

TODAY: Decoding Concatenated Codes

- Simple Decoding
- Achieving Shannon Capacity on BSC.
- Decoding upto half the distance.

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Recall Concatenation

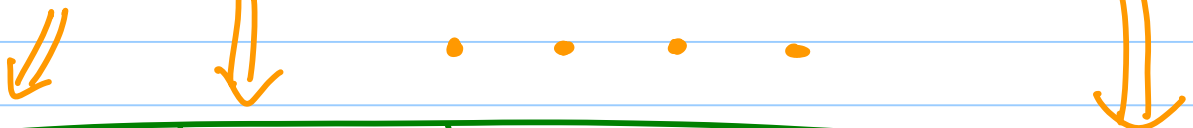
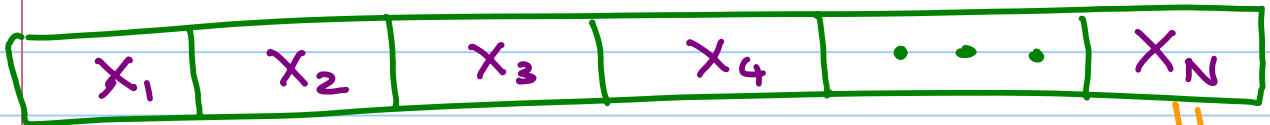
Outer Code:  $[N, K, D]_Q$  (e.g. RS code)

Inner Code:  $[n, k, d]_q$  (e.g. greedy code)

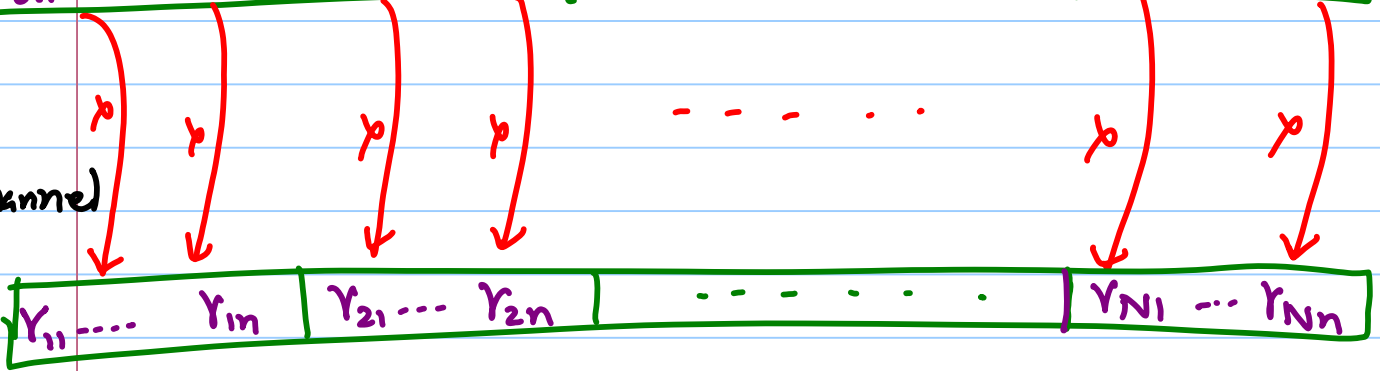
Composed Code:

