

Computability Theory Of and With Scheme

Prof. Albert R. Meyer
MIT Computer Science &
Artificial Intelligence Laboratory

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 1

Scheme-based Texts

- 1) Abelson & Sussman, 2nd ed. 1996
- 2) Friedman & Felleisen, 4th ed. 1995.
- 3) Friedman, Wand, & Haynes. 1992.
- 4) Harvey & Wright. 1994.
- 5) Hailperin, Kaiser & Knight. 1999.
- 6) Manis & Little. 1995.
- 7) Springer & Friedman. 1989.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 2

More Scheme-based Texts



8. *La programmation: une approche fonctionnelle et récursive avec Scheme*, Arditi & Ducasse, 1996
9. *Initiation à la programmation avec Scheme*, Bloch, 2001
10. *Programmer avec Scheme: De la pratique à la théorie*, Chazarain, 1996
11. *The Scheme Programming Language (2/e)*, Dybvig, 1996
12. *How to Design Programs*, Felleisen, Findler, Flatt & Krishnamurthi
13. *The Schemers Guide (2/e)*, Ferguson w/Martin & Kaufman, 1995
14. *The Seasoned Schemer*, Friedman & Felleisen, 1995
15. *Exploring Computer Science with Scheme*, Grillmeyer, 1998
16. *Programmation Fonctionnelle en Scheme*, Hufflen, 1996
17. *Vom Problem zum Programm: Architektur und Bedeutung von Computerprogrammen (3/e)*, Klaeren & Sperber, 2001
18. *Recueil de Petits Problèmes en Scheme*, Moreau, Queinnec, Ribbens & Serrano, 1999
19. *Programming and Meta Programming in Scheme*, Pearce, 1998
20. *Débuter la programmation avec Scheme* Routier & Wegrzynowski, 1997
21. *Teach Yourself Scheme in Fixnum Days*, Sitaram, Web document, 2003
22. *Principles of Programming Languages*, Krishnamurthi & Felleisen, 1997

23. *Les Langages Lisp*, Queinnec, 1994

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 3

Schools using Scheme

- 154 colleges/universities in USA
(50 for intro courses)
- 278 colleges/universities worldwide
- 51 secondary schools in USA
Rice/NE U. TeachScheme! 
- Commercial education: 

(as of Nov. 2002, from <http://www.schemers.com/schools.html>)

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 4

The Scheme Underground

... an effort to develop useful software packages in Scheme for use by research projects and for distribution on the net.

We want to take over the world. The internet badly needs a public domain software environment that allows the rapid construction of software tools using a modern programming language. Our goal is to build such a system using Scheme....

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 5

Today's Lecture

- *Kernel Scheme*: overview
- A rigorous, intuitive
Scheme "Substitution" Model
- Theorems about Scheme
- Computability theory w/ Scheme

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 6

Scheme Expressions

Scheme has

lots of parentheses :-)

- variables **x**, myage, factorial
- procedures **+**, **cons**,
procedure?
(lambda(x) <expr>)

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 7

Scheme Expressions

- if's **(if <test> <expr> <expr>)**

- apply's **(<procedure> <args>)**
(factorial 3)

```
((lambda(func)(func 2 3))  
  +)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 8

Scheme Expressions

- letrec's

```
(letrec ((x 1)  
        (func +))  
  (func x 5))
```

>> 6

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 9

Substitution

```
(letrec ((x 1)  
        (func +))  
  ( + 1 5))
```

6

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 10

Substitution

```
(letrec ((x 1)  
        (func +))  
  (if #t  
      "done"  
      x)))
```

NO!

>> "done"

only substitute
where necessary

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 11

Bindings & Lambda

```
((lambda (y) (+ y 5)) 2)
```



```
(letrec ((y 2)) (+ y 5))
```

Applying **lambda** creates a

binding -- of **y** to **2** in this example

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 12

Bindings & set!

```
(letrec ((y 3))  
  (number? 3))  
  
>> #t
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 13

Scheme Values

```
basic: 3 "done" #t `aaa  
procedure: + cons  
          (lambda ...)  
list: nil  
      (list <val> ...<val>)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 14

Scheme Evaluation

Evaluation rules change an expression into another expression.

Expressions *evaluate* until a unique value is reached.

