WB

B-tree Implementation Version 2b4

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This manual documents the WB B-tree implementation, version 2b4 released February 2020.

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1 Overview

1.1 Description

WB is a disk based (sorted) associative-array package providing C, SCM, Java, and C# libraries. These associative arrays consist of variable length (0.B to 255.B) keys and values. Functions are provided to:

- create, destroy, open and close disk-files and associative arrays;
- insert, delete, retrieve, find next, and find previous (with respect to dictionary order of keys); and
- The atomic 'put' and 'rem' operations allow associations to be used for process mutexs.
- apply functions, delete, or modify values over a range of consecutive key values.

The (database) disk files interoperate between the various language libraries. The interface to the SCM Scheme implementation supports longer data values and SLIB relational databases. WB, SCM, and SLIB are packages of the GNU project.

The WB implementation has a file size limit of $2^32 *$ block size (default 2048.B) = 2^43 bytes (8796.GB). WB routinely runs with databases of several hundred Megabytes. WB does its own memory and disk management and maintains a RAM cache of recently used blocks.

Multiple associative arrays can reside in one disk file. Simultaneous access to multiple disk files is supported. A structure checking and garbage collecting program and a viewer are provided. Compiled, WB occupies approximately 66 kilobytes.

WB is implemented using a variant of B-tree structure. B-trees give slower access than hashing but are dynamic and provide an efficient determination of successor and predecessor keys. All operations are $O(\log(n))$ in the size of the database. B-trees are commonly used by database systems for implementing index structures. B-trees are optimized for using the minimum number of disk operations for large data structures. Prefix and suffix key compression are used for storage efficiency in WB.

1.2 History

The origination of B-trees is credited to [BM72] R. Bayer and E. McCreight in 1972.

Working at Holland Mark Martin between 1991 and 1993, Roland Zito-Wolf, Jonathan Finger, and I (Aubrey Jaffer) wrote the *Wanna B-tree* system.

Jonathan Finger wrote a MUMPS-like byte-coded interpreter *Sliced Bread* using WB. The integrated system was heavily used by Holland Mark Martin for the rest of the decade.

In 1994 I wrote a Scheme implementation of the relational model with an independent object-oriented base-table layer for SLIB:

http://people.csail.mit.edu/jaffer/slib_6.html

In 1996 Holland Mark Martin assigned the copyriht for WB to the Free Software Foundation. I released WB as a library for C and SCM. I also wrote wbtab.scm, a base-table interface enabling SLIB's relational database to be backed by WB.

In 2002 I added color dictionary relational databases to SLIB.

In 2003 I added *next* and *previous* operations to the SLIB relational package, and wrote rwb-isam.scm for WB.

In 2004 I wrote FreeSnell, a program to compute optical properties of multilayer thin-film coatings. At the core of FreeSnell is a rwb-isam spectral refractive-index database for over 300 materials.

In 2006 I decided to reimplement ClearMethods' Water language on top of WB. In 2007, in order to make Water available on the great majority of browsers and servers, Ravi Gorrepati adapted Schlep (the SCM to C translator) to make translators to Java and C#. He also ported the support files and test programs to Java and C#.

I continue to maintain WB. The most recent information about WB can be found on WB's WWW home page:

http://people.csail.mit.edu/jaffer/WB

1.3 File Organization

The source files for WB are written in the SCM dialect of Scheme:

wbdefs.scm

SCM configuration definitions.

segs.scm handle.scm blink.scm prev.scm del.scm ents.scm scan.scm stats.scm SCM code for WB-trees.

blkio.scm

wimpy POSIX interface to the disk. Replace this if you have a more direct interface to the disk.

These files are translated into the C, C#, and Java targets by SCM scripts named scm2c, scm2cs, and scm2java respectively. The function and variable data types in the target languages are determined by pattern-matching the first-element strings in the associations scm2c.typ, scm2cs.typ, and scm2java.typ respectively.

Files translated to C are put into the wb/ directory. Files translated to Java are put into the wb/java/ directory. Files translated to C# are concatenated with wb/csharp/Cssys.cs and wb/csharp/SchlepRT.cs and written to wb/csharp/Wb.cs.

In the Makefile:

```
's2hfiles'
```

Derived *.h files for C.

's2cfiles'

Derived *.c files for C.

's2jfiles'

Derived java/*.java files for Java.

'csharp/Wb.cs'

Single derived source file for C#.

WB comes with a C utility program for database files stored on disk.

wbcheck path

Checks the structure of the database named by *path* and reclaims temporary trees to the freelist.

Manifest

wb.info	documents the theory, data formats, and algorithms; the C and SCM interface
	to WB-tree.

ChangeLog

documents changes to the WB.

example.scm

example program using WB-tree in SCM.

- wbsys.h The primary C include file for using the WB layer is is wbsys.h, which includes several other files from the directory. wbsys.h also defines WB's internal data types.
- wbsys.c Shared data and low-level C accessors.

wbsys.scm

Shared data and low-level accessors for debugging in SCM.

- wbscm.c C code for the SCM interface to WB-trees.
- db.scm code for SCM interface when debugging in SCM.

scm2c.scm

SCM code which translates SCM code into C.

scm2c.typ

rules relating variable names to types in generated C.

scm2cs.scm

SCM code which translates SCM code into C#.

scm2cs.typ

rules relating variable names to types in generated C#.

scm2java.scm

SCM code which translates SCM code into Java.

scm2java.typ

rules relating variable names to types in generated Java.

test.scm file for testing WB-tree system.

test2.scm

more tests for WB-tree system.

[Program]

Makefile Unix makefile

VMSBUILD.COM

command script for compiling under VMS.

all.scm loads all the SCM files for debugging.

wbtab.scm

SCM code allowing WB to implement SLIB relational databases.

rwb-isam.scm

SCM code allowing WB to implement SLIB relational databases with numerical and lexicographic key collations.

wbcheck.c

program for checking, repairing, and garbage collecting WB-tree databases.

wbview SCM script for displaying low-level WB database associations.

1.4 Installation

WB unpacks into a directory called 'wb'.

If you plan to use WB with SCM, the directories scm and wb should be in the same directory. Doing 'make wbscm.so' in the scm directory compiles a dynamically linkable object file from the WB C source. Including the '-F wb' option to an executable build compiles the WB interface into the executable. It is not necessary to compile anything in wb directory.

make install

Installs libwb, wbscm.so, java/wb.jar, and wbcheck in the \$(prefix) tree, as assigned in the Makefile.

1.5 Building from Scheme Sources

Scheme Infrastructure

SCM source is available from: http://groups.csail.mit.edu/mac/ftpdir/scm/ scm-5f3.zip

Also available as source RPM: http://groups.csail.mit.edu/mac/ftpdir/scm/ scm-5f3-1.src.rpm

SLIB is a portable Scheme library which SCM uses: http://groups.csail.mit.edu/mac/ftpdir/scm/slib-3b6.zip

Also available as RPM: http://groups.csail.mit.edu/mac/ftpdir/scm/slib-3b6-1. noarch.rpm

Testing Scheme Source

From the wb directory, do 'scm all test'. This will load the Scheme version of WB-tree with test code. Typing '(main)' will construct a test database z in this directory. If this runs without errors then you are ready to build the C code. Exit from scm with '(quit)'.

Regenerating C Sources.

make all

Testing Compiled DBSCM

Run 'scm -rwb -ltest'. This should build the test database z much more quickly than before.

Type '(quit)' to exit from DBSCM. Now run './wbcheck z'. This will check the structure of the database and collect temporary files. This should reclaim 52 blocks and report no errors. If you run it again, no blocks will be collected.

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2 Theory

We used [SAGIV] as our starting point for concurrent b-tree algorithms. However, we made significant changes and (we feel) improvements. We have particularly tried to simplify the algorithms to reduce the implementation and especially debugging effort. There are also a lot of complex details involved in building a complete implementation. The goal of this document is to describe and explain the major design decisions and any subtleties that arose.

2.1 B-tree Structure and Access

2.1.1 Definitions

B+-tree

- is a structure of blocks linked by pointers
- is anchored by a special block called the root, and bounded by leaves
- has a unique path to each leaf, and all paths are equal length
- stores keys only at leaves, and stores reference values in other, internal, blocks
- guides key search, via the reference values, from the root to the leaves

block

- is either internal or a leaf, including the root block
- contains at most n entries and one extra pointer for some fixed n
- has no fewer than [n/2] entries, the root excepted

root block

- is a leaf when it is the only block in the tree and will then contain at least one entry
- must have at least 2 pointers to other blocks when it is internal

internal block

- contains entries consisting of a reference value and a pointer towards the leaves
- its entries point to data classified as greater than or equal to the corresponding reference value
- its extra pointer references data classified as less than the block's smallest reference value

leaf block

- contains entries consisting of a key value and a pointer to the storage location of data matching the key
- its extra pointer references the next leaf block in the tree ordering; leaves linked in this manner are neighbors

prefix compression

Instead of storing the full text of each key, store the length of match with the previous key (in this block) and the text of the key which doesn't match.

suffix compression

In non-leaf blocks, only store enough of the split-key to differentiate the two sequential blocks at the lower level.

2.1.2 Block Format

The first 20 bytes of the block header format is the same for all types of blocks.

0 blk:id The ID of this block.

4 blk:top-id

The ID of the root block of this tree.

8 blk:nxt-id

The ID of the next block in the chain (at same level as this one).

12 blk:time

The 32-bit time/date when this block was last modified.

16 blk:end

Two-byte length of data in block, including header.

18 blk:level

Block level; 0 is leaf.

19 blk:typ

Block type, one of:

- dir-typ Directory tree.
- ind-typ Other tree.
- seq-typ Sequential chain (unused).
- frl-typ Free-list chain.
- 20 Start of data for SEQ-TYP blocks. Data spans to blk:end.

20 leaf-split-key

22 Start of data for other block types. Data spans to blk:end.

2.1.3 Tree format

The WB-tree (the "Wanna B-tree")

We use the B-link tree format (see [SAGIV]). Each leaf block contains some number of (key,value) pairs; each non-leaf (ie. index) block contains (key, down-pointer) pairs. Each block contains one additional key value, the SPLIT key, that is strictly greater than any other key in the block. The tree is augmented by chaining together the nodes of each level of the tree in split-key order (the NEXT pointers).

We allow the tree to be missing any index-insertion or block-deletion updates so long as certain key-order invariants are maintained:

- A Blocks are chained in order of increasing split key at each level, and all valid blocks appear in the chain.
- B Split keys are unique at each level.

- C Every DOWN pointer from level N+1 points to a block B at the next lower level N whose split-key S is less than or equal to the key S1 associated with the pointer.
 - C1 If the split key for block B (at level N) is strictly less than the key associated with the ptr from level N+1 (S < S1), then it must be the case that
 - a. a block B' with that split key S1 exists at level N;
 - b. B' is reachable from B by following NEXT pointers; and
 - c. no pointers to either B' nor any blocks between B and B' exist in level N+1. We call the subchain from B through B' the SPAN of the (key,ptr) entry (split(B'),B).
 - C2 It is invalid for a down pointer to contain a key not present as a split key at the next level.

Note that a key in an index must match its block's split key exactly; if a key K is less than the split-key S of the block B it points to, searches for intervening keys will be misdirected (to the next block); and if K is greater than S, then splits of the block after B at key values K' where S<K'<K will be mis-inserted in B's paren, because K' should logically go AFTER K, but K'<K.

