

# Communication amid Uncertainty

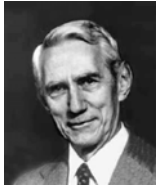
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Based on:

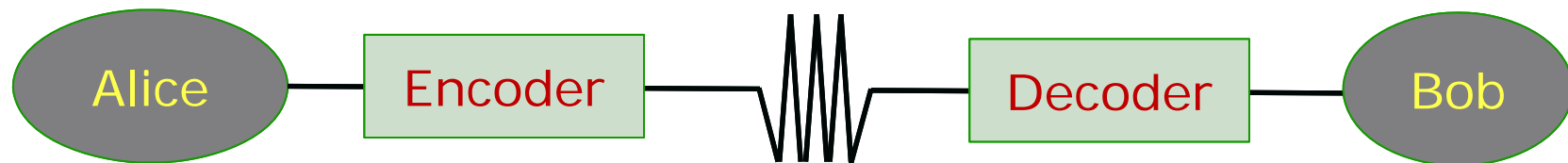
- Universal Semantic Communication – Juba & S. (STOC 2008)
- Goal-Oriented Communication – Goldreich, Juba & S. (JACM 2012)
- Compression without a common prior ... – Kalai, Khanna, Juba & S. (ICS 2011)
- Efficient Semantic Communication with Compatible Beliefs – Juba & S. (ICS 2011)
- Deterministic Compression with uncertain priors – Haramaty & S. (ITCS 2014)

# Classical theory of communication



**Shannon (1948)**

- Clean architecture for reliable communication.



- Remarkable mathematical discoveries: Prob. Method, Entropy, (Mutual) Information
- Needs reliable encoder + decoder (two reliable computers).

# Uncertainty in Communication?

- Always has been a central problem:
  - But usually focusses on uncertainty introduced by the channel
  - Standard Solution:
    - Use error-correcting codes
    - Significantly:
      - Design Encoder/Decoder jointly
      - Deploy Encoder at Sender, Decoder at Receiver

# New Era, New Challenges:

- Interacting entities not jointly designed.
  - Can't design encoder+decoder jointly.
  - Can they be build independently?
  - Can we have a theory about such?
    - Where we prove that they will work?
  
- Hopefully:
  - YES
  - And the world of practice will adopt principles.

