithm 1 can be executed in a constant number of rounds if \sqrt{n} is integer. It is not hard to generalize this result to arbitrary values of n without incurring larger running times.

Theorem 3.7. Problem 3.1 can be solved deterministically within 16 rounds.

PROOF. If \sqrt{n} is integer, the result immediately follows from Lemma 3.6, Corollary 3.5, and Corollary 3.4, taking

into account that the fourth step of the high-level strategy requires one round.

If \sqrt{n} is not integer, consider the following three sets of nodes:

$$\begin{array}{lll} V_1 &:= & \{1,\dots,\lfloor\sqrt{n}\rfloor^2\}, \\ V_2 &:= & \{n-\lfloor\sqrt{n}\rfloor^2+1,\dots,n\}, \text{ and } \\ V_3 &:= & \{1,\dots,n-\lfloor\sqrt{n}\rfloor^2\} \cup \{\lfloor\sqrt{n}\rfloor^2+1,\dots,n\}. \end{array}$$

 V_1 and V_2 satisfy that $|V_1| = |V_2| = \lfloor \sqrt{n} \rfloor^2$. Hence, we can apply the result for an integer root to the subsets of messages for which either both sender and receiver are in V_1 or, symmetrically, in V_2 . Doing so in parallel will increase the message size by a factor of at most 2. Note that for messages where sender and receiver are in $V_1 \cap V_2$ we can simply delete them from the input of one of the two instances of the algorithm that run concurrently, and adding empty "dummy" messages, we see that it is irrelevant that nodes may send or receive less than n messages in the individual instances.

Regarding V_3 , denote for each node $i \in V_3$ by $S_i \subseteq S_i$ the subset of messages for which i and the respective receiver are neither both in V_1 nor both in V_2 . In other words, for each message in S_i either $i \in V_1 \cap V_3$ and the receiver is in $V_2 \cap V_3$ or vice versa. Each node $i \in V_3$ moves the j^{th} message in S_i to node j (one round). No node will receive more than $|V_2 \cap V_3| = |V_1 \cap V_3|$ messages with destinations in $V_1 \cap V_3$, as there are no more than this number of nodes sending such messages. Likewise, at most $|V_2 \cap V_3|$ messages for nodes in $V_2 \cap V_3$ are received. Hence, in the subsequent round, all nodes can move the messages they received for nodes in $V_1 \cap V_3$ to nodes in $V_1 \cap V_3$, and the ones received for nodes in $V_2 \cap V_3$ to nodes in $V_2 \cap V_3$ (one round). Finally, we apply Corollary 3.4 to each of the two sets to see that the messages $\bigcup_{i \in V_3} S_i$ can be delivered within 4 rounds. Overall, this procedure requires 6 rounds, and running it in parallel with the two instances dealing with other messages will not increase message size beyond $\mathcal{O}(\log n)$. The statement of the theorem follows. \square

4. SORTING

In this section, we present a deterministic sorting algorithm. The problem formulation is essentially equivalent to the one in [12].

PROBLEM 4.1 (SORTING). Each node is given n keys of size $\mathcal{O}(\log n)$ (i.e., a key fits into a message). We assume w.l.o.g. that all keys are different.⁵ Node i needs to learn the keys with indices $i(n-1)+1,\ldots,in$ according the total order of all keys.

4.1 Sorting Fewer Keys with Fewer Nodes

Again, we assume for simplicity that \sqrt{n} is integer and deal with the general case later on. Our algorithm will utilize a subroutine that can sort up to $2n^{3/2}$ keys within a subset $W \subset V$ of \sqrt{n} nodes, communicating along edges with at least one endpoint in the respective subset of nodes. The latter condition ensures that we can run the routine in parallel for disjoint subsets W. We assume that each of the nodes in W initially holds 2n keys. The pseudocode of our approach is given in Algorithm 3.

⁴Formally, this can be seen as a deferred completition of Step 2.

⁵Otherwise we order the keys lexicographically by key, node whose input contains the key, and a local enumeration of

Algorithm 3: Sorting $2n^{3/2}$ keys with $|W| = \sqrt{n}$ nodes. Each node in W has 2n input keys and learns their indices in the total order of all $2n^{3/2}$ keys.