The notion of the span of an index entry is useful. We note that each block split can be thought of as an EXTENSION of some span at the next-higher level, while each PARENT-INSERT-UPDATE can be thought of as a corresponding span REDUCTION. A span that has only one block in it will be called FULLY REDUCED; a b-tree is fully reduced when all its spans are fully reduced, meaning that all pending/deferred insert-updates have been performed. Lastly, we can express rule C1 more succinctly in terms of spans:

- C,C2 SPANs must be well-formed (span must be closed; keys must match exactly)
- C1 The SPANS of the entries at any index must not overlap.

Key/Value Order; Uniform Leaf/Node Format

We originally had index nodes in (value,key) order because it made insertions simple, but abandoned that because it made all the insertion/deletion propagation code special-case. In fact, because of the asymmetry of INSERT and DELETE, either one or the other will require that a single operation sometimes modify two blocks (see Section 2.1.5 [Insertion method], page 17). However, using (key,value) ordering at all levels of the tree simplified the code considerably.

2.1.4 Split keys

Split keys seem to be an accepted method for B-tree organization. In a Blink-tree, where one might potentially have to chain forward to find a desired key, the split key allows one to determine when the search can be terminated. Having the split key at the *end* of the block saves one block access per search. (If the split key were at the front of the block, one would have to access the next block in the chain to determine that a search could terminate.)

Last-key values

It is useful to define a *largest key* to be the split key of the last block at any given level; the code is made more complex if the last key is some special value (eg. the null string) that doesn't follow lexicographic order, not to mention the problems that arise with inserting into empty (last) blocks. We've reserved the set of strings starting with '0xff' as split keys only; they cannot be used as real key values. Last-key values are of the form xFF<level>. This makes the last key in level N+1 strictly larger than any in level N, so the end-of-chain key for level N need not be treated specially when it is inserted as a key at level N+1.

Fixed split keys/Independence of levels

We make the split keys at index levels act like those at the leaf level, that is, the split key in a block *NEVER CHANGES*. The advantage is that inserts and deletes cannot cause split-key changes, avoiding the need for code to propagate these changes, which are a pain to test. It also makes the levels genuinely independent, a useful conceptual simplification.

The disadvantage is that this introduces a *dead zone* between the last key-value pair of an index block and its split key. This complicates the code slightly, and makes the average search path slightly longer than $\log(N)$ (by a constant factor of 1+(1/BF), where BF is the branching factor of the tree). More annoyingly, it interacts with insertions for block splits (see INSERTION).

2.1.5 Insertion method

Insertion in a index needs to appear atomic so that the key-order invariant is maintained. Since we are using key/value-ordered index blocks, parent-update insertion has a special extra step: we insert the new key and pointer in index block B, then swap value fields with the next entry in sequence. Unfortunately, when the insertion is the end of the block, the next entry is in the next block B', so two blocks must be modified. (The advantage of value/key ordering is that insertion never modifies more than one block; however, you get screwed in the code for deletions instead.) The code checks for this case and locks both blocks before proceeding. (An alternate solution considered is to back up the split key of B so that the new key would go at the front of B', but that introduces other problems.)

While the above method preserves correctness (even under concurrency), there is unfortunately a 2nd-order screw WHICH STILL NEEDS TO BE IMPLEMENTED: how to write the 2 blocks in an order that preserves correctness in case of disk crash. If only B is written, the database will contain 2 pointers to the block whose split caused the insertion; if only B' is written, the pointer correctness will be violated. (It would seem that this kind of problem is inherent to any operation that needs to maintain consistent changes across multiple blocks.) The fix seems to be to surround the write with a notation in the root that says this special case is being exercised and indicates what blocks were being modified (B,B') and what the pointers should be:

- Write (B,B',down-ptrs) to Blk 0
- Write B'
- (Write new block if B was split by insert)

- Write B
- Remove flags from Blk 0 and write.

We elect to write out block B' first for consistency with the case where B' is a new block created by a block split. The screw case will create a damaged DB, but the danger of deleting a doubly-pointed-at block is avoided. This is adequate information to recover directly. (JONATHAN?)

(Other alternatives were considered. Backing up the split key at next level allows a subsequent insertion to be atomic, but the backing-up operation has the same two-block consistency problem in keeping ITS parent index consistent. If the parent key isn't backed up, subsequent splits of the following block will result in incorrect updates at the next level.)

2.1.6 Deletion

Deleting can be divided into two parts: the block to be deleted must be unlinked from both parent and predecessor, after which the block can be reclaimed.

Unlinking the block

At the moment, we've decided to simplify the delete operation by requiring that both the parent and the previous block be available for modification, else the deletion fails (is deferred). This makes block deletion an atomic change, which avoids several problems introduced by permitting partial deletions (see CONCURRENCY).

Alternatives: [WANG] gives a clever way to do deletion w/o PREV, by swapping blocks. This seemed too hard to make bulletproof for concurrency so we stuck with the PREV method, which after all only does extra block accesses 1/BF of the time.

Crashes during DELETE

What about the order for writing the parent and predecessor blocks in a delete? We elect to write out the PARENT block first, so that if the system should crash the chain will still be intact. The block that we were trying to delete will still be in the chain, but it cannot be used, it is "dead." Section 2.2.3 [Deferred Index Updates and concurrency], page 21, discusses how to deal with "dead" blocks.

Reclaiming the block

One major change from [SAGIV] is that SAGIV assumed multiple copies of a block could exist, which makes reclaiming deleted blocks complex (he suggests a timeout-based protocol). We opted instead to track how many pointers to each block are extant by adding a lock for that purpose, the NAME lock (essentially, a DELETE lock). A routine must get NAME lock on a pointer BEFORE releasing READ/WRITE on the block from which the pointer is obtained. (SAGIV's method is almost certainly more efficient in the sense that our method incurs overhead on every operation as opposed to just on the problem case of a READ request during a WRITE; several empirical studies of such tradeoffs support this conclusion.) On the other hand, NAME lock is useful for other things, such as insuring that the block you are PREVing from can't be deleted while you're looking for its parent or predecessor ...

Non-symmetry of INSERT and DELETE

It is worth remembering that INSERT and DELETE are not symmetric, in the sense that a postponed insertion is NOT equivalent to deleting a (KEY,PTR) pair. The latter operation leaves a block whose pointer is missing unaccessible via the index, while the former leaves the block accessible through the NEXT pointer chain.

This asymmetry has been the death of more proposals for fixing various problems involving concurrency than I care to recall!

EXAMPLE:

- 1. Start with blk p with split key k at level n;
 - the index (level n+1) contains: ...k,p, ...
- 2. split p at k', adding block p';
 - the index should now contain $\ldots k',\!p,\!k,\!p',\,\ldots$
- 3. Now neither deleting p nor p' yields the original index contents:
 - If we delete p, the result is $\ldots k, p', \ldots$
 - If we delete p', the result is $\ldots k', p, \ldots$

Therefore, it is not possible for a subsequent delete to "cancel" an insert; the deletes must either wait for the relevant inserts to complete or else do special work to maintain correctness.

2.1.7 Non-delete of last block in the chain

We currently do not support deletion of the last block at any level, that is, the one with the end-of-block key. This is because this deletion requires special case to retain the endof-block key. One way to achieve this is to copy forward the contents of the next-to-last block, and deleting that instead. [There are details to be worked out, eg. preservation of correctness during this operation.]

2.1.8 Prev

[DESCRIBE HOW IT WORKS]

SCREW CASE FIX UNDERSTOOD BUT NOT YET IMPLEMENTED: if down-pointers are missing to blocks immediately after the first block of a level, PREV will miss those blocks. The problem occurs when a PREV-BLOCK of block B at level N occurs, causing a PREV at level N+1. If down-pointers are missing, the block B' associated with B's split key may be some predecessor of B rather than B itself. In this case PREVing at level N+1 is wasteful but correct; it would require fewer block accesses to chain from B' than from PREV of that entry. However, if the B' entry is the FIRST at level N+1, PREV will erroneously conclude that it is at the start of the chain. It either has to (a) always look at B's entry at level N+1 to check that the current block's ptr is really up-to-date, or (b) just check when it hits the START-OF-CHAIN.

2.1.9 Root Block protocol

Root uniqueness

We guarantee that the root block number never changes and thus can be used as a unique identifier for a given WB-tree. Other systems provide a unique tree ID by introducing

a level of indirection in root references; this is inefficient, as root references are frequent. When the root is split, we allocate a new block to hold the data that would have remained in the root block, then use the old root block for the new root. This does mean that one cannot depend on a block's ID being unchanged if it splits!

ROOT DELETE and Reducing number of levels in a tree

NOT IMPLEMENTED.

2.1.10 Other tree organizations

There are other possibilities for tree layouts. It is possible that some of these may simplify operations that in the current layout are complex, such as the insert-screw-case. Other possible choices include:

- split key at start rather than the end of the block;
- reversing the order of (key, value) pairs in the blocks;
- not using the split key
- using TWO split keys (one at each end of the block);
- running the chains backward rather than forward;

My (RJZ's) favorite alternate assumption is allowing multiple versions of blocks to exist, as in [SAGIV]. This would mean:

- processes would be allowed to maintain STATE in a block, such as current position, such as for successive NEXTs; on the other hand, NEXT would have to check for NEXT(X)<X and skip forward accordingly.
- Write/Read interlock overhead should be greatly reduced (as WRITEs do not have to wait for reads);
- the need for NAME locking is greatly reduced, since we no longer would be trying to count the processes that "know" about each block;
- reclaiming deleted blocks is harder;
- other issues to be evaluated: effect on rebalance, PREV, and the deferred-operation protocol we've developed.

Perhaps we can explore the interrelationships in some methodical way someday ...

2.2 Concurrency

2.2.1 Name access (and deleted-block reclamation)

In order to be able to explicitly know when a block is safe to delete, we insist that a user must get NAME lock on a pointer BEFORE releasing READ/WRITE on the block from which the pointer is obtained. NAME lock is useful for other things, such as

- insuring that the block you are PREVing from can't be deleted while you're looking elsewhere;
- CHAIN-PUT uses it to insure that the block just split doesn't disappear during the call to PARENT-INSERT-UPDATE
- others?

2.2.2 Fail-out protocol/access conflict strategy

Blocked operations are at the moment simply going to fail with an error code of RETRY-ERR, meaning that they can be safely retried later. The current idea is to use this whenever a READ-WRITE conflict occurs. (This would not be necessary using SAGIV's method.) However, since various other lockout and wait conditions can occur – waits for block reads, waits on NAME locks, waits on interlocked operations – some such facility would be needed anyway, so it seems reasonable to try to use it to handle READ-WRITE blocking as well.

NOT REALLY IMPLEMENTED YET due to the complexity of reorganizing the code to pass up the appropriate information in all cases. (Top-level routines return these codes but internal routines don't really use it yet, so retry-ability isn't really there yet.)

2.2.3 Deferred Index Updates and concurrency

The basic strategy is to allow a key insert/delete that causes a block to split/become empty to complete, but to then queue up the parent-update/block delete on some process that will retry deferred updates in the background until they succeed.

The problem is that deletes contain two separate operations that can wait: the parent update and the predecessor update. The two updates can be done separately if the block is first marked "dead" so that no insertions into it can occur. Unfortunately, there is a class of rare and complex screw cases involving the correct ordering of deletes and inserts that happen to involve the same key. One might for example delete a block, deleting its key, and then subsequent splits can reintroduce and re-delete it. If operations at the index level are deferred, the ordering of these deferred operations determines whether the resulting tree is correct.

Making block-delete atomic (as defined above) greatly simplifies this process. The block being inserted/deleted can then serve as its own semaphore.

We have decided to adopt a lazy update strategy. That is, rather than keeping around queues of pending parent-updates, we just throw them away if they can't be executed immediately. We can get away with this because the updates for level N+1 can be reconstructed from the chain at level N.