# Example 1

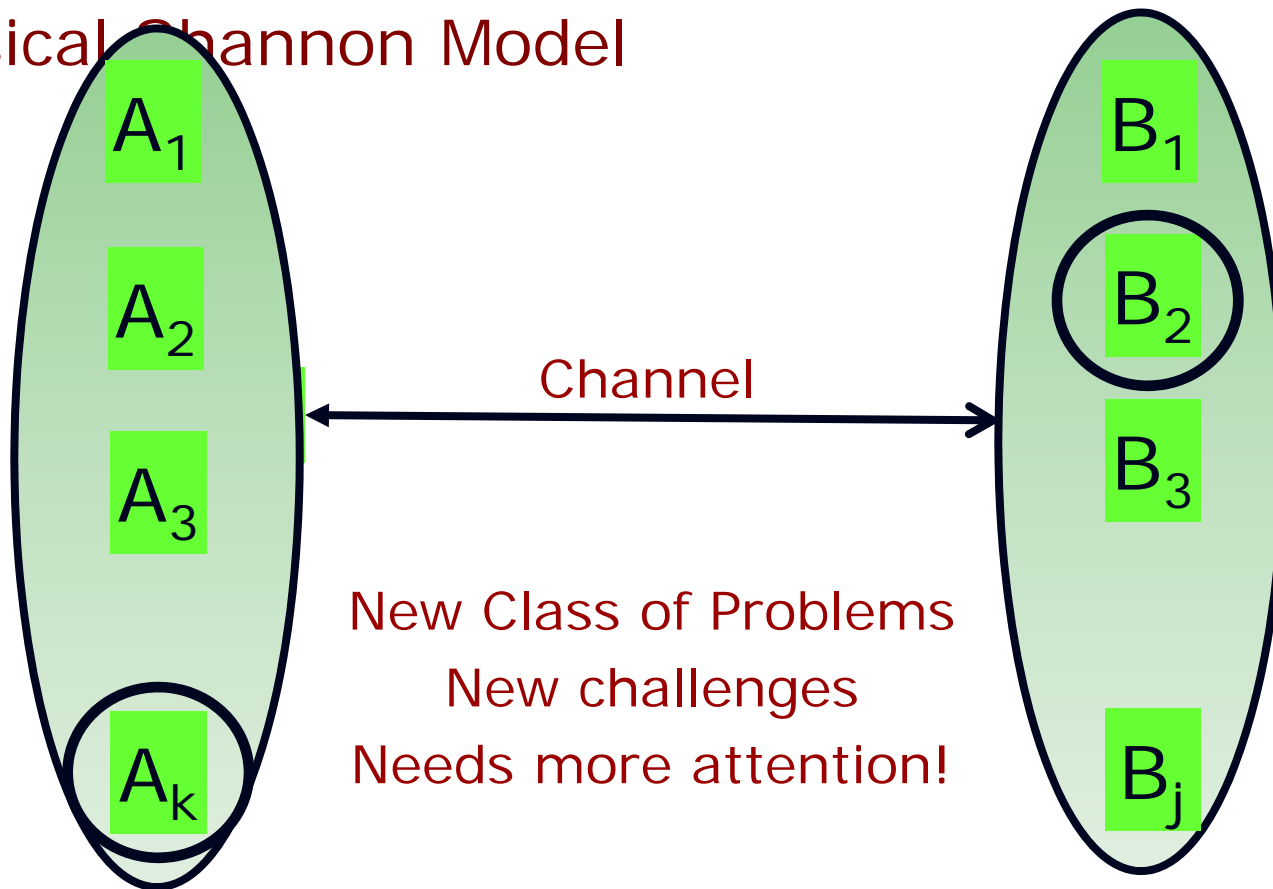
- Printing in a new environment
  - Say, you are visiting a new university.
  - Printer is intelligent; so is your computer;
    - Can't they figure out how to talk to each other?
- Problem (with current designs):
  - Computers need to know about the printer already to print on them.
  - Why can't they also figure out how future printers will work?
    - Uncertainty (about printers of the future).

## Example 2

- Archiving data
  - Physical libraries have survived for 100s of years.
  - Digital books have survived for five years.
  - Can we be sure they will survive for the next five hundred?
- Problem: Uncertainty of the future.
  - What systems will prevail?
  - Why aren't software systems ever constant?

# Modelling uncertainty

Semantic Communication Model  
Classical Shannon Model



# Nature of uncertainty

- $A_i$ 's,  $B_j$ 's differ in beliefs, but can be centrally programmed/designed.
  - [Juba, Kalai, Khanna, S.'11] : Compression in this context has graceful degradation as beliefs diverge.
  - [Haramaty, S'13]: Role of randomness in this context.
- $A_i$ 's,  $B_j$ 's differ in behavior:
  - Nothing to design any more (behavior already fixed).
  - Best hope: Can identify certain  $A_i$ 's (universalists) that can interact successfully with many  $B_j$ 's. Can eliminate certain  $B_j$ 's on the grounds of "limited tolerance".
  - [Juba, S'08; Goldreich, J, S'12; J, S'11]: "All is not lost, if we keep goal of communication in mind"
  - [Leshno, S'13]: "Communication is a Coordination Game"
  - Details don't fit in margin ...



# **II : Compression under uncertain beliefs/priors**

# Motivation

- New era of challenges needs new solutions.
  - Most old solutions do not cope well with uncertainty.
  - The one exception?
    - Natural communication (Humans ↔ Humans)
- What are the rules for human communication?
  - “Grammar/Language”
  - What kind of needs are they serving?
  - What kind of results are they getting? (out of scope)
  - If we were to design systems serving such needs, what performance could they achieve?

