

# On the Possibility of Faster SAT Algorithms

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**SAT** =  $\{\Phi = (x_1 \vee x_7 \vee \bar{x}_8) \wedge (\bar{x}_5 \vee x_8) \wedge \dots \mid \Phi \text{ satisfiable}\}$   
**k-SAT** = all clauses have  $\leq k$  literals

## Parameters:

**n** = number of variables

**m** = number of clauses

## Upper bounds:

SAT:

$$2^{n(1 - \frac{1}{O(\log(m/n))})} \cdot \text{poly}(m) = 2^{n - o(n)}$$

k-SAT:

$$2^{n(1 - O(\frac{1}{k}))} \cdot \text{poly}(m) = 2^{s_k n}$$

# Hardness Assumptions

**ETH:** 3-SAT cannot be solved in  $2^{o(n)}$

Assuming ETH,  $s_k$  is increasing. [IP'01]

**Hard SAT:** SAT requires  $2^{n-o(n)}$

If SAT takes  $2^{\delta n}$ ,  $s_k \leq \delta(1 - \Omega(\frac{1}{k}))$ . [IP'01]

**Strong ETH:**  $s_k \rightarrow 1$

**Open problem.**

Say  $s_k \rightarrow \frac{1}{2}$ . Can SAT be solved in  $2^{0.99n}$ ?

# Applications: Lower Bounds

## $d$ -SUM

Given  $S = \{n \text{ numbers}\}$ ,  
are there  $x_1, \dots, x_d \in S$  with  $x_1 + \dots + x_d = 0$  ?

ETH  $\Rightarrow n^{\Omega(d)}$  time.

## $k$ -Dominating Set

Given graph, find  $S \subset V$ ,  $|S| = k$  such that  $N(S) = V$ .

Hard SAT  $\Rightarrow O(n^{k-\epsilon})$  impossible.

## 3-Party Set Disjointness

Alice, Bob, Carmen hold  $A, B, C \subset [n]$ .  
Goal: determine whether  $A \cap B \cap C = \emptyset$ .  
*Number on forehead*

Strong ETH  $\Rightarrow$  no  $o(n)$  protocol.

# $k$ -Dominating Set Requires $n^{k-o(1)}$

$n$  variables  $\mapsto k$  blocks of  $\frac{n}{k}$  variables

Block  $\mapsto 2^{n/k}$  nodes (partial assignments)

... Plus one supernode connected to block's assignments  
 $\Rightarrow$  must select exactly one assignment in each block

$m$  clauses  $\mapsto m$  nodes

Edges from clause  $C_i$  to partial assignment satisfying it

# Harder Reductions: Sparsity Matters

When doing reductions,  $m$  matters!

Is sparse SAT still hard? No:  $2^{(1-\epsilon)n}$ .

How about sparse  $k$ -SAT?

## Lemma (Sparsification Lemma)

$$\begin{aligned} \text{Complexity of } k\text{-SAT with } m = f(k, \epsilon) \cdot n \\ \leq \left[ \text{Complexity of general } k\text{-SAT} \right] / 2^{\epsilon n} \end{aligned}$$

ETH  $\Rightarrow$  may assume  $m = O(n)$ .

# Reduction to $d$ -SUM

Problem	Variables	Clauses	Why
$k$ -SAT			
$k$ -SAT	$n$	$m=O(n)$	sparsification
3-SAT	$O(nk)$	$O(nk)$	[Cook]
1-in-3-SAT	$N = O(nk)$	$M = O(N)$	[GJ]

Partition variables  $\rightarrow d$  blocks of  $\frac{N}{d}$  variables

Block  $\rightarrow 2^{N/d}$  numbers of  $M$  digits

digit  $[i] = 1 \iff$  clause  $i$  satisfied

Must find numbers to sum to  $11 \dots 11$ .

# Reduction to Set Disjointness

Partition variables  $\rightarrow X \cup Y \cup Z$ ,  $|X| = |Y| = |Z| = \frac{n}{3}$ .

$x$  induces  $S(x) = \{\text{clauses not satisfied by } x\} \subseteq [m]$

$$\Phi(xyz) = \text{true} \iff S(x) \cap S(y) \cap S(z) = \emptyset$$

Run communication protocol for “ $S(x) \cap S(y) \cap S(z) = \emptyset$ ?”

- $o(m) = o(n)$  bits of communication [Sparsity!]
- so enumerate all transcripts  $\pi$  ending in “Disjoint!”

Tripartite graph  $G$ :  $V = X \cup Y \cup Z$

$(x, y) \in X \times Y \iff$  Alice follows  $\pi$  on  $S(x), S(y)$

$(y, z) \in Y \times Z \iff$  Bob follows  $\pi$  on  $S(y), S(z)$

$(x, z) \in X \times Z \iff$  Carmen follows  $\pi$  on  $S(x), S(z)$

Find triangle in  $O(N^{2.376}) = O((2^{n/3})^{2.376}) = O(1.74^n)$ .



Thank you!

*THE END*