- 1. Each node in W locally sorts its keys and selects every $(2\sqrt{n})^{th}$ key according to this order (i.e., a key of local index i is selected if $i \mod 2\sqrt{n} = 0$).
- 2. Each node in W announces the selected keys to all other nodes in W.
- 3. Each node in W locally sorts the union of the received keys and selects every \sqrt{n}^{th} key according to this order. We call such a key *delimiter*.
- **4.** Each node $i \in W$ splits its original input into \sqrt{n} subsets, where the j^{th} subset $K_{i,j}$ contains all keys that are larger than the $(j-1)^{th}$ delimiter (for j=1 this condition does not apply) and smaller or equal to the j^{th} delimiter.
- 5. Each node $i \in W$ announces for each $j \mid K_{i,j} \mid$ to all nodes in W.
- **6.** Each node $i \in W$ sends $K_{i,j}$ to the j^{th} node in W.
- 7. Each node in W locally sorts the received keys. The sorted sequence now consists of the concatenation of the sorted sequences in the order of the node identifiers.
- 8. Keys are redistributed such that each node receives 2n keys and the order is maintained.

Let us start out with the correctness of the proposed scheme.

LEMMA 4.2. When executing Algorithm 3, the nodes in W are indeed capable of computing their input keys' indices in the order on the union of the input keys of the nodes in W.

PROOF. Observe that because all nodes use the same input in Step 3, they compute the same set of delimiters. The set of all keys is the union $\bigcup_{j=1}^{\sqrt{n}}\bigcup_{i\in W}K_{i,j}$, and the sets $K_{i,j}$ are disjoint. As the $K_{i,j}$ are defined by comparison with the delimiters, we know that all keys in $K_{i,j}$ are larger than keys in $K_{i',j'}$ for all $i' \in W$ and j' < j, and smaller than keys in $K_{i',j'}$ for all $i' \in W$ and j' > j. Since in Step 7 the received keys are locally sorted and Step 8 maintains the resulting order, correctness follows. \square

Before turning to the running time of the algorithm, we show that the partitioning of the keys by the delimiters is well-balanced.

Lemma 4.3. When executing Algorithm 3, for each $j \in \{1, \ldots, \sqrt{n}\}$ it holds that

$$\left| \bigcup_{i \in W} K_{i,j} \right| < 4n.$$

PROOF. Due to the choice of the delimiters, $\bigcup_{i \in W} K_{i,j}$ contains exactly \sqrt{n} of the keys selected in Step 1 of the algorithm. Denote by d_i the number of such selected keys in $K_{i,j}$. As in Step 1 each node selects every $(2\sqrt{n})^{th}$ of its keys and the set $K_{i,j}$ is a contiguous subset of the ordered sequence of input keys at w, we have that $|K_{i,j}| < 2\sqrt{n}(d_i + 1)$

identical keys at each node.

1). It follows that

$$\left| \bigcup_{i \in W} K_{i,j} \right| = \sum_{i \in W} |K_{i,j}|$$

$$< 2\sqrt{n} \sum_{i \in W} (d_i + 1)$$

$$= 2\sqrt{n} (\sqrt{n} + |W|) = 4n.$$

We are now in the position to complete our analysis of the subroutine.

LEMMA 4.4. Given a subset $W \subseteq V$ of size \sqrt{n} such that each $w \in W$ holds 2n keys, each node in W can learn about the indices of its keys in the total order of all keys held by nodes in W within 10 rounds. Furthermore, only edges with at least one endpoint in W are used for this purpose.

PROOF. By Lemma 4.2, Algorithm 3 is correct. Hence, it remains to show that it can be implemented with 10 rounds of communication, using no edges with both endpoints outside W.

Steps 1, 3, 4, and 7 involve local computations only. Since $|W| = \sqrt{n}$ and each node selects exactly \sqrt{n} keys it needs to announce to all other nodes, according to Corollary 3.3 Step 2 can be performed in 2 rounds. The same holds true for Step 5, as again each node needs to announce $|W| = \sqrt{n}$ values to each other node in W. In Step 6, each node sends its 2n input keys and, by Lemma 4.3, receives at most 4nkeys. By bundling a constant number of keys in each message, nodes need to send and receive at most $n = |W| \cdot n/|W|$ messages. Hence, Corollary 3.4 states that this step can be completed in 4 rounds. Regarding Step 8, observe that due to Step 5 each node knows how many keys each other node holds at the beginning of the step. Again bundling a constant number of keys into each message, we thus can apply Corollary 3.3 to complete Step 8 in 2 rounds. In total, we thus require 0 + 2 + 0 + 0 + 2 + 4 + 2 = 10 communication rounds.