$$[Nn, Kk, Dd]_q$$

# Encoding



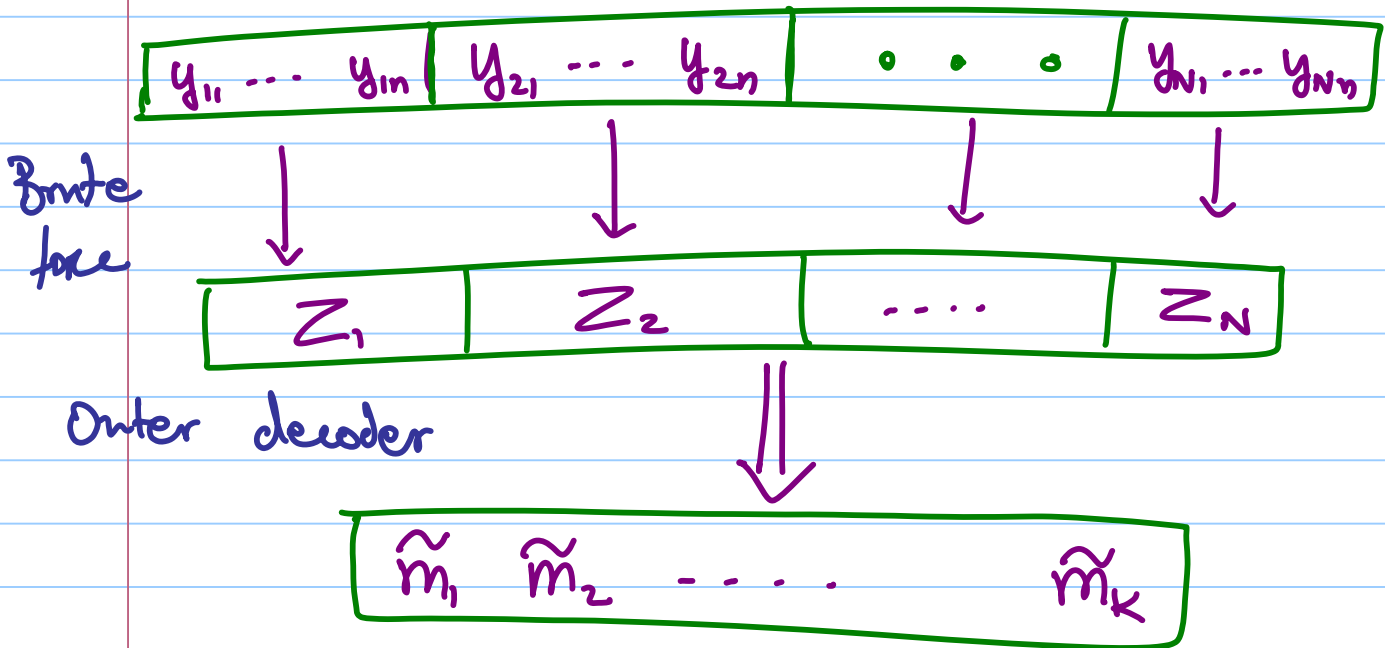
Channel



Decoding Problem: Compute  $m_1 \dots m_K$  given

$$y_{11} \dots y_{1m} \dots y_{21} \dots y_{2n} \dots y_{N1} \dots y_{Nn}$$

# Simple Decoding



$$z_i = \underset{z}{\operatorname{Argmin}} \left\{ \Delta(E_{\text{inner}}(z), (y_{i1} \dots y_{in})) \right\}$$

↑  
minimizes distance to  $y_{i1} \dots y_{in}$

Claim 1: if # errors in block  $i < d/2$

then  $y_i = z_i$

Claim 2: if # {block  $i$  st.  $y_i \neq z_i$ }  $< D/2$

then  $(m_1, \dots, m_K) = (\tilde{m}_1, \dots, \tilde{m}_K)$

Claim 3: if total # errors  $< \frac{D}{2} \cdot \frac{d}{2}$  then

# {blocks with  $\geq d/2$  errors}  $< D/2$

Proof: Else # errors  $\geq \frac{d}{2} \cdot \frac{D}{2}$ .

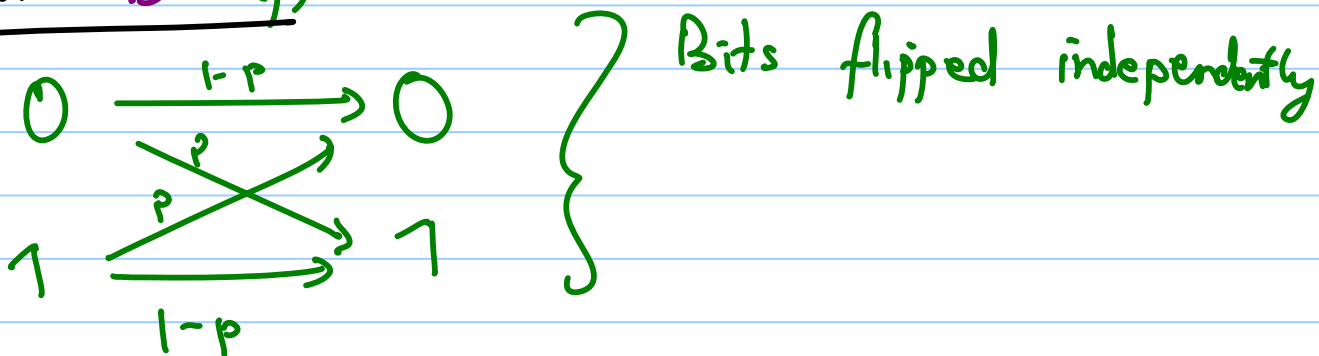
Theorem 1: Simple Decoder corrects  $\frac{d}{2} \cdot \frac{D}{2}$  errors.

