

A spanner of a graph is subgraph that roughly preserves the pairwise distances of the graph. The benefit of spanners is to compress information about distances in a graph by looking at distances within a subgraph.

**Definition 0.1.** An  $(\alpha, \beta)$ -spanner of  $G = (V, E)$  is a subgraph  $H = (V, E_H)$ ,  $E_H \subseteq E$ , such that  $\forall u, v \in V$ ,

$$d(u, v) \leq d_H(u, v) \leq \alpha d(u, v) + \beta.$$

The  $d(u, v) \leq d_H(u, v)$  is always true, since  $H$  is a subgraph of  $G$ .

If  $\beta = 0$ , then it's called an  $\alpha$ -multiplicative spanner; if  $\alpha = 1$ , then it's called a  $+\beta$ -additive spanner; otherwise, it's called a mixed  $(\alpha, \beta)$ -spanner. In general, directed graphs don't contain sparse spanners. An example is shown in Figure 1, where  $G$  is a directed bipartite graph, with all its edges leaving nodes in set  $U$  and pointing to nodes in set  $V$ . In this case, any spanner with finite  $(\alpha, \beta)$  must contain all edges in  $G$ . As a result, directed graphs don't always have sparse spanners. Thus, we will focus on spanners of undirected graphs in this class.

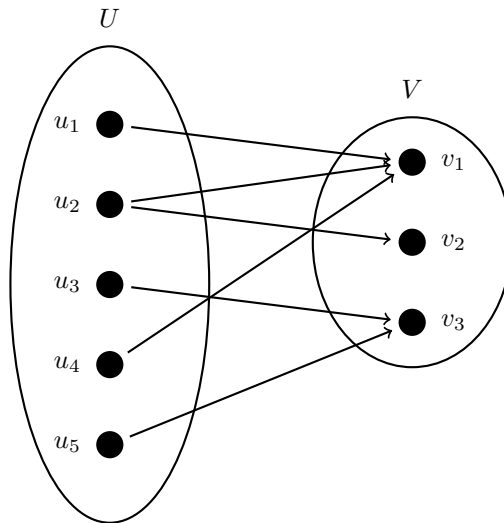


Figure 1: An example of a directed graph which does not have a good spanner.

Most of this lecture will be about additive spanners. At the end of this lecture, we will talk a bit about multiplicative spanners.

## 1 Additive Spanners

We restrict ourselves to unweighted graphs, in which an additive spanner makes sense. We will cover  $+2$ ,  $+4$  and  $+6$  spanners in this lecture.

**Theorem 1.1.** Any  $n$ -node graph  $G$  has a  $+2$ -spanner with  $O\left(n^{\frac{3}{2}} \log n\right)$  edges.

**Theorem 1.2.** Any  $n$ -node graph  $G$  has a  $+4$ -spanner with  $\tilde{O}\left(n^{\frac{7}{5}}\right)$  edges.

**Theorem 1.3.** Any  $n$ -node graph  $G$  has a +6-spanner with  $\tilde{O}\left(n^{\frac{4}{3}}\right)$  edges.

Theorem 1.3 is optimum for spanners with constant additive errors. Due to the following theorem, any spanner with much fewer edges must have polynomial error.

**Theorem 1.4.** [1] There exist graphs with  $n$  nodes such that if a spanner has  $O(n^{4/3-\epsilon})$  edges for some  $\epsilon > 0$ , then it has an additive error at least  $n^\delta$  for some  $\delta > 0$ .