As we invoked Corollaries 3.3 and 3.4 in order to define the communication pattern, it immediately follows from the corollaries that all communication is on edges with at least one endpoint in W. \square

4.2 Sorting All Keys

With this subroutine at hand, we can move on to Problem 4.1. Our solution follows the same pattern as Algorithm 3, where the subroutine in combination with Theorem 3.7 enables that sets of size \sqrt{n} can take over the function nodes had in Algorithm 3. This increases the processing power by factor \sqrt{n} , which is sufficient to deal with all n^2 keys. Algorithm 4 shows the high-level structure of our solution.

The techniques and results from the previous sections are sufficient to derive our second main theorem without further delay.

Theorem 4.5. Problem 4.1 can be solved in 37 rounds.

PROOF. We discuss the special case of $\sqrt{n} \in \mathbb{N}$ first, to which we can apply Algorithm 4. Correctness of the algorithm follows analogously to Lemma 4.2. Steps 1 and 5 require local computations only. Step 2 involves one round

Algorithm 4: Solving Problem 4.1.

- 1. Each node locally sorts its input and selects every \sqrt{n}^{th} key (i.e., the index in the local order modulo \sqrt{n} equals 0).
- **2.** Each node transmits its i^{th} selected key to node i.
- **3.** Using Algorithm 3, nodes $1, \ldots, \sqrt{n}$ sort the in total $n^{3/2}$ keys they received (i.e., determine the respective indices in the induced order).
- **4.** Out of the sorted subsequence, every n^{th} key is selected as *delimiter* and announced to all nodes (i.e., there is a total of \sqrt{n} delimiters).
- 5. Each node $i \in V$ splits its original input into \sqrt{n} subsets, where the j^{th} subset $K_{i,j}$ contains all keys that are larger than the $(j-1)^{th}$ delimiter (for j=1 this condition does not apply) and smaller or equal to the j^{th} delimiter.
- **6.** The nodes are partitioned into \sqrt{n} disjoint sets W of size \sqrt{n} . Each node $i \in V$ sends $K_{i,j}$ to the j^{th} set W (i.e., each node in W receives either $\lfloor |K_{i,j}|/|W| \rfloor$ or $\lceil |K_{i,j}|/|W| \rceil$ keys, and each key is sent to exactly one node).
- 7. Using Algorithm 3, the sets W sort the received keys.
- Keys are redistributed such that each node receives n keys and the order is maintained.

of communication. Step 3 calls Algorithm 3, which according to Lemma 4.4 consumes 10 rounds. However, we can skip the last step of the algorithm and instead directly execute Step 4. This takes merely 2 rounds, since there are \sqrt{n} nodes each of which needs to announce at most $2\sqrt{n}$ values to all nodes and we can bundle two values in one message. Regarding Step 6, observe that, analogously to Lemma 4.3, we have for each $j \in \{1, \ldots, \sqrt{n}\}$ that

$$\left| \bigcup_{i \in V} K_{i,j} \right| = \sum_{i \in V} |K_{i,j}| < \sqrt{n}(n + |V|) = 2n^{3/2}.$$

Hence, each node needs to send at most n keys and receive at most 2n keys. Bundling up to two keys in each message, nodes need to send and receive at most n messages. Therefore, by Theorem 3.7, Step 6 can be completed within 16 rounds. Step 7 again calls Algorithm 3, this time in parallel for all sets W. Nonetheless, by Lemma 4.4 this requires 10 rounds only because the edges used for communication are disjoint. Also here, we can skip the last step of the subroutine and directly move on to Step 8. Again, Corollary 3.3 implies that this step can be completed in 2 rounds. Overall, the algorithm runs for 0+1+8+2+0+16+8+2=37 rounds.