# Role of Dictionary (/Grammar/Language)

- Dictionary: maps words to meaning
  - Multiple words with same meaning
  - Multiple meanings to same word
- How to decide what word to use (encoding)?
- How to decide what a word means (decoding)?
  - Common answer: Context
- Really Dictionary specifies:
  - Encoding: context  $\times$  meaning  $\rightarrow$  word
  - Decoding: context  $\times$  word  $\rightarrow$  meaning
- Context implicit; encoding/decoding works even if context used not identical!

$$\begin{aligned} M_1 &= w_{11}, w_{12}, \dots \\ M_2 &= w_{21}, w_{22}, \dots \\ M_3 &= w_{31}, w_{32}, \dots \\ M_4 &= w_{41}, w_{42}, \dots \\ &\dots \end{aligned}$$

# Context?

- In general complex notion ...
  - What does sender know/believe
  - What does receiver know/believe
  - Modifies as conversation progresses.
- Our abstraction:
  - Context = Probability distribution on potential “meanings”.
  - Certainly part of what the context provides; and sufficient abstraction to highlight the problem.

# The problem

- Wish to design encoding/decoding schemes (E/D) to be used as follows:
  - Sender has distribution  $P$  on  $M = \{1, 2, \dots, N\}$
  - Receiver has distribution  $Q$  on  $M = \{1, 2, \dots, N\}$
  - Sender gets  $X \in M$
  - Sends  $E(P, X)$  to receiver.
  - Receiver receives  $Y = E(P, X)$
  - Decodes to  $\hat{X} = D(Q, Y)$
- Want:  $X = \hat{X}$  (provided  $P, Q$  close),
  - While minimizing  $\text{Exp}_{X \leftarrow P} |E(P, X)|$

## Closeness of distributions:

- $P$  is  $\Delta$ -close to  $Q$  if for all  $X \in M$ ,

$$\frac{1}{2^\Delta} \leq \frac{P(X)}{Q(X)} \leq 2^\Delta$$

- $P$   $\Delta$ -close to  $Q \quad \Rightarrow \quad D(P||Q), D(Q||P) \leq \Delta \quad .$

# Dictionary = Shared Randomness?

- Modelling the dictionary: What should it be?
- Simplifying assumption – it is shared randomness, so ...
- Assume sender and receiver have some shared randomness  $R$  and  $X, P, Q$  independent of  $R$ .
  - $Y = E(P, X, R)$
  - $\hat{X} = D(Q, Y, R)$
- Want  $\forall X, \Pr_R[\hat{X} = X] \geq 1 - \epsilon$

# Solution (variant of Arith. Coding)

- Use  $R$  to define sequences
  - $R_1 [1], R_1 [2], R_1 [3], \dots$
  - $R_2 [1], R_2 [2], R_2 [3], \dots$
  - $\dots$
  - $R_N [1], R_N [2], R_N [3], \dots$
- $E_\Delta(P, x, R) = R_x[1 \dots L]$ , where  $L$  chosen s.t.  $\forall z \neq x$   
Either  $R_z[1 \dots L] \neq R_x[1 \dots L]$   
Or  $P(z) < \frac{P(x)}{4^\Delta}$
- $D_\Delta(Q, y, R) = \operatorname{argmax}_{\hat{x}} \{Q(\hat{x})\}$  among  $\hat{x} \in \{z \mid R_z[1 \dots L] = y\}$



# Performance

- Obviously decoding always correct.
- Easy exercise:
  - $\text{Exp}_X [E(P, X)] = H(P) + 2 \Delta$
- Limits:
  - No scheme can achieve  $(1 - \epsilon) \cdot [H(P) + \Delta]$
  - Can reduce randomness needed.

