# Statistical Machine Translation: the basic, the novel, and the speculative

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# The Basic

### • Translating with data

- how can computers learn from translated text?
- what translated material is out there?
- is it enough? how much is needed?

### • Statistical modeling

- framing translation as a generative statistical process
- EM Training
  - how do we automatically discover hidden data?
- Decoding
  - algorithm for translation



## The Novel

- Automatic evaluation methods
  - can computers decide what are good translations?
- Phrase-based models
  - what are atomic units of translation?
  - the best method in statistical machine translation
- Discriminative training
  - what are the methods that directly optimize translation performance?

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### The Speculative

- Syntax-based transfer models
  - how can we build models that take advantage of syntax?
  - how can we ensure that the output is grammatical?

### • Factored translation models

- how can we integrate different levels of abstraction?



### The Rosetta Stone



- Egyptian language was a mystery for centuries
- 1799 a stone with Egyptian text and its translation into Greek was found
- $\Rightarrow$  Humans could learn how to translated Egyptian

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### **Parallel Data**

- Lots of translated text available: 100s of million words of translated text for some language pairs
  - a book has a few 100,000s words
  - an educated person may read 10,000 words a day
  - $\rightarrow$  3.5 million words a year
  - $\rightarrow$  300 million a lifetime
  - $\rightarrow\,$  soon computers will be able to see more translated text than humans read in a lifetime
- $\Rightarrow$  Machine can learn how to translated foreign languages



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# **Statistical Machine Translation**

• Components: Translation model, language model, decoder



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Word-Based Models Mary did not slap the green witch n(3|slap) ap slap the green witch not sl ap sl Ma p-null not slap slap slap NULL the green witch Mary t(la|the) Maria no daba una botefada a la verde bruja d(4|4) Maria no daba una bofetada a la bruja verde

- [from Knight, 1997]
- Translation process is **decomposed into smaller steps**, each is tied to words
- Original models for statistical machine translation [Brown et al., 1993]



- any sequence of words, not necessarily linguistically motivated
- Each phrase is translated into English
- Phrases are reordered





## Language Models

- Language models indicate, whether a sentence is good English
  - p(Tomorrow I will fly to the conference) = high
  - p(Tomorrow fly me at a summit) = low
  - $\rightarrow\,$  ensures fluent output by guiding word choice and word order
- Standard: trigram language models
  - $p(\text{Tomorrow}|\text{START}) \times$
  - $p(\mathsf{I}|\mathsf{START},\mathsf{Tomorrow}) \times$ 
    - $p(will|Tomorrow,I) \times$
  - p(Canada|conference,in) imes
    - $p(\mathsf{END}|\mathsf{in},\mathsf{Canada}) \times$
- Often estimated using additional monolingual data (billions of words)

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Automatic Evaluation

- Why automatic evaluation metrics?
  - Manual evaluation is too slow
  - Evaluation on large test sets reveals minor improvements
  - Automatic tuning to improve machine translation performance
- History
  - Word Error Rate
  - BLEU since 2002
- BLEU in short: **Overlap with reference** translations



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# **Automatic Evaluation**

- Reference Translation
  - the gunman was shot to death by the police .
- System Translations
  - the gunman was police kill .
  - wounded police jaya of
  - the gunman was shot dead by the police .
  - the gunman arrested by police kill .
  - the gunmen were killed .
  - the gunman was shot to death by the police .
  - gunmen were killed by police ?SUB>0 ?SUB>0
  - al by the police .
  - the ringer is killed by the police .
  - police killed the gunman .
- Matches
  - green = 4 gram match (good!)
  - red = word not matched (bad!)

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BLEU correlates with human judgement
 multiple reference translations may be used

[from George Doddington, NIST]



- DARPA/NIST MT Eval 2005
  - Mostly statistical systems (all but one in graphs)
  - One submission manual post-edit of statistical system's output
  - $\rightarrow$  Good adequacy/fluency scores **not reflected** by BLEU

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• Comparison of

 $[from \ Callison-Burch \ et \ al., \ 2006, \ EACL]$ 

- good statistical system: high BLEU, high adequacy/fluency
- bad statistical sys. (trained on less data): low BLEU, low adequacy/fluency
- Systran: lowest BLEU score, but high adequacy/fluency



# **Automatic Evaluation: Outlook**

- Research questions
  - why does BLEU fail Systran and manual post-edits?
  - how can this overcome with novel evaluation metrics?
- Future of automatic methods
  - automatic metrics too useful to be abandoned
  - evidence still supports that during system development, a better BLEU indicates a better system
  - final assessment has to be human judgement

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### Competitions

- Progress driven by **MT Competitions** 
  - NIST/DARPA: Yearly campaigns for Arabic-English, Chinese-English, newstexts, since 2001
  - **IWSLT**: Yearly competitions for Asian languages and Arabic into English, speech travel domain, since 2003
  - WPT/WMT: Yearly competitions for European languages, European Parliament proceedings, since 2005
- Increasing number of statistical MT groups participate
- Competitions won by statistical systems



# **Competitions: Good or Bad?**

### • Pro:

- public forum for demonstrating the state of the art
- open data sets and evaluation metrics allow for comparison of methods
- credibility for a new approach by doing well
- sharing of ideas and implementation details
- Con:
  - winning competition is mostly due to better **engineering**
  - having more data and faster machines plays a role
  - limit research to few directions (re-engineering of other's methods)

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### **Euromatrix**

- Proceedings of the European Parliament
  - translated into 11 official languages
  - entry of new members in May 2004: more to come...
- Europarl corpus
  - collected 20-30 million words per language
  - $\rightarrow$  110 language pairs
- 110 Translation systems
  - 3 weeks on 16-node cluster computer
  - $\rightarrow$  110 translation systems
- Basis of a new European Commission funded project



