

Lecture 20

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1 Greedy List Coloring

Today, we discuss a sublinear time algorithm for graph coloring.

Definition 1. A *proper c -coloring* of a graph $G = (V, E)$ assigns a color c_v from a *palette* (e.g., $\{1, \dots, C\}$) to each $v \in V$ such that $c_u \neq c_v$ for all $(u, v) \in E$.

In this lecture, we assume that the maximum degree of a vertex in G is Δ , and $C = \Delta + 1$ (or maybe $C = 2\Delta$). Note that $(\Delta + 1)$ -coloring is easy via greedy. We call this greedy algorithm `GreedyListColoring` and give it in Algorithm 1. Note that `GreedyListColoring` takes $O(|E|)$ time.

```

1 foreach  $v \in V$  do
2    $L(v) \leftarrow \{1, \dots, \Delta + 1\}$ 
3 foreach  $v \in V$  (in an arbitrary order) do
4   if  $L(v) = \emptyset$  then
5     return fail
6   else
7      $c_v \leftarrow$  any color in  $L(v)$ 
8     remove  $c_v$  from  $L(u)$  for all neighbors  $u$  of  $v$ 

```

Algorithm 1: A greedy algorithm, called `GreedyListColoring`, for finding a $(\Delta + 1)$ -coloring in a graph $G = (V, E)$.

The model we consider supports the following three types of queries on a graph $G = (V, E)$:

- *Degree queries:* Given $u \in V$, what is $\deg(u)$?
- *Pair queries:* Given $u, v \in V$, is it true that $(u, v) \in E$?
- *Neighbor queries:* Given $u \in V$ and $k \in \mathbb{N}$, what is the k^{th} neighbor of u ?

2 Palette Sparsification

We introduce a technique called *palette sparsification* to improve the running time of graph coloring. The goal is to prove the following theorem.

Theorem 2. One can find a $(\Delta + 1)$ -coloring of an n -vertex graph in $\tilde{O}(n\sqrt{n})$ time.

The idea of *palette sparsification* is the following:

For each vertex $v \in V$ in an n -vertex graph $G = (V, E)$, sample $k = \Theta(\log n)$ colors from $\{1, \dots, \Delta + 1\}$ to get $L(v)$. (1)

The following lemma is the main observation, which we state without giving a proof. In Section 4, we prove a weakened version which relaxes $(\Delta + 1)$ -coloring to 2Δ -coloring.

Lemma 3. *With high probability, a graph $G = (V, E)$ can be colored by (1) such that $c_v \in L(v)$ for all $v \in V$ via GreedyListColoring.*

In what follows, we denote $G_{\text{sparse}} = (V, E_{\text{sparse}})$, where $E_{\text{sparse}} = \{(u, v) \in E : L(u) \cap L(v) \neq \emptyset\}$. Figure 1 gives an example of G_{sparse} .

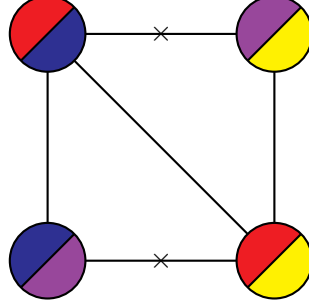


Figure 1: An example of palette sparsification, where edges absent from G_{sparse} are crossed off, and each vertex is colored by the colors in its palette.

It turns out that G_{sparse} does not contain many edges.

Lemma 4. *With high probability, $|E_{\text{sparse}}| = O(n \log^2 n)$.*

Proof. Fix $u \in V$. Without loss of generality, suppose that $L(u) = \{1, \dots, k\}$. For all $v \in N(u)$ and $i \in \{1, \dots, k\}$, set

$$X_{v,i} = \begin{cases} 1, & \text{if } i \in L(v), \\ 0, & \text{otherwise.} \end{cases}$$

Let

$$X = \sum_{i=1}^k \sum_{v \in N(u)} X_{v,i}.$$

Since $\sum_{v \in N(u)} X_{v,i}$ is the number of edges due to color i , then X is an upper bound on $\deg(u)$ in G_{sparse} . Note that $\mathbb{E}[X_{v,i}] = k/(\Delta + 1)$ for all $v \in N(u)$ and $i \in \{1, \dots, k\}$. Hence,

$$\mathbb{E}[X] = \mathbb{E} \left[\sum_{i=1}^k \sum_{v \in N(u)} X_{v,i} \right] = \sum_{i=1}^k \sum_{v \in N(u)} \mathbb{E}[X_{v,i}] \leq k \cdot \Delta \cdot \frac{k}{\Delta + 1} < k^2.$$