# Implications

- Reflects the tension between ambiguity resolution and compression.
  - Larger the  $\Delta$  ((estimated) gap in context), larger the encoding length.
  - Entropy is still a valid measure!
- Coding scheme reflects the nature of human process (extend messages till they feel unambiguous).
- The “shared randomness” assumption
  - A convenient starting point for discussion
  - But is dictionary independent of context?
    - This is problematic.

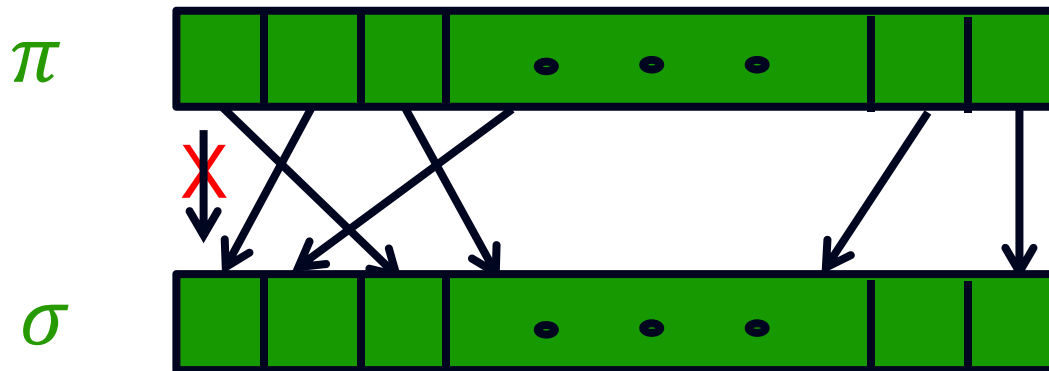
# **III: Deterministic Communication Amid Uncertainty**

## A challenging special case

- Say Alice and Bob have rankings of  $N$  players.
  - Rankings = bijections  $\pi, \sigma : [N] \rightarrow [N]$
  - $\pi(i)$  = rank of  $i^{\text{th}}$  player in Alice's ranking.
- Further suppose they know rankings are close.
  - $\forall i \in [N]: |\pi(i) - \sigma(i)| \leq 2.$
- Bob wants to know: Is  $\pi^{-1}(1) = \sigma^{-1}(1)$
- How many bits does Alice need to send (non-interactively).
  - With shared randomness –  $O(1)$
  - Deterministically?
    - $O(1)$ ?  $O(\log N)$ ?  $O(\log \log \log N)$ ?

# Model as a graph coloring problem

- Consider family of graphs  $U_{N,\ell}$ :
  - Vertices = permutations on  $[N]$
  - Edges =  $\ell$ -close permutations with distinct messages. (two potential Alices).



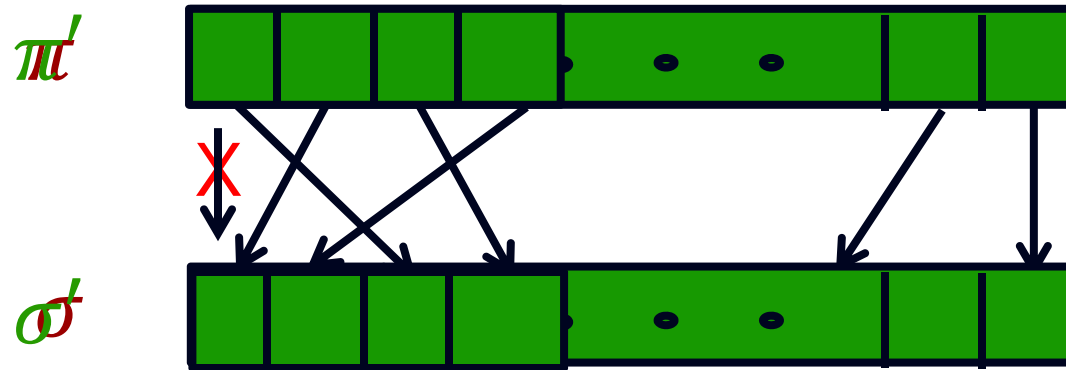
- Central question: What is  $\chi(U_{N,\ell})$ ?