# **Quality of Translation Systems**

	da	de	el	en	es	fr	fi	it	nl	pt	SV
da	-	18.4	21.1	28.5	26.4	28.7	14.2	22.2	21.4	24.3	28.3
de	22.3	-	20.7	25.3	25.4	27.7	11.8	21.3	23.4	23.2	20.5
el	22.7	17.4	-	27.2	31.2	32.1	11.4	26.8	20.0	27.6	21.2
en	25.2	17.6	23.2	-	30.1	31.1	13.0	25.3	21.0	27.1	24.8
es	24.1	18.2	28.3	30.5	-	40.2	12.5	32.3	21.4	35.9	23.9
fr	23.7	18.5	26.1	30.0	38.4	-	12.6	32.4	21.1	35.3	22.6
fi	20.0	14.5	18.2	21.8	21.1	22.4	-	18.3	17.0	19.1	18.8
it	21.4	16.9	24.8	27.8	34.0	36.0	11.0	-	20.0	31.2	20.2
nl	20.5	18.3	17.4	23.0	22.9	24.6	10.3	20.0	-	20.7	19.0
pt	23.2	18.2	26.4	30.1	37.9	39.0	11.9	32.0	20.2	-	21.9
SV	30.3	18.9	22.8	30.2	28.6	29.7	15.3	23.9	21.9	25.9	-

• Scores for all 110 systems

[from Koehn, 2005: Europarl]

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21 Clustering Languages fi el fi fi sv da en pt es fr it

[from Koehn, 2005, MT Summit]

- Clustering languages based on how easy they translate into each other
- $\Rightarrow$  Approximation of language families



### **Translation examples**

### • Spanish-English

- (1) the current situation , unsustainable above all for many self-employed drivers and in the area of agriculture , we must improve without doubt .
- (2) in itself, it is good to reach an agreement on procedures, but we have to ensure that this system is not likely to be used as a weapon policy.

#### • Finnish-English

- (1) the current situation , which is unacceptable , in particular , for many carriers and responsible for agriculture , is in any case , to be improved .
- (2) agreement on procedures in itself is a good thing , but there is a need to ensure that the system cannot be used as a political lyömäaseena .

#### • English reference

- (1) the current situation , which is intolerable , particularly for many independent haulage firms and for agriculture , does in any case need to be improved .
- (2) an agreement on procedures in itself is a good thing , but we must make sure that the system cannot be used as a political weapon .

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### Translate into vs. out of a Language

• Some languages are easier to translate into that out of

Language	From	Into	Diff
da	23.4	23.3	0.0
de	22.2	17.7	-4.5
el	23.8	22.9	-0.9
en	23.8	27.4	+3.6
es	26.7	29.6	+2.9
fr	26.1	31.1	+5.1
fi	19.1	12.4	-6.7
it	24.3	25.4	+1.1
nl	19.7	20.7	+1.1
pt	26.1	27.0	+0.9
SV	24.8	22.1	-2.6

[from Koehn, 2005: Europarl]

• Morphologically rich languages harder to generate (German, Finnish)



## Backtranslations

- Checking translation quality by **back-transliteration**
- The spirit is willing, but the flesh is weak
- $\bullet \ \ \mathsf{English} \to \mathsf{Russian} \to \mathsf{English}$
- The vodka is good but the meat is rotten

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### **Backtranslations II**

• Does not correlate with unidirectional performance

Language	From	Into	Back
da	28.5	25.2	56.6
de	25.3	17.6	48.8
el	27.2	23.2	56.5
es	30.5	30.1	52.6
fi	21.8	13.0	44.4
it	27.8	25.3	49.9
nl	23.0	21.0	46.0
pt	30.1	27.1	53.6
SV	30.2	24.8	54.4

[from Koehn, 2005: Europarl]



# Available Data

- Available parallel text
  - **Europarl**: *30 million words* in 11 languages http://www.statmt.org/europarl/
  - Acquis Communitaire: 8-50 million words in 20 EU languages
  - Canadian Hansards: 20 million words from Ulrich Germann, ISI
  - Chinese/Arabic to English: *over 100 million words* from LDC
  - lots more French/English, Spanish/French/English from LDC
- Available monolingual text (for language modeling)
  - 2.8 billion words of English from LDC
  - 100s of billions, trillions on the web





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- . Decoding
- Statistical Modeling
- EM Algorithm
- Word Alignment
- Phrase-Based Translation
- Discriminative Training
- Syntax-Based Statistical MT



- Build translation left to right
  - select foreign words to be translated



- Build translation left to right
  - select foreign words to be translated
  - find English phrase translation
  - add English phrase to end of partial translation



- Build translation left to right
  - select foreign words to be translated
  - find English phrase translation
  - add English phrase to end of partial translation
  - mark foreign words as translated



• One to many translation



• Many to one translation



• Many to one translation



• Translation finished

# **Translation Options**

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	Maria	no	dio	una	bofetada	а	la	bruja	verde
-	Mary	not did_not	give	<u> </u>	slap lap	t.o by	<u>the</u>	witch green	green witch
		 did_no	t give	slap give		<u>to the</u> <u>to</u>			
				sl	ар	t.r	the the	witch	

- Look up possible phrase translations
  - many different ways to segment words into phrases
  - many different ways to translate each phrase



- Start with empty hypothesis
  - e: no English words
  - f: no foreign words covered
  - p: probability 1



e:		1	e:	Mary
f:		-	f:	*
p:	1		p:	.534

- Pick translation option
- Create hypothesis
  - e: add English phrase Mary
  - f: first foreign word covered
  - p: probability 0.534

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# **A Quick Word on Probabilities**

• Not going into detail here, but...

