#### **Semantic Goal-Oriented Communication**

#### Madhu Sudan

Microsoft Research + MIT

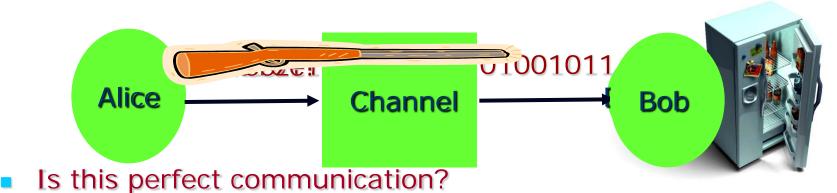
Joint with Oded Goldreich (Weizmann) and Brendan Juba (MIT).

#### **Disclaimer**

Work in progress (for ever) ...

Comments/Criticisms welcome.

### The Meaning of Bits

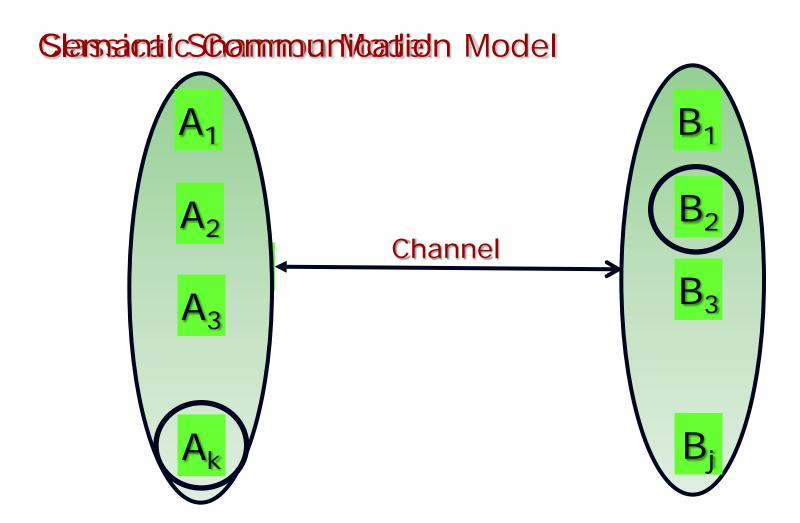


- What if Alice is trying to send instructions?
  - Aka, an algorithm
  - Does Bob understand the correct algorithm?
  - What if Alice and Bob speak in different (programming) languages?

#### Miscommunication (in practice)

- Exchanging (powerpoint) slides.
  - Don't render identically on different laptops.
- Printing on new printer.
  - User needs to "learn" the new printer, even though printer is quite "intelligent".
- Many such examples ...
  - In all cases, sending bits is insufficient.
  - Notion of meaning ... intuitively clear.
  - But can it be formalized?
    - Specifically? Generically?
    - While conforming to our intuition

### **Modelling Miscommunication**



#### Basic issues

- Source of Miscommunication:
  - A<sub>i</sub> doesn't know j
  - B<sub>i</sub> doesn't know i
- But what do they wish to achieve?
  - Distinguish B<sub>i</sub> from B<sub>k</sub>?
    - What if they are indistinguishable?
- Thesis: Communication ought to have <u>Goal!!!</u>
  - Alice/Bob should strive to achieve Goal.
  - Is there a specific Goal to all communication?
  - Are there many possible Goals?
  - Goal specifies problem, but what is a solution?

### **Examples of Goals**

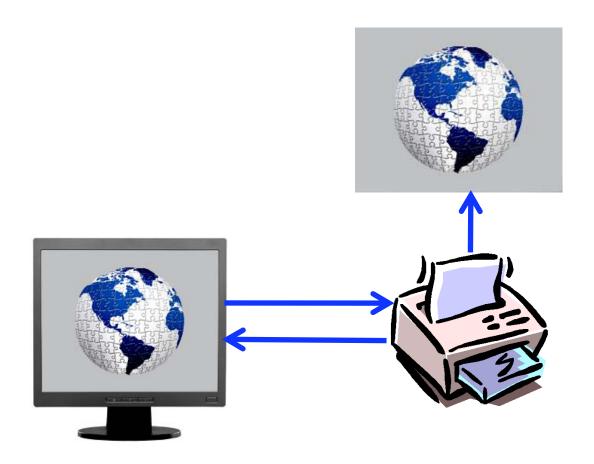
In future slides:

User communicates/interacts with Server.