**Remark 1.** It is an open problem whether we can get +4-additive spanners with  $\tilde{O}(n^{4/3})$  edges.

*Proof of Theorem 1.1.* The proof of this theorem is very similar to the +2-approximation to the APSP problem covered in Lecture 13. Let  $S$  be a hitting set for  $\{N(v) \mid \deg(v) \geq \sqrt{n}\}$ . Do a BFS search from each  $s \in S$ , and add the BFS tree rooted at  $s$  to  $E_H$ . For every  $u \in V$  with  $\deg(u) < \sqrt{n}$ , add all edges incident to  $u$  to  $E_H$ . By construction,  $E_H = O(|S| \cdot n) + O(n\sqrt{n}) = O\left(n^{\frac{3}{2}} \log n\right)$ . Consider any pair of edge  $(u, v) \in V$  with shortest path  $P$  in  $G$ . We have two cases:

- $P$  contains only low-degree nodes. Then  $P$  is entirely contained in  $E_H$ , so  $d_H(u, v) = d(u, v)$ .
- $P$  contains a high-degree node  $x$ . Let  $s_x \in S$  be a node adjacent to  $x$ . Then we can approximate the distance from  $u$  to  $v$  by appending the paths from  $u$  to  $s_x$  and from  $s_x$  to  $v$ , since  $E_H$  contains shortest paths from  $s_x$  to every other vertex. Thus

$$d_H(u, v) \leq d_H(u, s_x) + d_H(s_x, v) = d(u, s_x) + d(s_x, v) \leq (d(u, x) + 1) + (d(x, v) + 1) = d(u, v) + 2.$$

Therefore the  $H$  constructed by this algorithm is a +2-spanner, as desired.  $\square$

The +4 and +6-additive spanners both rely on the following idea.

**Claim 1.** Let  $P$  be a shortest path in  $G = (V, E)$ . Let  $x \in V$ , then  $x$  has at most 3 neighbors on  $P$ .

*Proof.* Suppose for the sake of contradiction,  $x$  has four neighbors on  $P$ , consecutively labeled as  $v_1, v_2, v_3, v_4$ . Since  $v_1, v_2, v_3, v_4$  are vertices on a shortest path, the distance between  $v_1$  and  $v_4$  is at least 3. However, since  $v_1$  and  $v_4$  are both neighbors of  $x$ , their distance is at most 2, a contradiction.  $\square$

Using Claim 1, we can show the following Corollary, which will be used in the construction of both the +4 and +6 additive spanners.

**Corollary 1.1.** If a shortest path  $P$  has at least  $L$  nodes of degree at least  $D$  for some  $D \geq 4$ , then there exists  $\Omega(LD)$  distinct neighbors of the path  $P$ .

*Proof.* For each node  $v \in P$  that has degree at least  $D$ , it has at most 3 neighbors in  $P$  by Claim 1. Thus, each  $v$  has at least  $D - 3$  neighbors outside of  $P$ . For each neighbor of  $P$ , it can have at most 3 neighbors in  $P$  by Claim 1, so the number of distinct neighbors of  $P$  is at least  $\frac{1}{2}(D - 3)L = \Omega(LD)$  when  $D \geq 4$ .  $\square$

Now we are ready to show the +4-additive spanner.

*Proof of Theorem 1.2.* This proof actually won't be an algorithm; instead, it is a proof for the existence of the spanner. Let  $D, L$  be two parameters of the algorithm. We will call a vertex with degree at least  $D$  a "high degree" vertex and a vertex with degree less than  $D$  a "low degree" vertex. For any pair of vertices  $u, v$ , we fix one arbitrary shortest path  $P(u, v)$  between them.

Let  $S \subseteq V$  where  $|S| = O\left(\frac{n}{D} \log n\right)$  be a subset of vertices that hits the neighborhood of every high degree vertex. By Corollary 1.1, the size of the neighborhood of every  $P(u, v)$  where  $P(u, v)$  contains at least  $L$  high degree nodes is  $\Omega(DL)$ . Thus, we can find  $T \subseteq V$  where  $|T| = O\left(\frac{n}{DL} \log n\right)$  that hits the neighborhood of every  $P(u, v)$  where  $P(u, v)$  contains at least  $L$  high degree nodes.