### • Translation Model

- phrase translation probability p(Mary|Maria)
- reordering costs
- phrase/word count costs
- ...
- Language Model
  - uses trigrams:
  - $p(Mary did not) = p(Mary|START) \times p(did|Mary,START) \times p(not|Mary did)$

# Hypothesis Expansion

Maria	no	dio	una	bofetada	a	la	bruja	verde
Mary	not no	give	a a_s slap	slap lap	to byto	<u>the</u>	green	green witch
	<u> </u>	t give	sl	ар		hethe	witch	
	f:	witch *- .182						
e: f: p: 1	🛏 f:	Mary *						

• Add another hypothesis

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• Further hypothesis expansion



# Hypothesis Expansion



- ... until all foreign words covered
  - find **best hypothesis** that covers all foreign words
  - **backtrack** to read off translation

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 $\Rightarrow$  **Explosion** of search space



# **Explosion of Search Space**

- Number of hypotheses is exponential with respect to sentence length
- $\Rightarrow$  Decoding is NP-complete [Knight, 1999]
- $\Rightarrow$  Need to reduce search space
  - risk free: hypothesis recombination
  - risky: histogram/threshold pruning



# Hypothesis Recombination



• Different paths to the same partial translation

### $\Rightarrow$ Combine paths

- drop weaker path
- keep pointer from weaker path

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- Recombined hypotheses do not have to match completely
- No matter what is added, weaker path can be dropped, if:
  - last two English words match (matters for language model)
  - foreign word coverage vectors match (effects future path)

# Hypothesis Recombination



- Recombined hypotheses do not have to match completely
- No matter what is added, weaker path can be dropped, if:
  - last two English words match (matters for language model)
  - foreign word coverage vectors match (effects future path)

### $\Rightarrow$ Combine paths

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- Hypothesis recombination is **not sufficient**
- $\Rightarrow$  Heuristically **discard** weak hypotheses early
  - Organize Hypothesis in stacks, e.g. by
    - same foreign words covered
    - same number of foreign words covered (Pharaoh does this)
    - same number of English words produced
  - Compare hypotheses in stacks, discard bad ones
    - histogram pruning: keep top n hypotheses in each stack (e.g., n=100)
    - threshold pruning: keep hypotheses that are at most  $\alpha$  times the cost of best hypothesis in stack (e.g.,  $\alpha = 0.001$ )



**Hypothesis Stacks** 



- Organization of hypothesis into stacks
  - here: based on number of foreign words translated
  - during translation all hypotheses from one stack are expanded
  - expanded Hypotheses are placed into stacks

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Comparing Hypotheses

• Comparing hypotheses with same number of foreign words covered
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- Hypothesis that covers easy part of sentence is preferred
- $\Rightarrow$  Need to consider **future cost** of uncovered parts



# **Future Cost Estimation**



- Estimate cost to translate remaining part of input
- Step 1: estimate future cost for each translation option
  - look up translation model cost
  - estimate language model cost (no prior context)
  - ignore reordering model cost
  - $\rightarrow$  LM \* TM = p(to) \* p(the|to) \* p(to the|a la)

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• Step 2: find cheapest cost among translation options



- Step 3: find cheapest future cost path for each span
  - can be done efficiently by dynamic programming
  - future cost for every span can be pre-computed

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- Use future cost estimates when pruning hypotheses
- For each **uncovered contiguous span**:
  - look up **future costs** for each maximal contiguous uncovered span
  - add to actually accumulated cost for translation option for pruning



## Pharaoh

- A beam search decoder for phrase-based models
  - works with various phrase-based models
  - beam search algorithm
  - time complexity roughly linear with input length
  - good quality takes about 1 second per sentence
- Very good performance in DARPA/NIST Evaluation
- Freely available for researchers http://www.isi.edu/licensed-sw/pharaoh/
- Coming soon: open source version of Pharaoh

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% echo 'das ist ein kleines haus' | pharaoh -f pharaoh.ini > out Pharaoh v1.2.9, written by Philipp Koehn a beam search decoder for phrase-based statistical machine translation models (c) 2002-2003 University of Southern California (c) 2004 Massachusetts Institute of Technology (c) 2005 University of Edinburgh, Scotland loading language model from europarl.srilm loading phrase translation table from phrase-table, stored 21, pruned 0, kept 21 loaded data structures in 2 seconds reading input sentences translating 1 sentences.translated 1 sentences in 0 seconds [3mm] % cat out this is a small house



# **Phrase Translation Table**

• Core model component is the **phrase translation table**:

der ||| the ||| 0.3 das ||| the ||| 0.4 das ||| it ||| 0.1 das ||| this ||| 0.1 die ||| this ||| 0.1 die ||| this ||| 0.3 ist ||| is ||| 1.0 das ist ||| it is ||| 0.2 das ist ||| it is ||| 0.2 das ist ||| this is ||| 0.2 das ist ||| this is ||| 0.2 ein ||| a ||| 1.0 ein ||| an ||| 1.0 klein ||| small ||| 0.8 kleines ||| small ||| 0.2 kleines ||| small ||| 0.2 kleines ||| small ||| 0.2 haus ||| house ||| 1.0 alt ||| old ||| 0.2 gibt ||| gives ||| 1.0

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### Trace

• Running the decoder with switch "-t"

% echo 'das ist ein kleines haus' | pharaoh -f pharaoh.ini -t [...] this is |0.014086|0|1| a |0.188447|2|2| small |0.000706353|3|3| house |1.46468e-07|4|4|

- **Trace** for each applied phrase translation:
  - output phrase (there is)
  - cost incurred by this phrase (0.014086)
  - coverage of foreign words (0-1)



# **Reordering Example**

• Sometimes phrases have to be **reordered**:

% echo 'ein kleines haus ist das' | pharaoh -f pharaoh.ini -t -d 0.5 [...] this |0.000632805|4|4| is |0.13853|3|3| a |0.0255035|0|0| small |0.000706353|1|1| house |1.46468e-07|2|2|