```
(if #f Texp Fexp) → Fexp  
(if <non-#f val> Texp Fexp)  
    → Texp
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 15

Redexes

A *redex* is an expression matching lefthand side of an evaluation rule.

Redexes of **if**-rules:

```
(if <value> Texp Fexp)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 16

lambda Evaluation Rule

```
((lambda (x) E) <val>)  
    →  
(letrec ((x <val>)) E)
```

new binding

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 17

Scheme Evaluation

```
(if (= (+ 1 12)  
     (- 22  
        ((lambda(x) (* x x))  
           3)))  
    <#t case to do>  
    <#f case to do>)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 18

Scheme Evaluation

```
(if (= (+ 1 12) (apply rule here?))
    (- 22
      ((lambda (x) (* x x))
       3)))
<#t case to do>
<#f case to do>
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 19.

Scheme Evaluation

```
(if (= (+ 1 12)
      (- 22
        ((lambda (x) (* x x))
         3))) (or here?))
<#t case to do>
<#f case to do>
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 20.

Scheme Evaluation

```
(if (= (+ 1 12)
      (- 22
        ((lambda (x) (* x x))
         3)))
    <#t case to do> (or here?))
    <#f case to do>)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 21.

Scheme Evaluation

```
(if (= (+ 1 12)
      (- 22
        ((lambda (x) (* x x))
         3)))
    <#t case to do>
    <#f case to do>) (or here?)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 22.

Which expression to rewrite?

Expression to rewrite given by a
Control context, $R[\]$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 23.

Control Parsing Lemma. Every non-value Scheme expression parses uniquely as

$R[\langle \text{redex} \rangle]$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003, 24.

Control Context Syntax

$R[]$ is

- $[]$
- $(\text{if } R[] \langle \text{expr} \rangle \langle \text{expr} \rangle)$
- $(\text{set! } x \ R[])$
- $(\langle \text{val} \rangle \dots \langle \text{val} \rangle \ R[] \langle \text{expr} \rangle \dots)$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 25

Substitution Rules

$x \rightarrow$ <what x is bound to>

$(\text{set! } x \ \langle \text{newval} \rangle) \rightarrow \text{'set!-done}$
 $(\text{letrec } (\dots(x \ \langle \text{oldval} \rangle)\dots) \dots) \rightarrow$
 $(\text{letrec } (\dots(x \ \langle \text{newval} \rangle)\dots) \dots)$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 26

Substitution Rule

$(\text{letrec } (\dots(x \ \langle \text{val} \rangle)\dots)$
 $\quad R[x]) \rightarrow$
 $(\text{letrec } (\dots(x \ \langle \text{val} \rangle)\dots)$
 $\quad R[\langle \text{val} \rangle])$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 27

letrec Rule

$(\text{letrec } (\dots(x \ \langle \text{oldval} \rangle)\dots)$
 $\quad R[(\text{set! } x \ \langle \text{newval} \rangle)])$
 \rightarrow
 $(\text{letrec } (\dots(x \ \langle \text{newval} \rangle)\dots)$
 $\quad R[\text{'set!-done }])$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 28

The Variable Convention

Rename bound variables so

- no variable appears in two bindings

$(\text{letrec}((x \ 1))$
 $\quad (+ \ x$
 $\quad\quad (\text{lambda}(y)(* \ y \ y)) \dots))$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 29

The Variable Convention

Rename bound variables so

- no variable is both bound & free

$(\text{letrec}((z \ 1))$
 $\quad (+ \ x$
 $\quad\quad (\text{lambda}(y)(* \ y \ y)) \dots))$

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 30

Teaching Scheme : call/cc

call/cc – a general “escape” construct
 -- normally in advanced course.
 SubModel explains with two
 simple rules (after Felleisen & Heib).

