

# Short PCPs Verifiable in Polylogarithmic Time

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## Abstract

We show that every language in NP has a probabilistically checkable proof of proximity (i.e., proofs asserting that an instance is “close” to a member of the language), where the verifier’s running time is polylogarithmic in the input size and the length of the probabilistically checkable proof is only polylogarithmically larger than the length of the classical proof. (Such a verifier can only query polylogarithmically many bits of the input instance and the proof. Thus it needs oracle access to the input as well as the proof, and cannot guarantee that the input is in the language — only that it is close to some string in the language.) If the verifier is restricted further in its query complexity and only allowed  $q$  queries, then the proof size blows up by a factor of  $2^{(\log n)^{c/q}}$  where the constant  $c$  depends only on the language (and is independent of  $q$ ). Our results thus give efficient (in the sense of running time) versions of the shortest known PCPs, due to Ben-Sasson *et al.* (STOC ’04) and Ben-Sasson and Sudan (STOC ’05), respectively. The time complexity of the verifier and the size of the proof were the original emphases in the definition of holographic proofs, due to Babai *et al.*

(STOC ’91), and our work is the first to return to these emphases since their work.

Of technical interest in our proof is a new complete problem for NEXP based on constraint satisfaction problems with very low complexity constraints, and techniques to arithmetize such constraints over fields of small characteristic.

## 1 Introduction

The study of efficient probabilistic methods for verifying proofs was initiated in the works of Babai *et al.* [BFLS91] and Feige *et al.* [FGL<sup>+</sup>96] with very different motivation and emphases. The work of Babai *et al.* considered the direct motivation of verifying proofs, and especially computations, highly efficiently. Their motivation led them to emphasize the time taken by the verifier and the length of the proof in the new format. In contrast, Feige *et al.* established a dramatic connection between efficient probabilistically checkable proofs (PCPs) and the inapproximability of optimization problems. This led them to focus on the amount of randomness used by the verifier, and the number of bits of the proof that the verifier queries. Most succeeding works have focused on the latter choice of parameters, or variants thereof, and derived many strong inapproximability results for a wide variety of optimization problems (while often introducing improved PCP constructions). In contrast there has been little subsequent work on the parameters highlighted by Babai *et al.* Only a few works, specifically [PS94, HS00, GS02, BSVW03, BGH<sup>+</sup>04, BS05], have focused on the length of the PCP, while no later work seems to have returned to the question of the extreme efficiency of the verifier. This is unfortunate because the latter efficiency parameters are significant in the context of proof-verification, and are also important in some of the applications of PCPs.

In this work we revisit the study of efficient PCP verifiers. Our work is motivated by two recent devel-

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<sup>0</sup>Work done when the first, second, fourth and fifth authors were visiting Radcliffe Institute for Advanced Study and the third author was at MIT and the Toyota Technological Institute, Chicago.

opments. First is a technical one: The original result of Babai *et al.* [BFLS91] required the PCP to be larger than the classical proof by a factor of  $\Omega(n^\epsilon)$  for arbitrarily small but positive  $\epsilon$ , where  $n$  denotes the length of a classical proof. Recent constructions of PCPs have, however, obtained a much smaller proof length. Notably the blowup in the proof-length in the work of Ben-Sasson *et al.* [BGH<sup>+</sup>04] is only  $2^{(\log n)^\epsilon}$  where the verifier is allowed to query  $O(1/\epsilon)$  bits of the proof. And if the verifier is allowed to make more queries, polylogarithmic in the length of the proof, then the blowup in the proof-length is only a polylogarithmic factor (cf. Ben-Sasson and Sudan [BS05]). These improvements in the proof length raise the question as to whether these can be accompanied with efficient verifiers, which would lead to the first strict improvements on the work of [BFLS91]; that is, reducing one of the parameters (i.e., the length) without increasing the other (i.e., verification time).

A second motivation to study efficient verifiers is an aesthetic one. To motivate this, we recall the main result of [BFLS91].

There exists a probabilistic verifier that makes oracle access to an “encoded assertion”, and a “purported proof”, and whose running time is only polylogarithmic in the length of the assertion and its proof, such that the proper encoding of any valid assertion has a proof that is accepted with probability one, while if a supposedly “encoded assertion” is not close to the proper encoding of a valid assertion, then no proof is accepted with probability more than half.

One might contend that the power of this result is somewhat diminished by the technical nature of the statement and in particular the need to encode theorems in error-correcting codes. Such a notion appears necessary due to the sublinear running time of the verifier. However a recent notion, proposed independently by Ben-Sasson *et al.* [BGH<sup>+</sup>04] and Dinur and Reingold [DR04], suggests a more elegant characterization to capture the power of efficient verifiers — one that is similar to work in property testing [GGR98, RS96]. We describe this notion, termed “probabilistically checkable proofs of proximity” (by [BGH<sup>+</sup>04] and “assignment testers” by [DR04]) below.

**PCPs of Proximity.** A PCP of Proximity verifier accesses a pair of oracles, one for a string  $x$  (representing the assertion “ $x \in L$ ”) and one for a proof  $\pi$ , and probabilistically produces a Boolean verdict with the property that true assertions  $x$  have a proof  $\pi$  that is

always accepted while for an assertion  $x$  that is far (in relative Hamming distance) from any valid statement has no proof that is accepted with high probability (say greater than half).

On the one hand, PCPs of Proximity do not specify an error-correcting code, making a potential result less cumbersome to state. On the other hand, a universal result of the form, “every language  $L$  in NP has efficient PCPs of Proximity”, does subsume the result of [BFLS91], since such a result includes languages that only contain (a subset of) codewords of an error-correcting code. In principle, the techniques of [BFLS91] could be converted to get such a result (i.e., providing a PCP of proximity (for NP) whose running time is polylogarithmic and where the proofs are only  $n^{1+\epsilon}$ -bits long), but such a statement is not explicit in the previous literature.

**Our results.** In this work, we derive PCPs of Proximity for every NP language. These PCP systems have *highly efficient* verifiers that match other parameters of some of the best-known PCPs. Specifically, one of our main results (see Theorem 2.5) gives a PCP of Proximity for any language  $L \in \text{NTIME}(T(n))$ , with  $\text{poly log}(T(n))$  verification time for proofs of length  $T(n) \cdot \text{poly log}(T(n))$ . This PCP matches the query complexity and proof length of the system of [BS05], which was proved only for NP and uses a polynomial-time verification procedure. Our second main result focuses on the case where the query complexity of the verifier is further restricted (to, say, a constant) and gives a polylogarithmic time verifier making  $O(1/\epsilon)$  queries into a theorem and proof, whose proof length is  $T(n) \cdot 2^{(\log T(n))^\epsilon}$ , again for verifying membership in  $L \in \text{NTIME}(T(n))$ . This PCP matches the query complexity and proof length of the system of [BGH<sup>+</sup>04], which was proved only for NP and uses a polynomial-time verification procedure. Both results improve over [BFLS91] (and [PS94]), which obtains proofs of length  $T(n)^{1+\epsilon}$ , for any constant  $\epsilon > 0$ .

In terms of the length of the proof, a polylogarithmic factor is perhaps the best one can hope for, given our current inability to get tighter completeness results for non-deterministic computation: E.g., even in a classical reduction to SAT, one loses a polylogarithmic factor in the length of the proof. Thus, our first result achieves this “limit” in the length of the proof, while maintaining the smallest possible running time (i.e., a verifier examining a proof of length  $T(n)$  needs at least  $\log T(n)$  time to index a random location of the proof). Thus, with respect to the original parameters of [BFLS91], our first result achieves limits of qualitative significance. Our second result (which also im-

proves upon [BFLS91]) is significant when query complexity is also considered and then it matches the best known PCP constructions, while maintaining efficient verification.

**Techniques.** Naturally, our efficient PCPs of Proximity are based upon the prior works of [BGH<sup>+</sup>04] and [BS05]. However, we stress that efficiency (i.e., fast verification time, let alone polylogarithmic verification time) is not an immediate corollary of having low query complexity. Indeed the FGLSS verifier [FGL<sup>+</sup>96] invests polynomial time to compute low-degree extensions of its input. The Polishchuk-Spielman verifier [PS94] invests polynomial time routing a permutation from  $n$  sources to  $n$  sinks in a sorting network. And most known PCP constructions use recursive composition, where the time to compose PCPs is lower-bounded by the query complexity of the ingredient PCPs, which can be prohibitively large too. Such operations abound in the recent constructions of PCPs including those of [BGH<sup>+</sup>04] and [BS05] leading to several barriers in any immediate translation. These complications force us to tackle some new problems and our solutions yield some new ingredients that may be of independent interest.

First, we give a new problem that is NEXP-complete under nearly linear-time reductions. This problem may be described as a generalized graph coloring problem (color the vertices of a graph subject to arbitrary constraints on the color of a vertex given its name and the colors of its neighbors). We show that it is NEXP hard to color an (exponentially large) deBruijn graph with a constant number of colors, where the coloring constraint function (determining the validity of the coloring of a vertex and its neighbors, depending on the name of the vertex) is described by an extremely low-complexity function; namely, an  $\text{NC}_1$  circuit. Moreover, the reduction from an instance of  $\text{NTIME}(T(n))$  yields a deBruijn graph of size  $T(n) \cdot \log^{O(1)} T(n)$ . Both the construction of such a low-complexity function (of the coloring constraint) and such an efficient reduction may be of independent interest. At a high level, our reduction works by embedding the nearly linear-time oblivious Turing machine simulation of Pippenger and Fischer [PF79] on a deBruijn graph.

Next, we describe a general arithmetization technique that converts low-complexity functions into their low-degree extensions that are computable by small algebraic circuits, even when the degree of the extension is very large. This part uses heavily the structure of large finite fields of small characteristic, and may be of independent interest. Applying the arithmetization to our NEXP-complete coloring problem gives a fam-

ily of nearly linear-time reductions from  $\text{NTIME}(T)$  to algebraic problems.

Finally, we extend standard notions of compositions to verifiers that are specified implicitly, so as to obtain by composition, efficient verifiers whose running time complexity can be much smaller than the query complexity of some of the ingredients in the composition.

**Organization of this paper:** In Section 2 we present the main definitions underlying our work, and provide a formal statement of our main results. In Section 3 we provide an overview of the proofs of our main results. The proof themselves appear in the rest of the paper, and their organization is described in Section 3.3.

