# Theoretically-Efficient and Practical Parallel In-Place Radix Sorting 

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## Agenda

- Introduction
- Motivation
- Related Work
- Regions Sort: a new parallel inplace algorithm for radix sort
- Algorithm Design
- Theoretical Analysis
- Experiments
- Setup
- Results

Motivation

## Why Radix Sort?

Takes O(n) work for fixed length integers.

Comparison-based sorts take $\boldsymbol{\Omega}(\mathrm{n} \log (\mathrm{n})$ ) work.

## In-Place Algorithms

What are in-place algorithms?

- Require at most sublinear auxiliary space.

Why in-place?

- Smaller memory footprint!
- Potentially better utilization of cache.


## (Most Significant Digit First) Radix Sort

## Radix Sort

- Sort elements according to one digit at a time.
- Most significant digit to least significant digit.
- Recurse on elements with equal digits.

| 329 | 145 | 145 | 145 |
| :--- | :--- | :--- | :--- |
| 711 | 155 | 145 | 145 |
| 309 | 145 | 155 |  |
| 745 | 15 |  |  |
| 155 | 329 |  |  |
| 145 | 309 |  |  |
| 883 | 381 |  |  |
| 381 | 380 |  |  |
| 380 | 711 |  |  |
| 145 | 745 |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## Terminology: Country

Country: sub-array that will include elements belonging to the same bucket after sorting.

## 

## 



## Radix Sort: Subproblem

## Sort elements according to digits such that each element is in the correct country.

## 

## Output: 0 O|O|0|1|1|1|1|2|2|3|3

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## Serial Inplace Radix Sort

1. Find start location of each country
(Histogram Building).
2. Move items to the correct country inplace.

## Histogram Building




## Parallel

 Histogram Building
## Serial In-place Radix Sort

```
Initialize pointer to beginning of each
country
For each country:
    While (pointer not at end of country) {
    While(item pointed to is not in
    correct country) {
    Swap item to location pointed to
    in target country
    Increment target country pointer
    }
    Increment current country pointer
}
```


## Serial In-place Radix Sort

| Initialize pointer to beginning of each <br> country <br> For each country: <br> While (pointer not at end of country) \{ <br> While(item pointed to is not in <br> correct country) \{ <br> Swap item to location pointed to <br> in target country |
| :--- |
| Increment target country pointer |
| $\}$ |



## Serial In-place Radix Sort

## Initialize pointer to beginning of each country

For each country:
While (pointer not at end of country) \{
While(item pointed to is not in correct country) \{

Swap item to location pointed to in target country

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## Serial In-place Radix Sort



## Serial In-place Radix Sort

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For each country:
While (pointer not at end of country) \{
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Swap item to location pointed to in target country

Increment target country pointer
\}
Increment current country pointer


Swap!

\}

## Serial In-place Radix Sort

## Initialize pointer to beginning of each

 countryFor each country:
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## Serial In-place Radix Sort

Initialize pointer to beginning of each
country
For each country:
While (pointer not at end of country) \{
While(item pointed to is not in
correct country) \{

$\quad$| Swap item to location pointed to |
| :--- |
| in target country |

Increment target country pointer
\} Increment current country pointer


Swap!

\}

## Serial In-place Radix Sort

| Initialize pointer to beginning of each <br> country |
| :--- |
| For each country: |
| While (pointer not at end of country) \{ |
| While(item pointed to is not in |
| correct country) \{ |
| $\quad$Swap item to location pointed to <br> in target country |
| Increment target country pointer |
| $\}$ |


\}

Why parallel in-place is hard?!


## Why parallel in-place is hard?!



## 

## Related Work

PARADIS [Cho et. al 2015]

- Parallel in-place radix sort.
- Worst case span is $0(n)$.

IPS4o [Axtmann et. al 2017]

- Parallel in-place comparison based sort.
- Work is $\mathrm{O}(\mathrm{nlog}(\mathrm{n}))$.


## Goal

A parallel in-place algorithm for radix sort

For some parameter K:
a. Work: $O(n)$
b. Span: $O(\log (K)+n / K)$
c. Space: $\mathrm{O}(\mathrm{K})$
(assuming fixed length integers)

## Our Algorithm: Regions Sort

## Regions Sort Overview

## 1. Local Sorting

- Partially sort the input.


## 2. Regions Graph Building

- Represent dependences in partially sorted array with small amount of memory.


## 3. Global Sorting

- Use regions graph to completely sort the input.


## Local Sorting

Key Idea:
Divide array into K Blocks and sort each block independently.

## Block: sub-array of size n/K.



## Local Sorting



Sort using serial in-place radix sort

## Regions <br> Graph <br> Building

## Regions Graph Building



## Regions Graph Building



## Regions Graph Building



Create edge of
 weight W from country x to country y if a region of $W$ elements wants to go 2 from country $x$ to country y

## Regions Graph Building



No self-edges

## Global Sorting

Key Idea: Use regions graph to move regions to their target countries iteratively and updating the graph.

Two Approaches:

1. Cycle Finding
2. 2-Path Finding

## Global Sorting

A 2-path consists of two edges:

- Incoming edge to node $x$ corresponding to a region that can be moved into country $x$.
- Outgoing edge from node $x$ corresponding to a region that is in country $x$ and needs to be moved out of country $x$.