Teaching Scheme : call/cc

- 1) $R[(\text{call/cc } V)]$
 \rightarrow
 $R[(V (\lambda(x) (\text{abort } R[x])))]$
- 2) $R[(\text{abort } V)] \rightarrow V$

It runs! <http://theory.lcs.mit.edu/classes/6.844/spring03-6844/>

```
>> (set! dec (lambda (n) (- n 1)))
>> (dec (dec 3))
== (0, instantiate-global)==>
((lambda (n) (- n 1)) (dec 3))
== (1, instantiate-global)==>
((lambda (n) (- n 1)) ((lambda (n) (- n 1)) 3))
== (2, lambda)==>
(letrec ((n 3))
  ((lambda (n) (- n 1)) ((lambda () (- n 1))))))
== (3, lambda)==>
(letrec ((n 3))
  ((lambda (n) (- n 1)) (- n 1)))
== (4, instantiate)==>
(letrec ((n 3))
  ((lambda (n) (- n 1)) (- 3 1)))
== (5, builtin)==>
(letrec ((n 3))
  ((lambda (n) (- n 1)) 2))
== (6, lambda)==>
(letrec ((n 3) (n_0 2))
  ((lambda () (- n_0 1))))
== (7, lambda)==>
(letrec ((n 3) (n_0 2))
  (- n_0 1))
== (8, instantiate)==>
(letrec ((n 3) (n_0 2))
  (- 2 1))
== (9, builtin)==>
(letrec ((n 3) (n_0 2))
  1)
Final value after 10 steps: 1
```

Scheme Theory

With **Scheme** mathematically
 defined by simple rules,
can prove theorems about it.

Scheme Theory

Equivalence Lemma.

If $E \rightarrow \dots \rightarrow F$, then E and F are
observably equivalent:

$$E \equiv F.$$

(ignoring a complication from call/cc)

Scheme Theory

“Black Box” Procedures Lemma.

If P, Q procedure val’s and $P \not\equiv Q$,
 then there must be val’s, V_1, V_2 , s.t.

$$(V_1 (P V_2)) \rightarrow \dots \rightarrow 1$$

$$(V_1 (Q V_2)) \not\rightarrow \dots \rightarrow 1$$

(or vice-versa).

Computability Theory

With **Scheme** mathematically defined by simple rules, it can **replace Turing Machines**.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 37

Computability Theory w/Scheme

Example: “self-reproducing” expression.

Define **double** so that

```
(double ‘<expr>)  
→...→ <list-value>
```

which prints out as:

```
(<expr> ‘<expr>)
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 38

Computability Theory

```
(set! double  
  (lambda (expr)  
    (list expr  
          (list ‘quote expr))))
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 39

Computability Theory

So

```
(double ‘double)
```

prints out as:

```
(double ‘double)
```

“Self-reproducing”!

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 40

Computability Theory: complications

Scheme easier to program than Turing Machines, but makes some basic results harder to show (or false):

If a set is recognizable, then it is enumerable.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 41

Computability Theory: complications

Symbols are recognizable – by builtin **symbol?** procedure.

How to enumerate them? say with

```
(nth-symbol 0)
```

```
(nth-symbol 1)
```

```
(nth-symbol 2) ...
```

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 42

Computability Theory: complications

`nth-symbol`
definable using *builtin*
`string->symbol`
procedure
– not in good taste.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 43

Computability Theory: complications

Procedures are recognizable – by
builtin **procedure**?

How to enumerate them? say with

`(nth-procedure 0)`
`(nth-procedure 1)`
`(nth-procedure 2) ...`

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 44

Computability Theory: complications

`nth-procedure`
definable (up to \equiv) by constructing an
interpreter **eval**:

`(eval '<expr>) ≡ <expr>`

– interesting CS project, **but tricky, not
in good taste for computability theory.**

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 45

Computability Theory: complications

More generally:
insight of Computability theory is
that it is *machine independent*.
Using **Scheme** – or any “real”
language – can shift focus to
programming instead of machine
independent results.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 46

Submodel in intro programming?

Why isn't the Submodel of interest to
my programming colleagues?

My guess: can shift focus to
details of progr. language instead of
progr. abstractions & techniques.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 47

Computability Theory of & with...?

- Found a place in introductory grad course.
- Enjoyed by a few students who had taken grad programming but not computability theory.
- Remains a boutique course.

©2003, Albert R. Meyer. All rights reserved.

Dec. 8, 2003 48

Computability Theory of & with...?

- But I believe in material.
- See it developing into genuine methodology for reasoning about Scheme programs.
- But not for computability.