## 2 Definitions and Main Results

We follow the general formulation of PCPs of Proximity (PCPPs), as appearing in [BGH<sup>+</sup>04, DR04]. In this formulation, the input comes in two parts  $(x, y)$ , where  $x$  is given explicitly to the verifier and  $y$  is given as an oracle. (In addition, the verifier is given access to a proof oracle.) The verifier is allowed to read  $x$  in its entirety, but its queries to  $y$  are counted as part of its query complexity (i.e., together with the queries to the proof oracle). Natural special cases, where either  $y = \lambda$  or  $x = |y|$  (i.e.,  $x$  is the length of  $y$  in binary), will be discussed below.

**Definition 2.1 (Restricted PCPP)** *Let  $r, q : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$  and  $t : \mathbb{Z}^+ \times \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ . An  $(r, q, t)$ -restricted PCPP verifier is a probabilistic machine that, given a string  $x$  (called the explicit input) and a number  $K$  (in binary) as well as oracle access to an implicit input  $y \in \Sigma^K$  and to a proof oracle  $\pi \in \Sigma^*$ , tosses  $r(|x| + K)$  coins, queries the oracles  $(y, \pi)$  for a total of  $q(|x| + K)$  symbols, runs in time  $t(|x|, K)$ , and outputs a Boolean verdict in  $\{\text{accept}, \text{reject}\}$ .*

We stress that we deviate from the standard treatments in not requiring the verifier to run in polynomial time, but rather considering an explicit time bound, denoted  $t$ . Furthermore, this time bound is expressed as a function of two parameters: the length of the explicit part of the input (i.e.,  $x$ ) and the length of the implicit part of the input (i.e.,  $y$ ). The reason for separating the effect of the two parts is that, by definition, the verifier must read the entire explicit input  $x$  and hence takes time at least linear in its length but it can run for time that is polylogarithmic in  $|y| = K$  (just  $K$  is needed for reading  $|y|$  and indexing into  $y$ ). In fact, obtaining such running-time is the focus of the current work. Other

complexity measures (and parameters) are expressed (as usual) as a function of the sum of these two parts (i.e., the length of the entire input  $(x, y)$ ). Recall that our interest in the randomness complexity stems from its effect on the *proof length*: the (“effective”) length of the proof oracle of a  $(r, q, \cdot)$ -restricted PCPP verifier is at most  $\ell(m) = 2^{r(m)} \cdot q(m)$ .

In view of the above, PCPPs refer to languages consisting of pairs of strings (where the elements in these pairs refer to the two parts of the input in Definition 2.1). Thus, we define a **pair language** to be subset of  $\Sigma^* \times \Sigma^*$ . For a pair language  $L$  and  $x \in \Sigma^*$ , we define  $L_x \stackrel{\text{def}}{=} \{y \in \Sigma^* : (x, y) \in L\}$ . We usually use the notations  $n = |x|$ ,  $K = |y|$  and  $m = n + K$ .

We will be interested in PCPP verifiers that cannot afford to read their implicit input  $y$  in its entirety. Such verifiers will not be able to exactly verify membership of  $(x, y)$  in a language  $L$ , but will rather test that  $y$  is “close” to  $L_x$ . Unless stated otherwise, we use the **relative Hamming distance** as our distance measure between  $x, x' \in \Sigma^n$ , denoted  $\delta(x, x') = |\{i : x_i \neq x'_i\}|/n$ . For  $x \in \Sigma^n$  and  $S \subseteq \Sigma^n$ , we define  $\delta(x, S) = \min_{x' \in S} \{\delta(x, x')\}$ . The string  $x$  is said to be  $\delta$ -far from (resp.,  $\delta$ -close to)  $S$  if  $\delta(x, S) > \delta$  (resp.,  $\delta(x, S) \leq \delta$ ).

**Definition 2.2 (PCPP for Pair Languages)**

For functions  $r, q : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ ,  $t : \mathbb{Z}^+ \times \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ ,  $s, \delta : \mathbb{Z}^+ \rightarrow [0, 1]$ , a pair language  $L \subseteq \Sigma^* \times \Sigma^*$  is in  $\text{PCPP}_{s, \delta}[r, q, t]$  if there exists an  $(r, q, t)$ -restricted verifier  $V$  with the following properties:

- **Completeness:** If  $(x, y) \in L$  then there exists a  $\pi$  such that  $\Pr_R[V^{y, \pi}(x, |y|; R) \text{ accepts}] = 1$ , where  $V^{y, \pi}(x, |y|; R)$  denotes the decision of  $V$  on input  $(x, |y|)$ , oracle access to  $(y, \pi)$  and coin tosses  $R$ .
- **Soundness:** If  $(x, y)$  is such that  $y$  is  $\delta(|x| + |y|)$ -far from  $L_x \cap \Sigma^{|y|}$ , then for every  $\pi$  it holds that  $\Pr_R[V^{y, \pi}(x, |y|; R) \text{ accepts}] \leq s(|x| + |y|)$ .

If we specialize Definition 2.2 to pair languages where the implicit input is the empty string  $\lambda$  (and constrain the verifier to polynomial time), then we obtain the standard definition of PCPs.

**Definition 2.3 (PCP)** A language  $L$  is in  $\text{PCP}_s[r, q]$  if there exists a function  $t(n, K) = t(n, 0) = n^{O(1)}$  and a constant  $\delta < 1$  such that the pair language  $L' = L \times \{\lambda\}$  is in  $\text{PCPP}_{s, \delta}[r, q, t]$ .

On the other hand, if we specialize Definition 2.2 to pair languages where the explicit input only specifies the length of the implicit input (and constrain the verifier again to polynomial time), then we obtain verifiers that can check, in *polylogarithmic time*, whether a

string given as oracle is close to being in some (“pure”) language. The special case where this language contains error-correcting encodings of some NP-set was studied in [BFLS91]. We generalize their definition as follows:

**Definition 2.4 (Efficient PCPP for pure languages)** A language  $L$  is in  $\text{eff-PCPP}_{s, \delta}[r, q]$  if there exists a function  $t(n, K) = t(0, K) = (\log K)^{O(1)}$  such that the pair language  $L' = \{\lambda\} \times L$  is in  $\text{PCPP}_{s, \delta}[r, q, t]$ .

More generally, we may define efficient PCPP as ones having a verifier that runs in time polynomial in  $|x, K|$ ; that is, having time complexity  $t(n, K) = (n \log K)^{O(1)}$ .

Recall that most PCP results (only) refer to NP, but many of them can be scaled up to  $\text{NTIME}(T)$  for any  $T : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$  such that  $T(m) < \exp(\text{poly}(n))$ . Note that such a scaling requires, as per Definition 2.3, that the verifier run in polynomial-time (rather than in time polynomial in  $T$ ). The few works [PS94, HS00, GS02, BSVW03, BGH<sup>+</sup>04, BS05] that focus on the length of the PCP are an exception: their result refers to NP and do *not* extend to  $\text{NTIME}(T)$ , because the resulting verifiers run in time polynomial in  $T$  (rather than polynomial in its input length). Obtaining such (polynomial-time) extensions is the goal of the current paper.

**Our results:** The first main result of this paper is a PCP verifier for  $\text{NTIME}(T)$  with query and randomness complexities analogous to those in [BS05]. Essentially, for every  $L \in \text{NTIME}(T)$ , where  $T(n) < \exp(\text{poly}(n))$ , we present a PCP for  $L$  using proof length  $T(n) \cdot \text{poly} \log T(n)$  verifiable in time  $\text{poly} \log T$ , generalizing the results in [BS05] which refers to the case  $T(n) = \text{poly}(n)$ . We stress that our verifier runs in time polynomial in  $n, \log K$  and  $\log T$ , and this should be contrasted with the  $\text{poly}(T)$ -time verifier implicit in works as [GS02, BSVW03, BGH<sup>+</sup>04, BS05] (as well as in [PS94, HS00]) which refer explicitly only to the case  $T(n) = \text{poly}(n)$ . More generally, we have:

**Theorem 2.5 (Efficient PCPPs with short proofs)** Suppose that  $L$  is a pair language in  $\text{NTIME}(T)$  for some non-decreasing function  $T : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ . Then, for every constant  $s > 0$ , we have  $L \in \text{PCPP}_{s, \delta}[r, q, t]$ , for

- Proximity parameter  $\delta(m) = 1/\text{poly} \log T(m)$ ,
- Randomness complexity  $r(m) = \log_2 T(m) + O(\log \log T(m))$ ,

- *Query complexity*  $q(m) = \text{poly log } T(m)$ ,
- *Verification time*  
 $t(n, K) = \text{poly}(n, \log K, \log T(n + K))$ .

In particular, we obtain PCPPs for pure languages in NP (i.e.,  $L' = \{\lambda\} \times L$ ) that meet the query and randomness complexities of [BS05], while using a verifier that runs in time that is polylogarithmic in its (implicit) input. Likewise, we obtain PCPs for languages in NEXP, with a randomness and query complexities that generalize the PCPs of [BS05] (which refer only to languages in NP).

Our second main result provides similar efficiency improvements to the PCPs of [BGH<sup>+</sup>04]. The proofs are somewhat longer than in Theorem 2.5, but the number of queries is much smaller.

**Theorem 2.6 (Efficient PCPPs with small query complexity)** *Suppose that  $L$  is a pair language in  $\text{NTIME}(T)$  for some non-decreasing function  $T : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ . Then, for every function  $\epsilon : \mathbb{Z}^+ \rightarrow (0, 1)$  such that  $\epsilon(m) \geq \log \log \log T(m) / 2 \log \log \log T(m)$  and every two constants  $s, \delta > 0$ , we have  $L \in \text{PCPP}_{s, \delta}[r, q, t]$ , for*

- *Randomness complexity*  
 $r(m) = \log_2 T(m) + A_\epsilon(m)$ , where  $m = n + K$   
and  $A_\epsilon(m) = O(\log T(m)^{2\epsilon(m)}) + \left(\frac{1}{\epsilon(m)} + \log T(m)^{\epsilon(m)}\right) \cdot \log \log T(m)$ ,
- *Query complexity*  $q(m) = O(1/\epsilon(m))$ ,
- *Verification time*  
 $t(n, K) = \text{poly}(n, \log K, \log T(n + K))$ .

