# **Local List Decoding**



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#### **Overview**

- Last 20 years:
  - Lots of work on List Decoding
  - Lots of work on Local Decoding
- Today:

A look at the intersection: Local List Decoding

- Part I: The accidental beginnings
- Part II: Some applications
- Part III: Some LLD codes
- Part IV: Current works

# Part I: History

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## List Decodable Code

- Encoding function: E:  $\Sigma^k \to \Sigma^n$
- Code: C = Image(E)
- $\begin{array}{ll} \bullet & (\rho,L) \ \text{-List-Decodable Code: } \forall \ r \in \Sigma^n, \\ & \#\{w \in C \ \mid \Delta(r,w) \leq \rho.n\} \leq L. \end{array}$
- List-decoder: Outputs list, given r.
- [Elias '57, Wozencraft '58]

## Local (Unique) Decoding

#### ρ-decoder:

- Has access to r s.t.  $\Delta(r, E(m)) \leq \rho.n$
- Outputs m.
- p-local decoder:
  - Has query access to r:  $[n] \rightarrow \Sigma$ .
  - Input: i ∈ [k]
  - Outputs: m<sub>i</sub>

#### (ρ,t)-LDC: makes ≤ t queries for every r,i.

## Local List Decoding

- p-List decoder:
  - Access to  $r \in \Sigma^n$
  - Outputs  $\{m_1, ..., m_L\} = \{m \mid \Delta(r, E(m)) \le \rho.n\}$

#### (ρ,t)-list decoder:

- Query access to  $r:[n] \rightarrow \Sigma$
- Inputs: i ∈ [k], j ∈ [L]
- Outputs: (m<sub>j</sub>)<sub>i</sub>
- Note: numbering m<sub>1</sub>,...,m<sub>L</sub> may be arbitrary; but consistent as we vary i.

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# (Convoluted) History

1950 [Reed+Muller]:

- Code due to Muller; Decoder due to Reed.
  - "Majority-logic" decoder: Essentially a local decoder for ρ < distance/2,</li>
  - Not stated/analyzed in local terms.

## 1957 [Elias]

- Defined List Decoding.
- Analyzed in "random-error" setting only.
- [1980s] Many works on random-self-reducibility
  - Essentially: Local decoders (for un/natural codes).

# (Convoluted) History

- 1986 [Goldreich-Levin]:
  - Local List-decoder for Hadamard code.
    - No mention of any of the words in paper.
    - "List-decoding" in acknowledgments.
    - But idea certainly there also in [Levin 85]
    - (many variations since: KM, GRS).
- 90-92 [BeaverFeigenbaum, Lipton, GemmellLiptonRubinfeldSWigderson,GemmellS.]:
  - Local decoder for generalized RM codes.
- 96,98 [Guruswami+S]:
  - List-decoder for Reed-Solomon codes.

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# (Convoluted) History

1999 [S.TrevisanVadhan]:

- Local List-Decoding defined
- LLD for Generalized RM code.
- 2000 [KatzTrevisan]:
  - Local Decoding defined.
  - Lower bounds for LDCs.

# Why Convoluted?

- What is convoluted?
  - Big gap (positive/negative) between definitions and algorithms
- Why?
  - Motivations/Applications changing.
  - Algorithms not crucial to early applications
  - Some applications needed specific codes
  - Different communities involved
    - Information theory/Coding theory
    - CS: Complexity/Crypto/Learning

# Part II: Applications

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#### **Hardcore Predicates**

- $f: \{0,1\}^n \rightarrow \{0,1\}^n$  is a owf if
  - f easy to compute
  - f<sup>-1</sup> hard on random inputs:
    - random: given y = f(x) for uniform x, output x' in f<sup>-1</sup>(y).
    - hard: every polytime alg. succeeds with negligible probability.
- b: {0,1}<sup>n</sup> → {0,1} is hardcore predicate for f, if f remains hard to invert given b(x) and f(x)

### Hardcore Predicates

 b: {0,1}<sup>n</sup> × [M] → {0,1} is a (randomized) hardcore predicate for f, if b(x,s) hard to predict w.p. ½ + €, given f(x) and s.

- [BlumMicali,Yao,GoldreichLevin]: 1-1 owf f + hardcore b ⇒ pseudorandom generator.
- [GoldreichLevin, Impagliazzo]:
   If E: {0,1}<sup>k</sup> → {0,1}<sup>m</sup> is a (½ ε,poly(n))-LLDC, then b(x,s) = E(x)<sub>s</sub> is a hardcore predicate for every owf f.

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# Proof of [GL,I]

- Suppose A predicts b(x,s) given f(x), s
- Fix f(x); let r(s) = A(f(x),s).
- Run Decoder(r,i,j) for all i,j to recover {x<sub>1</sub>,...,x<sub>L</sub>}.
- Check if  $f(x_i) = f(x)!$
- (Easy) Claim: This recovers f<sup>-1</sup>(f(x)) w.h.p.

## Thoughts

- Did [GL] really need Local List-Decoding?
  - No. Simple LDC mapping k to poly(k) bits would do.
  - Unfortunately, none was known with poly(k) time list-decoder.
  - GL: Designed (½ epsilon,poly(k))-LLDC for Hadamard code (which maps k bits to 2<sup>k</sup> bits).

### **Hardness amplification**

Classical quest in complexity:

- Find hard functions (for some class). E.g.,
  - $\bullet f \in \mathsf{NP} \mathsf{P}$
  - $f \in PSPACE P$
  - Story so far: Can't find such.
- Modern question:
  - Find functions that are really hard.
    - Boolean  $f \in NP$  that is hard to distinguish from random function in P.