With respect to non-integer values of \sqrt{n} , observe that we can increase message size by any constant factor to accommodate more keys in each message. This way we can work with subsets of size $\lfloor \sqrt{n} \rfloor$ and similarly select keys and delimiters in Steps 1 and 4 such that the adapted algorithm can be completed in 37 rounds as well. \square

We conclude this section with a corollary stating that the slightly modified task of determining each input key's position in a global enumeration of the *different* keys that are present in the system can also be solved efficiently. Note that this implies constant-round solutions for determining

modes and selection as well.

COROLLARY 4.6. Consider the variant of Problem 4.1 in which each node is required to determine the index of its input keys in the total order of the union of all input keys. This task can be solved deterministically in a constant number of rounds

PROOF. After applying the sorting algorithm, each node announces (i) its smallest and largest key, (ii) how many copies of each of these two keys it holds, and (iii) the number of distinct keys it holds to all other nodes. This takes one round, and from this information all nodes can compute the indices in the non-repetitive sorted sequence for their keys. Applying Theorem 3.7, we can inform the nodes whose input the keys were of these values in a constant number of rounds. \square

5. COMPUTATIONS AND MEMORY

Examining Algorithms 1 and 2 and how we implemented their various steps, it is not hard to see that all computations that do not use the technique of constructing some bipartite multigraph and coloring its edges merely require $\mathcal{O}(n)$ computational steps (and thus, as all values are of size $\mathcal{O}(\log n)$, also $\mathcal{O}(n\log n)$ memory). Leaving the work and memory requirements of local sorting operations aside, the same applies to Algorithms 3 and 4. Assuming that an appropriate sorting algorithm is employed, the remaining question is how efficiently we can implement the steps that do involve coloring.

The best known algorithm to color a bipartite multigraph H = (V, E) of maximum degree Δ with Δ colors requires $\mathcal{O}(|E|\log\Delta)$ computational steps [1]. Ensuring that $|E| \in \mathcal{O}(n)$ in all cases where we appeal to the procedure will thus result in a complexity of $\mathcal{O}(n\log n)$. Unfortunately, this bound does not hold for the presented algorithms. More precisely, Step 3 of Algorithm 1 and Steps 2 and 4 of Algorithm 2 violate this condition. Let us demonstrate first how this issue can be resolved for Step 3 of Algorithm 1.

LEMMA 5.1. Steps 3 and 4 of Algorithm 1 can be executed in 3 rounds such that each node performs $\mathcal{O}(n)$ steps of local computation.

PROOF. Each node locally orders the messages it holds according to their destination sets W'; using bucketsort, this can be done using $\mathcal{O}(n)$ computational steps. According to this order, it moves its messages to the nodes in W following a round-robin pattern. In order to achieve this in 2 rounds, it first sends to each other node in the system one of the messages; in the second round, these nodes forward these messages to nodes in W. Since an appropriate communication pattern can be fixed independently of the specific distribution of messages, no extra computations are required.

Observe that in the resulting distribution of messages, no node in W holds more than $2\sqrt{n}$ messages for each set W': For every full \sqrt{n} messages some node in W holds for set W', every node in W gets exactly one message destined for W', plus possible one residual message for each node in W that does not hold an integer multiple of \sqrt{n} messages for W'. Hence, moving at most two messages across each edge in a single round, Step 4 can be completed in one round. \square

Note that we save two rounds for Step 3 in comparison to

Corollary 5.2, but at the expense of doubling the message size in Step 4.

The same argument applies to Step 4 of Algorithm 2.

COROLLARY 5.2. Steps 3 to 5 of Algorithm 2 can be executed in 2 rounds, where each node performs $\mathcal{O}(n)$ steps of local computation.

Step 2 of Algorithm 2 requires a different approach still relying on our coloring construction.

Lemma 5.3. A variant of Algorithm 2 can execute Step 2 of Algorithm 1 in 5 rounds using $\mathcal{O}(n \log n)$ steps of local computation and memory bits at each node.

PROOF. As mentioned before, the critical issue is that Steps 2 and 4 of Algorithm 2 rely on bipartite graphs with too many edges. Corollary 5.2 applies to Step 4, so we need to deal with Step 2 only.