In particular, we obtain PCPPs for pure languages in NP (i.e.,  $L' = \{\lambda\} \times L$ ) that meet the query and randomness complexities of [BGH<sup>+</sup>04], while using a verifier that runs in time that is polylogarithmic in its (implicit) input. Likewise, we obtain PCPs for languages in NEXP, with a randomness–query complexity trade-off that generalize the PCPs of [BGH<sup>+</sup>04] (which refer only to languages in NP).

Two special cases of interest are:

1. Letting  $\epsilon(m)$  be an arbitrarily small constant, yields query complexity  $O(1/\epsilon(m))$  and randomness complexity  $\log_2 T(m) + \log^{2\epsilon} T(m)$ , which in turn means proof length  $T(m) \cdot \exp(\log^{2\epsilon} T(m))$ .
2. Setting  $\epsilon(m) = \log \log \log T(m) / 2 \log \log T(m)$ , yields query complexity  $o(\log \log T(m))$  and randomness complexity is  $\log_2 T(m) + o((\log \log T(m))^2)$ , which in turn means proof length  $T(m) \cdot \exp(o(\log \log T(m))^2)$ .

### 3 Overview of our proofs

Our main results are obtained by applying a common collection of ideas to two previous PCP constructions. Specifically, Theorem 2.5 is obtained by constructing an efficient verifier that is patterned after the verifier of [BS05], while Theorem 2.6 is obtained based on the work of [BGH<sup>+</sup>04]. Here we describe the main ideas used to get our improvements.

For starters, we focus on the construction of PCPP for pure languages (i.e., PCPP that only refer to an implicit input, and no explicit input). In both the aforementioned constructions, there is a main construct (a “robust” PCPP) that is composed with itself (double-logarithmically) many times. Two issues arise. The first issue is to obtain such a main construct (i.e., a robust PCPP with adequate query and randomness complexities) that supports polylogarithmic time (rather than polynomial-time) verification. Loosely speaking, this requires a more efficient reduction from NP (or  $\text{NTIME}(T)$ ) to an algebraic constraint satisfaction problem (CSP) (of the type used in [BGH<sup>+</sup>04] and [BS05], resp.). In particular, we obtain a succinct representation (of polylogarithmic length) of the constraints. The second issue is the use of the proof composition paradigm in a context (indeed ours) where one cannot afford verification time that is as high as the query complexity of the intermediate verifiers used in the construction. (Needless to say, the verification time will be lower-bounded by the query complexity of the final verifier.) Loosely speaking, addressing this issue requires working with succinct representations of the sequence of queries and decision predicate of the intermediate verifiers. When proving Theorem 2.6 we introduce a general formulation (of so-called “verifier specifications”) supporting this process, whereas the proof of Theorem 2.5 capitalizes on properties of the special algebraic problem used in [BS05]. Below, we present more detailed overviews of the two proofs, starting with the proof of Theorem 2.5.

Let us start by justifying our focus on PCPPs for pure languages (or, equivalently, PCPPs without explicit inputs). Recall that our final goal is to obtain PCPPs for general languages (e.g., PCPPs for circuit-value where the circuit is given as explicit input and the assignment is an implicit input). Instead, for sake of simplicity, we wish to carry out the construction when only referring to PCPPs that have no explicit input. We cannot just move the explicit input  $x$  to the implicit part (i.e., replace the implicit input  $y$  by  $(x, y)$ ), because this will not maintain the desired guarantees (i.e., that  $y$  is close to some  $\hat{y}$  such that  $(x, \hat{y})$  that is in the language since soundness only guarantees that

$(x, y)$  is close to some  $(\hat{x}, \hat{y})$  is in the language, where it may be that  $x \neq \hat{x}$ .) Instead, we should incorporate in the implicit input an error correcting encoding of the explicit input, That is, for a language  $L$ , rather than verifying that the implicit input  $y$  is close to some  $\hat{y}$  such that  $(x, \hat{y}) \in L$ , we verify that the implicit input  $(\text{ECC}(x), y)$  is close to some  $\text{ECC}(\hat{x}, \hat{y})$  where ECC is an error-correcting code and the two components  $\text{ECC}(x)$  and  $y$  are given equal weight when measuring Hamming distance.

### 3.1 Proof of Theorem 2.5

The proof modifies the construction of Ben-Sasson and Sudan [BS05]. We thus start by describing the verifier of [BS05], hereafter referred to as the BS-verifier.

**The BS-Verifier.** The first step in the construction of the BS-verifier reduces the problem at hand to an instance of a ‘‘Constraint Satisfaction Problem on a De-Bruijn graph’’: that is, a problem where the goal is to color the vertices of a DeBruijn graph such that the coloring of any single vertex is ‘‘consistent’’ with the coloring of its neighbors. Consistency is given by a list of legal values for every neighborhood, and varies from neighborhood to neighborhood. Thus, an instance of the problem is represented by such a sequence of sets (or constraints), where each set represents the legal values for a given vertex and its neighbors. The second step in the construction of the BS-verifier consists of an arithmetization of the DeBruijn-CSP, resulting in a ‘‘univariate algebraic CSP’’: a problem where the goal is to determine if there exists a low-degree univariate polynomial  $A$  over a finite field  $\mathbb{F}$  such that applying a given ‘‘local’’ operator  $C$  to  $A$  results in a polynomial  $B = C(A)$  that is zero on a prespecified set  $H \subseteq \mathbb{F}$ . Thus, the operator  $C$  specifies an instance of this problem, and is determined from the constraints of the DeBruijn-CSP by a straightforward univariate interpolation. The third step in the construction of the BS-verifier is designing a verifier for the univariate algebraic CSP. A special ingredient in this verifier is a recursive procedure to verify whether a low-degree (univariate) polynomial  $B$ , given by a (possibly slightly corrupted) table of its values, is zero on every  $\alpha \in H$ . This recursive verification constitutes a special-purpose proof composition technique. (It is special-purpose in the sense that it refers to PCPPs for a specific language rather than all of NP.)

It turns out each of these three steps relies on the fact that the resulting BS-verifier is allowed polynomial-time computations. For example, given an instance  $y$  (of the original problem), the constraints

in the DeBruijn-CSP are determined in  $\text{poly}(|y|)$ -time, and each constraint depends on the entire  $y$ . Seeking polylogarithmic verification time, we need to find an alternative reduction and an adequate arithmetization.

**Getting an efficient BS-type verifier.** Recall that given an implicit input  $y$  and we need to verify membership in some (adequate universal) language  $L$ . Referring to the first step in the construction, we wish to transform  $y$  into a succinct representation of an instance of DeBruijn-CSP, but need to do so without knowing the entire  $y$ . In such a succinct DeBruijn-CSP, the constraint associated with a vertex  $v$  (i.e., placing conditions on the coloring of  $v$  and its neighbors) can be computed in time  $\text{poly}(|v|)$  possibly using oracle access to  $y$ . Furthermore, for subsequent arithmetization, even such efficient computation is not sufficient; we require the constraint to be computed extremely efficiently, e.g., by an  $\text{NC}_1$  circuit (applied to the vertex name). To this end, we define Succinct DeBruijn-CSP (see Definition 4.3 (where we use the term generalized coloring)) in a way that makes such efficient computation a requirement; and reduce the universal problem to this problem (see Theorem 4.4). This reduction revisits a classical reduction of general Turing machine computations to Turing machine computations on oblivious machines due to Pipenger and Fischer [PF79]. This replaces the first step in the BS-construction.

Next we jump to the *third step* of the BS-construction, namely of verifying that a univariate polynomial  $B$ , given by a (slightly corrupted) table of the associated function  $B : \mathbb{F} \rightarrow \mathbb{F}$ , is zero on a given set  $S \subseteq \mathbb{F}$ . In order to perform this verification, the BS-verifier considers the polynomial  $Z_S(x) \stackrel{\text{def}}{=} \prod_{e \in S} (x - e)$ , and evaluates  $Z_S(r)$  at a random  $r \in \mathbb{F}$ . The BS-verifier performs this computation in the straightforward way, taking  $O(|S|)$  field operations, which turns out to be polynomially related to the length of the (implicit) input (i.e.,  $y$ ). For our purposes such running-time is too expensive; recall, we need a verifier running in polylogarithmic (in  $|y|$ ) time. In particular, we wish to evaluate  $Z_S$  in  $\text{poly} \log |\mathbb{F}|$  time. To this end we exploit the fact that we (as designers of the PCP) have (almost full) control on the choice of the set  $S$  for which the verifier needs to evaluate the polynomial  $Z_S$ . We now use the fact that if  $\mathbb{F}$  has small (i.e., constant) characteristic (e.g., two), and  $S$  is a linear subspace of  $\mathbb{F}$  (where we view  $\mathbb{F}$  as a vector space), then the polynomial  $Z_S$  is  $\log |S|$ -sparse (i.e., has only  $\log |S|$  terms) and thus  $Z_S$  can be evaluated in  $\text{poly} \log |S|$  field operations. (The relevant algebraic facts are described and proved in Section 5.1.) We mention that the compu-

tational advantages of working with linear subspaces of finite fields is one of the main contributions of this work (even though the underlying algebraic facts are well-known and were used, though not computationally, in [BS05, BGH<sup>+</sup>04]).

Finally we move to the *second step* of the BS-construction, where we transform DeBruijn-graph CSP to (univariate) algebraic CSPs. It is shown in [BS05] how to embed<sup>1</sup> the DeBruijn graph into a Cayley-like graph over any sufficiently large field of characteristic two (where the vertices of the Cayley-like graph are elements of the field and adjacency is given by a constant number of affine functions over the field). We use the same embedding, and arithmetize the constraint function over the same embedding. For this part, we need to transform the “constraint” function  $C$ , where  $C(v, \dots)$  describes the constraint on the neighborhood of the vertex  $v$ , into a polynomial of moderately low-degree that can be computed by a very small circuit over  $\mathbb{F}$ . More specifically, if we let  $S \subseteq \mathbb{F}$  be the image of the embedding, then we would like the polynomials to have degree  $\tilde{O}(|S|)$ , while the size of the circuits should be  $\text{poly log } |S|$ . This is a non-trivial challenge, since all we know about the function  $C$  is that it is a small depth circuit when its input is viewed as a sequence of bits, whereas now we want to view the input as an element of  $S \subseteq \mathbb{F}$  and perform only  $\mathbb{F}$  operations on it.

