



# Hmm, HID HMMs

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# Overview

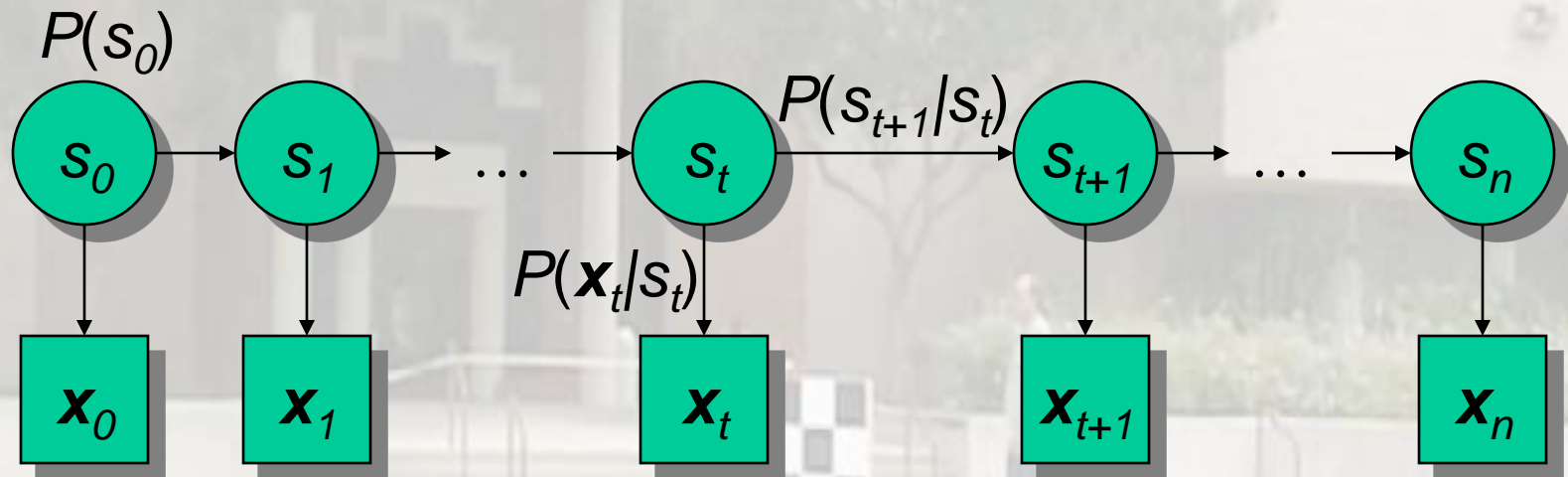
- The Problem
- HMM Background
- Binomial Field HMMs
- HMMs, *a la* Kale, *et al.*
- New Ideas