Initially, let the edge set of  $H$  be empty. The construction for a +4-spanner  $H$  from  $G$  is as follows:

1. For all low degree  $v \in V$ , add all the edges incident to  $v$  to  $H$ . This contributes at most  $n \cdot D = O(nD)$  edges.
2. For each  $s \in T$ , add the entire breadth-first-search tree rooted at  $s$  into  $H$ . Here, we add  $O(n|T|) = \tilde{O}(\frac{n^2}{DL})$  edges.
3. For each high degree node  $x$ , let  $s_x$  be one of its neighbors in  $S$ , add  $(x, s_x)$  to  $H$ . We only add  $O(n)$  edges in this part.
4. For each  $s \in S$ , we use  $N(s)$  to denote the set of neighbors of  $s$ . We continue adding edges to  $H$  with Algorithm 1.

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**Algorithm 1:** Adding final edges to  $H$

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foreach distinct  $s, s' \in S$  do
   $P_{s,s'} = \{\}$ ;
  foreach  $a \in N(s), b \in N(s')$  do
    if some shortest path  $P$  from  $a \rightarrow b$  has at most  $L$  high degree nodes then
       $P_{s,s'}.insert(\{(s, a)\} \cup P \cup \{(b, s')\});$ 
  if  $P = \{\}$  then
    continue;
   $p =$  shortest path in  $P_{s,s'}$ ;
  foreach edge  $e \in p$  do
     $H.insert(e);$ 

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The path added to  $H$  corresponding to  $s$  and  $s'$  will be referred to as the  $(s, s')$ -linking path. There are  $\tilde{O}(\frac{n^2}{D^2})$  pairs of  $s, s'$ . For each pair, we may add the edges from some path  $P$  connecting  $s$  and  $s'$ . The only edges in  $P$  not already in  $H$  are those between two high degree nodes and the edges  $(s, a)$  and  $(b, s')$ , of which there are  $\leq L + 2$  in  $P$ . Thus each pair  $s, s'$  adds  $O(L)$  edges to  $H$ . Summing over the pairs,  $\tilde{O}(\frac{n^2}{D^2}L)$  edges are added.

Summing over all steps, the number of edges in  $H$  is  $\tilde{O}(nD + \frac{n^2}{DL} + \frac{n^2}{D^2}L)$ . By setting  $D = n^{2/5}$  and  $L = n^{1/5}$ , we get  $\tilde{O}(n^{7/5})$  edges as promised. It remains to show that  $H$  is an additive 4-spanner of  $G$ .

If a pair of vertices  $u$  and  $v$  in  $G$  have a shortest path using no high degree nodes, then that path is in  $H$  due to Step (1).

If  $P(u, v)$  contains at least  $L$  high degree vertices, then  $T$  hits a neighbor of the path  $P(u, v)$ . Thus the edges added in Step (2) include a +2-approximation for a shortest path between such  $u$  and  $v$ .

The only remaining case is  $uv$ -shortest paths hitting between 1 and  $L$  high degree nodes.

**Claim 2.** *If  $P(u, v)$  contains between 1 and  $L$  high degree nodes, then after Step (4),  $d_H(u, v) \leq d(u, v) + 4$ .*

*Proof.* Let  $x$  be the first and  $y$  be the last high degree nodes in  $P(u, v)$  (possibly not distinct). Recall that  $s_x, s_y \in S$  and we added edges  $(x, s_x), (y, s_y)$  in Step (3). Let  $a$  and  $b$  be the neighbors of  $s_x$  and  $s_y$  respectively connected by the  $(s_x, s_y)$ -linking path. Note that the  $(s_x, s_y)$ -linking path exists because there is at least one pair of elements of  $N(s_x)$  and  $N(s_y)$  connected by a shortest path using  $\leq L$  high degree nodes, namely  $x$  and  $y$ .

Since the subpath of  $P(u, v)$  from  $u$  to  $x$  and from  $y$  to  $v$  is in  $H$  (Step (1)), we have

$$d_H(u, v) \leq d(u, x) + d_H(x, y) + d(y, v).$$

Thus we need only show that  $d_H(x, y) \leq d(x, y) + 4$ .

