# **Communication amid Uncertainty**

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#### Based on:

- Universal Semantic Communication Juba & S. (STOC 2008)
- Goal-Oriented Communication Goldreich, Juba & S. (JACM 2012)
- Compression without a common prior ... –

Kalai, Khanna, Juba & S. (ICS 2011)

Efficient Semantic Communication with Compatible Beliefs –

#### Juba & S. (ICS 2011)

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#### **Uncertainty in Communication?**

- Always has been a central problem:
  - But usually focusses on uncertainty introduced by the channel
  - Standard Solution:
    - Use error-correcting codes
    - Significantly:
      - Design Encoder/Decoder jointly
      - Deploy Encoder at Sender, Decoder at Receiver

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#### New Era, New Challenges:

Interacting entities not jointly designed.

- Can't design encoder+decoder jointly.
- Can they be build independently?
- Can we have a theory about such?
  - Where we prove that they will work?

#### Hopefully:

- YES
- And the world of practice will adopt principles.

#### Example 1

#### Intersystem communication?

- Google+ ↔ Facebook friendship ?
- Skype ↔ Facetime chat?
- Problem:
  - When designing one system, it is <u>uncertain</u> what the other's design is (or will be in the future)!

#### Example 2

#### Heterogenous data?

- Amazon-marketplace spends N programmer hours converting data from mom-n-pop store catalogs to uniform searchable format.
- Healthcare analysts spend enormous #hours unifying data from multiple sources.
- Problem: Interface of software with data:
  - Challenge:
    - Software designer uncertain of data format.
    - Data designer uncertain of software.

#### Example 3

#### Archiving data

- Physical libraries have survived for 100s of years.
- Digital books have survived for five years.
- Can we be sure they will survive for the next five hundred?
- Problem: Uncertainty of the future.
  - What systems will prevail?
  - Why aren't software systems ever constant?

## Modelling uncertainty



#### Nature of uncertainty

- A<sub>i</sub>'s, B<sub>j</sub>'s differ in beliefs, but can be centrally programmed/designed.
  - [Juba,Kalai,Khanna,S.'11] : Compression in this context has graceful degradation as beliefs diverge.
- $A_i$ 's,  $B_j$ 's differ in behavior:
  - Nothing to design any more.
  - Best hope: Can highlight certain  $A_i$ 's (universalists) that can interact successfully with many  $B_j$ 's
  - [Juba,S'08; Goldreich,J,S'12; J,S'11]: "All is not lost, if we keep goal of communication in mind"
  - Details don't fit in margin ...

# II: Compression under uncertain beliefs/priors

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#### **Motivation: Human Communication**

- Human communication (dictated by languages, grammars) very different.
  - Grammar: Rules, often violated.
  - Dictionary: Often multiple meanings to a word.
  - Redundant: But not as in any predefined way (not an error-correcting code).
  - Our thesis: Emerges from uncertainty:
    - Sender of message uncertain about receiver's background/context/prior.
    - Will try to explain in the context of Redundancy

#### Behavioral aspects of natural communication

- (Vast) Implicit context.
- Sender sends increasingly long messages to receiver till receiver "gets" (the meaning of) the message.
- Sender may use feedback from receiver if available; or estimates receiver's knowledge if not.
- Language provides sequence of (increasingly) long ways to represent a message.
- Question: What is the benefit of choosing short/long messages?

#### Some reasoning

Reason to choose short messages: Compression.
 Channel is still a scarce resource; still want to use optimally.

- Reason to choose long messages (when short ones are available): Reducing ambiguity.
  - Sender unsure of receiver's prior (context). ("uncertainty")
  - Sender wishes to ensure receiver gets the message, no matter what its prior (within reason).
  - But doesn't want to abandon prior either.

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#### A teaser:

- Suppose you and I have a ranking of N players.
  Rankings π, σ : [N] → [N]
- Further suppose we know the rankings are close.
  ∀ i ∈ [N]: |π(i) − σ(i)| ≤ 2.
- You want to know: Is  $\pi^{-1}(1) = \sigma^{-1}(1)$
- How many bits do I need to send to you (noninteractively).
  - *0*(1)?
  - $O(\log N)$ ?
  - $O(\log \log \log N)$ ?

#### Model

- Wish to design encoding/decoding schemes (E/D) to be used as follows:
  - Sender has distribution P on M = {1,2,...,N}
  - Receiver has distribution Q on M = {1,2,...,N}
  - Sender gets  $X \in M$
  - Sends E(P,X) to receiver.
  - Receiver receives Y = E(P,X)
  - Decodes to  $\hat{X} = D(Q, Y)$
  - Want:  $X = \hat{X}$  (provided P,Q close),

• While minimizing  $Exp_{X\leftarrow P} |E(P,X)|$ 

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#### **Contrast with some previous models**

- Universal compression?
  - Doesn't apply: P,Q are not finitely specified.
  - Don't have a sequence of samples from P; just one!
- K-L divergence?
  - Measures inefficiency of compressing for Q if real distribution is P.
  - But assumes encoding/decoding according to same distribution Q.
- Semantic Communication:
  - Uncertainty of sender/receiver; but no special goal.

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#### **Closeness of distributions:**

• P is  $\alpha$ -close to Q if for all  $X \in M$ ,

$$\frac{1}{\alpha} \leq \frac{P(X)}{Q(X)} \leq \alpha$$

•  $P \alpha$ -close to  $Q \Rightarrow D(P||Q), D(Q||P) \le \log \alpha$ .