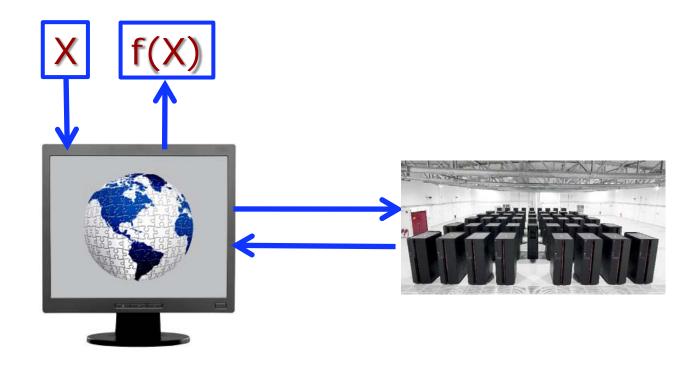
Will try to look at 's goal.



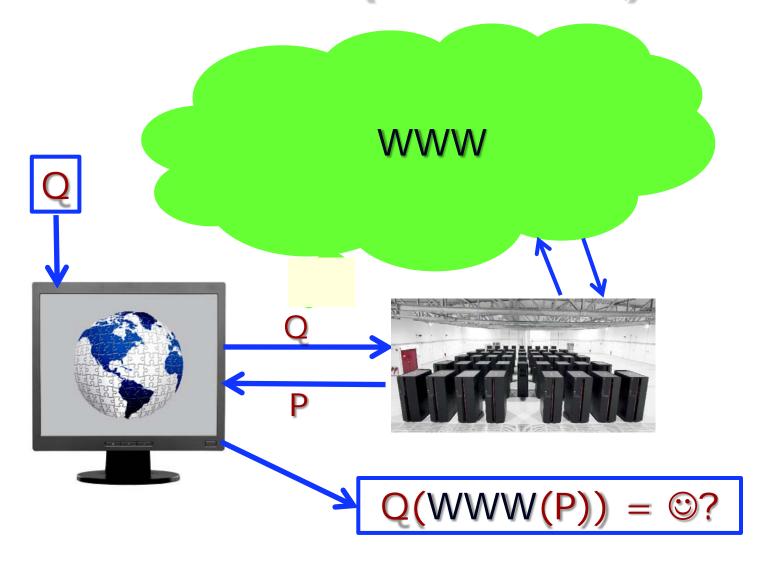
## Communication: Example 1 (Printing)



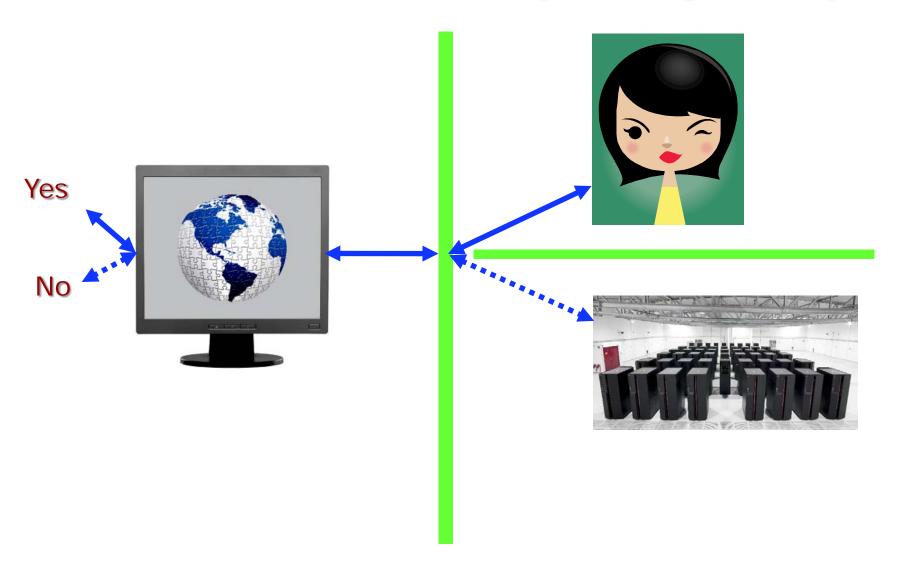
## Communication: Ex. 2 (Computation)