# Main Results [w. Elad Haramaty]

- Claim: Compression length for toy problem  
 $\in [\log \chi(U_{N,2}), \log \chi(U_{N,4})]$
- Thm 1:  $\chi(U_{N,\ell}) \leq \ell^{O(\ell \log^* N)}$ 
  - $\log^{(i)} N \equiv \log \log \dots N$  ( $i$  times)
  - $\log^* N \equiv \min \{i \mid \log^{(i)} N \leq 1\}$ .
- Thm 2:  $\exists$  uncertain comm. schemes with
  1.  $\text{Exp}_m[|E(P, m)|] \leq O(H(P) + \Delta + \log \log N)$   
(0-error).
  1.  $\text{Exp}_m[|E(P, m)|] \leq \ell^{O(\epsilon^{-1}(H(P) + \Delta + \log^* N))}$  ( $\epsilon$ -error).
- Rest of the talk: Graph coloring

# Restricted Uncertainty Graphs

- Will look at  $U_{N,\ell,k}$ 
  - Vertices: restrictions of permutations to first  $k$  coordinates.
  - Edges:  $\pi' \leftrightarrow \sigma'$ 
    - $\Leftrightarrow \exists \pi$  extending  $\pi'$  and  $\sigma$  extending  $\sigma'$  with  $\pi \leftrightarrow \sigma$



# Homomorphisms

- $G$  homomorphic to  $H$  ( $G \rightarrow H$ ) if
  - $\exists \phi: V(G) \rightarrow V(H)$  s.t.  $u \leftrightarrow_G v \Rightarrow \phi(u) \leftrightarrow_H \phi(v)$
- Homomorphisms?
  - $G$  is  $k$ -colorable  $\Leftrightarrow G \rightarrow K_k$
  - $G \rightarrow H$  and  $H \rightarrow L \Rightarrow G \rightarrow L$
- Homomorphisms and Uncertainty graphs.
  - $U_{N,\ell} = U_{N,\ell,N} \rightarrow U_{N,\ell,N-1} \rightarrow \cdots \rightarrow U_{N,\ell,\ell+1}$
- Suffices to upper bound  $\chi(U_{N,\ell,k})$