Now, a deferred update only affects performance if the affected path is actually encountered: if we find we have to chain across two blocks, it means a parent update hasn't occurred yet; if we encounter an empty block, it means a delete update hasn't occurred. Our idea is to fix these "inefficiencies" on demand, that is, only when we run into one will we expend the effort to fix it. For the moment, assume ALL block deletes and insert updates are deferred.

The basic algorithm is:

- a. If we have to chain forward in searching for a key, there must be pointer missing at the next level (deferred insert). So we attempt to insert it. Forward chaining to reach the NEXT and PREV of a key is normal and hence shouldn't cause update attempts.
- b. Whenever we reach an empty block, we attempt to delete it, except for the case where we are doing an insert which should go in that block. The delete attempt will fail UNLESS the relevant parent updates are complete, that is, if and only if the block is within a fully reduced span.

One major concern has been that an I/O failure during a block delete can leave a "dead" block, that is, one which can't be reached from its parent level. It is still in a chain but there is no down pointer to it and no search can terminate at that node. The problem is that once a block becomes dead we need to prevent it from being inserted into or restored into use, because that could result in entries being out-of-order (suppose that, while the block's dead, some inserts of keys less than its split key occur. They'll be directed into the next block by the index, and be posted there. If we then restore the block, the key ordering will be incorrect.) But it turns out that we can prevent this by observing which B-tree operations can encounter which types of deferred-update situation, as follows:

If we think of the tree as a set of nested SPANS, where the SPAN of an index entry is the set of entries in the blocks it SPANS, we note that during operations using search only - GET,PUT,REM – the locus of search stays strictly within the SPAN of some entry in the root. We enforce that a block not be deleted until its parent pointers are completely updates, that is, its pointer has a span of exactly one block. Now suppose a block delete fails halfway, leaving a empty block without any parent pointer. Such a block is unreachable by FIND-NODE and hence by INSERT! This means that if INSERT encounters an empty block, it must be valid to insert into it.

This has several interesting consequences:

- a. This means that only the operations that can possibly chain ACROSS spans have to worry about dead blocks: the NEXT and PREV operations. (What exactly is the effect on PREV?)
- b. This means that "dead" blocks can't be deleted (unless we look for them specially). But they (a) are only created by a rare kind of event, and (b) won't hurt anything, so long as we arrange that NEXT and PREVs ignore the empty blocks (ie. ignore the value of their split keys).
- c. The way we have defined deferred-delete reconstruction, if the block isn't within a fully-reduced span, the delete simply can't succeed. This means that there is no point in trying to delete a blank block when we're chaining though a span, that is, we should only try to delete empty blocks encountered (1) when following a DOWN pointer in FIND-ENT, or (2) due to a NEXT operation (or PREV?).

[Having realized this, we can actually let block-deletes be two-part operations (again). The only additional complexity is that then we'd need to implement a method for detecting dead blocks, that is, differentiating them from empty blocks in the middle of large spans, which we mustn't try to delete. We just check if the block is continued in some span! HOW TO DO THAT EFFICIENTLY?]

In detail, the method of detecting deferred operations goes like this:

1. RECONSTRUCTING DEFERRED PARENT-UPDATES (missing DOWN pointers):

Whenever we have to follow the NEXT pointer of a block B (in FIND-NODE), we should attempt a PARENT-INSERT-UPDATE using the (key,value) pair (split(B),next(B)). FIND-NODE needs to be fixed to chain right rather than down when the "dead zone" is encountered; and it should not attempt a PARENT-INSERT-UPDATE in this case.

PARENT-INSERT-UPDATE can fail if:

- a. some other process is already doing the update (the first one to lock the parent wins, the other will fail out);
- b. the key split(B) is already present (means a DELETE is pending this shouldn't really occur, though);
- c. the update requires a block split but no blocks are available.
- 2. RECONSTRUCTING DEFERRED BLOCK DELETES:

Whenever we reach an empty block in FIND-NODE or a NEXT/PREV operation, we'll attempt a PARENT-DELETE-UPDATE.

PARENT-DELETE-UPDATE should fail when

- a. the key is found but points to a different block (meaning the containing span isn't fully reduced);
- b. the block is NAME-locked (this means its safe to leave name-locks around, the worst that can happen is they cause block deletes to be deferred some);
- c. someone else is doing a PARENT-DELETE-UPDATE on this block (this can be guaranteed by having the delete process first name-lock the block being deleted;
- d. some block needing to be modified (parent or prev) is locked.
- 3. We need to fix the code that does the NEXT-KEY operation to not stop at potentiallydead blocks. CHAIN-FIND need NOT ignore blank blocks.

In practice, we'll want to trigger the update routines at the normal times as well, ie. try insert-updates after block splits and delete-updates after a block becomes empty.

There are a number of new statistics we should keep; these include:

- deferred PARENT-INSERT-UPDATEs (PUDs)
- insert-updates that succeed
- insert-updates that fail (measures overhead of the method)
- insert-update failure rate

deferred block deletes

- deferred block deletes that succeed
- deferred block deletes that fail (measures overhead of the method)
- delete failure rate
- count of dead blocks found (requires extra work)

(The number of chain-forwards is also a measure of the overhead.)

[Note: this mechanism also supports a simple queuing method: keep a list of the block numbers at which updates were deferred, and in times of low usage do FINDs to them, which will update them as a side-effect ...]

[[]Other strategies were considered but were either significantly more complex or over-heady, or introduced unnecessary delays:

One hack is to serialize the queue of postponed index INSERT and DELETE operations by brute force: to do them in exactly the order they arrived in. Possibly simpler alternative method: sort by key and timestamp them!

I think we also decided that the interpreter could simply devote a process to each deferred operation, since we want to shift resources toward accomplishing the deferred operations if too many queue up.

[WANG] maintains a queue of deleted operation on the DESTINATION block. This has the disadvantage that whenever a block is split or merged the deferred-operation queues have to be split or merged as well – ugh!.]

2.3 Buffer, I/O, and Free-List Management

2.3.1 Reclaiming Buffers

age vs. level vs. dirtiness

This is a perennial nuisance – There is a complex system in place which hasn't really been evaluated or tuned, and there is also a proposal by Jonathan to simply use the first free (or free-able) buffer one finds.

2.3.2 update-access

It seems to me there was some case where it wasn't OK to just do a release to #f and an update from there – but I can't think of it offhand ...

2.3.3 Deferred writes of data blocks

Note: this is a different sort of referral from the "deferred index updates" discussed earlier. Those deferred operations were correctness- preserving; these are not. The idea here is that we can reduce i/o traffic if we can safely lose a "small" number of updates.

Description and purpose

The general idea here is that we can reduce I/O traffic by deferring writes of leaf blocks, in the sense that the updates can be lost without compromising the structure of the database. This only works where the data updates in question are not critical to database or application. Currently, we always defer leaf updates – both PUTs and REMoves – to data trees, where a data tree is any tree not of type DIRECTORY. (DIRECTORY leaf blocks are written to disk after every update.) The idea is that the user application should have control over how often the data blocks are written.

Also, referral of PUTs and DELETES should be separately controllable. This feature is needed for example by the database itself in maintaining the free list: we can afford to defer INSERTS of deleted block, because the worst that could happen is that a free block gets lost. But DELETES from the free list must update immediately, else a block could be doubly-allocated.

Implementation

The handle field 'wcb' has the following boolean values:

- a. SAP: save block after PUTs
- b. SAR: save block after REMOVEs
- c. SAC: force block save after cached block changes (not currently implemented)

d. FAC: flush buffer entirely after cached block changes (not currently implemented – future functionality)

These bits are set as follows:

DIRECTORY

SAP=SAR=1;

FREE LIST

SAR=1; SAP=SAC=0;

USER DATA

SAP=SAR=SAC=0;

The state of these bits can be changed at any time using (han:set-wcb! han new-bits). Directory trees force wcb-sap and wcb-sar when opened or created. Also, open_seg forces the free list write control bits to be as shown above, regardless of the block type of the free-list.

Calling flush-ents flushes some modified blocks. It is thread safe and can be called by a timer interrupt.

2.3.4 Caching of last (leaf) block used

Works great

NOT IMPLEMENTED YET: We could actually be cleverer and cache the parents of every node, and even the PREVs, using the same TRY-GET-ENT protocol!

2.3.5 Multiple Read Access

Multiple READ access is not supported yet. READ-READ conflicts can occur. We seem to recall noting that this limitation kept us from encountering some other problem, whose identity is lost for the moment.

2.3.6 Free-block management

Outline:

- free list is implemented as a B tree (its root block is in block 2 of the file)
- with cache (free-list-cache, one per segment)
- cache gets filled (to 1/2 full) when it empty and a block is needed; it gets flushed (to 1/2 full) when its about to overflow.
- cache can be filled either from the free-list-tree or if that's empty, the file is extended.
- cache filling is now done efficiently using scan-delete. This also means that only one disk write will be done per fill (in most cases).
- cache filling is interlocked so only one process can be filling the cache at a time.
- currently cache emptying is inefficient as each write of of a block to the tree causes an I/O [deferred writes is intended to fix this]

Current problems:

Last week (1/8) we concluded that (all efforts to date notwithstanding) there were still failure cases in free-block management under concurrent operation, because of problems like:

- multiple processes can eat up however many blocks are in the cache, meaning we still haven't handled the case where a PUT to the free list causes a block split (necessitating a recursive call to FREE-BLOCK).
- Conversely, it is possible for the cache to become overfilled by a cache filling operation, if in the meantime OTHER processes fill the cache with deleted blocks.
- We need to be sure in general that concurrent fills and/or empties don't overfill or over-drain the cache.

On the positive side, we realized that we NEED NOT allow enough free blocks for a free-list insert to split the whole tree – any split except the leaf split can simply be postponed if there isn't a free block available at that time! (And similarly for any insert-updates that happen to be triggered by free-list accesses.)

Come to think of it, if we happen to run out of blocks during a free-list insert, its OK to let the insert fail, we just lose one disk block!! That may just be the answer!!

2.3.7 Buffer management routines

Two routines have been built for purging buffers.

FLUSH-BUFFER(ENT) writes out ENT if it is dirty and unlocked. It returns TERMI-NATED if ENT is locked, RETRYERR if the write is attempted and fails, and SUCCESS otherwise.

PURGE-BUFFER(ENT) writes out ENT if it is dirty and then frees up the buffer. This IGNORES the access status of the buffer, so it should not be called by users; it always returns SUCCESS.

Use (DO-SEG-BUFFERS SEG FUNC) to apply a function to all the buffers of a given segment; for example, (DO-SEG-BUFFERS SEG FLUSH-BUFFER) can be used to guarantee that segment SEG's disk file is up to date. DO-SEG-BUFFERS halts if FUNC returns other than SUCCESS; the result of FUNC is returned. SUCCESS is returned if all buffers have been successfully processed. To process all segments, use SEG = #f.

(CHECK-BUFFER ENT) checks that the buffer is written and unlocked, and repairs those that are not.

2.3.8 Other issues to document

- bucket-locking method
- treatment of access conflict conditions
- amnesia-ent
- caching criteria (eh?)

2.4 Error Handling

The problem: Calls need to return adequate information to distinguish:

- 1. restartable operations, for example
 - update-parent on insert

- update-parent on delete
- unlink for delete
- 2. non-restartable failures (eg. disk i/o error)
- 3. null results (eg. key not found)
- 4. "real values", eg. the length of a returned string, or an ENT pointer vs. NULL.