Once again we bring in the fact that  $S$  is selected to be a linear subspace of  $\mathbb{F}$ . We also use the fact that the bits of the natural representations of  $v \in S$  are projection functions, which in turn are linear maps of  $S$  to  $\mathbb{F}$ . We prove and use the fact that, when  $S$  is a linear subspace of  $\mathbb{F}$ , any linear map  $f : S \rightarrow \mathbb{F}$  can be represented by a  $(\log |S|)$ -sparse polynomial  $\hat{f} : \mathbb{F} \rightarrow \mathbb{F}$  of degree  $|S|$  that extends  $f$  (see Proposition 5.1). This implies that any bit in the natural representation of  $v \in S$  can be computed efficiently by a small algebraic circuit of low-degree. We conclude that any small-depth small-size circuit can be arithmetized naturally to get a small-degree small-algebraic circuit (see Theorem 5.5). Thus, we get a low-degree polynomial that is computed by a small algebraic circuit that represents, for every  $v \in S$ , the constraint associated with  $v$ ’s neighborhood.

<sup>1</sup>The notion of embedding used here is that of an injective homomorphism, where a vertex  $u$  is mapped to  $f(u)$  such that the existence of a directed edge  $u \rightarrow v$  in the image graph implies that  $f(u) \rightarrow f(v)$  is an edge of the graph used for embedding. Note that  $f$  is not necessarily surjective and that non-edges need not map to non-edges.

### 3.2 Proof of Theorem 2.6

The proof modifies the construction of Ben-Sasson *et al.* [BGH<sup>+</sup>04]. We thus start by describing the verifier of [BGH<sup>+</sup>04], hereafter referred to as the BGHSV-verifier. Recall that the BGHSV-verifier has lower query complexity than the BS-verifier, though it utilizes slightly longer proofs. These features are inherited by Theorem 2.6 (as compared to Theorem 2.5).

**The BGHSV verifier.** The BGHSV-verifier is built by repeated composition of an atomic verifier, which we’ll call the Basic-Verifier. The ingredients going into the Basic-Verifier are similar to the ingredients of the BS-verifier: i.e., there is a reduction of SAT to DeBruijn-CSP; a reduction of DeBruijn-CSP to an algebraic problem (though this time, the reduction is to problems involving multivariate polynomials), and finally a construction of a (robust) PCPP verifier for the algebraic problem.

**Getting an efficient BGHSV-type verifier.** Employing similar (and sometimes, the same) ideas as those described in Section 3.1, we can improve the running time of this verifier also, and make it comparable to its query complexity. Unfortunately this falls (well) short of our goals of polylogarithmic time verification. This is because we later employ composition to reduce the query complexity of the PCP system. However the query complexity at the Basic-verifier could be as large as  $\sqrt{K}$ , for theorems of size  $K$ . The problem is that composition does not reduce the running time of the composed verifier: the running time of the composed verifier is the sum of the running times of the ingredient verifiers.

Thus the main additional challenge in reducing the time-complexity of the BGHSV-verifier is in redesigning the ingredients of PCP composition such that the verifiers used in composition have significantly smaller running times than their query complexity! We do so in the usual spirit of “implicit” computations: Rather than building a circuit that describes the computations of the Basic-verifier, we describe its computations by Turing machines. Rather than listing all the queries that the Basic-verifier would make, we describe a function that when given an index  $i$ , returns the  $i^{\text{th}}$  query that the verifier would make (if allowed to run fully). Put together this gives a specification of a verifier rather than the actual verifier itself. We then describe how composition works for verifier specifications. Due to space constraints, we defer the definition of a verifier specifications and the corresponding composition theorem to the full version of the paper. Finally,

we show how to construct an adequate verifier specification based on the techniques described above. Combining all of these gives a proof of Theorem 2.6.

### 3.3 Organization of the presentation of the proofs

In Section 4 we show how to reduce any language in  $\text{NTIME}(T)$ , for  $T(m) \leq \exp(\text{poly}(m))$ , to a generalized coloring problem referring de-Bruijn graphs. (In the above overview, we have referred to this generalized coloring problem as to a Constraint Satisfaction Problem, where constraints are applied only to local neighborhoods consisting of a vertex and its neighbors.) In Section 6, following adequate algebraic complexity preliminaries presented in Section 5, we present arithmetizations of the generalized de-Bruijn coloring problem. The complexity bounds for the arithmetizations follow from facts that are proven in Section 5. As mentioned in the overview, proving Theorem 2.6 requires a general proof composition technique that supports implicit specifications of verifiers. For want of space, we defer this composition technique and the actual constructions of the PCPPs (using the above mentioned ingredients) to the full version of the paper [BGH<sup>+</sup>05].

## 4 A Universal Graph Coloring Problem

Our universal problem refers to a family of graphs that are related to deBruijn graphs. Let  $\oplus$  denote the bitwise exclusive-or operation. We use commas to denote concatenation of binary strings.

**Definition 4.1** *The extended deBruijn graph  $\mathcal{DB}_{k,l}$  is a directed graph with  $l$  layers each containing  $2^k$  nodes, which are represented by  $k$ -bit strings. The layers are numbered  $0, 1, \dots, l-1$ . The node represented by  $v = (b_0, \dots, b_{i^*}, \dots, b_{k-1})$  in layer  $i$  has edges pointing to the nodes represented by  $\Gamma_{i,0}(v) = (b_0, \dots, b_{i^*}, \dots, b_{k-1})$  and  $\Gamma_{i,1}(v) = (b_0, \dots, b_{i^*} \oplus 1, \dots, b_{k-1})$  in layer  $i+1 \bmod l$ , where  $i^* \stackrel{\text{def}}{=} i \bmod k$ .*

Let  $M$  be any fixed Turing machine. The bounded halting problem  $\text{BH}_M$  for machine  $M$ , is defined as below.

**Definition 4.2 (Bounded Halting Problem)** *The bounded halting problem for the Turing machine  $M$ , indicated by  $\text{BH}_M$  has instances of the form  $(y, t)$  where  $y$  is an instance of the language recognised by  $M$  and  $t$  is any positive integer. The instance  $(y, t) \in \text{BH}_M$  iff the machine  $M$  accepts the instance  $y$  within  $2^t$  steps.*

We show how to reduce the bounded-halting problem for  $M$  to the following constraint satisfaction problem on (extended) deBruijn graphs. Following [VL88], we actually prefer to present the local constraints as a generalized coloring problem.

**Definition 4.3 (Generalized deBruijn Graph Coloring)** *The problem is defined with respect to the infinite family of extended deBruijn graphs,  $\{\mathcal{G}_t = \mathcal{DB}_{t+3, (t+3)2} = (V_t, E_t)\}_{t \in \mathbb{N}}$ , from Definition 4.1, and is parameterized by five (fixed) finite objects:*

1. a finite color-set  $\mathcal{C}$ ,
2. an extraction function  $f_{\text{extract}} : \mathcal{C} \rightarrow \{0, 1\} \cup \{\perp\}$ ,
3. a finite vertex-type set  $\mathcal{V}$ ,
4. a type-coloring constraint  $f_{\text{color}} : \mathcal{V} \times \mathcal{C}^3 \rightarrow \{0, 1\}$ , and
5. a uniform  $\text{NC}_1$  family of type-assignments  $f_{v\text{-type}} = \{f_{v\text{-type}}^{(t)} : V_t \rightarrow \mathcal{V}\}_{t \in \mathbb{N}}$ ; (that is, a logspace machine that on input  $1^t$ , outputs a boolean formula computing  $f_{v\text{-type}}^{(t)}$ ),

Given an input  $y \in \{0, 1\}^*$ , the problem is to determine whether, for  $t = \lceil \log_2 |y| \rceil$ , there exists a coloring  $C : V_t \rightarrow \mathcal{C}$  such that the following two conditions hold

1. for all vertices  $v$  in  $\mathcal{G}_t$ , we have  $f_{\text{color}}(f_{v\text{-type}}(v), C(v), C(\Gamma_{i,0}(v)), C(\Gamma_{i,1}(v))) = 0$ .  
In such a case, we say that  $C$  satisfies the coloring constraints, which are induced by  $f_{v\text{-type}}$  and  $f_{\text{color}}$ .
2. for all  $1 \leq i \leq K = |y|$ , we have  $y_i = f_{\text{extract}}(C(v_i))$ , where  $v_i$  is vertex number  $2 \cdot 2^t + i$  in layer 0 of  $\mathcal{G}_t$ .

In such a case, we say that  $C$  is consistent with the input (or with  $y$ ).

We show that for any Turing machine  $M$ , there exist a setting of the five finite parameters of the Generalized deBruijn Graph Coloring problem that makes it universal. That is:

**Theorem 4.4 (Universality of Generalized de-Bruijn Graph Coloring)** *For any Turing machine  $M$ , there exist finite parameters  $\mathcal{C}$ ,  $f_{\text{extract}}$ ,  $\mathcal{V}$ ,  $f_{\text{color}}$  and  $f_{v\text{-type}}$ , such that the bounded-halting problem for  $M$  is reducible via the identity mapping<sup>2</sup> to the corresponding*

<sup>2</sup>We say that a problem  $A$  is reducible to another problem  $B$  via the identity mapping if the following holds: For every instance  $x$  of the problem  $A$ ,  $x$  is a YES-instance (similarly NO-instance) of  $A$  iff  $x$  is a YES-instance (NO-instance) of  $B$ .



*Generalized deBruijn Graph Coloring problem.* Furthermore, for every  $y \in \{0, 1\}^K$ , where  $K < 2^t$ , machine  $M$  halts on  $y$  within  $2^t$  steps if and only if there exists a coloring  $C : V_t \rightarrow \mathcal{C}$  that satisfies the coloring constraints and is consistent with  $y$ .

Note that the size of  $\mathcal{G}_t$  is  $(t + 3)2 \cdot 2^{t+3}$ , which is  $O(T \cdot \log 2T)$ , where  $T = 2^t$  bounds the running time of  $M$ . Theorem 4.4 is stronger than the related result of Polishchuk and Spielman [PS94] in the sense that the coloring problem uses a fixed set of coloring constraints, which can be generated very efficiently (i.e., it admits a succinct description by uniform  $\mathbf{NC}_1$  circuits). In contrast, the reduction of [PS94] uses constraints that are computed in time  $\text{poly}(T)$  based on the original instance.