# Communication: Ex. 3 (Web search)

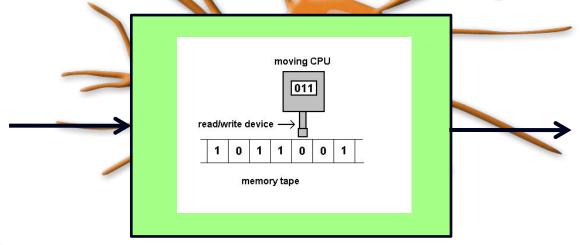


## Communication: Ex. 4 (Intelligence?)



### **Aside: Modelling Computing**

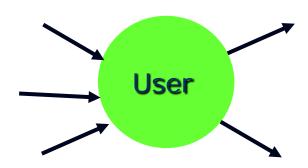
- Classically: Turing Machine/(yon Neumann) RAM.
  - Described most computers being built?



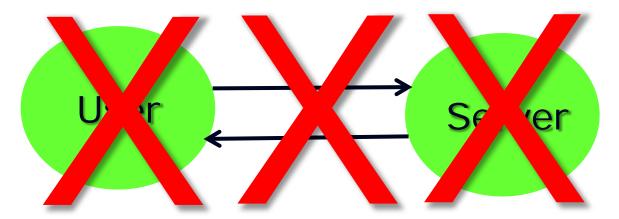
- Modern computers: more into communication than computing.
  - What is the mathematical model of a communicating computer? Why do they communicate? What are all the "communication problems"? What is universality?

### Modelling User/Interacting agents

- (standard AI model)
- User has state and input/output wires.
  - Defined by the map from current state and input signals to new state and output signals.



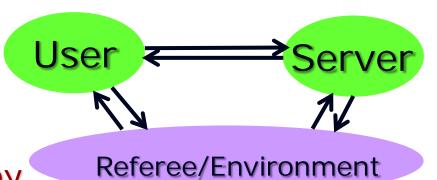
#### **Generic Goal?**



- Goal = function of ?
  - User? But user wishes to change actions to achieve universality!
  - Server? But server also may change behaviour to be helpful!
  - Transcript of interaction? How do we account for the many different languages?

#### **Generic Goals**

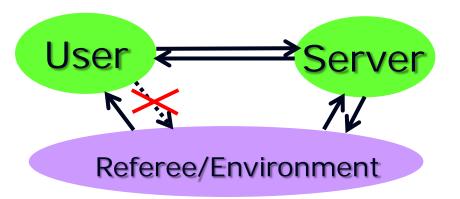
- Key Idea: Introduce 3rd entity: Referee
  - Poses tasks to user.
  - Judges success.



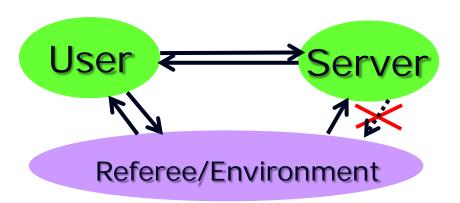
- Generic Goal specified by
  - Referee (just another agent)
  - Boolean Function determining if the state evolution of the referee reflects successful achievement of goal.
  - Class of users/servers.

#### **Generic Goals**

Pure Control



Pure Informational



### Sensing & Universality

- To achieve goal:
  - Server should be "helpful"
  - User should be able to "sense progress".
    - I.e., user should be compute a function that mimics referee's verdict.
- General positive result [GJS '09]:
  - Generic goals (with appropriate definitions) universally achievable if ∃ sensing function.
- General negative result [GJS '09]:
  - Sensing is necessary (in sufficiently general classes of users/servers).

### Implications of "Universality"

- Standard question in linguistics, cognition ...
  - What is a precondition for two entities to come to some "common language"?
  - Standard answers:
    - Humans seem to need little commonality (a child can learn any language)
    - But humans share enormous common physical needs and have large common genetic code?
    - Is all this necessary?
  - Our Answer: No. Compatible goals suffice.