The solution: use a bounded range of negative values as "failure" codes, leaving the nonnegative return values available as "success" codes. The canonical success code is 0, but a routine that needs to return a value (string length, ENT pointer) can do so and have that value interpreted as a "success" code as well.

There are "degrees" of failure, and the negative codes are partitioned according to increasingly severity, as follows:

value	C name	Meaning
0	success	successful execution
-1	notpres	successful execution, no data present or no change made
-2	terminated	failure, no damage, caller can retry operation
-10	retryerr	failure, no damage, caller can retry operation
-13	keyerr	failure, no damage, call was in error
-15	argerr	failure, no damage, call was in error
-20	noroom	failure, no damage, out of room in file
-30	typerr	failure, file or object was not of correct type
-40	ioerr	i/o error, DB may be damaged
-45	strangerr	internal error, DB may be damaged
-90	unkerr	placeholder code
-100	maxerr	

The first class represent operations that completed without error. The second class represent operations that failed to complete, but are guaranteed to leave the DB in a correct state and are retry-able (or easily correctable). The third class represent operations that failed to complete, did not damage the database, but are not easily fixable or restartable. The last class represent error conditions in which the DB was corrupted, or during which DB corruption was detected. The predicate (ERR? code) returns #t if the return code is within the range NOTPRES-MAXERR; the predicate (REALERR? code) returns #t if CODE is an actual error, as opposed to a "not there" or "stop processing" message.

2.5 Longer Value Fields

The 256.B length limit for value strings was a barrier to many possible applications for WB. The db:get and db:put! procedures in wbscm.c work with value strings up to 64770.B in length (see Section 6.4 [SCM Record Operations], page 54).

For a value string of length L:

 $0.B \le L \le 255.B$

The value string is stored literally with given key.

 $256.B \le L \le 64770.B$

The first 255 bytes of the value string is stored literally with the given key. Successive 255 byte chunks of the value string are stored as L/255-1 sequential key-value pairs. The key for each chunk is the given key with the index byte 1 $\leq k \leq 254$ appended to it.

Note that use of bt:scan is complicated by long value strings.

Proposed Extensions

The rest are proposed extensions to unlimited value string length.

256. B
 <= L <= bsiz - 20

The b-tree value fields points to a type $datalong^1$ block, which contains the value.

The bsiz argument to make_seg() or block-size argument to make-seg is the size of all WB blocks (pages) in that segment.

- bsiz < L Value points to head of a chain or tree of datalong blocks. Retrieved value assembly limits practical size. Storage efficiency is good for L >> bsiz.
 An interesting variant is to have two trees, one for datalongs and the other for everything else. If the two trees are in separate segments (stored in separate files), then the datalong segment blocksize can be optimized without impacting
- bsiz < L Value designates external file containing data. storage efficiency is good for L >> bsiz. Retrieved value needs no assembly; use mmap().

2.6 Unlimited Length Keys and Values

speed for non-datalong operations.

by Jonathan Finger

Use 2 btrees which I will refer to as main and slave

notation D[123,4567] will translate into a string D 3 1 2 3 4 4 5 6 7 where 3 is ascii 3 etc (length of following string of digits) this will result in keys sorting in numerical order.

¹ This option requires a new type of WB block having the usual 20-byte header and a 2-byte length field. Each block would hold up to *bsiz*-20 bytes of data.

1. Data (the easy part)

The first byte of data is a flag. IF the data length < 255 THEN store in bytes 1 - (length_of_data + 1) ELSE In slave btree increment value in key D (D for data)

As an example assume the new value is 2357 and the data is 10,000 bytes

```
Store the data in
D[2357,0] = first 250 bytes
D[2357,1] = second 250 bytes
.
.
.
D[2357,249] = last 250 bytes
```

When a new value is set, if it is > 254 bytes in length then you reuse the D[2357] names adding or deleting as needed. Note that it is much more efficient to overwrite existing nodes since (most) of them will be the same size and add or delete at the end. If the new value < 255 bytes delete D[2357] and store the value in the master btree.

2. Keys (the hard part) IF key < 250 bytes

THEN

Store in master file as usual

ELSE (key ≥ 250 bytes)

break up the key into chunks C0 ... CN; the first 250 bytes long and the rest 220 bytes long.

Create the entries (assume current value of key V is 243)

```
Master btree

C0 = (flag)244

Slave btree

V[244,C1] = 245

V[245,C2] = 246

.

.

.

V[244 + N,CN] = data
```

A get now consistes of breaking up the key and following the chain. The put, delete, next, and prev code will get a bit messy since there will be multiple cases to consider.

I believe this scheme will give unlimited key and data length. Performance probably will not be great but may be acceptable. This should give good key compression.

2.7 To Be Done

I think most of the items in RJZ's list have been done.

RJZ Modified 4/8/1993

B-tree maintenacne

- Implement deferred INDEX updates (in progress).
- Fix bug in PREV re missing down-ptrs.
- Implement fix for insert-screw-case (flag in root or wherever it was).

I/O

- Implement and test random page replacement.
- Implement parent/PREV caching??

Concurrency

• Assure enough FLC blocks for freelist splits in concurrent situation. (there seems to be a class of problems about concurrent free-list operations: a flush can fail if it causes a split and all the free blocks have been used meanwhile; simultaneous flush and fills; and the like. One good idea: since its ok if parent-updates fail, we can reduce the number of blocks a split can REQUIRE to 1 leaf block, rather than log-N blocks).

Error handling

- Finish implementation of error-handling protocol??
- Error log?
- Recode error msg calls to take less (code) space?

Miscellany

- Count SCAN does not need to copy value strings (since block is copied).
- Jonathan wants the ability to create a seg that need not be kept valid on disk one that can just be rebuilt if the system crashes. This means (a) a WCB bit in HANDLEs to control "essential" updates and (b) an arg to OPEN-SEG specifying SAFETY (it isnt valid to specify this option on a per-handle basis).
- Jonathan wants to replace FLUSH-SOME-BUKS with a call that scans ENT-TAB for a flushable ENT and another call to (carefully) flush it.
- Reduce number of arguments to scan using packets?
- Create memory-resident segment?
- See if packets can be replaced with multiple values?

Design/Documentation

- Spell check!
- Document format of data file (blocks 0,1,2).
- Document type S blocks.
- Write up thoughts on error-info protocol.
- Write up WRITE order for blk splits.
- Read papers (SAGIV, WANG, TRANSACTION book) to see how THEY ahndle the difficult problems of delayed update and their ordering, and the 2-block mod problem for DELETE.

2.8 Miscellany

"Largest keys" (End-of-chain marker strings).

Because we've reserved keys with a first byte of FF for split keys, these keys are unavailable to the user.

NULL keys

WB supports use of the null string as a key (Sliced Bread treats the key specially).

Searching on minimum and maximum keys

Given that the null string may be used as a key, there needs to be a way to specify a "least" key such that NEXT(least) yields the first key, even if it is NULL. The special key strings with length s of -2 and -1 are provided to represent the minimum and maximum key values, respectively. These keys are only useful with NEXT, PREV, REM*, and SCAN; data cannot be associated with these keys.

Need to document TSCAN, TSTATS.

2.8.1 Bibliography

A comprehensive B-tree bibliography can be found at: http://www.informatik. uni-trier.de/~ley/db/access/btree.html

- [BM72] R. Bayer and E. McCreight. Organization and maintenance of large ordered indexes. Acta Informatica, 1:173-189, 1972.
- [SAGIV] Yehoshua Sagiv. Concurrent Operations on B*-trees with Overtaking. JCSS 33(2): 275-296 (1986)
- [WANG] W.E. Weihl and P. Wang. *Multi-version memory: Software cache management for concurrent B-trees.* In Proc. 2nd IEEE Symp. Parallel and Distributed Processing, 650-655, 1990.

3 C Interface

3.1 C Compile-Time Parameters

The C Preprocessor Constants in this section are defined in wbdefs.h which is derived from wbdefs.scm.

Each segment (file-based or not) maintains a *freelist* of disk blocks. In a newly created segment, nearly all of its block numbers are in the freelist.

flc_len [C Preprocessor Constant]
 flc_len must be larger than 2 times the maximum number of blocks which would
 ever be needed for a freelist split. The minimum for flc_len is 10.

3.2 C Status Codes

err_P (x) Return x if a valid error code $(-1 \dots MAXERR)$; else 0.	[C Preprocessor Macro]
success_P (x) Not err_P.	[C Preprocessor Macro]
success Successful execution (0).	[C Preprocessor Constant]
Negative integers are used for errors according to increasingly s	everity, as follows:
notpres Successful execution; no data present or no change made.	[C Preprocessor Constant]
terminated Failure; no damage; caller can retry operation.	[C Preprocessor Constant]
retryerr Failure; no damage; caller can retry operation.	[C Preprocessor Constant]
keyerr Failure, no damage, call was in error.	[C Preprocessor Constant]
argerr Failure, no damage, call was in error.	[C Preprocessor Constant]
noroom Failure, no damage, out of room in file.	[C Preprocessor Constant]
typerr Failure, file or object was not of correct type.	[C Preprocessor Constant]
ioerr I/O error, DB may be damaged.	[C Preprocessor Constant]

strangerr Internal error, DB may be damaged.	[C Preprocessor Constant]
unkerr Placeholder code.	[C Preprocessor Constant]
maxerr All error codes are between 0 and 'maxerr'.	[C Preprocessor Constant]

3.2.1 C Diagnostic Channel

The machine translated source utilizes dprintf as a platform-independent way to log diagnostic, warning, and error messages. tdprintf

dprintf ((diagout, const char *template, ...)) [C Preprocessor Macro]
The single argument to dprintf must be an argument list within parenthesis (eg.
double parentheses). The first argument inside this list should be literally diagout.
template is a printf style format string followed by the arguments for formatting, as
with printf.

3.3 C SEGs

Initializes the WB system. init_wb should be called before any other WB functions.

max_blk_size

The size of each disk cache buffer to be allocated from RAM. It should be an integer multiple of the file-system block size. The minimum is 1.5 kB.

max_num_ents_cnt

The number of (RAM) disk cache buffers to be allocated. This should be proportional to the size of the database working set. If too small, then the disk will be kept busy writing and reading pages which get flushed to read in other pages. The minimum is 12 times the number of threads.

The product of *max_num_ents_cnt* and *max_blk_size* should be less than the size of RAM on your computer.

max_num_buks

The number of hash buckets for the (RAM) disk cache. It should not be less than *max_num_ents_cnt*. The minimum is 2, maybe 3 (due to how get-free-ent works).

If not all *max_num_ents_cnt* can be allocated (by malloc) then WB can still run properly. The number of buffers actually allocated is returned if successful; a status code is returned otherwise.

If the *bsiz* argument to make-seg is larger than the *max_blk_size* which was passed to init_wb, then the call to make-seg will fail.

int final_wb ()

Frees all memory used by the WB system. All segments will be closed.

To preserve database consistency, it is important to call final_wb or close-seg before program termination if changes have been made to a segment.

The *bsiz* of a segment (given in call to make_seg) is a parameter crucial for performance; balancing CPU time traversing blocks with file-system latency. *bsiz* should be an integer multiple of the file-system block size.

In the 1990s our nominal bsiz was 2.kiB; now it should probably be 4.kiB, 8.kiB, or 16.kiB.

- SEGD * open_seg (unsigned char * filename, int mutable_P) [Function]
 Opens the database file filename and returns a seg, false otherwise. The database will
 be read-only if the mutable_P argument is false. It will be read-write if the mutable_P
 argument is true.

Opens the database file filename and returns a seg, false otherwise. The database will be read-only if the mutable_P argument is false. It will be read-write if the mutable_P argument is true. If the even_if_dirty_P argument is false, then open_segd will fail if the database file filename was not closed cleanly; otherwise it will open, clean or not.