• First output phrase this is translation of the 4th word

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• The switch "-v" allows for **detailed run time** information:

% echo 'das ist ein kleins haus' | pharaoh -f pharaoh.ini -v 2
[...]
HYP: 114 added, 284 discarded below threshold, 0 pruned, 58 merged.
BEST: this is a small house -28.9234

- Statistics over how many hypothesis were generated
  - 114 hypotheses were added to hypothesis stacks
  - 284 hypotheses were discarded because they were too bad
  - 0 hypotheses were pruned, because a stack got too big
  - 58 hypotheses were merged due to recombination
- Probability of the **best translation**: *exp(-28.9234)*



## **Translation Options**

• Even more run time information is revealed with "-v 3":

[das;2] the<1>, pC=-0.916291, c=-5.78855 it<2>, pC=-2.30259, c=-8.0761 this<3>, pC=-2.30259, c=-8.00205 [ist;4] is<4>, pC=0, c=-4.92223 's<5>, pC=0, c=-6.11591

[ein;7]
a<8>, pC=0, c=-5.5151
an<9>, pC=0, c=-6.41298

[kleines;9] small<10>, pC=-1.60944, c=-9.72116 little<11>, pC=-1.60944, c=-10.0953

[haus;10] house<12>, pC=0, c=-9.26607

[das ist;5] it is<6>, pC=-1.60944, c=-10.207 this is<7>, pC=-0.223144, c=-10.2906

• Translation model cost (pC) and future cost estimates (c)

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# **Future Cost Estimation**

#### • Pre-computation of the **future cost estimates**:

future costs from 0 to 0 is -5.78855future costs from 0 to 1 is -10.207future costs from 0 to 2 is -15.7221future costs from 0 to 3 is -25.4433future costs from 0 to 4 is -34.7094future costs from 1 to 1 is -4.92223future costs from 1 to 2 is -10.4373future costs from 1 to 3 is -20.1585future costs from 1 to 4 is -29.4246future costs from 2 to 2 is -5.5151future costs from 2 to 3 is -15.2363future costs from 3 to 3 is -9.72116future costs from 3 to 4 is -18.9872future costs from 4 to 4 is -9.26607



## **Hypothesis Expansion**

• **Start** of beam search: First hypothesis  $(das \rightarrow the)$ 

creating hypothesis 1 from 0 ( ... </s> <s> )
base score 0
covering 0-0: das
translated as: the => translation cost -0.916291
distance 0 => distortion cost 0
language model cost for 'the' -2.03434
word penalty -0
score -2.95064 + futureCost -29.4246 = -32.3752
new best estimate for this stack
merged hypothesis on stack 1, now size 1

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• Another hypothesis (das ist  $\rightarrow$  this is)

creating hypothesis 12 from 0 ( ... </s> <s> )
base score 0
covering 0-1: das ist
translated as: this is => translation cost -0.223144
distance 0 => distortion cost 0
language model cost for 'this' -3.06276
language model cost for 'is' -0.976669
word penalty -0
score -4.26258 + futureCost -24.5023 = -28.7649
new best estimate for this stack
merged hypothesis on stack 2, now size 2



# **Hypothesis Expansion**

#### Hypothesis recombination

creating hypothesis 27 from 3 (  $\ldots$   $<\!\!\mathrm{s}\!\!>$  this ) base score -5.36535 covering 1-1: ist translated as: is => translation cost 0 distance 0 => distortion cost 0 language model cost for 'is' -0.976669 word penalty -0 score -6.34202 + futureCost -24.5023 = -30.8443 worse than existing path to 12, discarding

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# **Hypothesis Expansion**

### • Bad hypothesis that falls out of the beam

creating hypothesis 52 from 6 (  $\dots$  <s> a ) base score -6.65992 covering 0-0: das translated as: this => translation cost -2.30259 distance -3 => distortion cost -3 language model cost for 'this' -8.69176 word penalty -0 score -20.6543 + futureCost -23.9095 = -44.5637 estimate below threshold, discarding



# **Generating Best Translation**

- Generating best translation
  - find best final hypothesis (442)
  - trace back path to initial hypothesis

best hypothesis 442
[ 442 => 343 ]
[ 343 => 106 ]
[ 106 => 12 ]
[ 12 => 0 ]

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### **Beam Size**

### • Trade-off between speed and quality via beam size

% echo 'das ist ein kleines haus' | pharaoh -f pharaoh.ini -s 10 -v 2 [...] collected 12 translation options HYP: 78 added, 122 discarded below threshold, 33 pruned, 20 merged. BEST: this is a small house -28.9234

Beam size	Threshold	Hyp. added	Hyp. discarded	Hyp. pruned	Hyp. merged
1000	unlimited	634	0	0	1306
100	unlimited	557	32	199	572
100	0.00001	144	284	0	58
10	0.00001	78	122	33	20
1	0.00001	9	19	4	0


# Limits on Reordering

- Reordering may be limited
  - Monotone Translation: No reordering at all
  - Only phrase movements of at most n words
- Reordering limits speed up search
- Current reordering models are weak, so limits **improve** translation quality







