

## Today

- Complete proof of a DNP-complete problem (under random reductions).
- Ajtai's reduction from worst-case to average-case for some lattice problems.

## Recall from last time

- DNP problem given by  $(R, D)$ ; where  $R \subseteq \{0, 1\}^* \times \{0, 1\}^*$  is a polytime-computable relation; and  $D : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a polytime-computable function.
- Algorithm  $A$  is  $\delta$ -good for  $(R, D)$  if it can solve  $R$  for all but  $\delta$  fraction of instances drawn from  $\{D(z)\}$ , where  $z$  is uniform, in poly-time. (To solve  $R$  means to find the relative  $y$  such that  $R(x, y)$  if one exists.)
- $(R, D)$  in Avg-P if there exists  $B(x, \delta)$  such that  $B_\delta(\cdot) = B(\cdot, \delta)$  is  $\delta$ -good for  $(R, D)$  and  $B$  runs in time poly in  $|x|, 1/\delta$ .

## Last time (contd.)

- For  $\alpha \geq 1$ ,  $D_1$   $\alpha$ -dominates  $D_2$  if for every  $x$   $\Pr_{D_1}[x] \geq \Pr_{D_2}[x]/\alpha$ .
- $A$  is  $\delta$ -good for  $(R, D_1)$  implies  $A$  is  $(\alpha \cdot \delta)$ -good for  $(R, D_2)$ .

## Impagliazzo-Levin Lemma

Lemma: There exists a (essentially uniform) distribution  $U$  such that for every  $R, D$  there exists a relation  $R'$  such that  $(R, D)$  reduces to  $(R', U)$ .

Basic idea:

- Will try to make the relation  $R'$  be  $R$  composed with  $D$ .
- Need to specify  $z$  in domain of  $R$  given  $x = D(z)$ .
- Can't ask to invert  $D$  — may be hard.
- So specify  $z$  essentially by  $x$  and an index  $w \in \{0, 1\}^k$  assuming  $D^{-1}(x)$  has about  $2^k$  members.
- $(x, w)$  does specify such a  $z$ , provided we

pick  $x$  according to  $D(z)$ . But don't get uniformity!

– So hash  $(x, w)$  down to  $n$ -bit string  $u$ .

## Details

- Instances of  $R'$  are tuples  $(u, k, h_1, h_2)$  where  $u \in \{0, 1\}^n$ , and  $h_1 : \{0, 1\}^n \rightarrow \{0, 1\}^k$  and  $h_2 : \{0, 1\}^{n+k} \rightarrow \{0, 1\}^n$  are nice pairwise independent hash functions.
- $R'((u, k, h_1, h_2), (z, y))$  if  $u = h_2(D(z), h_1(z))$  and  $R(D(z), y)$ .
- Distribution on instances  $D_1 = U$  is the following:  $u \in_U \{0, 1\}^n$ ,  $k \in_U \{0, \dots, n\}$ ,  $h_1, h_2$  are uniform from their families.

## Reduction

Need to reduce  $(R, D)$  to  $(R', U)$ .

Reduction: Given  $x$ , guess  $k$ , and string  $w$  (supposedly  $k = \log |D^{-1}(x)|$  and  $w = h_1(z)$  where  $z \in_U D^{-1}(x)$ ). Pick  $h_1, h_2$  uniformly, and then let  $u = h_2(x, w)$ . Output  $(u, k, h_1, h_2)$ .

Claim: Instances being generated essentially according to  $U$ .

Main step in proof: If we guess  $k$  correctly, then very likely there is a unique  $z$  such that  $D(z) = x$  and  $h_1(z) = w$ .

## Soundness of reduction

- Related distribution  $D_2$  on  $R'$ : Pick  $z \in_U \{0, 1\}^n$  and let  $k = \log_2 |D^{-1}(D(z))|$ . Let  $h_1, h_2$  be uniform on their domain and let  $u = h_2(D(z), h_1(z))$ .
- Claim 1:  $(R', D_2)$  is at least as hard as  $(R, D)$ .
- Claim 2:  $D_1$   $n$ -dominates  $D_2$ .
- Details left to the reader.