- int close_seg (SEGD * seg, int hammer_P) [Function]
 Closes database segment seg and the file containing it. If hammer_P is NULL, then
 if there are any problems freeing buffers, then the close is aborted. A status code is
 returned.
- SEGD * make_seg (unsigned char * filename, int bsiz) [Function] The integer bsiz specifies the size of B-tree blocks. bsiz should be an integer multiple of the file-system block size. Nominal value is 4096.

make_seg returns an open new empty mutable database named backed by file *filename* if successful; otherwise false is returned.

The write-control-bits argument (wcb) to these functions controls the latency of updates to the file after various operations. These bits are defined as follows:

value	C-name	Meaning
1	wcb_sap	save block after PUTs
2	wcb_sar	save block after REMOVEs
4	wcb_sac	force block save after cached block changes
8	wcb_fac	flush buffer entirely after cached block changes (not
		currently implemented)

int bt_open (SEGD * seg, long blk_num, HAND * han, int wcb) [Function]
 Opens bt-handle han to seg number seg, block number blk_num, and returns the type
 of the block. If no such block exists or is not a root block, then a (negative) status
 code is returned.

[Function]

int bt_create (SEGD * seg, int typ, HAND * han, int wcb) [Function] Creates a new root block in seg seg of type typ, opens bt-handle han to it, and returns a status code. If seg has insufficient room to create a new tree, then the noroom status code is returned.

bt_create can be used to create temporary b-trees. Temporary trees will be be reclaimed by check program after system crashes. In order to make a tree persistent, add it to a directory (tree).

```
int bt_close (HAND * han)
```

Closes bt-handle han and returns SUCCESS.

Currently, bt_close has no effect other than to clear han.

3.4 C HANDs and Tree Operations

void close_bt (HAND * han)

Closes and frees han; the b-tree associated with han remains in the segment.

For create-db and open-db, the implicit WCB argument is the combination of 'WCB-SAP' and 'WCB-SAR'.

HAND * create_db (SEGD * seg, int typ, unsigned char * name_str) [Function] Returns a B-tree whose name has been entered in the root directory if successful; otherwise null.

typ should be either

- 'D' (directory) or
- 'T' (regular tree).

B-trees with typ #\D which are pointed to by special entries in the root block (1) protect all their special entries from garbage collection by the wbcheck program. 'T' is for regular (data) arrays.

- HAND * open_db (SEGD * seg, unsigned char * name_str) [Function]
 Returns the B-tree whose name has been entered in the root directory; or null if not
 found.
- int flush_ents (int attempts, int k) [Function]
 k is the number of dirty block buffers to write to disk; attempts is the number of times
 to try. Note that blocks in any segment may be written by flush-ents. flush-ents
 returns the number of blocks written.

Note: most of the data-manipulating commands here can return *notpres*, with the followng meanings:

bt-get	key was not found.
bt-next	no next key (eg, given key was last key).
bt-prev	no prev key (eg, given key was first key).
bt-rem	key was not found.
bt-put	unused (could be symmetric with write).
bt-write	key was found, so no write was done.

[Function]

[Function]

key_str is a string of length k_len. bt_get stores into the string ans_str the value associated with key_str in tree han. bt_get returns the length of the string stored into ans_str or an error code.

 key_str is a string of length k_len . bt_next stores into the string ans_str the next key after key_str in tree han. bt_next returns the length of the string stored into ans_str or an error code.

key_str is a string of length k_len. bt_prev stores into the string ans_str the last key before key_str in tree han. bt_prev returns the length of the string stored into ans_str or an error code.

 key_str is a string of length k_len . bt_rem stores into the string ans_str the value associated with key_str in tree han; then removes that association from tree han. bt_rem returns the length of the string stored into ans_str or an error code.

If ans_str is 0, bt_rem removes the key_str association from tree han and returns SUCCESS if successful; an error code if not.

 key_str must be a maximum-length (256 byte) string containing a key k_len bytes long. $key2_str$ is a string of length $k2_len$.

bt_rem_range removes [key_str ... key2_str) and their values. If key2_str <= key_str no deletion will occur (even if key_str is found). bt_rem_range returns SUCCESS if the operation is complete, an error status code if not.

key_str is a string of length k_len. val_str is a string of length v_len. bt_put makes the value associated with key_str be val_str in tree han. bt_put returns a status code for the operation.

 key_str is a string of length k_len . val_str is a string of length v_len . If han currently contains an association for key_str , then bt_write does not modify the tree and returns the notpres status code.

Otherwise, bt_write makes the value associated with key_str be val_str in tree han. bt_write returns a status code for the operation.

3.5 C Scan

bt_scan scans all keys in the range [kstr1..kstr2), performing one of several functions:

operation	func	RESULT
COUNT-SCAN	NIL	counts all keys in range
COUNT-SCAN	given	counts all keys in range satisfying func
REM-SCAN	NIL	deletes all keys in range
REM-SCAN	given	deletes all keys in range satisfying func
MODIFY-SCAN	NIL	ARGERR
MODIFY-SCAN	given	updates values for keys in range satisfying func

MODIFY-SCAN given updates values for keys in range satisfying func

bt_scan returns SUCCESS if scan completed; under any other result code the scan is resumable. The possible results are:

NOTPRES

meaning the *blk_limit* was exceeded;

RETRYERR

meaning func or delete got a RETRYERRR;

TERMINATED

meaning *func* asked to terminate the scan;

<other error>

means func or DELETE encountered this error.

Each block of data is scanned/deleted/modified in a single operation that is, the block is found and locked only once, and only written after all modifications are made. Tho only exception is that MODIFY-SCANs that increase the size of values can cause block splits. Such cases are detected and converted to a PUT plus a NEXT. This has two consequences: data is written out each time a PUT occurs, and it is conceivable that *func* may be called more than once on the key value that caused the split if a RETRYERR occurs in the PUT. However, SCAN guarantees that only one modification will actually be made in this case (so that one can write INCREMENT-RANGE, for example).

func is passed pointers to (copies of) the key and value, plus one user argument:

func (keystr klen vstr vlen extra_arg);

func is expected to return either: SUCCESS for DELETE/COUNT, NOT-PRES/NOTDONE for SKIP (ie, DONT DELETE/COUNT), or any other code to terminate the scan resumably at the current point. For MODIFY-SCAN, if changing the value, the new value length is returned. Except for the case mentioned above, the caller can depend on *func* being called exactly once for each key value in the specified range, and only on those values.

If $kstr2 \leq kstr1$, then no scan will occur (even if kstr1 is found). To make possible bounded-time operation **bt_scan** will access at most blk_limit blocks at a time; if you dont care, give it -1 for blk_limit .

The number of keys deleted/counted/modified is returned in the skey-count field of respkt; the key to resume at is returned in kstr1 (which therefore needs to be 256 bytes long); and the new key length is returned in the skey-len field of respkt. If returns SUCCESS, skey-len is zero. NOTE that skey-count is cumulative, so the caller needs to initialize it to 0 when starting a new bt_scan.

WARNING: when bt_scan returns other than SUCCESS, it modifies the kstr1 string so that the string args are correctly set up for the next call (the returned value is the new length for kstr1). Therefore, kstr1 must be a maximum-length string!

4 Java Interface

4.1 Java Status Codes

boo	lean err_P ($int x$) Return x if a valid error code (-1 $MAXERR$); else 0.	[Function]
bool	lean success_P (<i>int x</i>) Not err_P.	[Function]
int	success Successful execution (0).	[Variable]
Nega	ative integers are used for errors according to increasingly severity, as follow	rs:
int	notpres Successful execution; no data present or no change made.	[Variable]
int	terminated Failure; no damage; caller can retry operation.	[Variable]
int	retryerr Failure; no damage; caller can retry operation.	[Variable]
int	keyerr Failure, no damage, call was in error.	[Variable]
int	argerr Failure, no damage, call was in error.	[Variable]
int	noroom Failure, no damage, out of room in file.	[Variable]
int	typerr Failure, file or object was not of correct type.	[Variable]
int	ioerr I/O error, DB may be damaged.	[Variable]
int	strangerr Internal error, DB may be damaged.	[Variable]
int	unkerr Placeholder code.	[Variable]
int	maxerr All error codes are between 0 and 'maxerr'.	[Variable]

4.2 Java SEGs

int initWb (int maxNumEntsCnt, int maxNumBuks, int maxBlkSize) [Function] Initializes the WB system. initWb should be called before any other WB functions.

maxBlkSize

The size of each disk cache buffer to be allocated from RAM. It should be an integer multiple of the file-system block size. The minimum is 1.5 kB.

maxNumEntsCnt

The number of (RAM) disk cache buffers to be allocated. This should be proportional to the size of the database working set. If too small, then the disk will be kept busy writing and reading pages which get flushed to read in other pages. The minimum is 12 times the number of threads. The product of maxNumEntsCnt and maxBlkSize should be less than

maxNumBuks

The number of hash buckets for the (RAM) disk cache. It should not be less than *maxNumEntsCnt*. The minimum is 2, maybe 3 (due to how get-free-ent works).

If not all *maxNumEntsCnt* can be allocated (by malloc) then WB can still run properly. The number of buffers actually allocated is returned if successful; a status code is returned otherwise.

If the *bsiz* argument to make-seg is larger than the *maxBlkSize* which was passed to initWb, then the call to make-seg will fail.

int finalWb ()

Frees all memory used by the WB system. All segments will be closed.

the size of RAM on your computer.

To preserve database consistency, it is important to call finalWb or close-seg before program termination if changes have been made to a segment.

The *bsiz* of a segment (given in call to make_seg) is a parameter crucial for performance; balancing CPU time traversing blocks with file-system latency. *bsiz* should be an integer multiple of the file-system block size.

In the 1990s our nominal bsiz was 2.kiB; now it should probably be 4.kiB, 8.kiB, or 16.kiB.

Seg openSeg (String filename, boolean mutable_P) [Function] Opens the database file filename and returns a seg, false otherwise. The database will be read-only if the mutable_P argument is false. It will be read-write if the mutable_P argument is true.

Seg openSegd (String filename, boolean mutable_P, boolean [Function] evenIfDirty_P)

Opens the database file filename and returns a seg, false otherwise. The database will be read-only if the mutable_P argument is false. It will be read-write if the mutable_P argument is true. If the evenIfDirty_P argument is false, then openSegd will fail if the database file filename was not closed cleanly; otherwise it will open, clean or not.

[Function]

int closeSeg (Seg seg, boolean hammer_P) [Function]
Closes database segment seg and the file containing it. If hammer_P is NULL, then
if there are any problems freeing buffers, then the close is aborted. A status code is
returned.

Seg makeSeg (String filename, int bsiz) [Function] The integer bsiz specifies the size of B-tree blocks. bsiz should be an integer multiple of the file-system block size. Nominal value is 4096.

makeSeg returns an open new empty mutable database named backed by file *filename* if successful; otherwise false is returned.

The write-control-bits argument (wcb) to these functions controls the latency of updates to the file after various operations. These bits are defined as follows:

value	C-name	Meaning
1	wcb_sap	save block after PUTs
2	wcb_sar	save block after REMOVEs
4	wcb_sac	force block save after cached block changes
8	wcb_fac	flush buffer entirely after cached block changes (not
		currently implemented)

int btOpen (Seg seg, int blkNum, Han han, int wcb) [Function] Opens bt-handle han to seg number seg, block number blkNum, and returns the type of the block. If no such block exists or is not a root block, then a (negative) status code is returned.

int btCreate (Seg seg, int typ, Han han, int wcb) [Function] Creates a new root block in seg seg of type typ, opens bt-handle han to it, and returns a status code. If seg has insufficient room to create a new tree, then the noroom status code is returned.

btCreate can be used to create temporary b-trees. Temporary trees will be be reclaimed by check program after system crashes. In order to make a tree persistent, add it to a directory (tree).

```
int btClose (Han han)
```

Closes bt-handle han and returns SUCCESS.