Our proof of Theorem 4.4 combines the ideas of [PS94] with the oblivious TM simulation of Pippenger and Fischer [PF79]. Actually, it is simpler to bypass oblivious Turing machines and rather just show how to describe valid computations of  $M$  in a recursive manner (which is indeed the basis for the oblivious simulation). For simplicity, we only show how to do this for a 1-tape TM, but the proof is easily extended to handle multi-tape TMs (which is needed, because we will eventually work with a 2-tape universal Turing machine).

**Proof of Theorem 4.4:** Say  $M$  has state set  $Q$ , containing a start state  $q_{\text{start}}$  and an accept state  $q_{\text{accept}}$ , tape alphabet  $\Gamma = \{0, 1\} \cup \{\perp\}$ , and transition function  $\delta_M : Q \times \Gamma \rightarrow Q \times \Gamma \times \{-1, 0, +1\}$ . Assuming that  $\perp \notin Q$ , we represent configurations of machine  $M$  by sequences over  $\Lambda = \Gamma \times (\{\perp\} \cup Q)$ , where each symbol in  $\Lambda$  represents a tape symbol, and indicates whether or not the head of  $M$  is in that position, and if so the state of  $M$ . A (partial) configuration of length  $L$  is a function  $\sigma : [0, L - 1] \rightarrow \Lambda$ , representing a  $L$ -cell window of  $M$ 's computation. Specifically,  $\sigma(i) = (\sigma_s(i), \sigma_q(i))$  says that  $\sigma_s(i) \in \Gamma$  is the tape symbol in the  $i^{\text{th}}$  cell of the window,  $\sigma_q(i) = \perp$  indicates that the head of  $M$  is not in the  $i^{\text{th}}$  cell, whereas  $\sigma_q(i) \neq \perp$  indicates that the head of  $M$  is in the  $i^{\text{th}}$  cell and that state of  $M$  is  $\sigma_q(i)$ . We say that  $\sigma$  is a valid configuration if there is exactly one cell  $i$  such that the second component of  $\sigma(i)$  is in  $Q$ , and we denote this cell by  $\text{head}(\sigma) = i$ . For configurations  $\sigma$  and  $\sigma'$  both of length  $L$ , we call  $\sigma'$  the successor of  $\sigma$  if  $\sigma'$  is obtained from  $\sigma$  by one step of  $M$  and this step does not move the head outside the specified window. In particular, if  $0 < \text{head}(\sigma) < L$ , then  $\sigma$  has a (unique) successor. Similarly,  $\sigma'$  is the  $t^{\text{th}}$  successor of  $\sigma$  if  $\sigma'$  is obtained from  $\sigma$  by  $t$  steps of  $M$ , none of which leave the tape window of length  $L$ .

We say that a valid  $8L$ -symbol long configuration  $\sigma$  is safe if the head is in the “middle half” of  $\sigma$ ; that is,  $\text{head}(\sigma) \in [2L, 6L - 1]$ . A triple of  $8L$ -symbol long configurations  $(\sigma_I, \sigma_M, \sigma_F)$  (where the subscripts stand for “initial,” “middle,” and “final”) is defined to be good if  $\sigma_I$  is a safe configuration of length  $8L$ , configuration  $\sigma_M$  is the  $L^{\text{th}}$  successor of  $\sigma_I$ , and  $\sigma_F$  is the  $L^{\text{th}}$  successor of  $\sigma_M$ . (Note that the fact that  $\sigma_I$ 's head is in the middle half implies that the head cannot move outside the window within  $2L$  steps, so  $\sigma_M$  and  $\sigma_F$  are well-defined.)

The key observation underlying the Pippenger–Fischer oblivious TM simulation is that computations of  $2L$  steps in a window of size  $8L$  can be recursively simulated by two computations of  $L$  steps each in windows of size  $4L$ . The following lemma formulates this idea in a way convenient for our purposes.

**Lemma 4.5 (implicit in [PF79])** *Let  $(\sigma_I, \sigma_M, \sigma_F)$  be a triple of  $8L$ -symbol long configurations, and suppose that  $\sigma_I$  is safe. The triple  $(\sigma_I, \sigma_M, \sigma_F)$  is good if and only if there exist numbers  $h, h' \in [0, 4]$  and two good triples of  $4L$ -symbol long configurations,  $(\sigma'_I, \sigma'_M, \sigma'_F)$  and  $(\sigma''_I, \sigma''_M, \sigma''_F)$ , such that the following holds:*

1. For every  $i \in [0, 4L - 1]$ ,
  - $\sigma'_I(i) = \sigma_I(h \cdot L + i)$ ,
  - $\sigma'_F(i) = \sigma_M(h \cdot L + i)$ ,
  - $\sigma''_I(i) = \sigma_M(h' \cdot L + i)$ , and
  - $\sigma''_F(i) = \sigma_F(h' \cdot L + i)$ .
2.  $\sigma_M(i) = \sigma_I(i)$  for every  $i \in [0, 8L - 1] \setminus [h \cdot L, h \cdot L + 4L - 1]$ , and
3.  $\sigma_F(i) = \sigma_M(i)$  for every  $i \in [0, 8L - 1] \setminus [h' \cdot L, h' \cdot L + 4L - 1]$ .

The forward direction of the lemma implies that during the first  $L$  steps succeeding  $\sigma_I$  the head remains in the interval  $[hL, hL + 4L]$ , and during the next  $L$  steps it remains in the interval  $[h'L, h'L + 4L]$ .

We now use this to express  $M$ 's acceptance criterion as a constraint satisfaction problem (using an instance of size  $\tilde{O}(2^t)$  to encode  $2^t$  steps). Our focus is on the simplicity of the rule determining the unknowns that are considered in each constraint.

In the following lemma,  $\mathbb{T}_0$  represents the initial contents of  $M$ 's tape as well as its contents after  $2^t$  and  $2 \cdot 2^t$  steps. The other  $\mathbb{T}_i$ 's represent numerous partial configurations that arise in the computation. Specifically,  $\mathbb{T}_i(j, \cdot)$  represents some window of length  $2^{t-i}$  after  $j \cdot 2^{t-i}$  (as well as after  $(j + 1) \cdot 2^{t-i}$  and

$(j+2) \cdot 2^{t-i}$  computation steps. The correspondances between the various  $\mathbb{T}_i$ 's are given by the functions  $\mathbb{H}_i$ 's that correspond to the  $h$ 's used in Lemma 4.5. The fixed Boolean functions  $\psi_0, \psi_1, \psi_2$  and  $\psi_3$  will capture the straightforward conditions that should hold for the aforementioned variable functions to encode a possible computation of  $M$ .

**Lemma 4.6 (Reduction to a CSP)** *For every Turing machine  $M$ , there exist fixed functions  $\psi_0, \psi_1 : \Lambda^{(5+1) \cdot 3} \times [0, 4]2 \rightarrow \{0, 1\}$ , and  $\psi_2 : \Lambda^3 \times [0, 4]2 \rightarrow \{0, 1\}$  and  $\psi_3 : \Lambda^{8 \cdot 3} \rightarrow \{0, 1\}$  such that for every  $t \in \mathbb{N}$  and  $K < 2^t$ , machine  $M$  accepts the input  $y \in \{0, 1\}^K$  within  $2^t$  steps if and only if there exist functions  $\mathbb{T}_0, \dots, \mathbb{T}_t$  and  $\mathbb{H}_0, \dots, \mathbb{H}_t$  satisfying the following conditions:*

1.  $\mathbb{T}_i : \{0, \dots, 2^i - 1\} \times \{0, \dots, 8 \cdot 2^{t-i} - 1\} \rightarrow \Lambda^3$ , for every  $i = 0, \dots, t$ .

*The 3 components will be indexed by  $I, M$  and  $F$ .*

2.  $\mathbb{H}_i : \{0, \dots, 2^i - 1\} \rightarrow [0, 4]2$ , for every  $i = 0, \dots, t$ .
3.  $\mathbb{T}_0(0, \cdot)_I$  encodes the initial configuration with input  $y$ . That is,  $\mathbb{T}_0(0, 2 \cdot 2^t)_I = (\sqcup, q_{\text{start}})$ ,  $\mathbb{T}_0(0, 2 \cdot 2^t + k)_I = (y_k, \perp)$  for  $k = 1, \dots, K$ , and  $\mathbb{T}_0(0, k)_I = (\sqcup, \perp)$  for all other values of  $k$ .
4.  $\mathbb{T}_0(0, \cdot)_F$  encodes an accepting configuration. That is,  $\mathbb{T}_0(0, 2 \cdot 2^t)_F = (\cdot, q_{\text{accept}})$ .
5. For every  $i = 0, \dots, t-1$ ,  $j = 0, \dots, 2^i - 1$  and  $k = 0, \dots, 4 \cdot 2^{t-i} - 1$ ,

$$\psi_0 \left( \left\langle \mathbb{T}_i(j, k + h \cdot 2^{t-i}) \right\rangle_{h \in [0, 4]}, \right. \\ \left. \mathbb{T}_{i+1}(2j, k), \mathbb{H}_i(j) \right) = 1$$

*and*

$$\psi_1 \left( \left\langle \mathbb{T}_i(j, k + h \cdot 2^{t-i}) \right\rangle_{h \in [0, 4]}, \right. \\ \left. \mathbb{T}_{i+1}(2j+1, k), \mathbb{H}_i(j) \right) = 1$$

*In other words, For every  $i = 0, \dots, t-1$  and  $j = 0, \dots, 2^i - 1$ , the functions  $\mathbb{T}_i(j, \cdot)_I$  and  $\mathbb{T}_i(j, \cdot)_M$  fit  $\mathbb{T}_{i+1}(2j, \cdot)_I$  and  $\mathbb{T}_{i+1}(2j, \cdot)_F$ , whereas  $\mathbb{T}_i(j, \cdot)_M$  and  $\mathbb{T}_i(j, \cdot)_F$  fit  $\mathbb{T}_{i+1}(2j+1, \cdot)_I$  and  $\mathbb{T}_{i+1}(2j, \cdot)_F$ , where the fitting is with respect to adequate shifts in  $\mathbb{T}_i$ , which in turn are given by  $\mathbb{H}_i$ .*

6. For every  $i = 0, \dots, t-1$  and  $j = 0, \dots, 2^i - 1$ , the “unfitted” portions of  $\mathbb{T}_i(j, \cdot)$  remain unchanged. That is, for every  $i = 0, \dots, t-1$ ,  $j = 0, \dots, 2^i - 1$ , and  $k = 0, \dots, 8 \cdot 2^{t-i} - 1$ ,

$$\psi_2(\mathbb{T}_i(j, k), \mathbb{H}_i(j)) = 1.$$

7.  $\mathbb{T}_t(j, \cdot)$  encodes single computation steps of  $M$ . That is, for every  $i = 0, 2^t - 1$ , it holds that  $\psi_3(\{\mathbb{T}_t(x, k) : k \in [0, 7]\}) = 1$ .

