

# CSC 2414 Problem Set 2

Due: October 31, 2011

## Notes

- This problem set is worth 100 points.
- Collaboration is allowed, *but you must write up the solutions by yourself without consulting to notes from the discussions*. You must also reference your sources.
- Grading is based on correctness as well as the clarity of the solutions. When writing proofs, it is generally a good idea to first explain the intuition behind your solution in words (wherever appropriate), before jumping in to the formalisms.
- There is no deadline for the extra credit problem. You can turn in a solution any time until the last class.

## Problem 1: Properties of LLL-Reduced Bases (25 points)

Show that a  $\delta$ -LLL reduced basis  $\mathbf{b}_1, \dots, \mathbf{b}_n$  of a lattice  $L$  with  $\delta = 3/4$  satisfies the following properties.

1.  $\|\mathbf{b}_1\| \leq 2^{(n-1)/4} \cdot \det(L)^{1/n}$ .
2. For any  $1 \leq i \leq n$ ,  $\|\mathbf{b}_i\| \leq 2^{(i-1)/2} \cdot \|\tilde{\mathbf{b}}_i\|$ .
3.  $\prod_{i=1}^n \|\mathbf{b}_i\| \leq 2^{n(n-1)/4} \cdot \det(L)$ .
4. For  $1 \leq i \leq n$ , consider the hyperplane  $H = \text{Span}(\mathbf{b}_1, \dots, \mathbf{b}_{i-1}, \mathbf{b}_{i+1}, \dots, \mathbf{b}_n)$ . Show that

$$2^{-n(n-1)/4} \|\mathbf{b}_i\| \leq \text{dist}(H, \mathbf{b}_i) \leq \|\mathbf{b}_i\|$$

Hint: use (3).

## Problem 2: Exponential-time Algorithm to find the Shortest Vector (25 points)

Show an algorithm that solves SVP exactly in time  $2^{O(n^2)} \cdot \text{poly}(D)$ , where  $n$  is the rank of the lattice and  $D$  is the input size. (Hint: show that if we represent the shortest vector in an LLL-reduced basis, none of the coefficients can be larger than  $2^{cn}$  for some constant  $c$ .)

## Problem 3: Rounding to find an Approximately Close Lattice Vector (25 points)

Show that there is a constant  $c > 0$  such that the following algorithm, given a basis  $\mathbf{B} \in \mathbb{Z}^{m \times n}$  and a target vector  $\mathbf{t} \in \mathbb{Z}^m$ , finds a lattice point  $\mathbf{y} \in \mathcal{L}(\mathbf{B})$  where

$$\|\mathbf{y} - \mathbf{t}\| \leq 2^{cn} \cdot \text{dist}(\mathbf{t}, \mathcal{L}(\mathbf{B}))$$

**Algorithm Round( $\mathbf{B}, \mathbf{t}$ ):**

1. Run the LLL-reduction algorithm on  $\mathbf{B}$  to get an LLL-reduced basis  $\mathbf{B}'$ .
2. Find  $\mathbf{s} = (s_1, \dots, s_n) \in \mathbb{R}^n$  such that  $\mathbf{B}'\mathbf{s} = \mathbf{t}$ , say, by Gaussian Elimination.
3. Let  $\hat{\mathbf{s}} \triangleq (\lfloor s_1 \rfloor, \dots, \lfloor s_n \rfloor)$  be the vector consisting of the entries of  $\mathbf{s}$  rounded to the nearest integer. (e.g.,  $\lfloor 0.5 \rfloor = 1$  and  $\lfloor 0.49 \rfloor = 0$ ).  
Output  $\mathbf{y} = \mathbf{B}'\hat{\mathbf{s}}$ .

**Problem 4: Running Time of LLL (25 points)**

Show that our analysis of the LLL algorithm using LLL-reduced bases is tight (up to some constant). More specifically, find a  $\delta$ -LLL reduced basis  $\mathbf{b}_1, \dots, \mathbf{b}_n$  for  $\delta = 3/4$  such that  $\mathbf{b}_1$  is longer than the shortest vector by a factor of  $c \cdot 2^{n/2}$ , for some constant  $c$ .

(Note that this does not mean that  $\mathbf{b}_1, \dots, \mathbf{b}_n$  is the output of the LLL algorithm when run on some input basis. You do not have to demonstrate that.)

**Extra Credit\*\***

For any vector  $\mathbf{v} = (v_1, v_2, \dots, v_n) \in \mathbb{Z}^n$ , let  $\text{Rot}(\mathbf{v}) \triangleq (v_2, v_3, \dots, v_n, v_1)$  denote the cyclic rotation of  $\mathbf{v}$ . A cyclic lattice is one that is closed under the  $\text{Rot}(\cdot)$  operation. That is, a lattice  $L$  is cyclic if for every  $\mathbf{v} \in L$ ,  $\text{Rot}(\mathbf{v}) \in L$  too. Show any of the following:

- CVP on cyclic lattices is NP-hard (Recall, we saw in class that CVP for general lattices is NP-hard).
- An interactive proof for  $\text{gapCVP}_\gamma$  on cyclic lattices, for any  $\gamma = o(\sqrt{n/\log n})$ , improving on the Goldreich-Goldwasser interactive proof we saw in class.
- A polynomial-time algorithm that finds  $2^{o(n)}$ -approximate shortest vectors on cyclic lattices, improving on the LLL algorithm.