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#### **Dictionary = Shared Randomness?**

- Modelling the dictionary: What should it be?
- Simplifying assumption it is shared randomness, so ...
- Assume sender and receiver have some shared randomness R and X is independent of R.
  - Y = E(P, X, R)
  - $\widehat{X} = \mathsf{D}(\mathsf{Q},\mathsf{Y},\mathsf{R})$

• Want 
$$\forall X$$
,  $\Pr_R[\hat{X} = X] \ge 1 - \epsilon$ 

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### Solution (variant of Arith. Coding)

Use R to define sequences

- **R**<sub>1</sub> [1],  $R_1$  [2],  $R_1$  [3], ...
- **a**  $R_2$  [1],  $R_2$  [2],  $R_2$  [3], ...

**—** ...

•  $R_N$  [1],  $R_N$  [2],  $R_N$  [3], ...

•  $E_{\alpha}(P, x, R) = R_{x}[1 \dots L]$ , where *L* chosen s.t.  $\forall z \neq x$ Either  $R_{z}[1 \dots L] \neq R_{x}[1 \dots L]$ Or  $P(z) < \frac{P(x)}{\alpha^{2}}$ 

•  $D_{\alpha}(Q, y, R) = \operatorname{argmax}_{\hat{x}} \{Q(\hat{x})\} \operatorname{among} \hat{x} \in \{z \mid R_{z}[1 \dots L] = y\}$ 

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#### Performance

- Obviously decoding always correct.
- Easy exercise:
  - $\operatorname{Exp}_X [E(P,X)] = H(P) + 2 \log \alpha$
- Limits:
  - No scheme can achieve  $(1 \epsilon) \cdot [H(P) + \log \alpha]$
  - Can reduce randomness needed.

#### Implications

- Reflects the tension between ambiguity resolution and compression.
  - Larger the α ((estimated) gap in context), larger the encoding length.
- Coding scheme reflects the nature of human process (extend messages till they feel unambiguous).
- The "shared randomness" is a convenient starting point for discussion
  - Dictionaries do have more structure.
  - But have plenty of entropy too.
  - Still ... should try to do without it.

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## III: Uncertainty on Action: Goal-Oriented Communication

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#### Back to meaning

What if sender is sending instructions?

- Sender and receiver are uncertain about each other's "instruction ↔ bits" association?
- Can we ensure receiver decodes the right instructions?
- Translation of bits to instructions?
  - Well studied in language/computer science.
  - (Many) "Complete" languages/codebooks exist.
    - Each translates bits to meaning.
    - All equivalent (upto "Kolmogorov constant")
    - But not same.

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#### **Goal of communication**

- Easy negative result:
  - Due to plethora of languages/codebooks): In finite time, can't guarantee "receiver understands instructions."
  - Is this bad?
    - If receiver can not distinguish correct instructions from incorrect ones, why should it try to do so?
- Goals of communication:
  - Communication is not an end in itself, it a means to achieving some end.
  - Hopefully receiver wishes to achieve a goal and using information from sender to achieve this goal.
  - Semantic communication:
    - Help communication achieve its goal.
    - Use progress towards goal to understand meaning.

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#### **Utility of Communication?**

The lens of computational complexity:

- To prove some resource is useful:
  - Step 1: Identify hardest problems one can solve without the resource.
  - Step 2: Show presence of resource can help solve even harder problems.
- Classical resources:
  - CPU speed, Memory, Non-determinism, Randomness ...
- In our case:
  - Communication in presence of understanding.
  - Communication w/o understanding.

#### Computation as a goal [ Juba & S. '08]

Model: Simple user talking to powerful server.

Class of problems user can solve on its own:

~ probabilistic polynomial time (P).

Class of problems user can solve with perfect understanding of server:

~ Any problem. (Even uncomputable!)

Class of problems user can solve without understanding of server:

~ Polynomial space.

- Roughly: If you are solving problems and can verify solutions, then this helps. If you have a solution, you are done. If not, you've found some error in communication.
- Moral: Communication helps, even with misunderstanding, but misunderstanding introduces limits.

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#### Summarizing results of [GJS 2012]

- But not all goals are computational.
  - We use communication mostly for (remote) control.
  - Intellectual/informational goals are rare(r).
- Modelling general goals, in the presence of misunderstanding:
  - Non-trivial, but can be done.
  - Results extend those from computational setting:
    - Goals can be achieved if user can sense progress towards goal, servers are "forgiving" and "helpful"

#### **Useful lessons**

User/Server can be designed separately.

- Each should attempt to model its "uncertainty" about the other.
- Each should plan for uncertainty:
  - Server: By assuming some short "interrupt" sequence.
  - User: By always checking its progress.

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#### Future goals

- Broadly:
  - Information-theoretic study of human communication, with uncertainty as an ingredient.
    - Should exploit natural restrictions of humans:
      - Limited ability to learn/infer/decode.
      - Limited bandwidth.
  - Conversely, use human interactions to create alternate paradigms for "designed communications.
    - Place semantics on solid foundations.

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#### **Future?**

Understand human communication?

- How does it evolve
- What are influencing factors?
  - (My guesses): Compression, Computation, Survival of fittest.
- Extend to other "distributed design" settings.
- Architecture/Program for preserving Data?
  Blend safe assumptions, with "likely-to-befast" performance.

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# Thank You!

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