To reduce the number edges in the graph, we group messages from W to W' into sets of size n. Note that not all respective numbers are integer multiples of n, and we need to avoid "incomplete" sets of smaller size as otherwise the number of edges still might be too large. This is easily resolved by dealing with such "residual" messages by directly sending them to their destinations: Each set will hold less than n such messages for each destination set W' and therefore can deliver these messages using its n edges to set W'.

It follows that the considered bipartite multigraph will have $\mathcal{O}(n)$ edges and maximum degree \sqrt{n} . It remains to argue why all steps can be performed with $\mathcal{O}(n \log n)$ steps and memory at each node. This is obvious for Step 1 and Step 6 and follows from Corollary 5.2 for Steps 3 to 5. Regarding Step 2, observe that the bipartite graph considered can be constructed in $\mathcal{O}(n)$ steps since this requires adding \sqrt{n} integers for each of the \sqrt{n} destination sets (and determining the integer parts of dividing the results by n). Applying the algorithm from [1] then colors the edges within $\mathcal{O}(n \log n)$ steps. Regarding memory, observe that all other steps require $\mathcal{O}(n)$ computational steps and thus trivially satisfy the memory bound. The algorithm from [1] computes the coloring by a recursive divide and conquer strategy; clearly, an appropriate implementation thus will not require more than $\mathcal{O}(n \log n)$ memory either. \square

We conclude that there is an implementation of our scheme that is simultaneously efficient with respect to running time, message size, local computations, and memory consumption.

THEOREM 5.4. Problem 3.1 can be solved deterministically within 12 rounds, where each node performs $\mathcal{O}(n \log n)$ steps of computation using $\mathcal{O}(n \log n)$ memory bits.

This result immediately transfers to Problem 4.1.

COROLLARY 5.5. Problem 4.1 and its variant discussed in Corollary 4.6 can be solved in a constant number of rounds, where each node performs $\mathcal{O}(n \log n)$ steps of computation using $\mathcal{O}(n \log n)$ memory bits.

6. VARYING MESSAGE AND KEY SIZE

In this section, we discuss scenarios where the number and size of messages and keys for Problems 3.1 and 4.1 vary. This also motivates to reconsider the bound on the number bits that nodes can exchange in each round: For message/key size of $\Theta(\log n)$, communicating $B \in \mathcal{O}(\log n)$ bits over each edge in each round was shown to be sufficient, and for smaller B the number of rounds clearly must increase accordingly. We will see that most ranges for these parameters can be handled asymptotically optimally by the presented techniques. For the remaining cases, we will give solutions in this section. We remark that one can easily verify that the techniques we propose in the sequel are also efficient with respect to local computations and memory requirements.

6.1 Large Messages or Keys

If messages or keys contain $\omega(\log n)$ bits and B is not sufficiently large to communicate a single value in one message, splitting these values into multiple messages is a viable option. For instance, with bandwidth $B \in \Theta(\log n)$, a key of size $\Theta(\log^2 n)$ would be split into $\Theta(\log n)$ separate messages permitting the receiver to reconstruct the key from the individual messages. This simple argument shows that in fact not the total number of messages (or keys) is decisive for the more general versions of Problems 3.1 and 4.1, but the number of bits that need to be sent and received by each node. If this number is in $\Omega(n \log n)$, the presented techniques are asymptotically optimal.

6.2 Small Messages

If we assume that in Problem 4.1 the size of messages is bounded by $M \in o(\log n)$, we may hope that we can solve the problem in a constant number of rounds even if we merely transmit $B \in \mathcal{O}(M)$ bits along each edge. With the additional assumption that nodes can identify the sender of a message even if the identifier is not included, this can be achieved if sources and destinations of messages are known in advance: We apply Corollary 3.3 and observe that because the communication pattern is known to all nodes, knowing the sender of a message is sufficient to perform the communication and infer the original source of each message at the destination.