(Impr. from Claims 1, 2, 3)

Notes: • Not best possible since one should be able to correct  $\frac{D \cdot d}{2}$  (as opposed to  $\frac{Dd}{4}$ ) errors.

• Still ... suffices to get Shannon Capacity on BSC

## Recall BSC(p)



## Shannon Coding/Decoding

- Can pick code at random; decode in  $\text{Exp}(n)$  time; get error  $2^{-\epsilon n}$ ; with

$$R = 1 - H(p) - \delta$$

- Can easily get polytime alg. with error

$$1/\text{poly}(n); \text{ \& } R = 1 - H(p) - \delta$$

- Break message into  $c \log n$  bit blocks

& apply Shannon code separately to each.

- $\Pr [i^{\text{th}} \text{ block decoded in correctly}] \leq \frac{1}{\text{poly}(n)}$

- $\Pr [\exists i \text{ s.t.}] \leq \frac{n}{\text{poly}(n)}$

- Decoding time =  $n \cdot \exp(c \log n)$   
=  $\text{poly}(n)$ .

- So main question: Can we reduce error to  $\exp(-n)$  with  $\text{poly}(n)$  running time.

- Good News: Can count on even distribution of errors.

## FORNEY'S RESULT

- Outer code of rate  $(1-\epsilon)$ ; length  $N$
- Inner code of rate  $1 - H(p) - \epsilon$ ; length  $n$
- Rate of composed code  $1 - H(p) - 2\epsilon$
- $\Pr[i^{\text{th}}$  block decoded incorrectly]  
 $\leq \exp(-n)$  [Shannon]
- $\Pr[\text{more than } \frac{\epsilon N}{2} \text{ blocks decoded incorrectly}] \leq 2^N \cdot (\exp(-n))^{\frac{\epsilon N}{2}}$   
 $= \exp(-nN)$
- Decoding runs in time  $\text{poly}(N) \cdot \exp(n)$

Theorem 2 [FORNEY]: Can get arbit. close to capacity (of any) channel with poly time encoding + decoding, &  $\exp(-\text{length})$  error.

## Decoding More Errors

### Key Insights:

- Can get RS decoder to correct more erasures than errors.
- If # errors in inner block too many, then better to declare erasure!

### Algorithm:

- let  $Z_i =$  decoding of inner block  $i$
- let  $e_i = \Delta(E(Z_i), Y_i) =$  # apparent errors  $i^{\text{th}}$  block
- W.p.  $\frac{e_i}{(d/2)}$  declare  $i^{\text{th}}$  block erased;  
& W.p.  $1 - \frac{e_i}{(d/2)}$  keep  $Z_i$ ; Decode outer stuff.



## Analysis

Claim: Outer decoder corrects  $s$  erasures &  
 $t$  errors provided  $s + 2t < d$

Proof: Outer code becomes  $[n-s, k, d-s]$  RS  
code.

$\Rightarrow$  Decoder corrects  $t < \frac{d-s}{2}$  errors.

let  $\tilde{e}_i =$  actual # errors in  $i^{\text{th}}$  block.

if  $Y_i = Z_i \Rightarrow e_i = \tilde{e}_i$

$Y_i \neq Z_i \Rightarrow \tilde{e}_i \geq d - e_i$

let  $V_i = 1$  if  $i^{\text{th}}$  block erased

$V_i = 1$  if  $i^{\text{th}}$  block not erased

$\wedge Y_i \neq Z_i$

Claim:  $E[U_i + 2v_i] \leq \frac{2\tilde{c}_i}{d}$

Proof:

Case 1:  $Y_i = Z_i$

$$E[v_i] = 0$$

$$E[U_i] = \frac{2c_i}{d} = \frac{2\tilde{c}_i}{d}$$

Case 2:  $Y_i \neq Z_i$

$$\left. \begin{array}{l} E[v_i] = 1 - \frac{2c_i}{d} \\ E[U_i] = \frac{2c_i}{d} \end{array} \right\} \Rightarrow \begin{array}{l} E[U_i + 2v_i] \\ = 2 - \frac{2c_i}{d} \end{array}$$

$$\leq \frac{2\tilde{c}_i}{d}$$

$$\Rightarrow E[\# \text{erasures} + 2 \cdot \# \text{errors}]$$

$$= \frac{2 \tilde{e}_{\text{total}}}{d}$$

$$\Rightarrow \text{Can decode if } \frac{2 \tilde{e}_{\text{total}}}{d} < D$$

$$\Leftrightarrow \tilde{e}_{\text{total}} < \frac{D \cdot d}{2} \quad \square$$