Note that Lemma 4.6 asserts a reduction, via the identity transformation, from the Bounded Halting ( $\text{BH}_M$ ) problem (of  $M$ ) to a Constraint Satisfaction Problem (CSP). Indeed, the instance  $(y, t)$  of  $\text{BH}_M$  (representing the question of whether  $M$  accepts  $y$  within  $2^t$  steps) is mapped to the instance  $(y, t)$  of CSP (representing the question of whether there exist functions that satisfy some set of conditions that depend on  $t$  and  $y$ ).

We now show how the above CSP can be embedded in an extended deBruijn graph, establishing Theorem 4.4. Recall that the extended deBruijn graph has  $(t+3)2$  layers, each with  $8 \cdot 2^t$  vertices represented by bit-strings in  $\{0, 1\}^{t+3}$ . We will only use the first  $(t+1) \cdot (t+3)$  layers, which we will view as being numbered  $(0, 0), \dots, (0, t+2), \dots, (t, 0), \dots, (t, t+2)$ . We will interpret a coloring of vertex in layer  $(i, 0)$  as giving the functions  $\mathbb{T}_i$  and  $\mathbb{H}_i$ . Specifically, viewing a vertex  $v \in \{0, 1\}^{t+3}$  in layer  $(i, 0)$  as a pair  $(j, k)$ , where  $j \in \{0, \dots, 2^i - 1\}$  and  $k \in \{0, \dots, 8 \cdot 2^{t-i} - 1\}$ , we interpret  $v$ 's color as a pair  $(\mathbb{T}_i(j, k), \mathbb{H}_i(j, k)) \in \Lambda^3 \times [0, 4]2$ . Note, however, that in Lemma 4.6, the function  $\mathbb{H}_i$  only depends on  $j$ . Thus, in addition to the conditions listed in Lemma 4.6, we will need to enforce the condition  $\mathbb{H}_i(j, k) = \mathbb{H}_i(j, k')$  for all  $k, k'$ . To enforce all of these conditions, we will use the intermediate layers between layer  $(i, 0)$  and layer  $(i+1, 0)$ . Specifically, we will route information between layer  $(i, 0)$  and layer  $(i+1, 0)$ , using easily constructible routes. We will use the coloring constraints to guarantee proper routing of information (through the intermediate vertices) as well as to enforce the conditions listed in Lemma 4.6 (at the end vertices, i.e., at layers  $(\cdot, 0)$ ). Actually, to allow for this routing, we use a larger set of color such that each intermediate vertex is colored by a  $O(1)$ -long sequence of “basic colors” (i.e., of the type used for vertices at layers  $(\cdot, 0)$ ). Indeed, the coloring constraints will depend on the vertex, and typically most conspicuously on the layer of the vertex.

**Lemma 4.7 (Reducing CSP to deBruijn Coloring)** *For every quadruple of functions  $(\psi_0, \psi_1, \psi_2, \psi_3)$ , there exist finite parameters  $\mathcal{C}$ ,  $f_{\text{extract}}$ ,  $\mathcal{V}$ ,  $f_{\text{color}}$  and  $f_{\mathcal{V}\text{-type}}$ , such that the following holds: For every  $t \in \mathbb{N}$ ,  $K < 2^t$ , and  $y \in \{0, 1\}^K$  there exist functions  $\mathbb{T}_0, \dots, \mathbb{T}_t$  and  $\mathbb{H}_0, \dots, \mathbb{H}_t$  satisfying the conditions of Lemma 4.6 if and only if there exists a coloring  $\mathcal{C} : \mathcal{V}_t \rightarrow \mathcal{C}$  that satisfies the coloring constraints and is consistent with  $y$ .*

Lemma 4.7 reduces the CSP (of Lemma 4.6) to the Generalized deBruijn Graph Coloring. Again, the reduction is by the identity mapping (applied to the instance  $(y, t)$ ).

**Proof Sketch:** Referring to the aforementioned correspondence between colors and the functions  $\mathbb{T}_0, \dots, \mathbb{T}_t$  and  $\mathbb{H}_0, \dots, \mathbb{H}_t$ , we need to show how the parameters of the coloring problem can enforce the conditions of the CSP. Recall that we need to deal with conditions of three types:

1. The CSP conditions listed in Lemma 4.6, which typically refer to  $\mathbb{T}_i(j, k + h \cdot 2^{t-i})$  and  $\mathbb{T}_i(2j + h, k)$  for a constant number of values of  $h$  as well as to  $\mathbb{H}_i(j, k)$ .
2. The auxiliary conditions  $\mathbb{H}_i(j, k) = \mathbb{H}_i(j, k')$ , for all  $j, k, k'$ .

To enforce all of these conditions, we will use the intermediate layers between layer  $(i, 0)$  and layer  $(i + 1, 0)$ . Specifically, we will “route” the  $(\mathbb{T}_i, \mathbb{H}_i)$  values assigned to vertices in layer  $(i, 0)$  through these intermediate layers so that each of the constraints can be checked by a coloring condition that refers to the values that reach each vertex of layer  $(i + 1, 0)$ : For each vertex  $v = (j, k)$  in layer  $(i + 1, 0)$  we check (via a coloring condition) its value (i.e., color) against the values assigned to vertices  $(\lfloor j/2 \rfloor, k), \dots, (\lfloor j/2 \rfloor, k + 4 \cdot 2^{t-i})$  of layer  $(i, 0)$ . This is done by ensuring that only a constant number of values are routed through any intermediate vertex and that the routing is simple enough. It suffices to consider each of the above five required routings separately. That is, for some  $h \in [0, 4]$ , we wish to determine a set of simple routes such that each vertex  $v = (j, k)$  of layer  $(i + 1, 0)$  is reached from the corresponding vertex  $u = (\lfloor j/2 \rfloor, k + h \cdot 2^{t-i})$  of layer  $(i, 0)$ .

Let us take a closer look at these two (generic) vertices. Denoting by  $\text{bin}_\ell(q)$  the  $\ell$ -bit long binary representation of the integer  $q \in \{0, \dots, 2^\ell - 1\}$ , we observe that  $v = \text{bin}_{i+1}(j)\text{bin}_{t-i+2}(k)$  whereas  $u = \text{bin}_i(\lfloor j/2 \rfloor)\text{bin}_{t-i+3}(k + h \cdot 2^{t-i})$ , where  $j \in \{0, \dots, 2^{i+1} - 1\}$  and  $k \in \{0, \dots, 2^{t-(i+1)} - 1\}$ . Thus, letting  $\alpha = \text{bin}_i(\lfloor j/2 \rfloor)$  and  $\tau = j \bmod 2$ , we have  $v = \alpha\tau 03\text{bin}_{t-(i+1)}(k)$  and  $u = \alpha\text{bin}_3(h)\text{bin}_{t-(i+1)}(k)$ . We infer that  $v$  and  $u$  differ only in a constant number of positions, and furthermore these positions are easy to determine (because they depend merely on  $h$  and the  $(i + 1)$ <sup>st</sup> most significant bit of  $v$ , which is the least significant bit of  $j$ ). Thus for any  $n$ , there exists a constant number of patterns  $p \in \{0, 1\}^{t+3}$  (in our case two) such that routing each  $u$  to  $u \oplus p$ , for each pattern  $p$ , will serve all routes we need. We note that for each destination vertex, only one of the two incoming

routes will be relevant. But we can ignore the information coming from the irrelevant route. Determining which route is relevant can be done by just looking at the  $(i + 1)$ <sup>th</sup> most significant bit of  $v$  and thus, certainly by a uniform  $\text{NC}_1$  of the vertex name  $(i + 1, v)$ . The destination vertex (of layer  $(i + 1, 0)$ ) also needs to apply the relevant  $\psi_q$ 's (from Lemma 4.6) to the values contained in the colors available to it. This can be done by a fixed coloring constraint (which will be applied at vertices of layer  $(i + 1, 0)$ ).

For any fixed  $p$ , routing  $u$  of layer  $(i, 0)$  to  $u \oplus p$  of layer  $(i + 1, 0)$  is done in a straightforward manner. That is, each intermediate vertex  $w = w_1 \cdots w_{t+3}$  in layer  $(i, i')$  routes to vertex  $w' = w'_1 \cdots w'_{t+3}$  such that  $w'_{i'} = w_{i'} \oplus p_{i'}$  and  $w'_t = w_t$  for all other  $t$ , where  $p = p_1 \cdots p_{t+3}$ . This routing can be enforced by a fixed coloring constraint (which will be applied at vertices of intermediate layers).

We still need to impose the (auxiliary) constraint  $\mathbb{H}_i(j, k) = \mathbb{H}_i(j, k')$  for all  $k, k'$ . It suffices to impose this constraint for all  $k, k'$  that differ in one bit position; that is, it suffices to verify that, for every string  $\alpha \in \{0, 1\}^{t-i+3}$  of Hamming weight one,  $\mathbb{H}_i(j, k) = \mathbb{H}_i(j, \text{int}(\text{bin}_{t-i+3}(k) \oplus \alpha))$ , for every  $(j, k)$ , where  $\text{int}(\beta)$  is the integer represented by  $\beta$ . This can be achieved by routing  $\mathbb{H}_i(j, k)$  (from vertex  $(j, k)$  of layer  $(i, 0)$ ) to vertex  $(j, k)$  of layer  $(i + 1, 0)$ , via the “identity route” (i.e., without flipping bits), and letting vertex  $(j, k)$  of layer  $(i + 1, i + i')$  compare  $\mathbb{H}_i(j, k)$  (which is routed through it) to  $\mathbb{H}_i(j, \text{int}(\text{bin}_{t-i+3}(k) \oplus 0^{i'-1}10^{t+3-i-i'}))$ , which can be obtained from one of its neighbors. Again, this only blows up our sets of colors and vertex types by a constant, and the vertex types can be specified by a uniform  $\text{NC}_1$  circuit.