Recall that  $a$  and  $b$  have the shortest path between them in  $G$  of any pair of elements in  $N(s_x)$  and  $N(s_y)$ , excluding paths with greater than  $L$  high degree nodes. Namely, since  $x \in N(s_x)$  and  $y \in N(s_y)$ , we have that  $d(a, b) \leq d(x, y)$ . Plugging this in yields

$$d_H(x, y) \leq d_H(x, s_x) + d_H(s_x, a) + d_H(a, b) + d_H(b, s_y) + d_H(s_y, y) = 1 + 1 + d(a, b) + 1 + 1 \leq d(x, y) + 4,$$

completing the proof. □

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□

The construction for 6-additive spanner shares many ideas with the construction for the 4-additive spanner, so for simplicity in the proof we skip the proof of some claims that are already proved in the proof for Theorem 1.2.

*Proof of Theorem 1.3.* Let  $D$  be a parameter of the algorithm. We will call a vertex with degree at least  $D$  a “high degree” vertex and a vertex with degree less than  $D$  a “low degree” vertex. For any pair of vertices  $u, v$ , we fix one arbitrary shortest path  $P(u, v)$  between them.

Let  $S \subseteq V$  where  $|S| = O(\frac{n}{D} \log n)$  be a subset of vertices that hits the neighborhood of every high degree vertex. Fix some  $0 \leq j \leq \log n$ . By Corollary 1.1, the size of the neighborhood of every  $P(u, v)$  where where  $P(u, v)$  contains at least  $2^j$  high degree nodes is  $\Omega(D \cdot 2^j)$ . Thus, we can find  $S_j \subseteq V$  where  $|S_j| = O(\frac{n}{D \cdot 2^j} \log n)$  that hits the neighborhood of every  $P(u, v)$  that contains at least  $2^j$  high degree nodes.

Initially, let the edge set of  $H$  be empty. The construction for a +6-spanner  $H$  from  $G$  is as follows:

1. For all low degree  $v \in V$ , add all the edges incident to  $v$  to  $H$ . This contributes at most  $n \cdot D = O(nD)$  edges.
2. For each high degree node  $x$ , let  $s_x \in S$  be one arbitrary neighbor of  $x$  in  $S$ . We add  $(x, s_x)$  to  $H$ . We only add  $O(n)$  edges in this part.
3. We use  $N(v)$  to denote the neighborhood of vertex  $v$ . We continue adding edges to  $H$  with Algorithm 2 for every integer  $j \in [0, \log n]$ .

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**Algorithm 2:** Edge-Adding( $j$ )

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foreach  $s \in S, s' \in S_j$  do
   $P_{s,s'} = \{\}$ ;
  foreach  $a \in N(s), b \in N(s')$  do
    if some shortest path  $P$  from  $a \rightarrow b$  has at most  $2^{j+1}$  high degree nodes then
       $P_{s,s'}.insert(\{(s, a)\} \cup P \cup \{(b, s')\})$ ;
  if  $P = \{\}$  then
    continue;
   $p =$  shortest path in  $P_{s,s'}$ ;
  foreach edge  $e \in p$  do
     $H.insert(e)$ ;

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The path added to  $H$  corresponding to  $s$  and  $s'$  during Edge-Adding( $j$ ) will be referred to as the  $j$ -th  $(s, s')$  linking path. The total number of edges we added is  $O(\sum_{j=0}^{\log n} |S||S_j| \cdot 2^{j+1})$ . By plugging in  $|S| = \tilde{O}(\frac{n}{D})$  and  $|S_j| = \tilde{O}(\frac{n}{D \cdot 2^j})$ , we get

$$O\left(\sum_{j=0}^{\log n} |S||S_j| \cdot 2^{j+1}\right) = \tilde{O}\left(\sum_{j=0}^{\log n} \frac{n}{D} \cdot \frac{n}{D \cdot 2^j} \cdot 2^{j+1}\right) = \tilde{O}\left(\frac{n^2}{D^2}\right).$$

Summing over the three steps, the number of edges in  $H$  is  $\tilde{O}(nD + \frac{n^2}{D^2})$ . By setting  $D = n^{1/3}$ , the number of edges in  $H$  becomes  $\tilde{O}(n^{4/3})$  as promised. It remains to show that  $H$  is an additive +6-spanner of  $G$ .