# The Problem

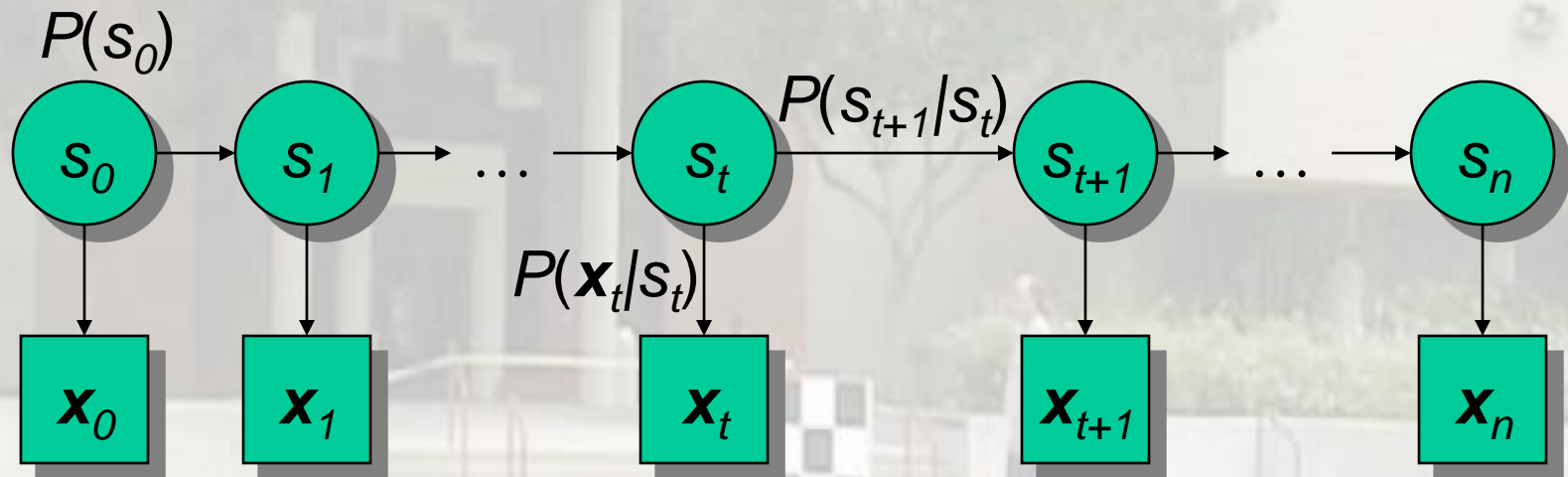
- Identify people
  - Video of profile views
  - Varying surface conditions, shoes, *etc.*
- Need for building a model robust to
  - Surface conditions, shoes, *etc.*
  - Local backgrounding deficiencies
    - Missing patches
    - Shadows
    - Noise



# HMM Background



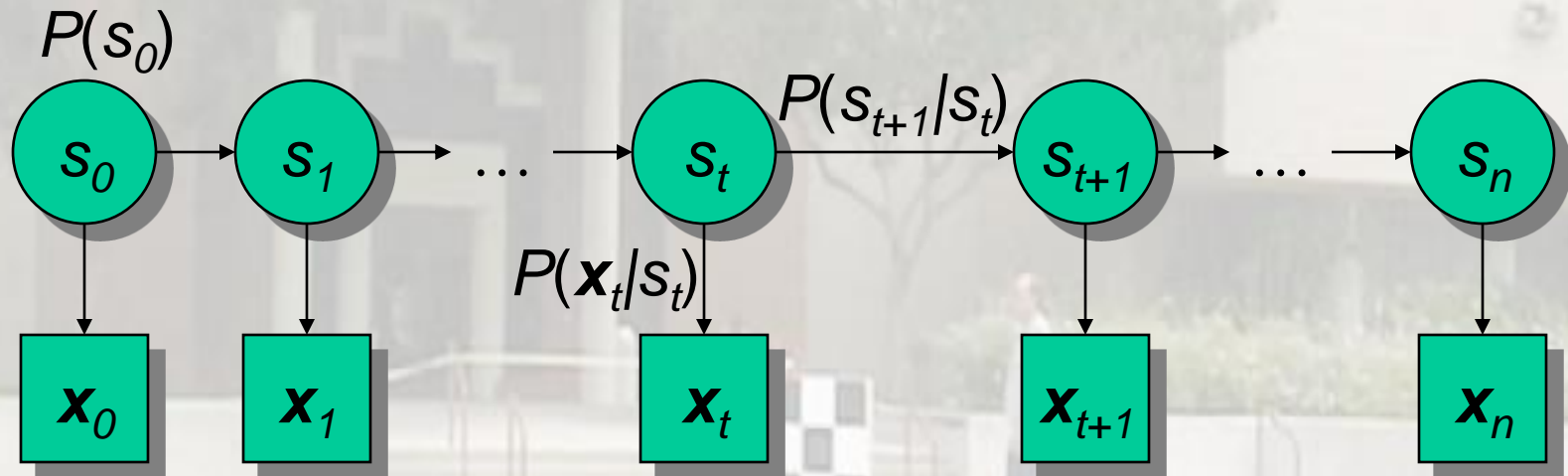
# HMM Background



$s_t$ :