### **Concrete Example: Computation**

### **Computational Goal for User**

User wants to compute function f on input x.

#### Setting:

- User is prob. poly time bounded.
- Server is computationally unbounded, does not speak same language as User, but is "helpful".
- What kind of functions f?
  - E.g., uncomputable, PSPACE, NP, P?

#### Setup

User

$$f(x) = 0/1?$$

$$R \leftarrow $\$\$$$

 $q_1$ 

Different from interactions in cryptography/security:

There, User does not trust Server, while here he does not understand her.



Hopefully 
$$P(x,...) = f(x)!$$

#### **Intelligence & Cooperation?**

- For User to have a non-trivial interaction, Server must be:
  - Intelligent: Capable of computing f(x).
  - Cooperative: Must communicate this to User.
- Formally:
  - Server S is f-helpful if
    - ∃ some (other) user U' s.t.
      - $\forall$  x, starting states  $\sigma$  of the server  $(U'(x) \leftrightarrow S(\sigma))$  outputs f(x)

#### Successful universal communication

 Universality: Universal User U should be able to talk to any (every) f-helpful server S to compute f.

#### Formally:

```
    U is f-universal, if
    ∀ f-helpful S, ∀ σ, ∀ x
    (U(x) ↔ S(σ)) = f(x) (w.h.p.)
```

- What happens if S is not helpful?
  - Paranoid view ⇒ output "f(x)" or "?"
  - Benign view ⇒ Don't care (everyone is helpful)

#### Main Theorems [Juba & S. '08]

- If f is PSPACE-complete, then there exists a funiversal user who runs in probabilistic polynomial time.
  - Extends to checkable ("compIP") problems
    - (NP ∩ co-NP, breaking cryptosystems)
    - S not helpful ⇒ output is safe

- Conversely, if there exists a f-universal user, then f is PSPACE-computable (in "complP")
  - Scope of computation by communication is limited by misunderstanding (alone).

#### **Proofs?**

#### Positive result:

- $f \in PSPACE \Rightarrow membership is verifiable.$
- User can make hypothesis about what the Server is saying, and use membership proof to be convinced answer is right, or hypothesis is wrong. <u>Enumerate</u>, till hypothesis is right.

#### Negative result:

- In the absence of proofs, sufficiently rich class of users allow arbitrary initial behavior, including erroneous ones.
- (Only leads to finitely many errors ...)

### **Implications**

- Communication is not unboundedly helpful ⊗
  - If it were, should have been able to solve every problem (not just (PSPACE) computable ones).
- But there is gain in communication:
  - Can solve more complex problems than on one's own, but not every such problem.
- Resolving misunderstanding? Learning Language?
  - Formally No! No such guarantee.
  - Functionally Yes! If not, how can user solve such hard problems?

### **Implications for Language Learning**

- Well-explored theme in "linguistics"
  - Semantics learned by functional relevance.
  - But how does one have "common" grounding? Is this a purely a function of having common physical environment + needs?
  - Is there a purely intellectual basis for common grounding?
- Our answer: YES!

### **Towards Efficiency**

- Learning of language is not efficient
  - User takes at least k steps to enumerate k possible servers (k possible languages).
  - Can this be made faster?

#### Answers:

- No! Not without assumptions on language ...
- Yes! If server and user are "broadminded", and have "compatible beliefs" [JS '10]

### Broadmindedness, Compatible beliefs:

- Beliefs of server S:
  - Expects users chosen from distribution X.
  - Allows "typical" user to reach goal in time T.
- Beliefs of user U:
  - Anticipates some distribution Y on users that the server is trying to serve.
- Compatibility:  $K = (1 |X Y|_{TV})$
- Theorem[JS]: U can achieve goal in time poly(T/K).

#### Conclusions

- Basis of semantic communucation: Model "miscommunication"
  - Can be done by allowing users/servers to be variable (members of a set).
- Such settings seem commonplace, especially in "natural communication", but no prior attempts to model them theoretically (in the context of information transmission).
- Can also look at the "compression" problem.
  - Unveils phenomena reflective of natural communication [Juba, Kalai, Khanna, S. '10]

# **Thank You!**