If  $P(u, v)$  does not contain any high degree vertex, then the edges added in Step (1) already contain  $P(u, v)$ , and thus  $d_H(u, v) = d(u, v)$ .

Now suppose there are  $h$  high degree nodes on  $P(u, v)$  for some  $h \geq 1$ . Pick  $j$  such that  $2^j \leq h < 2^{j+1}$ . Let  $x$  be the first high degree vertex on  $P(u, v)$ ,  $y$  be the last high degree vertex on  $P(u, v)$ . Since the path from  $x$  to  $y$  contains  $h \geq 2^j$  high degree vertices, by the construction of  $S_j$ , there exists a vertex  $s \in S_j$  that hits a neighbor on the shortest path from  $x$  to  $y$ . Let  $z \in P(x, y)$  be a neighbor of  $s$ . Recall we added an edge between  $x$  and  $s_x \in S$ , and an edge between  $y$  and  $s_y \in S$  in Step (2).

Consider the following path in  $H$  from  $u$  to  $v$ . First, we take the path from  $u$  to  $x$  on  $P(u, v)$  (edges added in Step (1)), then move from  $x$  to  $s_x$ . From  $s_x$  to  $s$ , we use the  $j$ -th  $(s_x, s)$  linking path. We can show that the length of the  $j$ -th  $(s_x, s)$  linking path is at most  $d(x, z) + 2$ , since the path  $s_x \rightarrow x \rightsquigarrow z \rightarrow s$  is a valid candidate for  $j$ -th  $(s_x, s)$  linking path. From  $s$  to  $s_y$ , we use the  $j$ -th  $(s_y, s)$  linking path, which has length at most  $d(z, y) + 2$ . We then take the edge from  $s_y$  to  $y$ , and finally, take the path from  $y$  to  $v$  on  $P(u, v)$ . All edges on the path above are added to  $H$ , and the length of the path is at most  $d(u, v) + 6$ .

Therefore,  $H$  is a +6-additive spanner. □

## 2 Multiplicative Spanners

In this section, we study multiplicative spanners. For simplicity, we use  $\alpha$ -spanner to refer to  $\alpha$ -multiplicative spanner in this section.

**Theorem 2.1.** *Let  $k \geq 1$  be an integer, then every  $n$ -node undirected weighted graph  $G$  contains a  $(2k - 1)$ -spanner with  $O\left(n^{1+\frac{1}{k}}\right)$  edges.*

Theorem 2.1 is tight if we assume the following popular conjecture known to be true for small values of  $k$ .

**Conjecture 1.** (Erdős girth conjecture) *For integer  $k \geq 1$  and sufficiently large  $n$ , there exist  $n$ -node undirected unweighted graphs of girth  $\geq 2k + 2$  with  $\Omega\left(n^{1+\frac{1}{k}}\right)$  edges.*

**Claim 3.** *The Erdős girth conjecture implies that the bound in Theorem 2.1 is tight, i.e. there exists some graph  $G$  on  $n$  nodes such that any  $(2k - 1)$ -spanner has  $\Omega\left(n^{1+\frac{1}{k}}\right)$  edges.*

*Proof.* Let  $G$  be an unweighted graph on  $n$  nodes with girth  $2k + 2$  and  $\Omega\left(n^{1+\frac{1}{k}}\right)$  edges, given by the Erdős girth conjecture. We'll show that  $G$  has no non-trivial  $(2k - 1)$ -spanners.

Assume there exists some subgraph  $H \subsetneq G$  that is a  $(2k - 1)$ -spanner for  $G$ . Choose some edge  $(u, v) \in E - E_H$ . By the definition of a spanner,  $d_H(u, v) \leq (2k - 1)d(u, v) = 2k - 1$ . Therefore there exists some path  $P$  in  $E_H$  connecting  $u, v$  with length at most  $2k - 1$ . However, adding  $(u, v)$  to  $P$  then completes a cycle in  $G$  of length at most  $2k$ ; since  $G$  has girth at least  $2k + 2$ , this is a contradiction. □

Now we prove Theorem 2.1.