- **What:** State of the system at time  $t$
- **Example 1:**  $s_9 = 0 \rightarrow$   
The person has their legs together (state/phase 0) in frame 9
- **Example 2:**  $s_{14} = 4 \rightarrow$   
The person in in the widest stance (state/phase 4) in frame 14

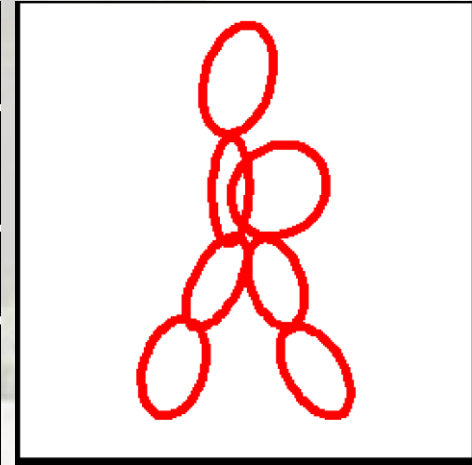
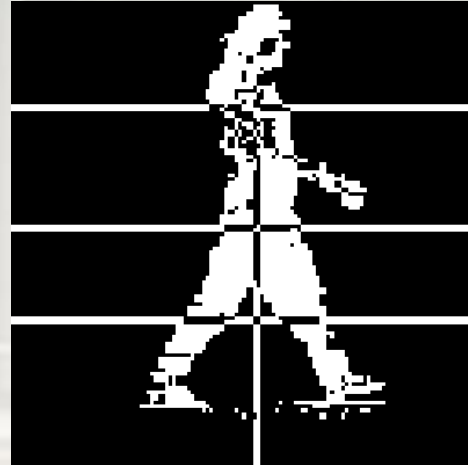
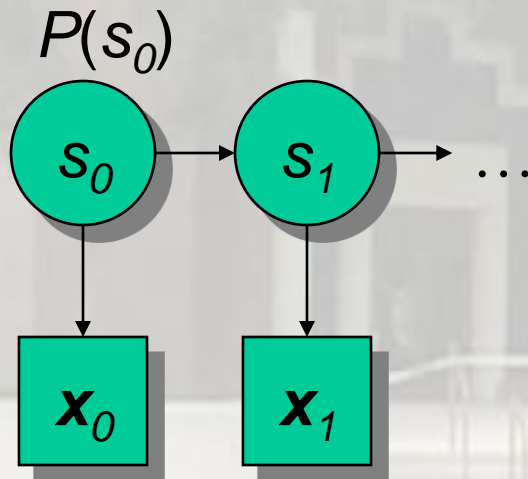
# HMM Background



$s_0$ :

- **What:** Initial state
- **Notes:** Useful to model as a non-uniform random variable if you have some idea about how a person starts walking, relative to the first frame.

# HMM Background

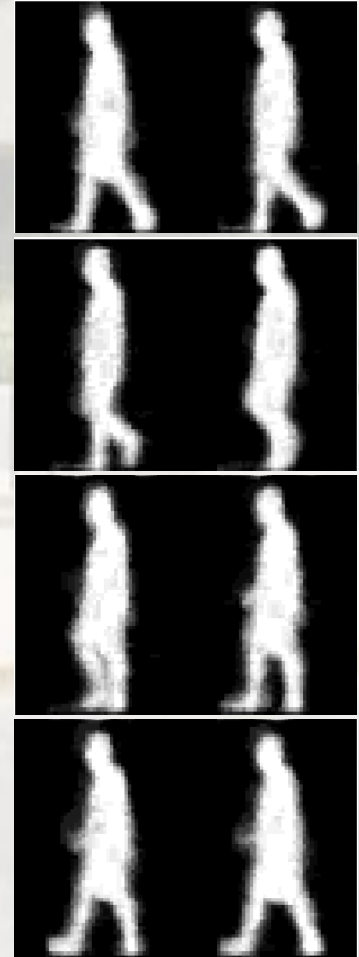


$x_t$ :

- **What:** Observation
  - *What you measure*
  - *Must be describable in a generative, probabilistic framework*
- **Example 1:** The silhouette in frame  $t$
- **Example 2:** Lily's features from the 7 silhouette sections