Currently, **btClose** has no effect other than to clear han.

4.3 Java HANDs and Tree Operations

All of the methods listed here which take byte-array arguments can also take string arguments, which get converted to UTF-8 byte-arrays.

void closeBt (Han han) Closes han

For create-db and open-db, the implicit WCB argument is the combination of 'WCB-SAP' and 'WCB-SAR'.

[Function]

[Function]

Han createDb (Seg seg, int typ, byte []nameStr) [Function] Returns a B-tree whose name has been entered in the root directory if successful; otherwise null.

typ should be either

- 'D' (directory) or
- 'T' (regular tree).

B-trees with $typ \#\D$ which are pointed to by special entries in the root block (1) protect all their special entries from garbage collection by the wbcheck program. 'T' is for regular (data) arrays.

- Han openDb (Seg seg, byte []nameStr) [Function] Returns the B-tree whose name has been entered in the root directory; or null if not found.
- int flushEnts (int attempts, int k) [Function]
 k is the number of dirty block buffers to write to disk; attempts is the number of times
 to try. Note that blocks in any segment may be written by flush-ents. flush-ents
 returns the number of blocks written.

4.4 Record Operations

- byte []bt_Get (Han han, byte []key) [Function]
 han is a handle to an open bt. key is a string less than 255.B in length.
 bt:get returns a string of the value associated with key in the bt which han is open
 to. bt:get returns null if key is not associated in the bt.
- byte []bt_Next (Han han, byte []key) [Function]
 han is a handle to an open bt. key is a string less than 255.B in length.
 bt:next returns the next key in bt han or null if none.
- byte []bt_Prev (Han han, byte []key) [Function]
 han is a handle to an open bt. key is a string less than 255.B in length.
 bt:prev returns the previous key in bt han or null if none.
- void bt_Put (Han han, byte []key, byte []valStr) [Function]
 han is a handle to an open, mutable bt. key and val are strings less than 255.B in
 length.

bt:put! associates key with val in the bt han. A status code is returned.

boolean bt_Del (Han han, byte []key) [Function]
han is a handle to an open, mutable bt. key is a string less than 255.B in length.
bt:rem! removes key and it's associated value from bt han.

4.5 Mutual Exclusion

These 2 calls can be used for locking and synchronizing processes.

- boolean bt_Insert (Han han, byte []key, byte []valStr) [Function] Associates key with val in the bt han only if key was previously empty. Returns true for success, false for failure.
- byte []bt_Rem (Han han, byte []key) [Function] Removes key and it's associated value from bt han only if key is present. Returns key's value for success, null for failure (not present).

4.6 Multiple Operations

- int bt_Delete (Han han, byte []key, byte []key2) [Function] Removes keys (and their associated values) between (including) key1 and (not including) key2 from bt han. A status code is returned.
- byte []bt_Scan (Han bthan, int op, byte []kstr1, byte []kstr2, [Function] java.lang.reflect.Method func, int blklimit)

btScan scans all keys in the range [kstr1..kstr2), performing one of several functions:

operation	func	RESULT
COUNT-SCAN	NIL	counts all keys in range
COUNT-SCAN	given	counts all keys in range satisfying func
REM-SCAN	NIL	deletes all keys in range
REM-SCAN	given	deletes all keys in range satisfying func
MODIFY-SCAN	NIL	ARGERR
MODIFY-SCAN	given	updates values for keys in range satisfying func

btScan returns null if there was an error; an empty byte-vector if scan completed; or the next key to be scanned if *blklimit* was not '-1'.

Each block of data is scanned/deleted/modified in a single operation that is, the block is found and locked only once, and only written after all modifications are made. The only exception is that MODIFY-SCANs that increase the size of values can cause block splits. Such cases are detected and converted to a PUT plus a NEXT. This has two consequences: data is written out each time a PUT occurs, and it is conceivable that *func* may be called more than once on the key value that caused the split if a RETRYERR occurs in the PUT. However, SCAN guarantees that only one modification will actually be made in this case (so that one can write INCREMENT-RANGE, for example).

func is passed pointers to (copies of) the key and value, plus one user argument:

func (keystr, klen, vstr, vlen, extra_arg);

func is expected to return either: SUCCESS for DELETE/COUNT, NOT-PRES/NOTDONE for SKIP (ie, DONT DELETE/COUNT), or any other code to terminate the scan resumably at the current point. For MODIFY-SCAN, if changing the value, the new value length is returned. Except for the case mentioned above, the caller can depend on *func* being called exactly once for each key value in the specified range, and only on those values. If $kstr2 \le kstr1$, then no scan will occur (even if kstr1 is found). To make possible bounded-time operation **btScan** will access at most *blkLimit* blocks at a time; if you dont care, give it -1 for *blkLimit*.

4.7 Java Legacy API

This API has identical argument configurations as the C code, including length arguments to complement each byte-vector argument.

Note: most of the data-manipulating commands here can return *notpres*, with the followng meanings:

bt-get	key was not found.
bt-next	no next key (eg, given key was last key).
bt-prev	no prev key (eg, given key was first key).
bt-rem	key was not found.
bt-put	unused (could be symmetric with write).
bt-write	key was found, so no write was done.

- int btGet (Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btGet stores into the string ansStr the value associated with keyStr in tree han. btGet returns the length of the string stored into ansStr or an error code.
- int btNext (Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btNext stores into the string ansStr the next key after keyStr in tree han. btNext returns the length of the string stored into ansStr or an error code.
- int btPrev (Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btPrev stores into the string ansStr the last key before keyStr in tree han. btPrev returns the length of the string stored into ansStr or an error code.
- int btRem (Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btRem stores into the string ansStr the value associated with keyStr in tree han; then removes that association from tree han. btRem returns the length of the string stored into ansStr or an error code.

If ansStr is 0, btRem removes the keyStr association from tree han and returns SUC-CESS if successful; an error code if not.

keyStr must be a maximum-length (256 byte) string containing a key kLen bytes long. key2Str is a string of length k2Len.

btRemRange removes [keyStr ... key2Str) and their values. If key2Str \leq keyStr no deletion will occur (even if keyStr is found). btRemRange returns SUCCESS if the operation is complete, an error status code if not.

int btPut (Han han, byte []keyStr, int kLen, byte []valStr, int [Function]
vLen)

keyStr is a string of length kLen. valStr is a string of length vLen. btPut makes the value associated with keyStr be valStr in tree han. btPut returns a status code for the operation.

int btWrite (Han han, byte []keyStr, int kLen, byte []valStr, int [Function]
vLen)

keyStr is a string of length kLen. valStr is a string of length vLen. If han currently contains an association for keyStr, then btWrite does not modify the tree and returns the *notpres* status code.

Otherwise, btWrite makes the value associated with keyStr be valStr in tree han. btWrite returns a status code for the operation.

int btScan (Han han, int operation, byte []kstr1, int len1, byte [Function]
 []kstr2, int len2, java.lang.reflect.Method func, int []longTab, int
 []respkt, int blkLimit)

btScan scans all keys in the range [kstr1..kstr2), performing one of several functions:

operation	func	RESULT
COUNT-SCAN	NIL	counts all keys in range
COUNT-SCAN	given	counts all keys in range satisfying func
REM-SCAN	NIL	deletes all keys in range
REM-SCAN	given	deletes all keys in range satisfying func
MODIFY-SCAN	NIL	ARGERR
MODIFY-SCAN	given	updates values for keys in range satisfying func

btScan returns SUCCESS if scan completed; under any other result code the scan is resumable. The possible results are:

NOTPRES

meaning the *blkLimit* was exceeded;

RETRYERR

meaning func or delete got a RETRYERRR;

TERMINATED

meaning *func* asked to terminate the scan;

< other error >

means func or DELETE encountered this error.

Each block of data is scanned/deleted/modified in a single operation that is, the block is found and locked only once, and only written after all modifications are made. The only exception is that MODIFY-SCANs that increase the size of values can cause block splits. Such cases are detected and converted to a PUT plus a NEXT. This has two consequences: data is written out each time a PUT occurs, and it is conceivable that *func* may be called more than once on the key value that caused the split if a RETRYERR occurs in the PUT. However, SCAN guarantees that only one modification will actually be made in this case (so that one can write INCREMENT-RANGE, for example).

func is passed pointers to (copies of) the key and value, plus one user argument:

func (keystr klen vstr vlen extra_arg);

func is expected to return either: SUCCESS for DELETE/COUNT, NOT-PRES/NOTDONE for SKIP (ie, DONT DELETE/COUNT), or any other code to terminate the scan resumably at the current point. For MODIFY-SCAN, if changing the value, the new value length is returned. Except for the case mentioned above, the caller can depend on *func* being called exactly once for each key value in the specified range, and only on those values.

If $kstr2 \leq kstr1$, then no scan will occur (even if kstr1 is found). To make possible bounded-time operation **btScan** will access at most *blkLimit* blocks at a time; if you dont care, give it -1 for *blkLimit*.

The number of keys deleted/counted/modified is returned in the skey-count field of respkt; the key to resume at is returned in kstr1 (which therefore needs to be 256 bytes long); and the new key length is returned in the skey-len field of respkt. If returns SUCCESS, skey-len is zero. NOTE that skey-count is cumulative, so the caller needs to initialize it to 0 when starting a new btScan.

WARNING: when btScan returns other than SUCCESS, it modifies the kstr1 string so that the string args are correctly set up for the next call (the returned value is the new length for kstr1). Therefore, kstr1 must be a maximum-length string!

5 C# Interface

5.1 C# Status Codes

bool	err_P (<i>int</i> x) Return x if a valid error code (-1 <i>MAXERR</i>); else 0.	[Function]
bool	Not err_P.	[Function]
int	success Successful execution (0).	[Variable]
Nega	tive integers are used for errors according to increasingly severity, as follow	s:
int	notpres Successful execution; no data present or no change made.	[Variable]
int	terminated Failure; no damage; caller can retry operation.	[Variable]
int	retryerr Failure; no damage; caller can retry operation.	[Variable]
int	keyerr Failure, no damage, call was in error.	[Variable]
int	argerr Failure, no damage, call was in error.	[Variable]
int	noroom Failure, no damage, out of room in file.	[Variable]
int	typerr Failure, file or object was not of correct type.	[Variable]
int	ioerr I/O error, DB may be damaged.	[Variable]
int	strangerr Internal error, DB may be damaged.	[Variable]
int	unkerr Placeholder code.	[Variable]
int	maxerr All error codes are between 0 and 'maxerr'.	[Variable]

5.2 C# SEGs

int initWb (*int maxNumEntsCnt*, *int maxNumBuks*, *int maxBlkSize*) [Function] Initializes the WB system. initWb should be called before any other WB functions.

maxBlkSize

The size of each disk cache buffer to be allocated from RAM. It should be an integer multiple of the file-system block size. The minimum is 1.5 kB.

maxNumEntsCnt

The number of (RAM) disk cache buffers to be allocated. This should be proportional to the size of the database working set. If too small, then the disk will be kept busy writing and reading pages which get flushed to read in other pages. The minimum is 12 times the number of threads. The product of maxNumEntsCnt and maxBlkSize should be less than

maxNumBuks

The number of hash buckets for the (RAM) disk cache. It should not be less than *maxNumEntsCnt*. The minimum is 2, maybe 3 (due to how get-free-ent works).