#### Sample N-Best List

#### • **N-best list** from Pharaoh:

Translation ||| Reordering LM TM WordPenalty ||| Score this is a small house ||| 0 -27.0908 -1.83258 -5 ||| -28.9234 this is a little house ||| 0 -27.108 -3.21888 -5 ||| -30.3268 it is a small house ||| 0 -27.108 -3.21888 -5 ||| -30.3268 it is a little house ||| 0 -28.1963 -3.21888 -5 ||| -31.4152 this is an small house ||| 0 -31.7294 -1.83258 -5 ||| -35.5283 this is an little house ||| 0 -32.3094 -3.21888 -5 ||| -35.5283 this is an little house ||| 0 -31.7639 -1.83258 -5 ||| -36.3176 this is a house small ||| -3 -31.4851 -1.83258 -5 ||| -36.4015 it is an little house ||| 0 -34.3439 -3.21888 -5 ||| -37.6628 it is a house small ||| -3 -31.5689 -1.83258 -5 ||| -37.7211 this is an house small ||| -3 -31.586 -3.21888 -5 ||| -37.7325 it is a house small ||| -3 -31.586 -3.21888 -5 ||| -37.7325 it is a house small ||| -7 -28.5107 -2.52573 -5 ||| -38.0364 the is a small house ||| 0 -34.8557 -3.91202 -5 ||| -38.2723 the house is a little ||| -7 -28.0443 -3.91202 -5 ||| -38.2767 this house is a little ||| -7 -28.0443 -3.91202 -5 ||| -38.9563 it 's a house ||| 0 -35.1446 -3.91202 -5 ||| -38.9563 it 's a little house ||| 0 -35.1446 -3.91202 -5 ||| -39.0566 this house is a small ||| -7 -28.3018 -3.91202 -5 ||| -39.2139

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#### XML Markup

Er erzielte <NUMBER english='17.55'>17,55</NUMBER> Punkte .

#### • Add additional translation options

- number translation
- noun phrase translation [Koehn, 2003]
- name translation
- Additional options
  - provide multiple translations
  - provide probability distribution along with translations
  - allow bypassing of provided translations



• Decoding

# **Statistical Modeling**

- EM Algorithm
- Word Alignment
- Phrase-Based Translation
- Discriminative Training
- Syntax-Based Statistical MT



• Learn P(f|e) from a parallel corpus

[from Knight and Knight, 2004, SMT Tutorial]

• Not sufficient data to estimate P(f|e) directly

#### **Statistical Modeling (2)**



• **Decompose** the process into smaller steps

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• Probabilities for smaller steps can be learned



# Statistical Modeling (4)

- Generate a story how an English string *e* gets to be a foreign string *f* choices in story are decided by reference to parameters
   e.g., *p*(*bruja*|*witch*)
- Formula for P(f|e) in terms of parameters
  - usually long and hairy, but mechanical to extract from the story
- Training to obtain parameter estimates from possibly incomplete data
  - off-the-shelf **Expectation Maximization (EM)**



we could estimate the connections in the data



- Decoding
- Statistical Modeling



- Word Alignment
- Phrase-Based Translation
- Discriminative Training
- Syntax-Based Statistical MT

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#### **EM Algorithm**

- Incomplete data
  - if we had complete data, would could estimate model
  - if we had model, we could fill in the gaps in the data

#### • EM in a nutshell

- 1. **initialize model** parameters (e.g. uniform)
- 2. assign probabilities to the missing data (the connections)
- 3. estimate model parameters from completed data
- 4. **iterate** steps 2 and 3



• Model learns that, e.g., la is often connected with the





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... la maison ... la maison blue ... la fleur ... la fleur ... la fleur ... the house ... the blue house ... the flower ...

- After one iteration
- Connections, e.g., between la and the are more likely

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• It becomes apparent that connections, e.g., between fleur and flower are more likely (pigeon hole principle)





• Parameter estimation from the connected corpus

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German:	Zeitmangel	erschwert	das	Problem	•
Gloss:	LACK OF TIME	MAKES MORE DIFFICULT	THE	PROBLEM	
Correct translation:	Lack of time makes the problem more difficult.				
MT output:	Time makes the	problem .			

• Phrasal translation

#### non-compositional phrase: erübrigt sich $\rightarrow$ there is no point in

German:	Eine	Diskussion	erübrigt	sich	demnach	•
Gloss:	А	DISCUSSION	IS MADE UNNECESSARY	ITSELF	THEREFORE	
Correct translation:	Therefore, there is no point in a discussion.					
MT output:	A debate turned therefore .					



#### Flaws of Word-Based MT (2)

#### • Syntactic transformations

#### reordering, genitive NP: der Sache $\rightarrow$ for this matter

German:	Das	ist	der	Sache	nicht	angemessen	
Gloss:	THAT	IS	THE	MATTER	NOT	APPROPRIATE	
Correct translation:	That is not appropriate for this matter .						
MT output:	That is the thing <mark>is</mark> not appropriate .						

#### object/subject reordering

German:	Den	Vorschlag	lehnt	die	Kommission	ab	•
Gloss:	THE	PROPOSAL	REJECTS	THE	COMMISSION	OFF	
Correct translation:	The commission rejects the proposal .						
MT output:	The proposal rejects the commission .						

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- Decoding
- Statistical Modeling
- EM Algorithm



- Phrase-Based Translation
- Discriminative Training
- Syntax-Based Statistical MT



# Word Alignment

- Notion of word alignment valuable
- Shared task at NAACL 2003 and ACL 2005 workshops





- IBM Models create a many-to-one mapping
  - words are aligned using an alignment function
  - a function may return the same value for different input (one-to-many mapping)
  - a function can not return multiple values for one input (no many-to-one mapping)
- But we need many-to-many mappings



### **Improved Word Alignments**



• **Intersection** of GIZA++ bidirectional alignments

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# **Growing Heuristic**

```
GROW-DIAG-FINAL(e2f,f2e):
  neighboring = ((-1,0), (0,-1), (1,0), (0,1), (-1,-1), (-1,1), (1,-1), (1,1))
  alignment = intersect(e2f,f2e);
  GROW-DIAG(); FINAL(e2f); FINAL(f2e);
GROW-DIAG():
  iterate until no new points added
    for english word e = 0 \dots en
      for foreign word f = 0 \dots fn
         if ( e aligned with f )
           for each neighboring point ( e-new, f-new ):
             if ( ( \mathsf{e}\mathsf{-new}\xspace not aligned and \mathsf{f}\mathsf{-new}\xspace not aligned ) and
                    ( e-new, f-new ) in union( e2f, f2e ) )
                add alignment point ( e-new, f-new )
FINAL(a):
  for english word e-new = 0 \dots en
    for foreign word f-new = 0 \dots fn
       if ( ( \operatorname{e-new} not aligned or \operatorname{f-new} not aligned ) and
             ( e-new, f-new ) in alignment a )
         add alignment point ( e-new, f-new )
```