# Binomial Field HMMs

- Observation is a binary image
  - Assume silhouette pixels produced independently, given the current state.
  - $P(\mathbf{x}_t | s_t) = \prod_{u,v} P(x_t(u,v) | s_t)$
  - Model may be visualized as a grayscale image





# Some HMM Uses (one HMM per person)

- Make phase assignments
- Help build an appearance model to clean up silhouettes
  - *E.g.* turn on any pixels in a silhouette when that pixel almost always is on given the most likely state assignment
- Use directly for recognition
  - Determine the likelihood that each person's HMM would generate a test sequence of silhouettes
  - Select the person most likely to generate the sequence

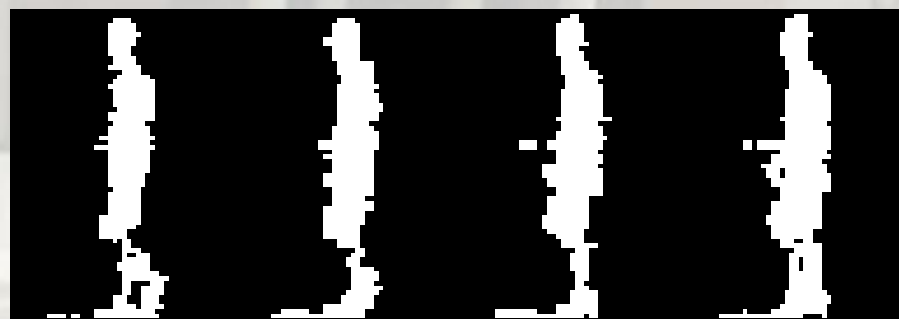
# HMMs, *a la* Kale, *et al.*

- Thought process
  - Independence is a bad assumption
  - Not enough data to learn even covariances
  - So, do a dimensionality reduction...

HMMs, *a la* Kale, *et al.*:

# Dimensionality Reduction #1

- Calculate “width vectors”



$66 \times 48 =$   
3,168 dimensions



66 dimensions



HMMs, *a la* Kale, *et al.*:

# Dimensionality Reduction #1 (cont.)

- General covariance estimation requirements
  - Assume our data has  $k$  dimensions
  - Covariance estimation involves  $\frac{k(k+1)}{2}$  unknowns
  - Each data point supplies  $k$  equations
  - Need  $\frac{k+1}{2}$  data points to avoid degeneracy
- In our case...
  - $k = 66 \rightarrow \left\lceil \frac{66+1}{2} \right\rceil = 34$  data points (frames) *per phase*
  - But, we only have  $\frac{200 \text{ frames}}{8 \text{ phases}} = 25$  data points per phase

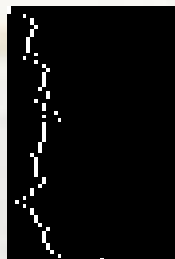
HMMs, a la Kale, et al.:

# Dimensionality Reduction #2

- Choose phase exemplars  
(5 phases used in this case)



- Compute Euclidean distance to *each* exemplar  
(creating *error vectors*)



→ [36.84 25.29 **20.99** 39.44 54.26]



HMMs, a la Kale, et al.:

## Dimensionality Reduction #2 (cont.)

- Model error vectors as a joint Gaussian
- For 8 phases, have  $\sim 5$  equations for each unknown in the covariance



HMMs, a la Kale, et al.:

# Training

- Estimate the walking period
- Pick a set of equally-spaced frames from one period
  - “...we use the 5 stances which lead to minimum error in the 5-d vector sequences. (in the sense of minimizing the norm).”
- Train the HMM
  - Update the mean and covariances of the error vectors
  - *No* updating of the exemplars...