Since $k = \Theta(\log n)$, then $\mathbb{E}[\deg(u)] \leq O(\log^2 n)$. (One can show “with high probability” with additional work.) \square

3 A Sublinear Time Algorithm for Graph Coloring

Assuming Lemma 3, we give a sublinear time algorithm for finding a $(\Delta + 1)$ -coloring of a graph:

1. Construct the palette of each vertex using (1).
2. Construct G_{sparse} : For each color $c \in \{1, \dots, \Delta + 1\}$, find $X_c = \{v \in V : c \in L(v)\}$ (do this while doing step 1). Query all pairs of vertices in each X_c to find E_{sparse} : suppose that $X_c = \{v_{i_1}, \dots, v_{i_\ell}\}$; for distinct $j, k \in [\ell]$, query if $(v_{i_j}, v_{i_k}) \in E$, and if so, add it to E_{sparse} .
3. Perform GreedyListColoring on G_{sparse} .

Step 1 takes $O(n \log n)$ time. Step 3 takes $O(|E_{\text{sparse}}|) = O(n \log^2 n)$ time. For each color c ,

$$\mathbb{E} \left[|X_c|^2 \right] = \sum_{\substack{u, v \in V \\ u \neq v}} \mathbb{E} [\mathbf{1}_{u, v \text{ both choose color } c}] = \binom{n}{2} \left(\frac{k}{\Delta + 1} \right)^2 = O \left(\frac{n^2 \log^2 n}{\Delta^2} \right).$$

Hence, the running time to query all pairs in each X_c is at most

$$(\Delta + 1) \cdot O \left(\frac{n^2 \log^2 n}{\Delta^2} \right) = \tilde{O} \left(\frac{n^2}{\Delta} \right).$$

It follows that the total running time is $\tilde{O}(n^2/\Delta)$. This proves Theorem 2.

Proof of Theorem 2. If $\Delta \leq \sqrt{n}$, then we use GreedyListColoring with $O(|E|) \leq O(n\Delta) \leq O(n\sqrt{n})$ time. If $\Delta > \sqrt{n}$, then we use palette sparsification with $\tilde{O}(n^2/\Delta) \leq \tilde{O}(n^2/\sqrt{n}) = \tilde{O}(n^{3/2})$ time. \square

4 Relaxing Lemma 3 to 2Δ -Coloring

In this section, we prove a weakened version of Lemma 3 which relaxes $(\Delta + 1)$ -coloring to 2Δ -coloring. Other parts in the proof of Theorem 2 remain the same, hence giving an $\tilde{O}(n^{3/2})$ time algorithm for finding a 2Δ -coloring of an n -vertex graph.

Lemma 5. *With high probability, a graph $G = (V, E)$ can be colored by (1) with $\Delta + 1$ replaced by 2Δ such that $c_v \in L(v)$ for all $v \in V$ via GreedyListColoring.*

Proof. When attempting to color a vertex v , we say that a color $c \in \{1, \dots, 2\Delta\}$ is *good* if $c \in L(v)$ initially and c is not used to color any *previous* neighbor of v . If $L(v)$ contains any good color c , then we can color v successfully. Since $L(v)$ is chosen independently of its neighbors, we can think of choosing $L(v)$ “now.” Since v has at most Δ neighbors, then

$$\Pr[L(v) \text{ contains no good color}] \leq \frac{\binom{\Delta}{k}}{\binom{2\Delta}{k}} = \frac{\frac{\Delta(\Delta-1)\cdots(\Delta-k+1)}{k!}}{\frac{(2\Delta)(2\Delta-1)\cdots(2\Delta-k+1)}{k!}} < \frac{1}{2^k} = \left(\frac{1}{2} \right)^{\Theta(\log n)} = \frac{1}{n^\alpha},$$

for some constant α . By the union bound,

$$\Pr[\text{there exists a vertex } v \text{ such that } L(v) \text{ has no good color}] \leq \frac{1}{n^{\alpha'}},$$

for some constant α' (e.g., $\alpha' = 3$). Hence, with high probability, the algorithm never fails. It follows that G has a legal list coloring with high probability. \square

We note that the proof of Lemma 5 indeed generalizes to $(1 + \delta)\Delta$ -coloring for any constant $\delta > 0$ which does not depend on Δ .