If not all *maxNumEntsCnt* can be allocated (by malloc) then WB can still run properly. The number of buffers actually allocated is returned if successful; a status code is returned otherwise.

If the *bsiz* argument to make-seg is larger than the *maxBlkSize* which was passed to initWb, then the call to make-seg will fail.

int finalWb ()

Frees all memory used by the WB system. All segments will be closed.

the size of RAM on your computer.

To preserve database consistency, it is important to call finalWb or close-seg before program termination if changes have been made to a segment.

The *bsiz* of a segment (given in call to make_seg) is a parameter crucial for performance; balancing CPU time traversing blocks with file-system latency. *bsiz* should be an integer multiple of the file-system block size.

In the 1990s our nominal bsiz was 2.kiB; now it should probably be 4.kiB, 8.kiB, or 16.kiB.

wb.Seg openSeg (String filename, bool mutable_P) [Function]
Opens the database file filename and returns a seg, false otherwise. The database will
be read-only if the mutable_P argument is false. It will be read-write if the mutable_P
argument is true.

Opens the database file filename and returns a seg, false otherwise. The database will be read-only if the mutable_P argument is false. It will be read-write if the mutable_P argument is true. If the evenIfDirty_P argument is false, then openSegd will fail if the database file filename was not closed cleanly; otherwise it will open, clean or not.

[Function]

int closeSeg (wb.Seg seg, bool hammer_P) [Function]
Closes database segment seg and the file containing it. If hammer_P is NULL, then
if there are any problems freeing buffers, then the close is aborted. A status code is
returned.

wb.Seg makeSeg (String filename, int bsiz) [Function]
The integer bsiz specifies the size of B-tree blocks. bsiz should be an integer multiple
of the file-system block size. Nominal value is 4096.

makeSeg returns an open new empty mutable database named backed by file *filename* if successful; otherwise false is returned.

The write-control-bits argument (wcb) to these functions controls the latency of updates to the file after various operations. These bits are defined as follows:

value	C-name	Meaning
1	wcb_sap	save block after PUTs
2	wcb_sar	save block after REMOVEs
4	wcb_sac	force block save after cached block changes
8	wcb_fac	flush buffer entirely after cached block changes (not
		currently implemented)

- int btOpen (wb.Seg seg, int blkNum, wb.Han han, int wcb) [Function] Opens bt-handle han to seg number seg, block number blkNum, and returns the type of the block. If no such block exists or is not a root block, then a (negative) status code is returned.
- int btCreate (wb.Seg seg, int typ, wb.Han han, int wcb) [Function] Creates a new root block in seg seg of type typ, opens bt-handle han to it, and returns a status code. If seg has insufficient room to create a new tree, then the noroom status code is returned.

btCreate can be used to create temporary b-trees. Temporary trees will be be reclaimed by check program after system crashes. In order to make a tree persistent, add it to a directory (tree).

int btClose (wb.Han han)

Closes bt-handle han and returns SUCCESS.

Currently, btClose has no effect other than to clear han.

5.3 C# HANDs and Tree Operations

All of the methods listed here which take byte-array arguments can also take string arguments, which get converted to UTF-8 byte-arrays.

void closeBt (wb.Han han)

Closes han

For create-db and open-db, the implicit WCB argument is the combination of 'WCB-SAP' and 'WCB-SAR'.

[Function]

[Function]

Han createDb (wb.Seg seg, int typ, byte []nameStr) [Function] Returns a B-tree whose name has been entered in the root directory if successful; otherwise null.

typ should be either

- 'D' (directory) or
- 'T' (regular tree).

B-trees with $typ \#\D$ which are pointed to by special entries in the root block (1) protect all their special entries from garbage collection by the wbcheck program. 'T' is for regular (data) arrays.

- Han openDb (wb.Seg seg, byte []nameStr) [Function] Returns the B-tree whose name has been entered in the root directory; or null if not found.
- int flushEnts (int attempts, int k) [Function]
 k is the number of dirty block buffers to write to disk; attempts is the number of times
 to try. Note that blocks in any segment may be written by flush-ents. flush-ents
 returns the number of blocks written.

5.4 Record Operations

byte []bt_Get (wb.Han han, byte []key) [Function] han is a handle to an open bt. key is a string less than 255.B in length. bt:get returns a string of the value associated with key in the bt which han is open to. bt:get returns null if key is not associated in the bt. byte []bt_Next (wb.Han han, byte []key) [Function] han is a handle to an open bt. key is a string less than 255.B in length. bt:next returns the next key in bt han or null if none. byte []bt_Prev (wb.Han han, byte []key) [Function] han is a handle to an open bt. key is a string less than 255.B in length. bt:prev returns the previous key in bt han or null if none. void bt_Put (wb.Han han, byte []key, byte []valStr) [Function] han is a handle to an open, mutable bt. key and val are strings less than 255.B in length.

bt:put! associates key with val in the bt han. A status code is returned.

bool bt_Del (wb.Han han, byte []key) [Function]
han is a handle to an open, mutable bt. key is a string less than 255.B in length.
bt:rem! removes key and it's associated value from bt han.

5.5 Mutual Exclusion

These 2 calls can be used for locking and synchronizing processes.

- bool bt_Insert (wb.Han han, byte []key, byte []valStr) [Function]
 Associates key with val in the bt han only if key was previously empty. Returns true
 for success, false for failure.
- byte []bt_Rem (wb.Han han, byte []key) [Function] Removes key and it's associated value from bt han only if key is present. Returns key's value for success, null for failure (not present).

5.6 Multiple Operations

- int bt_Delete (wb.Han han, byte []key, byte []key2) [Function] Removes keys (and their associated values) between (including) key1 and (not including) key2 from bt han. A status code is returned.
- byte []bt_Scan (wb.Han bthan, int op, byte []kstr1, byte []kstr2, [Function] string func, int blklimit)

btScan scans all keys in the range [kstr1..kstr2), performing one of several functions:

operation	func	RESULT
COUNT-SCAN	NIL	counts all keys in range
COUNT-SCAN	given	counts all keys in range satisfying func
REM-SCAN	NIL	deletes all keys in range
REM-SCAN	given	deletes all keys in range satisfying func
MODIFY-SCAN	NIL	ARGERR
MODIFY-SCAN	given	updates values for keys in range satisfying func

btScan returns null if there was an error; an empty byte-vector if scan completed; or the next key to be scanned if *blklimit* was not '-1'.

Each block of data is scanned/deleted/modified in a single operation that is, the block is found and locked only once, and only written after all modifications are made. The only exception is that MODIFY-SCANs that increase the size of values can cause block splits. Such cases are detected and converted to a PUT plus a NEXT. This has two consequences: data is written out each time a PUT occurs, and it is conceivable that *func* may be called more than once on the key value that caused the split if a RETRYERR occurs in the PUT. However, SCAN guarantees that only one modification will actually be made in this case (so that one can write INCREMENT-RANGE, for example).

func is passed pointers to (copies of) the key and value, plus one user argument:

func (keystr, klen, vstr, vlen, extra_arg);

func is expected to return either: SUCCESS for DELETE/COUNT, NOT-PRES/NOTDONE for SKIP (ie, DONT DELETE/COUNT), or any other code to terminate the scan resumably at the current point. For MODIFY-SCAN, if changing the value, the new value length is returned. Except for the case mentioned above, the caller can depend on *func* being called exactly once for each key value in the specified range, and only on those values. If $kstr2 \leq kstr1$, then no scan will occur (even if kstr1 is found). To make possible bounded-time operation **btScan** will access at most *blkLimit* blocks at a time; if you dont care, give it -1 for *blkLimit*.

5.7 C# Legacy API

This API has identical argument configurations as the C code, including length arguments to complement each byte-vector argument.

Note: most of the data-manipulating commands here can return *notpres*, with the followng meanings:

bt-get	key was not found.
bt-next	no next key (eg, given key was last key).
bt-prev	no prev key (eg, given key was first key).
bt-rem	key was not found.
bt-put	unused (could be symmetric with write).
bt-write	key was found, so no write was done.

- int btGet (wb.Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btGet stores into the string ansStr the value associated with keyStr in tree han. btGet returns the length of the string stored into ansStr or an error code.
- int btNext (wb.Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btNext stores into the string ansStr the next key after keyStr in tree han. btNext returns the length of the string stored into ansStr or an error code.
- int btPrev (wb.Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btPrev stores into the string ansStr the last key before keyStr in tree han. btPrev returns the length of the string stored into ansStr or an error code.
- int btRem (wb.Han han, byte []keyStr, int kLen, byte []ansStr) [Function] keyStr is a string of length kLen. btRem stores into the string ansStr the value associated with keyStr in tree han; then removes that association from tree han. btRem returns the length of the string stored into ansStr or an error code.

If ansStr is 0, btRem removes the keyStr association from tree han and returns SUC-CESS if successful; an error code if not.

int btRemRange (wb.Han han, byte []keyStr, int kLen, byte [Function] []key2Str, int k2Len)

keyStr must be a maximum-length (256 byte) string containing a key kLen bytes long. key2Str is a string of length k2Len.

btRemRange removes [keyStr ... key2Str) and their values. If key2Str \leq keyStr no deletion will occur (even if keyStr is found). btRemRange returns SUCCESS if the operation is complete, an error status code if not.

keyStr is a string of length kLen. valStr is a string of length vLen. btPut makes the value associated with keyStr be valStr in tree han. btPut returns a status code for the operation.

keyStr is a string of length kLen. valStr is a string of length vLen. If han currently contains an association for keyStr, then btWrite does not modify the tree and returns the *notpres* status code.

Otherwise, btWrite makes the value associated with keyStr be valStr in tree han. btWrite returns a status code for the operation.

int btScan (wb.Han han, int operation, byte []kstr1, int len1, [Function] byte []kstr2, int len2, string func, int []longTab, int []respkt, int blkLimit)

btScan scans all keys in the range [kstr1..kstr2), performing one of several functions:

operation	func	RESULT
COUNT-SCAN	NIL	counts all keys in range
COUNT-SCAN	given	counts all keys in range satisfying func
REM-SCAN	NIL	deletes all keys in range
REM-SCAN	given	deletes all keys in range satisfying func
MODIFY-SCAN	NIL	ARGERR
MODIFY-SCAN	given	updates values for keys in range satisfying func

btScan returns SUCCESS if scan completed; under any other result code the scan is resumable. The possible results are:

NOTPRES

meaning the *blkLimit* was exceeded;

RETRYERR

meaning func or delete got a RETRYERRR;

TERMINATED

meaning *func* asked to terminate the scan;

$< \! \rm other \ error \! >$

means func or DELETE encountered this error.

Each block of data is scanned/deleted/modified in a single operation that is, the block is found and locked only once, and only written after all modifications are made. The only exception is that MODIFY-SCANs that increase the size of values can cause block splits. Such cases are detected and converted to a PUT plus a NEXT. This has two consequences: data is written out each time a PUT occurs, and it is conceivable that *func* may be called more than once on the key value that caused the split if a RETRYERR occurs in the PUT. However, SCAN guarantees that only one modification will actually be made in this case (so that one can write INCREMENT-RANGE, for example).

func is passed pointers to (copies of) the key and value, plus one user argument:

func (keystr klen vstr vlen extra_arg);

func is expected to return either: SUCCESS for DELETE/COUNT, NOT-PRES/NOTDONE for SKIP (ie, DONT DELETE/COUNT), or any other code to terminate the scan resumably at the current point. For MODIFY-SCAN, if changing the value, the new value length is returned. Except for the case mentioned above, the caller can depend on *func* being called exactly once for each key value in the specified range, and only on those values.

