

# Theoretical Foundations for Deep Learning: Problem Set # 1

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Due: March 24th

You can work with other students, but you must write-up your solutions by yourself and indicate at the top who you worked with!

Please submit by March 24th, at 11:59pm. I have set up a course on gradescope and you should be able to add the course with the access code 86D8KV. If you have any trouble, please email me directly.

## Problem 1 30 points

Consider the parity function  $\chi : \{0, 1\}^n \rightarrow \{0, 1\}$ .

- Construct a deep network with ReLU activations that exactly expresses the parity function with  $O(n)$  hidden units.
- Consider a ReLU network with  $m$  hidden units. Show that the function computed by the network can be described by a partition of the space of its inputs into at most  $f(m)$  parts, where the function is linear on each one. What is your  $f(m)$ ?
- ~~Use part (b) above to show that there is no deep network that expresses the parity with  $o(n)$  hidden units.~~ **There was a bug! Skip this problem**

## Problem 2 30 points

We say that a function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is positive semidefinite if for all sequences  $x_1, x_2, \dots, x_k$  we have that  $\sum_{i,j} x_i x_j f(x_i - x_j) \geq 0$ . Bochner's Theorem gives us an equivalent characterization that a function is positive semidefinite iff it can be written as

$$f(x) = \int_{\omega} e^{2\pi i \omega^T x} F(\omega) d\omega$$

for some nonnegative function  $F$ . Show that

$$C_f \leq O\left(-f(0)\nabla^2 f(0)\right)$$

where  $C_f$  is the constant in Barron's Theorem. Note that positive semidefinite functions have  $\nabla^2 f(0) \leq 0$ . *Hint: Use Cauchy-Schwarz*

**Problem 3 20 points**

Consider a training set  $x_1, x_2, \dots, x_N$ . Assuming that all the  $x_i$ 's are distinct, show that there is always a function  $\phi : \mathbb{R}^n \rightarrow \mathbb{R}^N$  so that for any with labels  $y_1, y_2, \dots, y_N \in \{+1, -1\}$  there is a halfspace that perfectly labels the training set. In particular  $\text{sgn}(a^T \phi(x_i) + b) = y_i$  for all  $i$ . *Note: neural tangent kernels would give such a mapping but for a target dimension that is polynomial in  $N$*

**Problem 4 20 points**

Define the reparametrization  $x = g(u)$  where  $g$  acts element-wise and  $g(u_i) = (u_i)^{2\ell}$  for some positive integer  $\ell$ . Consider the gradient flow on the objective

$$f(u) = \frac{\|Ag(u) - b\|_2^2}{2}$$

Find an ODE describing the trajectory of  $x$ .