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- Decoding
- Statistical Modeling
- EM Algorithm
- Word Alignment

# . Phrase-Based Translation

- Discriminative Training
- Syntax-Based Statistical MT



#### **Phrase-Based Translation**



- Foreign input is **segmented** in phrases
  - any sequence of words, not necessarily linguistically motivated
- Each phrase is translated into English
- Phrases are **reordered**
- See [Koehn et al., NAACL2003] as introduction

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- Many-to-many translation can handle non-compositional phrases
- Use of local context in translation
- The more data, the longer phrases can be learned



#### Phrase-Based Systems

- A number of research groups developed phrase-based systems
  - RWTH Aachen Univ. of Southern California/ISI CMU
  - IBM Johns Hopkins U. Cambridge U. U. of Catalunya
  - ITC-irst Edinburgh U. U. of Maryland U. Valencia
- Systems differ in
  - training methods
  - model for phrase translation table
  - reordering models
  - additional feature functions
- Currently **best method** for SMT (MT?)
  - top systems in DARPA/NIST evaluation are phrase-based
  - best commercial system for Arabic-English is phrase-based

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#### Phrase Translation Table

• Phrase Translations for den Vorschlag

English	$\phi(\mathbf{e} \mathbf{f})$	English	$\phi(\mathbf{e} \mathbf{f})$
the proposal	0.6227	the suggestions	0.0114
's proposal	0.1068	the proposed	0.0114
a proposal	0.0341	the motion	0.0091
the idea	0.0250	the idea of	0.0091
this proposal	0.0227	the proposal ,	0.0068
proposal	0.0205	its proposal	0.0068
of the proposal	0.0159	it	0.0068
the proposals	0.0159		

# How to Learn the Phrase Translation Table?

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• Start with the **word alignment**:



• Collect all phrase pairs that are **consistent** with the word alignment

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• Consistent with the word alignment := phrase alignment has to contain all alignment points for all covered words

$$(\overline{e},\overline{f}) \in BP \Leftrightarrow \qquad \forall e_i \in \overline{e} : (e_i, f_j) \in A \to f_j \in \overline{f}$$
  
AND 
$$\forall f_j \in \overline{f} : (e_i, f_j) \in A \to e_i \in \overline{e}$$



# **Word Alignment Induced Phrases**



(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green)

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(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green), (Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the), (bruja verde, green witch)

# Word Alignment Induced Phrases (3)



(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green),
(Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the),
(bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap),
(no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch)

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(bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap),

(no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch),

(Maria no daba una bofetada a la, Mary did not slap the),

(daba una bofetada a la bruja verde, slap the green witch)

# Word Alignment Induced Phrases (5)



(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green),
(Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the),
(bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap),
(no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch),
(Maria no daba una bofetada a la, Mary did not slap the), (daba una bofetada a la bruja verde,
slap the green witch), (no daba una bofetada a la bruja verde, did not slap the green witch),
(Maria no daba una bofetada a la bruja verde, Mary did not slap the green witch)

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- We need a **probability distribution**  $\phi(\overline{f}|\overline{e})$  over the collected phrase pairs
- $\Rightarrow$  Possible choices
  - relative frequency of collected phrases:  $\phi(\overline{f}|\overline{e}) = \frac{\operatorname{count}(\overline{f},\overline{e})}{\sum_{\overline{f}} \operatorname{count}(\overline{f},\overline{e})}$
  - or, conversely  $\phi(\overline{e}|\overline{f})$
  - use lexical translation probabilities



# Reordering

- Monotone translation
  - do not allow any reordering
  - $\rightarrow$  worse translations
- Limiting reordering (to movement over max. number of words) helps
- Distance-based reordering cost
  - moving a foreign phrase over n words: cost  $\omega^n$
- Lexicalized reordering model

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• Probability p(swap|e, f) depends on foreign (and English) phrase involved

#### Training

#### [from Koehn et al., 2005, IWSLT]

- Alignment point to the top left (monotone) or top right (swap)?
- For more, see [Tillmann, 2003] or [Koehn et al., 2005]

• Orientation type is learned during phrase extractions

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• Decoding

- Statistical Modeling
- EM Algorithm
- Word Alignment
- Phrase-Based Translation

# . Discriminative Training

• Syntax-Based Statistical MT



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# **Log-Linear Models**

• IBM Models provided mathematical justification for factoring components together

 $p_{LM} \times p_{TM} \times p_D$ 

- These may be weighted  $p_{LM}^{\lambda_{LM}} \times p_{TM}^{\lambda_{TM}} \times p_D^{\lambda_D}$
- Many components  $p_i$  with weights  $\lambda_i$

$$\Rightarrow \prod_{i} p_{i}^{\lambda_{i}} = exp(\sum_{i} \lambda_{i} log(p_{i}))$$
$$\Rightarrow log \prod_{i} p_{i}^{\lambda_{i}} = \sum_{i} \lambda_{i} log(p_{i})$$

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# **Knowledge Sources**

- Many different knowledge sources useful
  - language model
  - reordering (distortion) model
  - phrase translation model
  - word translation model
  - word count
  - phrase count
  - drop word feature
  - phrase pair frequency
  - additional language models
  - additional features



# **Set Feature Weights**

- Contribution of components  $p_i$  determined by weight  $\lambda_i$
- Methods
  - manual setting of weights: try a few, take best
  - automate this process
- Learn weights
  - set aside a **development corpus**
  - set the weights, so that optimal translation performance on this development corpus is achieved
  - requires automatic scoring method (e.g., BLEU)