On the other hand, if sources/destinations are unknown, consider inputs where $\Omega(n^2)$ messages cannot be sent directly from their sources to their destinations (i.e., using the respective source-receiver edge) within a constant number of rounds. Each of these messages needs to be forwarded in a way preserving their destination, i.e., at least one of the forwarding nodes must learn about the destination of the message (otherwise correct delivery cannot be guaranteed). Explicitly encoding these values for $\Omega(n^2)$ messages requires $\Omega(n^2 \log n)$ bits. Implicit encoding can be done by means of the round number or relations between the communication partners' identifiers. However, encoding bits by introducing constraints reduces (at least for worst-case inputs) the number of messages that can be sent by a node accordingly. These considerations show that in case of Problem 3.1, small messages do not simplify the task.

 $^{^6{\}rm The}$ nodes account for such messages as well when performing the redistribution of messages within W in Steps 3 to 5.

 $^{^{7}}$ Formally proving a lower bound is trivial in both cases, as nodes need to communicate their n messages to deliver all messages or their n keys to enable determining the correct indices of all keys, respectively.

6.3 Small Keys

The situation is different for Problem 4.1. Note that we need to drop the assumption that all keys can be distinguished, as this would necessitate key size $\Omega(\log n)$. In contrast, if keys can be encoded with $o(\log n)$ bits, there are merely $n^{o(1)}$ different keys. Hence, we can statically assign disjoint sets of $\log^2 n$ nodes to each key κ (for simplicity we assume that $\log n$ is integer). In the first round, each node binary encodes the number of copies it holds of κ and sends the i^{th} bit to $\log n$ of these nodes. The j^{th} of the $\log n$ receiving nodes of bit i counts the number of nodes which sent it a 1, encodes this number binary, and transmits the j^{th} bit to all nodes. With this information, all nodes are capable of computing the total number of copies of κ in the system.

In order to assign an order to the different copies of κ in the system (if desired), in the second round we can require that in addition the j^{th} node dealing with bit i sends to node $k \in \{1, \ldots, n\}$ the j^{th} bit of an encoding of the number of nodes $k' \in \{1, \ldots, k-1\}$ that sent a 1 in the first round. This way, node k can also compute the number of copies of κ held by nodes k' < k, which is sufficient to order the keys as intended.

It is noteworthy that this technique can actually be used to order a much larger total number of keys, since we "used" very few of the nodes. If we have $K \leq n/\log^2 n$ different keys, we can assign $m := \lfloor n/K \rfloor$ nodes to each key. This permits to handle any binary encoding of up to $\lfloor \sqrt{m} \rfloor$ many bits in the above manner, potentially allowing for huge numbers of keys. At the same time, messages contain merely 2 bits (or a single bit, if we accept 3 rounds of communication). More generally, each node can be concurrently responsible for B bits, improving the power of the approach further for non-constant values of B.

7. CONCLUSIONS

We showed that in a clique with a bandwidth restriction of $\mathcal{O}(\log n)$ bits per link and round, asymptotically optimal deterministic solutions to routing and sorting can be found. In particular, this entails that a clique in the CONGEST model is, up to constant factors, equivalent to an n-node system with bulk-synchronous communication of bandwidth $\mathcal{O}(n \log n)$. We hope that this observation may serve in future work addressing lower and upper bounds in this model.

The precise time bounds that can be achieved for the routing and sorting problem in our model remain open. Straightforward indistinguishability arguments show that neither randomized nor deterministic algorithms can solve either problem in 2 rounds, since it is impossible to guarantee that all nodes make consistent communication decisions without exchanging *some* information first. However, this simple line of reasoning cannot yield stronger results, as in principle each piece of information can be communicated to each node in the first round, and it is hard to believe that 3-round solutions are possible. Hence, proving non-trivial lower bounds on these problems may provide new techniques and insights that could enhance our understanding of the limitations of the CONGEST model in well-connected topologies.

Acknowledgements

The author would like to thank Shiri Chechic, Quentin Godfroy, Merav Parter, and Jukka Suomela for valuable discussions, and the anonymous reviewers for their helpful comments. This material is based upon work supported by the National Science Foundation under Grant Nos. CCF-AF-0937274, CNS-1035199, 0939370-CCF and CCF-1217506, the AFOSR under Contract No. AFOSR Award number FA9550-13-1-0042, the Swiss National Science Foundation (SNSF), the Swiss Society of Friends of the Weizmann Institute of Science, and the German Research Foundation (DFG, reference number Le 3107/1-1).

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