Sequence to Better Sequence: Continuous Revision of Combinatorial Structures

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- **Goal:** Given new sequence $x_0 \sim p_X$ (with unknown outcome), quickly identify a revision x^* with superior expected outcome

$$x^* = \underset{x \in \mathcal{C}_{x_0}}{\operatorname{argmax}} \mathbb{E}[Y \mid X = x]$$

 $\mathcal{C}_{x_0} \subset \mathcal{X} = \mathsf{feasible} \mathsf{ set of natural sequences}$

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Simple gradient optimization instead of discrete search

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- Gomez-Bombarelli et al.¹ also utilize autoencoder representations to propose novel chemical structures via Bayesian optimization

¹ Gomez-Bombarelli, Duvenaud, Hernandez-Lobato, Aguilera-Iparraguirre, Hirzel, Adams, and Aspuru-Guzik. Automatic chemical design using a data-driven continuous representation of molecules. *arXiv*, 2016

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- Approximate inference maps F, E, D parameterized via three neural networks $\mathcal{F}, \mathcal{E}, \mathcal{D}$

Revision Framework



• Generative model for sequences: $z \sim p_Z$, $x \sim \underline{p_D(x \mid z)}$

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• Variational posterior approximation:

$$p(z \mid x) \propto \frac{p_D(x|z)}{p_Z(z)} \approx \underbrace{N(\mu_{z|x}, \mathsf{diag}(\sigma_{z|x}^2))}_{\mathbf{v}}$$

 $q_E(z \mid x)$ parameterized by RNN ${\mathcal E}$

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• Learn parameters of \mathcal{E}, \mathcal{D} using stochastic variational inference:

$$\log p_X(x) \ge - \left[\mathcal{L}_{\mathsf{rec}}(x) + \mathcal{L}_{\mathsf{pri}}(x) \right]$$
$$\mathcal{L}_{\mathsf{rec}}(x) = -\mathbb{E}_{q_E(z|x)} \left[\log p_D(x \mid z) \right]$$
$$\mathcal{L}_{\mathsf{pri}}(x) = \mathsf{KL}(q_E(z \mid x)|| p_Z)$$

• $\mathcal{E}, \mathcal{D} = \text{standard language models with Gated Recurrent Unit}^2$

 $^{^{2}}$ Cho, van Merrienboer, Gulcehre, Bahdanau, Bougares, Schwenk, and Bengio. Learning phrase representations using RNN encoder-decoder for statistical machine translation. *EMNLP*, 2014

- $\mathcal{E}, \mathcal{D} = \text{standard language models with Gated Recurrent Unit}^2$
- \mathcal{E} uses final hidden-state h_T to approximate posterior for $z \mid x$:

$$\begin{split} \mu_{z|x} &= W_{\mu}h_T + b_{\mu} \\ \sigma_{z|x} &= 1 \wedge \exp(-|W_{\sigma}v + b_{\sigma}|), \ v = \mathsf{ReLU}(W_vh_T + b_v) \end{split}$$

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 $D(z) = \underset{x \in \mathcal{X}}{\operatorname{argmax}} p_D(x \mid z)$ (MAP *X*-estimate under decoder)

Greedily approximated via beam-search

²Cho, van Merrienboer, Gulcehre, Bahdanau, Bougares, Schwenk, and Bengio. Learning phrase representations using RNN encoder-decoder for statistical machine translation. *EMNLP*, 2014

Compositional Prediction of Outcomes

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- Taylor approximation: $F(E(x)) \approx \mathbb{E}[Y \mid X = x]$
- Jointly train $\mathcal E$ and $\mathcal F$ with the loss:

$$\mathcal{L}_{\mathsf{mse}}(x,y) = [y - F(E(x))]^2$$

Bad Example: Suppose for $x \in \mathcal{X}$: $E(x) = z = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} \in \mathbb{R}^d$

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• $\mathcal{L}_{inv} \rightarrow 0$ ensures outcome-predictions remain invariant to encoding-decoding variation

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• Start training with $\lambda_{pri} = \lambda_{inv} = 0$, slowly increase λ_{pri} and then λ_{inv}

Proposing Revisions

REVISE Algorithm

Input: sequence $x_0 \in \mathcal{X}$, constant $\alpha \in (0, |2\pi\Sigma_{z|x_0}|^{-\frac{1}{2}})$ **Output:** revised sequence $x^* \in \mathcal{X}$

1) Use \mathcal{E} to compute $q_E(z \mid x_0), \ E(x_0) = \mathbb{E}_{q_E}[z \mid x_0]$

- 2) Define $C_{x_0} = \{ z \in \mathbb{R}^d : q_E(z \mid x_0) \ge \alpha \}$ (ellipsoid)
- 3) Find $z^* \approx \underset{z \in C_{x_0}}{\operatorname{argmax}} F(z)$ (gradient ascent w/ log-barrier penalty)
- 4) Return $x^* = D(z^*) \approx \underset{x \in \mathcal{X}}{\operatorname{argmax}} p_D(x \mid z^*)$ (greedy beam search)

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• We also propose alternative adaptive decoding biased toward x_0

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 - x^* and x_0 likely share similar latent characteristics Z
- We quantify proposed revisions' quality vs: accuracy in neural net approximations & marginal likelihood of x_0

Theorem

With probability $\geq 1 - \delta$ (over $x_0 \sim p_X$):

$$p_X(x^*) \ge \frac{\alpha\gamma}{\eta} \cdot p_X(x_0)$$

Assuming with probability $\ge 1 - \delta$ (over $x \sim p_X$):