If $kstr2 \leq kstr1$, then no scan will occur (even if kstr1 is found). To make possible bounded-time operation **btScan** will access at most *blkLimit* blocks at a time; if you dont care, give it -1 for *blkLimit*.

The number of keys deleted/counted/modified is returned in the skey-count field of respkt; the key to resume at is returned in kstr1 (which therefore needs to be 256 bytes long); and the new key length is returned in the skey-len field of respkt. If returns SUCCESS, skey-len is zero. NOTE that skey-count is cumulative, so the caller needs to initialize it to 0 when starting a new btScan.

WARNING: when btScan returns other than SUCCESS, it modifies the kstr1 string so that the string args are correctly set up for the next call (the returned value is the new length for kstr1). Therefore, kstr1 must be a maximum-length string!

6 SCM Interface

DBSCM is a disk based, sorted associative array package (WB) integrated into the Scheme implementation SCM. These associative arrays consist of keys which are strings of length less than 256 bytes and values which are strings of length less than 64770.B. Associative arrays can be used to form a MUMPS style database which can be used to implement standard record structures without auxiliary files (see example in example.scm).

The WB implementation (compiled) adds about 66 kilobytes to the size of SCM.

6.1 SCM Status Codes

err? x Return x if a valid error code (-1 $MAXERR$); else #f.	[Function]
success? x Not err?.	[Function]
success Successful execution (0).	[constant]
Negative integers are used for errors according to increasingly severity, as follow	vs:
notpres Successful execution, no data present or no change made	[constant]
terminated Failure, no damage, caller can retry operation	[constant]
retryerr Failure, no damage, caller can retry operation	[constant]
keyerr Failure, no damage, call was in error	[constant]
argerr Failure, no damage, call was in error	[constant]
noroom Failure, no damage, out of room in file.	[constant]
typerr Failure, file or object was not of correct type.	[constant]
ioerr I/O error, DB may be damaged.	[constant]
strangerr Internal error, DB may be damaged.	[constant]

unkerr

Placeholder code.

maxerr

All error codes are between 0 and maxerr.

6.2 SCM Segments

The block-size of a segment (given in call to make-seg) is a parameter crucial for performance; balancing CPU time traversing blocks with file-system latency. *block-size* should be an integer multiple of the file-system block size.

In the 1990s our nominal block-size was 2.kiB; now it should probably be 4.kiB, 8.kiB, or 16.kiB.

init-wb max-num-ents max-num-buks max-blk-size [Scheme Procedure] Initializes the WB system. Max-blk-size determines the size of the disk cache buffers. max-num-ents is the number of disk cache buffers to be allocated. (* max-numents Max-blk-size) should be less than the size of RAM on your computer. If not all max-num-ents cannot be allocated (by malloc) then WB can still run properly. max-num-buks is the number of hash buckets for the disk cache. It should be of approximately the same size as or larger than max-num-ents. The number of buffers actually allocated is returned if successful; a status code is returned otherwise.

If init-wb is called with the same arguments after it has already been called, NOTPRES (-1) is returned.

final-wb

Frees all memory used by the WB system. All segments will be closed.

open-seg filename mutable? [Scheme Procedure] Opens the database file *filename* and returns a segment if successful, and false otherwise. The database will be read-only if the mutable? argument is false. It will be read-write if the *mutable*? argument is true.

close-seg seg hammer

[Scheme Procedure] Closes database segment seg and the file containing it. If hammer is #f then if there are any problems freeing buffers then the close is aborted. A status code is returned.

```
make-seg filename block-size
```

[Scheme Procedure] The integer block-size specifies the size of B-tree blocks. block-size should be an integer multiple of the file-system block size. Nominal value is 4096.

make-seg creates a new open empty mutable database seg of name filename. If successful, seg is returned; otherwise a status code is returned.

6.3 SCM B-Trees

The write-control-bits argument (WCB) to these functions controls the latency of updates to the file after various operations. These bits are defined as follows:

[constant]

[constant]

[Scheme Procedure]

value	Name	Meaning
1	WCB-SAP	save block after PUTs
2	WCB-SAR	save block after REMOVEs
4	WCB-SAC	force block save after cached block changes (not cur-
		rently implemented)
8	WCB-FAC	flush buffer entirely after cached block changes (not
		currently implemented)

create-bt seg typ wcb

[Scheme Procedure]

Creates a new root block in seg seg of type typ and returns a bt-handle open to it if successful; otherwise #f. This would typically be used to create a temporary b-tree which should be reclaimed by check if system crashes.

open-bt seg blknum wcb

[Scheme Procedure] Returns a bt-handle open to seg number seg, block number blknum if successful; otherwise #f. If no such block exists or is not a root block, #f is returned.

For create-db and open-db, the implicit WCB argument is the combination of 'WCB-SAP' and 'WCB-SAR'.

create-db seg typ name

[Scheme Procedure]

Returns a B-tree whose name has been entered in the root directory if successful; otherwise #f.

typ should be either

- #\D (directory) or
- #\T (regular tree).

B-trees with $typ \#\D$ which are pointed to by special entries in the root block (1) protect all their special entries from garbage collection by the check program. #\T is for regular (data) arrays.

open-db seg name

[Scheme Procedure] Returns the B-tree whose name has been entered in the root directory or #f if not found.

Dirty block buffers can also be flushed to disk by calls to flush-ents. flush-ents can be called at any time after WB is initialized, even by an asynchronous background process.

flush-ents attempts k

[Scheme Procedure] k is the number of dirty block buffers to write to disk; attempts is the number of times to try. Note that blocks in any segment may be written by flush-ents. flush-ents returns the number of blocks written.

Block numbers are stored in the directory as four-byte integers. In order to make WB files portable between big-endian and little-endian computers, all conversions of four-byte pointers should be done by str2long and long2str!.

str2long string index

it.

long2str! string index integer [Scheme Procedure] Stores integer into 4 bytes of string starting at index. 6.4 SCM Record Operations bt:get han key [Scheme Procedure] han is a handle to an open bt. key is a string less than 255.B in length. bt:get returns a string of the value associated with key in the bt which han is open to. bt:get returns #f if key is not associated in the bt. bt:next han key [Scheme Procedure] han is a handle to an open bt. key is a string less than 255.B in length. bt:next returns the next key in bt han or #f if none. bt:prev han key [Scheme Procedure] han is a handle to an open bt. key is a string less than 255.B in length. bt:prev returns the previous key in bt han or #f if none. bt:put! han key val [Scheme Procedure] han is a handle to an open, mutable bt. key and val are strings less than 255.B in length. bt:put! associates key with val in the bt han. A status code is returned. bt:rem! han key [Scheme Procedure] han is a handle to an open, mutable bt. key is a string less than 255.B in length. bt:rem! removes key and it's associated value from bt han. The value strings bt:get and bt:put! work with are limited to 255.B in length. db:get and db:put! work with value strings up to 64770.B in length. db:get han key [Scheme Procedure] han is a handle to an open bt. key is a string less than 255.B in length. db:get returns a string (up to 64770.B long) of the value associated with key in the bt which han is open to. Returns #f if key is not in the bt. db:put! han key val [Scheme Procedure] han is a handle to an open, mutable bt. key is a string less than 255.B in length. val is a string less than 64770.B in length. db:put! associates key with val in the bt han. A status code is returned.

Converts the 4 bytes in string starting at index into an unsigned integer and returns

[Scheme Procedure]

6.5 SCM Mutual Exclusion

These 2 calls can be used for locking and synchronizing processes.

bt:put han key val [Scheme Procedure] Associates key with val in the bt han only if key was previously empty. Returns #tfor success, #f for failure.

bt:rem han key [Scheme Procedure] Removes key and it's associated value from bt han only if key is present. Returns key's value for success, #f for failure (not present).

6.6 SCM Multiple Operations

bt:rem* han key1 key2

[Scheme Procedure] Removes keys (and their associated values) between (including) key1 and (not including) key2 from bt han. A status code is returned.

bt:scan han op key1 key2 func blklimit [Scheme Procedure] Applies procedure func to a range of keys (and values) and either counts, modifies, or deletes those associations. A list of a status code, the count of records processed, and a new value for key1 is returned.

If op is -1, indicated keys will be deleted; If op is 0, indicated keys will be counted; If op is 1, the value of each key in the range will be changed to be the string returned by func.

Func is called with 2 string arguments, the key and the value. If op is 1 and func returns #f then no change will be made. If op is 1 and func returns a string then that string will replace the value for that key. For the other (count, delete) modes, func should return #f or #t. If func is #t, the association will be counted (and possibly deleted).

Keys from key1 (inclusive) up to key2 (exclusive) are scanned. If blklimit is -1 then the entire range is scanned; otherwise only as many blocks (internal database structures) as specified by *blklimit* are scanned. The scan can be restarted by using the returned information. For instance, the following expression counts the number of keys between "3" and "4" one block at a time and returns a list of the number of keys and the number of blocks.

```
(do ((res (bt:scan current-bt 0 "3" "4" (lambda (k v) #t) 1)
          (bt:scan current-bt 0 (caddr res) "4" (lambda (k v) #t) 1))
    (blks 0 (+ 1 blks))
     (cnt 0 (+ cnt (cadr res))))
    ((not (= -1 (car res))) (list (+ cnt (cadr res)) (+ 1 blks))))
```

It is good to specify a positive *blklimit* when dealing with large scans. More details on the operation of scan can be found in scan.scm.

6.7 SCM Diagnostics

The value returned by the following routines is unspecified.

check-access

Checks that buffers and locks are in a consistent state and fixes them if WB routines have been interrupted.

clear-stats

Resets all the collected statistics to 0.

[Scheme Procedure]

[Scheme Procedure]

stats [Scheme Procedure] Prints a summary of operational statistics since the last clear-stats or cstats.

cstats

ts [Scheme Procedure] Prints a summary of operational statistics since the last clear-stats or cstats, then calls clear-stats.

show-buffers

Prints a table of the status of all disk cache buffers.

[Scheme Procedure]

7 SCM Relational Databases

These relational database packages are for the SCM interface.

7.1 wb-table

(require 'wb-table)

wb-table is a straightforward embedding of SLIB base-table (see Section "Base Table" in *SLIB*) in WB with SCM. It supports scheme expressions for keys and values whose text representations are less than 255 characters in length. The primitive types supported are:

boolean	#t or #f.
string	0 - 255 byte string.
symbol	0 - 255 byte symbol.
atom	internal legacy alias for symbol (or #f).
integer	
number	
ordinal	String representation (< 256.B) of numbers. Nonnegative integers sort correctly.
expression	Scheme expression (representation must be $< 256.B$).

7.2 rwb-isam

(require 'rwb-isam)

rwb-isam is a sophisticated base-table implementation built on WB and SCM which uses binary numerical formats for keys and non-key fields. It supports IEEE floating-point and fixed-precision integer keys with the correct numerical collation order.

In addition to the types described for wb-table, rwb-isam supports the following types for keys and values:

r64	Real represented by one IEEE 64.bit number.
r32	Real represented by one IEEE 32.bit number.
s64	Signed 64.bit integer.
s32	Signed 32.bit integer.
s16	Signed 16.bit integer.
s8	Signed 8.bit integer.
u64	Nonnegative 64.bit integer.
u32	Nonnegative 32.bit integer.
u16	Nonnegative 16.bit integer.
u8	Nonnegative 8.bit integer.
Complex numbers	are supported for non-key fields:
c64	Complex represented by two IEEE 64.bit numbers.

c32 Complex represented by two IEEE 32.bit numbers.

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