HMMs, a la Kale, et al.:

# Training (cont.)

- M-Step: Find  $\hat{\theta}_i$  where

$$\hat{\theta}_i = \arg \max_{\theta_i} \sum_{l=1}^L \sum_{t=0}^{n_l} \gamma_t^{(l)}(i) \log P(\mathbf{x}_t^{(l)} | \theta_i)$$

- Unfortunately, this expands to something really ugly, where the nasty part includes

$$-\frac{1}{2} \left( \left( \begin{pmatrix} \|\mathbf{x}_t - S_1\| \\ \|\mathbf{x}_t - S_2\| \\ \dots \\ \|\mathbf{x}_t - S_N\| \end{pmatrix} - \mu_i \right) \Sigma^{-1} \left( \begin{pmatrix} \|\mathbf{x}_t - S_1\| \\ \|\mathbf{x}_t - S_2\| \\ \dots \\ \|\mathbf{x}_t - S_N\| \end{pmatrix} - \mu_i \right) \right)$$

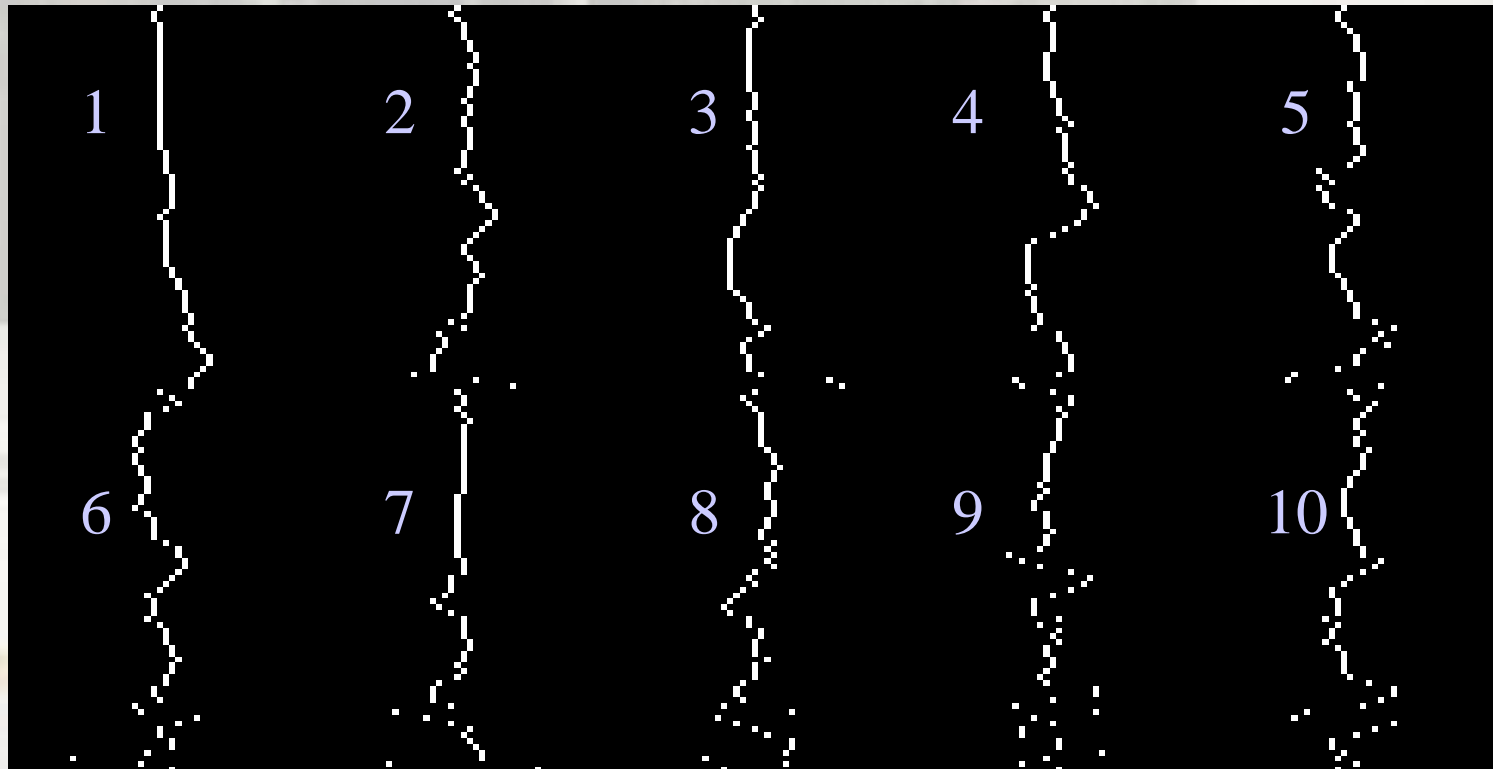


# New Ideas

- Cannot easily update exemplars in Kale's approach, so...
- Use projections onto “exemplars” instead of distances from them
- Optimal “exemplars” are the PCA vectors