## Chromatic number of $U_{N,\ell,\ell+1}$

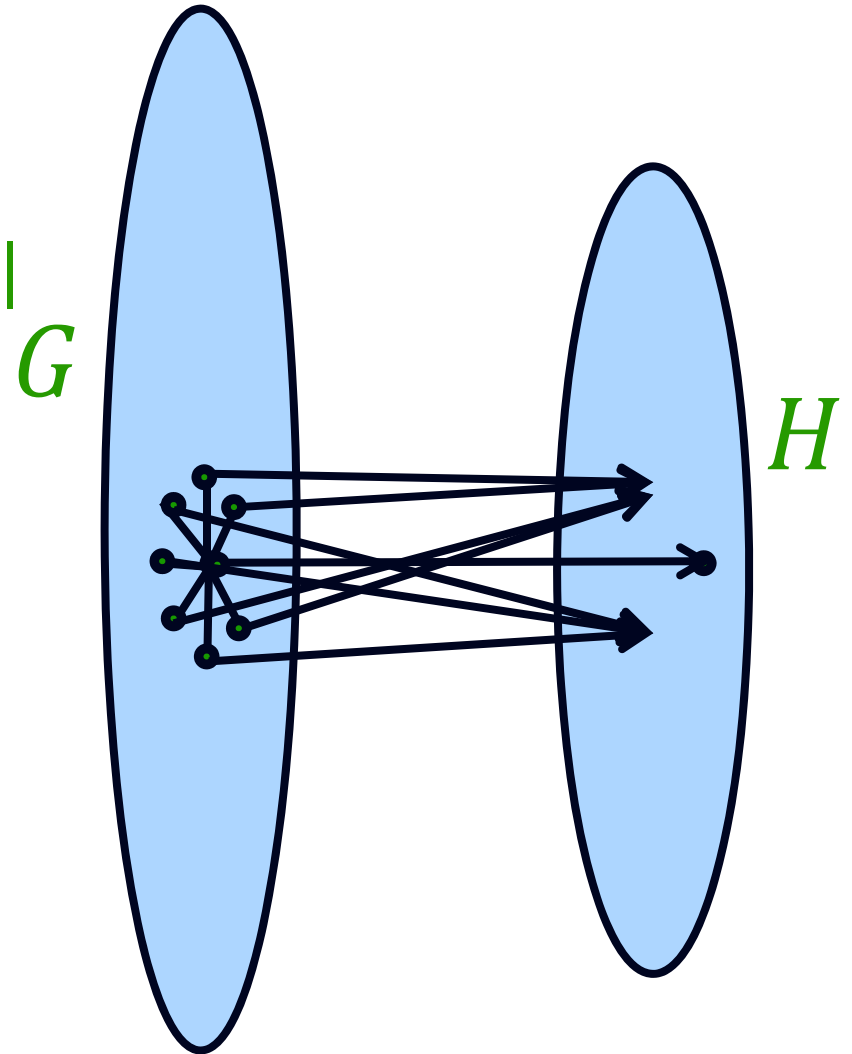
- For  $f: [N] \rightarrow [2\ell]$ , Let
$$I_f = \{ \pi \mid f(\pi_1) = 1, f(\pi_i) \neq 1, \forall i \in [2\ell] - \{1\} \}$$
- Claim:  $\forall f, I_f$  is an independent set of  $U_{N,\ell,\ell+1}$
- Claim:  $\forall \pi, \Pr_f [ \pi \in I_f ] \geq \frac{1}{4\ell}$
- Corollary:  $\chi(U_{N,\ell,\ell+1}) \leq O(\ell^2 \log N)$

## Better upper bounds:

- Say  $\phi: G \rightarrow H$
- $d_\phi(u) \equiv |\{ \phi(v) \mid v \leftrightarrow_G u \}|$   
 $d_\phi \equiv \max_u \{d_\phi(u)\}$

- Lemma:  
 $\chi(G) \leq O(d_\phi^2 \log \chi(H))$

- For  $\phi_k: U_{N,\ell,k} \rightarrow U_{N,\ell,k-\ell}$   
 $d_{\phi_k} = \ell^{O(k)}$



## Better upper bounds:

- $d_\phi \equiv \max_u |\{\phi(v) | v \leftrightarrow_G u\}|$
- Lemma:  $\chi(G) \leq O(d_\phi^2 \log \chi(H))$
- For  $\phi_k: U_{N,\ell,k} \rightarrow U_{N,\ell,k-\ell}$ ,  $d_{\phi_k} \leq \ell^{O(k)}$
- Corollary:  $\chi(U_{N,\ell,k}) \leq \ell^{O(k)} \log^{\binom{k}{\ell}} N$
- Aside: Can show:  $\chi(U_{N,\ell,k}) \geq \log^{\Omega(\frac{k}{\ell})} N$ 
  - Implies can't expect simple derandomization of the randomized compression scheme.

# Future work?

- Open Questions:
  - Is  $\chi(U_{N,\ell}) = O_\ell(1)$ ?
  - Can we compress arbitrary distributions to  $O(H(P) + \Delta)$ ?  $O(H(P) + \Delta + \log^* N)$ ? or even  $O(H(P) + \Delta + \log \log \log N)$ ?
- On conceptual side:
  - Better understanding of forces on language.
    - Information-theoretic
    - Computational
    - Evolutionary
    - Game-theoretic
- Design better communication solutions!

**Thank You**