The above refers to the Items 5, 6 and 7 of Lemma 4.6. Dealing with Items 3 and 4, is much easier. In particular, for Item 3, we should require that the color of vertex  $(0, 2 \cdot 2^t + k)$  of layer  $(0, 0)$  corresponds to the  $k^{\text{th}}$  bit of  $y$ . Recall that the aforementioned color encodes  $\mathbb{T}_0(0, 2 \cdot 2^t + k)_I$ , which is required to equal  $(y_k, \perp)$ . This correspondence is enforced by an adequate choice of the function  $f_{\text{extract}}$ . ■

Combining Lemmas 4.6 and 4.7, Theorem 4.4 follows. ■

## 5 Linear Maps and Efficient Algebraic Computation

In this section, we show that linear maps over  $\text{GF}(2)$ -vector spaces can be expressed as sparse polynomials over some extension field of  $\text{GF}(2)$ . We then show how the sparsity of these polynomials can be used

to construct small-sized algebraic circuits from small-sized boolean circuits (for the corresponding functions).

**Motivation:** As hinted above, we do not need sparse polynomial representation per se, but rather use it to provide small circuits for computations that arise in our PCPP constructions. Specifically, the work of [BS05] refers to univariate polynomials of degree  $K$  (and [GS02, BGH<sup>+</sup>04] refers to multivariate polynomials of degree  $2^{\sqrt{\log K}}$  or so). Some of the PCPP verification relies on the ability to evaluate such polynomial at a given input, and in our context we wish to perform this task in time  $\text{poly log } K$ . For a large degree  $d$  (e.g.,  $d > 2^{\sqrt{\log K}}$ ), this is possible in case the polynomial is sparse. Specifically, if a polynomial of degree  $d$  has  $t$  terms (with all coefficients being known), then it can be evaluated in time  $t \cdot \text{poly log } d$ .

## 5.1 Linear Maps are Sparse Polynomials

We first prove some general results about the sparse polynomial representation of linear maps over vector spaces over any finite field (not necessarily  $\text{GF}(2)$ ). These will be specialized to  $\text{GF}(2)$  and used in Section 5.2 (to obtain efficient algebraic circuits).

For an elaborate discussion of linear maps over vector spaces over finite fields, refer the excellent book on finite fields by Lidl and Niederreiter [LN94, Chapter 3.4]. The results presented in this section can be proven using the techniques mentioned in [LN94].

Let  $\mathbb{B} \subset \mathbb{F}$  be two fields of sizes  $|\mathbb{B}| = q$  and  $|\mathbb{F}| = q^f$  respectively. Let  $H \subseteq \mathbb{F}$  be a vector space of dimension  $h$  over the (smaller) field  $\mathbb{B}$ ; that is,  $H$  is a vector space  $\mathbb{B}$ -spanned by  $h$  elements of  $\mathbb{F}$ , i.e., there exists a basis  $\{e_1, \dots, e_h\}$  of  $h$  elements in  $\mathbb{F}$  such that every element of  $H$  can be expressed as  $\sum_{i=1}^h c_i e_i$  with  $c_1, \dots, c_h \in \mathbb{B}$ . (For example, we may take  $H = \mathbb{F}$  (in which case  $h = f$ )). A  $\mathbb{B}$ -linear map of  $H$  to  $\mathbb{F}$  is a function  $f : H \rightarrow \mathbb{F}$  that satisfies  $f(ax + by) = af(x) + bf(y)$  for every  $x, y \in H$  and  $a, b \in \mathbb{B}$ .

The following result shows that any linear map has a sparse polynomial representation.

**Proposition 5.1** *Let  $H \subseteq \mathbb{F}$  be a vector space of dimension  $h$  over the (smaller) field  $\mathbb{B}$ , and  $f : H \rightarrow \mathbb{F}$  be  $\mathbb{B}$ -linear map. Then there exists a unique polynomial  $\widehat{f} : \mathbb{F} \rightarrow \mathbb{F}$  of the form*

$$\widehat{f}(x) = \sum_{i=0}^{h-1} c_i x^{q^i}, \quad \text{where } c_0, \dots, c_{h-1} \in \mathbb{F}$$

*such that  $\widehat{f}$  agrees with  $f$  on all of  $H$ . Moreover, given the evaluations of  $f$  on any basis for  $H$ , the coefficients*

*$c_0, \dots, c_{h-1}$  can be found with  $\text{poly}(h, \log q)$  arithmetic operations over  $\mathbb{F}$ .*

Since  $\widehat{f}$  is of degree at most  $|H|/q$ , we call  $\widehat{f}$  the low-degree extension (LDE) of the linear map  $f$ .

We will be particularly interested in the following “ $S$ -vanishing polynomial”, which can be defined for any  $S \subseteq \mathbb{F} = \text{GF}(q^f)$ , but we will focus on the case that  $S$  is a vector space over the base field  $\mathbb{B}$ .

### Definition 5.2 (The $S$ -Vanishing Polynomial)

*Consider an arbitrary subset of  $\mathbb{F}$ , denoted  $S$ . The  $S$ -vanishing polynomial is defined to be the polynomial whose zeros are precisely the elements of  $S$ . That is:*

$$Z_S(x) = \prod_{s \in S} (x - s).$$

**Proposition 5.3** *If  $S$  is a vector space over the base field  $\mathbb{B}$  then  $Z_S : \mathbb{F} \rightarrow \mathbb{F}$  is a  $\mathbb{B}$ -linear map; that is:*

(a) *For all  $u, v \in \mathbb{F}$ ,  $Z_S(u + v) = Z_S(u) + Z_S(v)$ .*

(b) *For all  $a \in \mathbb{B}, v \in \mathbb{F}$ ,  $Z_S(av) = a \cdot Z_S(v)$ .*

**Proposition 5.4** *If  $S$  is a  $d$ -dimensional vector space over the base field  $\mathbb{B}$  then there exist  $c_0, \dots, c_{d-1} \in \mathbb{F}$  such that*

$$Z_S(x) = x^{q^d} + \sum_{i=0}^{d-1} c_i x^{q^i}$$

*Moreover, the coefficients  $c_0, \dots, c_{d-1}$  can be computed with  $\text{poly}(d, \log q)$  arithmetic operations over  $\mathbb{F}$ , when given as input a basis for  $S$ .*

## 5.2 Efficient Algebraic Computation

For the purpose of this section, we will interpret the results of the earlier section (Section 5.1) for the case when the smaller field is  $\mathbb{B} = \text{GF}(2)$ . Hence, in the notation of the earlier section,  $q = 2$ . Recall that the larger field  $\mathbb{F}$ , which is an extension field of  $\text{GF}(2)$ , can be viewed as a vector space over  $\text{GF}(2)$ . Let  $H$  be an  $h$ -dimensional subspace of  $\mathbb{F}$ , spanned by the vectors  $\{e_1, \dots, e_h\}$ .

Define  $\text{bin} : H \rightarrow \{0, 1\}^h$  to be the function that provides the representation of elements in  $H$  in terms of the aforementioned basis; that is, for any  $x = \sum_{i=1}^h \lambda_i e_i \in H$ , it holds that  $\text{bin}(x) = (\lambda_1, \dots, \lambda_h)$ . Note that  $\text{bin}$  is a one-to-one function, as so referring to it as a representation of elements in  $H$  is indeed justified. The function  $\text{bin}$  can be naturally generalized to multiple inputs; that is,  $\text{bin} : H^m \rightarrow \{0, 1\}^{mh}$  satisfies  $\text{bin}(x_1, \dots, x_m) = \text{bin}(x_1) \circ \text{bin}(x_2) \circ \dots \circ \text{bin}(x_m)$ , where  $\circ$  is the concatenation operator.

It is natural to call  $\text{bin}(x)$  a *binary representation* of  $x \in H$ . Our main theorem shows that any small-depth, small-size Boolean circuit operating on the binary representation of  $H^m$  can be converted into an equivalent arithmetic circuit of small size and moderate degree (exponential in the depth) over  $\mathbb{F}$ . In particular it says that any bit of the binary representation of an element of  $H^m$  can be computed efficiently.

**Theorem 5.5** *Let  $\mathbb{B}, \mathbb{F}, H$  and  $\text{bin}$  be as above. For any Boolean function  $f : \{0, 1\}^{mh} \rightarrow \{0, 1\}$  computed by a circuit  $C$  of size  $s$  and depth  $d$ , there exists a polynomial  $\hat{f} : \mathbb{F}^m \rightarrow \mathbb{F}$  of degree at most  $|H| \cdot 2^d$  computable by an  $\mathbb{F}$ -algebraic circuit  $C'$  of size  $O(s + mh2)$  such that for all  $(x_1, \dots, x_m) \in H^m$ ,*

$$\text{bit}(\hat{f}(x_1, \dots, x_m)) = f(\text{bin}(x_1, \dots, x_m)),$$

where  $\text{bit} : \mathbb{F} \rightarrow \{0, 1, \perp\}$  is defined such that  $\text{bit}(0) = 0$ ,  $\text{bit}(1) = 1$  and  $\text{bit}(x) = \perp$  for every  $x \in \mathbb{F} \setminus \mathbb{B}$ . Moreover,  $C'$  can be constructed in polynomial-time, when given  $C$ ,  $m$ , and a basis  $\{e_1, \dots, e_h\}$  for  $H$ .

Recall that  $|H| = 2^h$ . Thus, although the degree of  $\hat{f}$  may blow-up by a factor of  $2^{h+d}$ , the size of the algebraic circuit remains almost unchanged if  $s > mh2$  (which will be the case in our applications).

**Proof:** For simplicity, we will abuse notation and omit the application of  $\text{bit}$  to the output of  $\hat{f}$ . We start by considering the special case when the function  $f$  is a projection function to a bit. In this case, we will show that there exists a polynomial  $\hat{f}$  of degree  $|H|/2$  that agrees with  $f$  on  $H^m$ , and is computed by an  $\mathbb{F}$ -algebraic circuit of size  $O(h)$ .