## A DNP-complete problem

- Easy to massage above into a relation  $R''$  and distribution  $U'$  which is actually uniform on its domain.
- But still don't have a single hard problem (i.e., relation and distribution).
- Use the universal relation [Levin].
- Hard problem:  $R_U$  has as instances pairs  $(R, x)$ .  $R_u((R, x), y)$  holds if  $R(x, y)$ .
- Claim  $R_U$  on uniform distribution on inputs is at least as hard as  $(R'', U)$  - since with probability  $1/2^{|R''|}$  (a constant) we will generate  $R''$  as the relation to be solved.

## Interlude

- Now have a theory of average-case hardness for problems in NP.
  - How does it relate to worst-case hardness?
  - Wide open.
  - Known techniques relating the two don't seem to work [Feigenbaum-Fortnow]. (Does not rule out all reductions - only known forms.)
  - Can we say anything within NP?
- Ajtai'96 : Shows that worst-case instances of an "empirically" hard problem can be reduced to random instances of a related problem.

- Major breakthrough!

## Lattice problems

- Defn: Lattice  $L$  in  $\mathbb{R}^n$  is a discrete additive subset of  $\mathbb{R}^n$ .
  - Discrete: Exists  $d > 0$  such that for every point  $x \in L$ , the ball of radius  $d$  around  $x$  contains only one point ( $x$ ) from  $L$ .
  - Additive:  $x, y \in L$  implies  $x + y, x - y \in L$ .

## Specifying a lattice

- Primal specification: By basis:  $b_1, \dots, b_m \in \mathbb{R}^n$  (for  $m \leq n$ ),  $b_i$ 's linearly independent, and lattice  $L = \{\sum_{i=1}^m z_i b_i \mid (z_1, \dots, z_m) \in \mathbb{Z}^m\}$ .
- Dual specification: By vectors:  $b_1^*, \dots, b_m^* \in \mathbb{R}^n$  (for  $m \geq n$ ), and lattice  $L = \{\mathbf{v} \in \mathbb{R}^n \mid \forall j, \langle \mathbf{v}, \mathbf{b}_j^* \rangle \in \mathbb{Z}\}$ .
- Can go from one rep'n to another algorithmically.

## Lattice problems

- Given lattice  $L$ , compute shortest non-zero vector in lattice. Was open for long time, till [Ajtai] showed it to be NP-complete for randomized reductions.
- Given lattice  $L$  and target vector  $t \in \mathbb{R}^n$  compute nearest lattice point to  $t$ . (Long known to be NP-hard.)
- Given lattice, find short basis.
- Best algorithmic result: Can find  $2^{o(n)}$  approximation for all above problems in poly time, for  $n$ -dimensional lattice.
- Shortest vector problem/Closest vector problem are of fundamental interest:

- Used in factoring polynomials over integers [LLL].
- Important case of Diophantine approximations.
- Used widely in cryptanalysis.
- Now becoming a basis for cryptography [Ajtai-Dwork].

## Ajtai's theorem

Roughly, gives approximation problems  $R, R'$  and distribution  $D$  such that an avg-P solution to  $(R, D)$  implies a RP algorithm for  $R'$ .

- $R'$ : Instance is a pair — a lattice  $L$  and a bound  $M$  with the promise that there exists a basis for  $L$  with vectors of length at most  $M$ . Witness is a basis  $b_1, \dots, b_m$  where all vectors have length at most  $\text{poly}(n) \cdot M$ .
- $R$ : Instance is a pair — lattice  $L$  given by dual vectors  $b_1^*, \dots, b_m^*$  and a bound  $N$  with the promise that  $L$  has a vector of length at most  $N$ . Witness is a vector of length  $\text{poly}(n) \cdot N$ .

- $D$ : Fix  $q = \text{poly}(n)$  and  $m = O(n \log q)$ , and  $N = \text{poly}(n)$ . Pick  $b_1^*, \dots, b_m^*$  randomly from  $\{0, 1/q, \dots, q - 1/q, 1\}^n$ .

## Intuition

Rapid Hand-waving.