## Global Sorting: 2-Path Finding

## 2-path Finding

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## Global Sorting: 2-Path Finding

2-path Finding

1. Choose a vertex.

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## Global Sorting: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
2. Match incoming edges with outgoing edges.

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## Global Sorting: 2-Path Finding

2-path Finding

1. Choose a vertex.
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## Global Sorting: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
2. Match incoming edges with outgoing edges.
3. Execute swaps.


## Global Sort: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
2. Match incoming edges with outgoing edges.
3. Execute swaps.
4. Edit edges.


## Global Sorting: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
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## 



## Global Sorting: 2-Path Finding

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## Global Sorting: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
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Analysis

## Analysis

1. Local Sorting
a. Work: O(n)
b. Span: $O(\log (K)+n / K)$
c. Space $=0(K B)$

- K is number of blocks
- B is number of buckets per block


## Analysis

2. Build Regions Graph
a. Work $=0(K B)$
b. Span $=O(\log (K B))$
c. Space $=0(K B)$

- Since \#edges $\leq$ \#regions $\leq K B$
- $K$ is number of blocks
- B is number of buckets per block


## Analysis

3. Global Sorting

# a. Work $=0(n)$ <br> b. Span $=O(B(\log (K B)+B))$ <br> c. Space $=0(\mathrm{~KB})$ 

- O(n) swaps
- $\#$ nodes removed $=0(B)$
- \#edges at each node removed is $\mathrm{O}(\mathrm{KB})$


## Analysis

## Total for one level of recursion

$$
\begin{aligned}
& \text { Work }=O(n) \\
& \text { Span }=O(n / K+B(\log (K B)+B)) \\
& \text { Space }=O(K B)
\end{aligned}
$$

Recursion

## Recursion

- Each country is recursed on independently.
- Each country divided into number of blocks proportional to its size.
- Integers with range $r$ need at most $\log _{\mathrm{B}}(r)$ recursion levels to be fully sorted.
- For problem sizes smaller than B, we use comparison sort.


## Algorithm: Recursion

## Total on all levels

$$
\begin{aligned}
& \text { a. } \text { Work }=O(n \log (r)) \\
& \text { b. Span }=O((\log (K)+n / K) \log (r)) \\
& \text { c. Space }=O(P \log (r)+K)
\end{aligned}
$$

- Assuming $B=\Theta(1)$


## Algorithm: Recursion

## Total on all levels

$$
\begin{aligned}
& \text { a. } \text { Work }=O(n) \\
& \text { b. Span }=O((\log (K)+n / K)) \\
& \text { c. Space }=O(P+K)
\end{aligned}
$$

- Assuming $B=\Theta(1)$
- Assuming $r=\Theta(1)$ (fixed length integers)


## Alternative Approach: Cycle Finding

- Find Cycle in Regions Graph
- Execute Cycle to move elements
- Remove edge with min weight, and decrease weight of all other edges by this weight
- Repeat until all edges are deleted


## Evaluation

## Evaluation: Control Algorithms

## State-of-the-art parallel sorting algorithms:

- __gnu_parallel::sort (MCSTL, included in gcc) [Singler et. al 2007]
- Not fully in-place; uses parallel mergesort
- RADULS (parallel out-of-place radix sort) [Kokot et al. 2017]
- PBBS parallel out-of-place radix sort [Shun et. al 2012]
- PBBS parallel out-of-place sample sort [Shun et. al 2012]
- Ska Sort (serial in-place radix sort)
- IPS4o (parallel in-place sample sort) [Axtmann et al. 2017]
- PARADIS (parallel in-place radix sort) not publicly available


## Input distribution:

- Uniform
- Skewed
- Equal, and almost sorted


## Evaluation: Our Algorithms

Our Algorithms

Cycle finding

$$
\begin{aligned}
& K=P \\
& B=256
\end{aligned}
$$

2-path finding
$K=5000$
$B=256$

## Evaluation: Test Environment

- AWS c5.9xlarge
- Intel Xeon Platinum 8000 series
- 72 vCPU (36 cores with hyperthreading)
- 144 GB RAM
- All code compiled with g++-7 with Cilk Plus


## Comparison with other algorithms

Regions Sort performance on various inputs with 1 billion integers:

- Between 1.1-3.6x faster than IPS40, the fastest parallel sample sort, except on one input (1.02x slower).
- Between 1.2-4.4x faster than the fastest out-of-place Radix Sort (PBBS).
- $1.3 x$ slower to $9.4 x$ faster than RADULS.
- About $2 x$ faster than PARADIS based on their reported numbers on same number of cores


## Speedup over serial 2-path: 1 billion random integers



## Distribution independence: 1 billion integers from Zipf



## Regions Sort: fastest across all input sizes (Random)



## Input Range - Uniform Sequence (1 billion integers)



## Our contributions:

## Conclusion

- Regions Sort: the first parallel inplace radix sort with strong theoretical guarantees.
- Empirical evidence showing high scalability and distribution independence.
- Almost always faster than state-of-the-art parallel sorting algorithms in our experiments.