#### **Hardness amplification**

- Thm [Lipton, ..., S. Trevisan Vadhan]:
  - Let f: {0,1}<sup>k</sup> → {0,1} be a hard to compute in time poly(k).
  - Let E:  $\{0,1\}^{K} \rightarrow \{0,1\}^{N}$  be  $(\frac{1}{2}-\varepsilon, \text{poly}(k))$ locally-list-decodable with  $K = 2^{k}$ ,  $N = 2^{n}$ .
  - Then g: {0,1}<sup>n</sup> → {0,1} given by g = E(f) is hard to distinguish from random for poly(k) time algorithms.
- Proof: Obvious from definitions.

## **Agnostic Learning**

General goal of learning theory:

- Given a class of functions F;
- query/sample access to f ∈ F;
- "Learn f" (or circuit (approx.) computing it).
- Learning with Noise:
  - f not in F, but well-approximated by some function in F
- Agnostic Learning:
  - No relationship between f and F;
  - learn some approximation of f in F (if it exists).
- Useful in applications, as well as theory.

## Agnostic Learning (contd.)

GL result (Kushilevitz-Mansour interpretation):

- Can agnostically learn linear approximations to Boolean functions, with queries.
- Kushilevitz-Mansour:
  - List-decoding helps even more: Can learn decision trees.

Jackson:

Also CNF/DNF formulae ...

# Part III: Some LLD Codes

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### Hadamard Code

- Code: Maps {0,1}<sup>k</sup> → {0,1}<sup>2<sup>k</sup>.</sup>
- Codewords:
  - functions from  $\{0,1\}^k \rightarrow \{0,1\}$ .
  - Encoding of  $m = \langle m_1, ..., m_k \rangle$  is the function  $E_m(y_1 \dots y_k) = \Sigma_{i=1}^k m_i y_i \pmod{2}.$
  - I.e., codewords are homogenous, k-variate, degree 1 polynomials over GF(2).

# Decoding Hadamard Code (GL/KM)

#### Preliminaries:

- View words as functions mapping to {+1,-1}.
- $< f,g > = Exp_y [f(y).g(y)].$
- < E(a), E(b) > = 0 if  $a \neq b$  and  $1 \circ w$ .
- Let  $f_a = \langle f, E(a) \rangle$ . Then  $f[x] = \sum_a f_a E(a)[x]$

• For all f, 
$$\sum_a f_a^2 = 1$$
.

•  $(\frac{1}{2} - \epsilon)$ -List decoding: Given f, find all a such that  $f_a > 2\epsilon$ .

## **Decoding Hadamard Code [GL/KM]**

- Consider 2<sup>n</sup> sized binary tree.
- Node labelled by path to root.
- Value of leaf  $a = f_a^2$
- Value of node
  - = sum of children values
- Main idea: Can approximate value of any node

 $\mathbf{O}\mathbf{O}$ 

•  $\sum_{b} f_{ab}^2 = Exp_{x,y,z} [f(xz).f(yz).E_a(x).E_a(y)]$ 

#### Algorithm:

- Explore tree root downwards.
- Stop if node value less than ε<sup>2</sup>
- Report all leaves found.

Φ

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## (Generalized) Reed-Muller Code

- Message space = m-variate, degree r polynomials over GF(q).
- Encoding: Values over all points.

• 
$$k = \binom{m+r}{r}$$
  
•  $n = q^m$   
• distance =  $1 - r/q$  (if  $r < q$ ).  
 $\approx q^{-r/(q-1)}$  if  $r > q$ .

 Decoding problem: Given query access to function that is close to polynomial, find all nearby polynomials, locally.

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## **Decoding (contd.)**

- Specifically:
  - Given query access to f, and x ∈ GF(q)<sup>m</sup>
  - Output p<sub>1</sub>(x),..., p<sub>L</sub>(x) "consistently", where p\_j's are polynomials within distance ρ of f.
- How to index the codewords?
  - By values at a few (random) points in GF(q)<sup>m</sup>.
  - Claim: Specifying value of p at (roughly) log<sub>q</sub>L points specifies it uniquely (given f).

# **Decoding (contd.)**

#### Refined question:

- Given query access to f, and values p<sub>j</sub>(y<sub>1</sub>),...,p<sub>j</sub>(y<sub>t</sub>), and x;
- Compute p<sub>j</sub>(x)

#### Alg [Rackoff, STV, GKZ]

- Pick random (low-dim) subspace containing y<sub>1</sub>,...,y<sub>t</sub> and x.
- Brute force decode f restricted to this subspace.

# Part IV: Current Directions

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## Many interpretations of GL

- List-decoder for group homomorphisms [Dinur Grigorescu Kopparty S.]
  - Set of homomorphisms from G to H form an error-correcting code.
  - Decode upto minimum distance?
- List-decoder for sparse high-distance linear codes [Kopparty Saraf]

 List-decoder for Reed-Muller codes [Gopalan Klivans Zuckerman]

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## **Approximate List-Decoding**

- Given r, <u>approximately</u> compute w in C that is somewhat close to r.
- Easier problem, so should be solvable for broader class of codes C (C need not have good distance).
- [O'Donnell, Trevisan, IJK]: If encoder for C is monotone and local, then get hardness amplification for NP.
- [IJK] Give approximate-LLD for "truncated Hadamard code".

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## Conclusions

- Intersection of Locality and List-decoding interesting and challenging.
- Ought to be explored more?

# Thank You!

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