#### **Discriminative vs. Generative Models**

- Generative models
  - translation process is broken down to steps
  - each step is modeled by a **probability distribution**
  - each probability distribution is estimated from the data by maximum likelihood
- Discriminative models
  - model consist of a number of **features** (e.g. the language model score)
  - each feature has a weight, measuring its value for judging a translation as correct
  - feature weights are **optimized on development data**, so that the system output matches correct translations as close as possible

```
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```

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- different from original training set
- small (maybe 1000 sentences)
- must be different from test set
- Current model translates this development set
  - **n-best list** of translations (n=100, 10000)
  - translations in n-best list can be scored
- Feature weights are **adjusted**
- N-Best list generation and feature weight adjustment repeated for a number of iterations



# Learning Task

• Task: find weights, so that feature vector of the correct translations ranked first

	TRANSLATION	LM	тм	WP	SER
1	Mary not give slap witch green .	-17.2	-5.2	-7	1
2	Mary not slap the witch green .	-16.3	-5.7	-7	1
3	Mary not give slap of the green witch .	-18.1	-4.9	-9	1
4	Mary not give of green witch .	-16.5	-5.1	-8	1
5	Mary did not slap the witch green .	-20.1	-4.7	-8	1
б	Mary did not slap green witch .	-15.5	-3.2	-7	1
7	Mary not slap of the witch green .	-19.2	-5.3	-8	1
8	Mary did not give slap of witch green .	-23.2	-5.0	-9	1
9	Mary did not give slap of the green witch .	-21.8	-4.4	-10	1
10	Mary did slap the witch green .	-15.5	-6.9	-7	1
11	Mary did not slap the green witch .	-17.4	-5.3	-8	0
12	Mary did slap witch green .	-16.9	-6.9	-б	1
13	Mary did slap the green witch .	-14.3	-7.1	-7	1
14	Mary did not slap the of green witch .	-24.2	-5.3	-9	1
15	Mary did not give slap the witch green .	-25.2	-5.5	-9	1
rank	translation	featu	re vec	tor	

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# **Methods to Adjust Feature Weights**

- Maximum entropy [Och and Ney, ACL2002]
  - match expectation of feature values of model and data
- Minimum error rate training [Och, ACL2003]
  - try to rank best translations first in n-best list
  - can be adapted for various error metrics, even  $\mathsf{BLEU}$
- Ordinal regression [Shen et al., NAACL2004]
  - **separate** k worst from the k best translations



# **Discriminative Training: Outlook**

- Many more features
- Discriminative training on entire training set
- Reranking vs. decoding
  - reranking: expensive, global features possible
  - decoding: integrating features in search reduces search errors
- $\Rightarrow$  First decoding, then reranking

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- Decoding
- Statistical Modeling
- EM Algorithm
- Word Alignment
- Phrase-Based Translation
- Discriminative Training
- **.** Syntax-Based Statistical MT



### Syntax-based SMT

- Why Syntax?
- Yamada and Knight: translating into trees
- Wu: tree-based transfer
- Chiang: hierarchical transfer
- Collins, Kucerova, and Koehn: clause structure
- Koehn: factored translation models
- Other approaches



• The classical machine translation pyramid



# Advantages of Syntax-Based Translation

- **Reordering** for syntactic reasons
  - e.g., move German object to end of sentence
- Better explanation for function words
  - e.g., prepositions, determiners
- Conditioning to syntactically related words
  - translation of verb may depend on subject or object
- Use of syntactic language models
  - ensuring grammatical output









#### **Reordering Table**

Original Order	Reordering	p(reorder original)
PRP VB1 VB2	PRP VB1 VB2	0.074
PRP VB1 VB2	PRP VB2 VB1	0.723
PRP VB1 VB2	VB1 PRP VB2	0.061
PRP VB1 VB2	VB1 VB2 PRP	0.037
PRP VB1 VB2	VB2 PRP VB1	0.083
PRP VB1 VB2	VB2 VB1 PRP	0.021
VB TO	VB TO	0.107
VB TO	το νβ	0.893
TO NN	TO NN	0.251
TO NN	ΝΝ ΤΟ	0.749

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• Chart Parsing



- Pick Japanese words
- Translate into tree stumps



#### **Decoding as Parsing**

• Chart Parsing



- Pick Japanese words
- Translate into tree stumps



• Adding some more entries...



#### **Decoding as Parsing**



• Combine entries

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## Yamada and Knight: Training

- Parsing of the English side
  - using Collins statistical parser
- EM training
  - translation model is used to map training sentence pairs
  - EM training finds low-perplexity model
  - → unity of training and decoding as in IBM models

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#### Is the Model Realistic?

- Do English trees **match** foreign strings?
- Crossings between French-English [Fox, 2002]
  - 0.29-6.27 per sentence, depending on how it is measured
- Can be reduced by
  - flattening tree, as done by [Yamada and Knight, 2001]
  - detecting phrasal translation
  - **special treatment** for small number of constructions
- Most coherence between **dependency structures**



#### **Inversion Transduction Grammars**

- Generation of **both** English and foreign trees [Wu, 1997]
- Rules (binary and unary)
  - $A \to A_1 A_2 \|A_1 A_2$
  - $A \rightarrow A_1 A_2 \|A_2 A_1$
  - $A \rightarrow e \| f$
  - $-A \rightarrow e \parallel \ast$

$$-A \rightarrow * \| f$$

 $\Rightarrow$  Common binary tree required

- limits the complexity of reorderings



• English binary tree



Combined tree with reordering of Spanish



#### **Inversion Transduction Grammars**

- Decoding by parsing (as before)
- Variations
  - may use real syntax on either side or both
  - may use multi-word units at leaf nodes

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# **Chiang: Hierarchical Phrase Model**

- Chiang [ACL, 2005] (best paper award!)
  - context free bi-grammar
  - one non-terminal symbol
  - right hand side of rule may include non-terminals and terminals
- Competitive with phrase-based models in 2005 DARPA/NIST evaluation