(A1)
$$p(z \mid x) \ge \gamma \cdot q_E(z \mid x)$$
 if $q_E(z \mid x) \ge \alpha$
(A2) $p(z^* \mid x^*) \le \eta$ where $x^* = \text{REVISE}(x)$

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(A2)
$$p(z^* \mid x^*) \leq \eta$$
 where $x^* = \text{REVISE}(x)$

• Replacing (A2) with Lipschitz condition on $p_D(x \mid z) \implies$ similar result

Theorem

With probability $\geq 1 - \delta - \kappa$ (over $x_0 \sim p_X$):

$$\Delta_{z^*} - \epsilon \leqslant F(z^*) - F(E(x_0)) \leqslant \Delta_{z^*} + \epsilon$$

where
$$\Delta_{z^*} = \mathbb{E}[Y \mid X = x^*] - \mathbb{E}[Y \mid X = x_0]$$
, $\epsilon = \epsilon_{inv} + 2\epsilon_{mse}$

Assuming: (A3) $p_X(x^*) \ge \kappa$ with probability $\ge 1 - \delta$ (over $x_0 \sim p_X$) (A4) $|F(E(x)) - \mathbb{E}[Y|X = x]| \le \epsilon_{mse}$ with probability $\ge 1 - \kappa$ (over $x \sim p_X$) (A5) $|F(z) - F(E(D(z)))| \le \epsilon_{inv}$ with probability $\ge 1 - \delta$ (over $z \sim p_Z$)

Theorem

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• Previous theorem implies (A3)

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 $^{^{3}\}mbox{Hutto}$ & Gilbert. Vader: A parsimonious rule-based model for sentiment analysis of social media text. ICWSM, 2014

- Data = 1M+ short sentences from BeerAdvocate reviews
- $y \in [0,1]$: VADER sentiment compound score of each sentence³
- Apply methods to revise set of 1000 held-out sentences

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Model	$\Delta_Y(x^*)$	$\Delta_L(x^*)$	$d(x^*, x_0)$
$\log \alpha = -10000$	0.52 ±0.77	-8.8 ±6.5	2.6 ±3.3
$\log \alpha = -1$	$0.31 \ \pm 0.50$	- 7.6 ±5.8	1.7 ± 2.6
$\lambda_{inv} = \lambda_{pri} = 0$	$0.22 \ \pm 1.03$	-10.2 ± 7.0	$3.3 \ \pm 3.4$
SEARCH	$0.19 \ \pm 0.56$	-7.7 ±4.2	$3.0\ \pm 1.2$

 $\Delta_Y(x^*) =$ outcome improvement from revision (rescaled by std-dev of outcomes) $\Delta_L(x^*) = \hat{p}(x^*) - \hat{p}(x_0)$ $d(x^*, x_0) =$ Levenshtein (edit) distance

Model	Sentence	$\Delta_Y(x^*)$	$\Delta_L(x^*)$
x_0	this smells pretty bad.	-	-
$\log \alpha = -10000$	smells pretty delightful!	+2.8	-0.5
$\log \alpha = -1$	i liked this smells pretty.	+2.5	-2.8
$\lambda_{inv} = \lambda_{pri} = 0$	pretty this smells bad!	-0.2	-3.1
Search	wow this smells pretty bad.	+1.9	-4.6
x_0	i like to support san diego beers.	-	-
$\log \alpha = -10000$	i love to support craft beers!	+0.5	+1.6
$\log \alpha = -1$	i like to support craft beers!	+0.1	+2.6
$\lambda_{inv} = \lambda_{pri} = 0$	i like to support you know.	0	+3.7
Search	i like to super support san diego.	+0.7	-2.9
x_0	i'm not sure how old the bottle is.	-	-
$\log \alpha = -10000$	i definitely enjoy how old is the bottle is.	+3.0	-3.6
$\log \alpha = -1$	i'm sure not sure how old the bottle is.	+2.5	-6.8
$\lambda_{inv} = \lambda_{pri} = 0$	i'm sure better is the highlights when cheers.	+3.3	-9.2
SEARCH	i 'm not sure how the bottle is love.	+2.3	-3.3

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- Each is either from Shakespeare with label y = 0.9 or a more contemporary source (from NLTK) with label y = 0.1
- Given new sentence, revise so that author is increasingly expected to be Shakespeare rather than contemporary source

# Steps	Decoded Sentence
x_0	where are you, henry??
100	where are you, henry??
1000	where are you, royal??
5000	where art thou now?
10000	which cannot come, you of thee?
x^*	where art thou, keeper??
x_0	somewhere, somebody is bound to love us.
100	somewhere, somebody is bound to love us.
1000	courage, honey, somebody is bound to love us!
5000	courage man; 'tis love that is lost to us.
10000	thou, within courage to brush and such us brush.
x^*	courage man; somebody is bound to love us.
x_0	you are both the same size.
100	you are both the same.
1000	you are both wretched.
5000	you are both the king.
10000	you are both these are very.
x^*	you are both wretched men.

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Ideas to improve method:

• Harness semantic similarity data to shape latent geometry⁴

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Ideas to improve method:

- Harness semantic similarity data to shape latent geometry⁴
- Better generative model/prior⁵ + variational inference strategy⁶

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⁵Yang et al. Improved Variational Autoencoders for Text Modeling using Dilated Convolutions. *ICML*, 2017

⁶Chen et al. Variational Lossy Autoencoder. *ICLR*, 2017