*Proof of Theorem 2.1.* We can generate a  $(2k - 1)$ -spanner using the Create-Spanner algorithm. We prove the correctness of this algorithm with the following three claims.

**Claim 4.**  *$H$  is a  $(2k - 1)$ -spanner, i.e.,  $\forall u, v \in V, d_H(u, v) \leq (2k - 1)d(u, v)$ .*

**Claim 5.**  *$H$  has girth greater than  $2k$ .*

**Claim 6.** *Any  $n$ -node graph with girth greater than  $2k$  has  $O\left(n^{1+\frac{1}{k}}\right)$  edges.*

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**Algorithm 3:** Create-Spanner( $G$ )

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$E_H \leftarrow \emptyset$ .  
**foreach**  $(u, v) \in E$  **in non-decreasing weight order do**  
    **if**  $d_H(u, v) > (2k - 1)w(u, v)$  **then**  
         $E_H \leftarrow E_H \cup (u, v)$   
**Return**  $H$ .

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*Proof of Claim 4.* Let  $u, v$  be vertices in  $V$ , and  $P$  be their shortest path in  $G$ . For each edge  $(x, y)$  in  $P$ , either:

- $(x, y) \in E_H$
- There is some path in  $H$  between  $x, y$  of length at most  $(2k - 1)w(x, y)$ . If no such path exists, then  $(x, y)$  would have been added to  $E_H$  in Create-Spanner when it was considered.

Therefore

$$d_H(u, v) \leq \sum_{(x,y) \in P} d_H(x, y) \leq \sum_{(x,y) \in P} (2k - 1)w(x, y) = (2k - 1)w(P) = (2k - 1)d(u, v).$$

□

*Proof of Claim 5.* Assume  $H$  has a cycle  $C$  of length  $\leq 2k$  for contradiction. Let  $(u, v)$  be the edge of  $C$  with largest weight and  $(u, v)$  is the last edge in  $C$  added to  $E_H$ . Thus, we must have

$$\sum_{\substack{(x,y) \in C, \\ (x,y) \neq (u,v)}} w(x, y) > (2k - 1)w(u, v),$$

since otherwise we wouldn't add  $(u, v)$  to  $H$ . On the other hand, each edge in the path  $C \setminus \{(u, v)\}$  has weight at most  $w(u, v)$  and there are  $2k - 1$  edges on  $C \setminus \{(u, v)\}$ , so

$$\sum_{\substack{(x,y) \in C, \\ (x,y) \neq (u,v)}} w(x, y) \leq (2k - 1)w(u, v).$$

Thus, we have a contradiction. □

*Proof of Claim 6.* For the sake of contradiction, let  $H$  be any graph with girth greater than  $2k$  and at least  $10n^{1+\frac{1}{k}}$  edges. Modify the graph by repeatedly removing any nodes of degree  $\leq n^{\frac{1}{k}}$ , and any edges incident to that node, until no such nodes exist. The total number of edges removed in this way is at most  $n^{1+\frac{1}{k}}$ , which means that at least  $9n^{1+\frac{1}{k}}$  edges remain (and so the graph is not empty).

The minimum degree of the resulting subgraph is greater than  $4n^{\frac{1}{k}}$ . If we consider a BFS search from some node  $v$  and look at all the levels up until level  $k$ , if there is no cycle of length  $\leq 2k$ , then up until level  $k$  all edges seen by the BFS form a tree. However, since the branching factor is more than  $n^{1/k}$  for each of the levels from 0 to  $k - 1$ , and so more than  $n$  nodes are seen. This is a contradiction. □

The subgraph returned by Create-Spanner is a  $(2k - 1)$ -spanner by Claim 4, and has  $O\left(n^{1+\frac{1}{k}}\right)$  edges by Claim 5, Claim 6. This completes the proof of the theorem. □

## References

- [1] Amir Abboud, and Greg Bodwin. The  $4/3$  additive spanner exponent is tight. Journal of the ACM (JACM) 64.4 (2017): 1-20.