We first prove this special case for  $m = 1$ . Without loss of generality, suppose  $f : \{0, 1\}^h \rightarrow \{0, 1\}$  is the projection to the first bit function  $x \mapsto x_1$ . Consider the function  $\tilde{f} : H \rightarrow \mathbb{F}$  defined by  $\tilde{f}(x) = f(\text{bin}(x))$  (or rather satisfying  $\text{bit}(\tilde{f}(x)) = f(\text{bin}(x))$ ). Thus, actually  $\tilde{f} : H \rightarrow \mathbb{B}$ . Furthermore,  $\tilde{f}$  is a GF(2)-linear map, because for any  $x = \sum_{i=1}^h \lambda_i e_i$  and  $x' = \sum_{i=1}^h \lambda'_i e_i$  it holds that  $f(\text{bin}(x + x')) = \lambda_1 + \lambda'_1 = f(\text{bin}(x)) + f(\text{bin}(x'))$ . Hence, by Proposition 5.1, there exists a unique low-degree extension  $\hat{f} : \mathbb{F} \rightarrow \mathbb{F}$  of  $\tilde{f}$  that agrees with  $\tilde{f}$  on all of  $H$  and has the following form

$$\hat{f}(x) = \sum_{i=0}^{h-1} c_i x^{2^i}$$

for some  $c_0, \dots, c_{h-1} \in \mathbb{F}$ . Observe that  $\hat{f}$  can be computed by an  $\mathbb{F}$ -algebraic circuit of size  $O(h)$ : the circuit first computes the powers  $x, x^2, \dots, x^{2^{h-1}}$ , by repeated squaring, and then computes the appropriate

linear combination. Also note that the degree of  $\hat{f}$  is at most  $2^{h-1} = |H|/2$ . This proves the result for projections when  $m = 1$  (because, for all  $x \in H$ , it holds that  $\hat{f}(x) = \tilde{f}(x) = f(\text{bin}(x))$ ).

The case for larger  $m$  is identical. The algebraic circuit only works with that component of  $\mathbb{F}^m$  in which the projected bit is present, and ignores the remaining components of  $\mathbb{F}^m$ .

The above special case shows that any individual bit can be extracted by a polynomial of degree at most  $|H|/2$  that is computable by a  $\mathbb{F}$ -algebraic circuit of size at most  $O(h)$ . The general claim (of the theorem) is then obtained by constructing an arithmetic circuit that first extracts all individual bits, and then applies (to them) a straightforward arithmetization of the original circuit  $C$ . For example, the arithmetization of the AND and NOT gates is performed as follows:

$$\begin{aligned} \text{NOT}(x) &= 1 - x \\ \text{AND}(x, y) &= x \cdot y \end{aligned}$$

where the result maintains the intended value for  $x, y \in \mathbb{B}$ . (More generally, any binary gate  $G(x, y)$  is replaced by an appropriate multilinear polynomial  $x$  and  $y$ , which extends the corresponding mapping  $\mathbb{B} \times \mathbb{B} \rightarrow \mathbb{B}$ .) Thus, the size of the resulting  $\mathbb{F}$ -algebraic circuit is at most a constant factor larger than the size of original circuit  $C$  plus the size of the algebraic circuits extracting the individual bits, resulting in a total size of  $O(s) + mh \cdot O(h)$ . The degree of the polynomial computed by this circuit is at most  $2^d$  times the degree of the algebraic circuit extracting the individual bits. The theorem follows. ■

## 6 Algebraic Constraint Satisfaction Problems

In this section, we arithmetize the universal graph coloring problem (Theorem 4.4) to obtain an algebraic constraint satisfaction problem that is easily amenable to PCP constructions. Recall that we desire to construct efficient (wrt to running time) versions of the short PCPs of [BS05, BGH<sup>+</sup>04]. The PCP constructions of [BS05] require a univariate algebraic CSP with just one constraint polynomial while that of [BGH<sup>+</sup>04] require a multivariate algebraic CSP involving a logarithmic number of constraint polynomials. For this purpose, we construct two different (univariate and multivariate) algebraic constraint satisfaction problems for which PCPs can be constructed along the lines of [BS05] and [BGH<sup>+</sup>04]. The key difference between the algebraic CSPs constructed in this paper and the ones in [BGH<sup>+</sup>04, BS05] (as well as those of

[PS94, HS00, BSVW03]) is that the constraint polynomials in the CSPs constructed here can be obtained very efficiently. More specifically, the verifier can evaluate the constraint polynomial (at any point it wishes) in time polylogarithmic in the proof size. Verifiers in earlier constructions of nearly linear-sized PCPs required polynomial time for the same task.

Due to space constraints, we present only the multivariate algebraic constraint satisfaction problem and defer the univariate problem to the full version [BGH<sup>+</sup>05].

## 6.1 Multivariate Algebraic CSP

The arithmetization to a multivariate algebraic CSP is performed along the lines of [BGH<sup>+</sup>04]. Specifically, we reduce (via the identity mapping) the Generalized deBruijn Graph Coloring to the following *multivariate algebraic CSP*.

### Definition 6.1 (Multivariate Algebraic CSP)

The Multivariate Algebraic CSP (MULTIALGCSP<sub>t,m</sub>) of dimension  $m$  and size  $t > m$  is parametrized by a constant number  $\alpha$  and seven (fixed) objects that are constructible in uniform poly( $t$ )-time.<sup>3</sup> The parameters are

1. A family of fields,  $\mathbb{F}_t = \text{GF}(2^f)$ , where  $f = \lceil (t+3)/m \rceil + \alpha \log_2 t$ , each specified by an irreducible polynomial of degree  $f$ .
2. A family of GF(2)-linear spaces  $H_t \subset \mathbb{F}_t$  of dimension  $h \stackrel{\text{def}}{=} \lceil (t+3)/m \rceil$ , each specified by a basis that spans it.
3. A family of affine (neighborhood) maps  $L_t = \langle \Gamma_{i,b} : \mathbb{F}_t^m \rightarrow \mathbb{F}_t^m \rangle_{i=0,\dots,(t+3)2-1, b \in \{0,1\}}$  such that  $\Gamma_{i,0}$  is the identity function, for all  $i$ , whereas  $\Gamma_{i,1}$  flips the  $i^{\text{th}}$  bit in the binary representation of its input.<sup>4</sup>
4. A family of (type-assignment) polynomials  $\mathcal{T}_t = \langle T_i : \mathbb{F}_t^m \rightarrow \mathbb{F}_t \rangle_{i=0,\dots,(t+3)2-1}$ , each of degree at most  $t^{\alpha-1} \cdot 2^h$ , specified by algebraic circuits (of size poly( $t$ )).
5. A family of (constraint) polynomials  $\psi_t : \mathbb{F}_t^4 \rightarrow \mathbb{F}_t^2$  of constant degree  $\kappa$ . The polynomials  $\psi_t$  are specified by an algebraic circuit.

<sup>3</sup>In each case, there exists a uniform poly( $t$ )-time that given  $(m, t)$  produces the corresponding output.

<sup>4</sup>Since  $\mathbb{F}_t = \text{GF}(2)^f$ , we can view  $\mathbb{F}_t^m$  as  $mf$ -dimensional space over GF(2). Hence, any vector  $(z_0, \dots, z_{m-1}) \in \mathbb{F}_t^m$  can be written as  $b = (b_{0,0}, \dots, b_{0,f-1}, \dots, b_{m-1,0}, \dots, b_{m-1,f-1})$ . Then  $\Gamma_{i,1}(b) = (b'_{0,0}, \dots, b'_{m-1,f-1})$ , where  $b'_{j,k} = b_{j,k} + 1$  if  $j = \lfloor i/h \rfloor$  and  $k = i \bmod m$  and  $b'_{j,k} = b_{j,k}$  otherwise.

6. A family of (extraction) functions  $f_{\text{extract}}^t : \mathbb{F}_t \rightarrow \{0, 1\} \cup \{\perp\}$  specified by a polynomial-time evaluation procedure.
7. A family of bijections  $I_t : [2^t] \rightarrow H_t$  specified by polynomial-time procedures for evaluating  $I_t$  and its inverse.

Given an input  $y \in \{0, 1\}^K$ , for  $K < 2^t$ , the problem is to determine whether there exists a sequence of assignment polynomials  $A_i : \mathbb{F}_t^m \rightarrow \mathbb{F}_t$ , for  $i = 0, \dots, (t+3)2-1$ , each of degree at most  $m \cdot 2^h$  such that the following two conditions hold

1. For all  $i \in \{0, \dots, (t+3)2-1\}$  and  $x \in H_t^m$ ,

$$\psi_t \left( T_i(x), A_i(x), A_{i+1}(\Gamma_{i,0}(x)), \right. \\ \left. A_{i+1}(\Gamma_{i,1}(x)) \right) = (0, 0).$$

In this case, we say that the assignment polynomials  $A = \{A_i\}$  satisfy the constraint polynomials  $\psi_t$ .

2. For all  $1 \leq k \leq K$  we have  $y_k = f_{\text{extract}}^t(A_0(I_t(k)))$ . In this case, we say that the assignment polynomials  $A$  are consistent with the input  $y$ .

**Theorem 6.2 (Universality of Multivariate Algebraic CSP)** For every Turing machine  $M$ , there exist a setting of the parameters for the Multivariate Algebraic CSP (of Definition 6.1) such that the bounded-halting problem for  $M$  is reducible via the identity mapping to the corresponding Multivariate Algebraic CSP (i.e., the reduction is by the identity mapping). Furthermore, for every  $m < t$  and  $y \in \{0, 1\}^K$ , where  $K < 2^t$ , machine  $M$  halts on  $y$  within  $2^t$  steps if and only if there exists a set of assignment polynomials  $A = \{A_i\}$ , each of degree at most  $m \cdot 2^{\lceil (t+3)/m \rceil}$ , that satisfies the constraint polynomials and is consistent with  $y$ .

**Proof Sketch:** Using Theorem 4.4, it suffices to reduce the Generalized deBruijn Graph Coloring problem to the Multivariate Algebraic CSP. The constant  $\alpha$  is determined by the depth of the NC<sub>1</sub> circuit computing  $f_{\text{v-type}}$  (used in Theorem 4.4): specifically,  $\alpha = c + 4$ , where the aforementioned circuit for inputs of length  $\ell$  has depth  $c \log_2 \ell$ .

The constructions of the first three objects is straightforward, using the fact that an irreducible polynomial of degree  $f$  over GF(2) can be found in time polynomial in  $f$  [LN94]. Specifically, we set  $h =$

$\lceil (t+3)/m \rceil$ , which guarantees that  $|H_t|^m = 2^{hm} \geq 8 \cdot 2^t$ .

The other objects are obtained by low-degree extension of the corresponding objects in the Generalized deBruijn Graph Coloring problem. The bounds on the size of circuits and the degree of the polynomials computed by them (especially, the polynomials  $\mathcal{T}_t$ ) are obtained using Theorem 5.5. ■

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