# **Types of Rules**

- Word translation
  - $X \rightarrow$  maison  $\parallel$  house
- Phrasal translation
  - $X \rightarrow$  daba una bofetada | slap
- Mixed non-terminal / terminal
  - $X \rightarrow X$  bleue  $\parallel$  blue X
  - $X \rightarrow$  ne X pas  $\parallel$  not X
  - X  $\rightarrow$  X1 X2  $\parallel$  X2 of X1
- Technical rules
  - $S \rightarrow S X \parallel S X$
  - $S \rightarrow X \parallel X$

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 $\mathsf{X} \to \mathsf{X} \text{ verde } \parallel \mathsf{green} \ \mathsf{X}$ 



# **Learning Hierarchical Rules**



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- Too many rules
  - $\rightarrow$  filtering of rules necessary
- Efficient parse decoding possible
  - hypothesis stack for each span of foreign words
  - only one non-terminal  $\rightarrow$  hypotheses comparable
  - length limit for spans that do not start at beginning

#### Clause Level Restructuring [Collins et al.]

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- Why clause structure?
  - languages differ vastly in their clause structure (English: SVO, Arabic: VSO, German: fairly free order; a lot details differ: position of adverbs, sub clauses, etc.)
  - large-scale restructuring is a problem for phrase models
- Restructuring

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- **reordering** of constituents (main focus)
- add/drop/change of function words
- Details see [Collins, Kucerova and Koehn, ACL 2005]



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- Syntax tree from German parser
  - statistical parser by Amit Dubay, trained on TIGER treebank



#### **Reordering When Translating**

S	PPER-SB VAFIN-HD PPER-DA NP-OA	Ihnen ART-OA ADJ-NK	entsprechenden	I will you the correspond	ding
	VVFIN	NN-NK aushaend	Anmerkungen ligen	comments pass on	$\mathcal{I}$
\$,	,		3	/	
S-MO	KOUS-CP	damit		so that	
	PPER-SB	Sie		you 🖌 🚽	_
	PDS-OA	das		that	$\overline{}$
	ADJD-MO	eventuel	11	perhaps X	
	PP-MO	APRD-MO	bei	in	1
		ART-DA	der	the	
		NN-NK	Abstimmung	vote	
	VVINF	uebernel	nmen	include 🦯	
	VMFIN	koennen		can 🖉	
\$				•	

- **Reordering** when translating into English
  - tree is **flattened**
  - clause level constituents line up

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- Clause level reordering is awell defined task
  - label German constituents with their English order
  - done this for 300 sentences, two annotators, high agreement

#### Systematic Reordering German $\rightarrow$ Englis

- Many types of reorderings are systematic
  - move verb group together
  - subject verb object
  - move negation in front of verb

#### $\Rightarrow$ Write rules by hand

- apply rules to test and training data
- train standard phrase-based SMT system

System	BLEU
baseline system	25.2%
with manual rules	26.8%

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- we must also this criticism should be taken seriously .
- $\rightarrow$  we must also take this criticism seriously .
- i am with him that it is necessary, the institutional balance by means of a political revaluation of both the commission and the council to maintain.
- $\rightarrow\,$  i agree with him in this , that it is necessary to maintain the institutional balance by means of a political revaluation of both the commission and the council .
- thirdly , we believe that the principle of differentiation of negotiations note .
- $\rightarrow\,$  thirdly , we maintain the principle of differentiation of negotiations .
- perhaps it would be a constructive dialog between the government and opposition parties , social representative a positive impetus in the right direction .
- $\rightarrow$  perhaps a constructive dialog between government and opposition parties and social representative could give a positive impetus in the right direction .



#### **Factored Translation Models**

• Factored represention of words



- Goals
  - Generalization, e.g. by translating stems, not surface forms
  - Additional information within model (using syntax for reordering, language modeling)

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#### **Factored Models: Open Questions**

- What is the **best decomposition** into translation and generation steps?
- What information is useful?
  - translation: mostly lexical, or stems for richer statistics
  - reordering: syntactic information useful
  - language model: syntactic information for overall grammatical coherence
- Use of annotation tools
- Use of **automatically discovered** generalizations (word classes)
- Back-off models (use complex mappings, if available)

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# **Other Syntax-Based Approaches**

- ISI: extending work of Yamada/Knight
  - more complex rules
  - performance approaching phrase-based
- Prague: Translation via dependency structures
  - parallel Czech-English dependency treebank
  - tecto-grammatical translation model [EACL 2003]
- U.Alberta/Microsoft: treelet translation
  - translating from English into foreign languages
  - using dependency parser in English
  - project dependency tree into foreign language for training
  - map parts of the dependency tree ("treelets") into foreign languages



#### Other Syntax-Based Approaches (2)

- Reranking phrase-based SMT output with syntactic features
  - create n-best list with phrase-based system
  - POS tag and parse candidate translations
  - rerank with syntactic features
  - see [Koehn, 2003] and JHU Workshop [Och et al., 2003]
- JHU Summer workshop 2005
  - Genpar: tool for syntax-based SMT

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# Syntax: Does it help?

- Not yet
  - best systems still phrase-based, treat words as tokens
- Well, maybe...
  - work on reordering German
  - automatically trained tree transfer systems promising
- Why not yet?
  - if real syntax, we need good parsers are they good enough?
  - syntactic annotations add a level of complexity
  - $\rightarrow$  difficult to handle, slow to train and decode
  - few researchers good at statistical modeling and understand syntactic theories