# Face Detection with End-to-End Integration of a ConvNet and a 3D Model

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## Overview

This paper presents a method for face detection in the wild, which integrates a ConvNet and a 3D mean face model in an end-to-end multi-task discriminative learning framework. There are two components:
i) The face proposal component computes face proposals via estimating facial key-points and the 3D transformation parameters for each predicted keypoint w.r.t. the 3D mean face model.
ii) The face verification component computes detection results by refining proposals based on configuration pooling.



A Training Face Example in AFLW A 3D Mean Face Model (in AFLW)



**Figure 1:** Illustration of the proposed method (Top), and a sample intermediate and the final detection results (Bottom). **Figure 3:** Examples of face proposals computed using predicted 3D transformation parameters.

## **End-to-End Training**

Experiments

During training, the loss are three-folds:

• The Classification Softmax Loss of Key-point Labels,

$$\mathcal{L}_{cls} = -\sum \log(p_{\ell}^{\mathbf{x}}), \tag{3}$$

where  $\ell$  is the label for position x, and  $p^x$  is the predicted discrete probability distribution from our model.

• The Smooth  $l_1$  Loss of Key-point Locations,

$$\mathcal{L}_{loc}^{pt} = \sum \text{Smooth}_{l_1}(\hat{F}^{(2)}, F^*), \qquad (4)$$

where  $\hat{F}^{(2)}$  is the projected 2D key-points calculated according to Eqn 2 from predicted 3D transformation parameters, and  $F^*$  is the ground truth locations.

• The Smooth  $l_1$  Loss of Bounding Boxes,  $\mathcal{L}_{loc}^{box}$ . The overall loss function is defined by,

$$\mathcal{L} = \mathcal{L}_{cls} + \mathcal{L}_{loc}^{pt} + \mathcal{L}_{loc}^{box}$$

# The Proposed Method

#### **Face Representation**

A 3D mean face model is represented by a  $n \times 3$  matrix,  $F^{(3)}$ . The 3D transformation parameters  $\Theta$  are defined by,

$$\Theta = (\mu, s, A^{(3)}), \tag{1}$$

where  $\mu$  represents a 2D translation (dx, dy), s a scaling factor, and  $A^{(3)}$  a  $3 \times 3$  rotation matrix. We can compute the projected 2D key-points by,

$$\hat{F}^{(2)} = \mu + s \cdot \pi (A^{(3)} \cdot F^{(3)}), \tag{2}$$

where  $\pi$ () projects a 3D key-point to a 2D one.

### **ConvNet** Architecture

Referring from Figure 1, the ConvNet is consisted by:

- Convolution, ReLu and MaxPooling Layers.
- An Upsampling Layer implemented by deconvolution.
- A Facial Key-point Label Prediction Layer. Samples are shown in Figure 2.
- A 3D Transformation Parameter Estimation Layer.
- A Face Proposal Layer. Samples are shown in Figure 3.
- A Key-point based Configuration Pooling Layer.

Our method is evaluated on FDDB and AFW. Results are shown in Figure 4 and Figure 5.



**Figure 4:** FDDB results based on discrete (left) and continuous scores (right).



## • A Face Bounding Box Regression Layer.



**Figure 2:** Sample detection results in the FDDB and the corresponding heat map of facial key-points.

#### **Figure 5:** Sample qualitative results on the AFW dataset.

## **Conclusion and Discussion**

Our method is a clean and straightforward solution when taking into account a 3D model in face detection, with very compatible state-of-the-art performance obtained.

We are also working on extending the proposed method for other types of rigid/semi-rigid object classes(e.g., cars). We expect that we will have a unified model for cars and faces which can achieve state-of-the-art performance.