New Ideas:

# Top 10 Eigenvectors (Sequence 1)



New Ideas:

# How Many Eigenvectors?

1 dim, rms:21.1



2 dim, rms:20.1



3 dim, rms:16.2



4 dim, rms:16.1



5 dim, rms:13.7



6 dim, rms:13.0



7 dim, rms:9.6



8 dim, rms:9.3



9 dim, rms:9.2



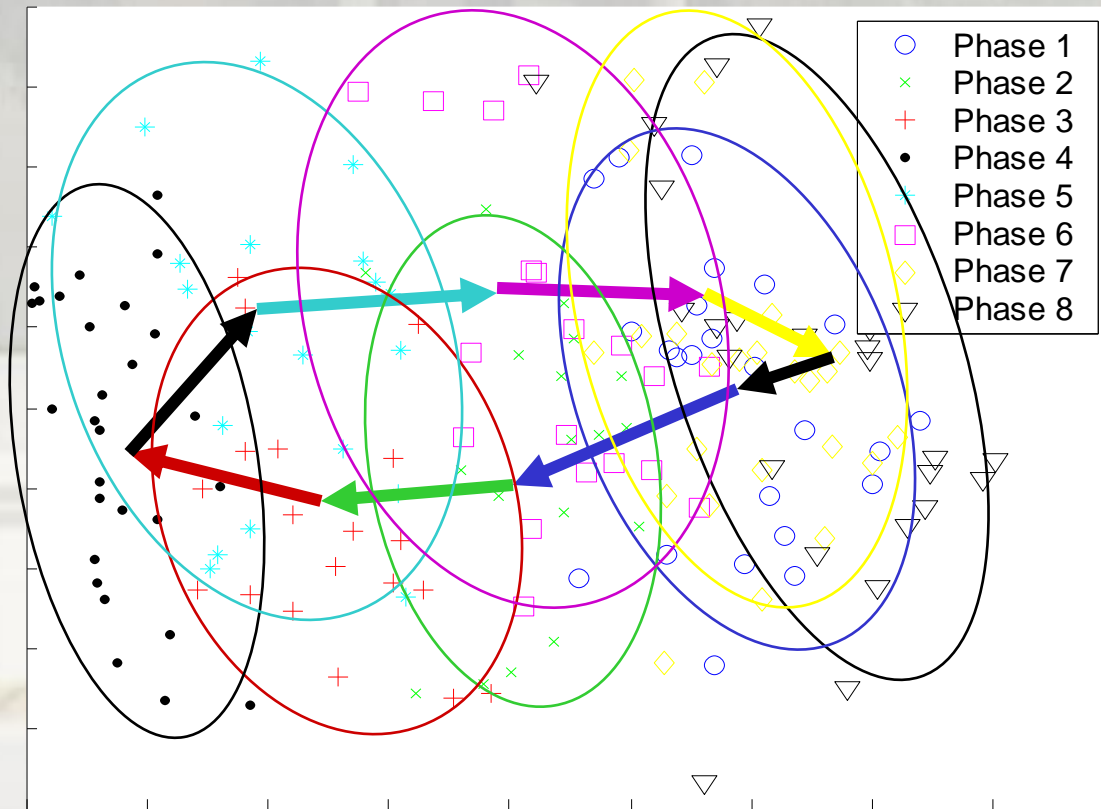
**Left side:**  
Original width vector

**Right side:**  
Reconstruction after  
projection onto the  $n$ -  
dimensional  
eigenspace

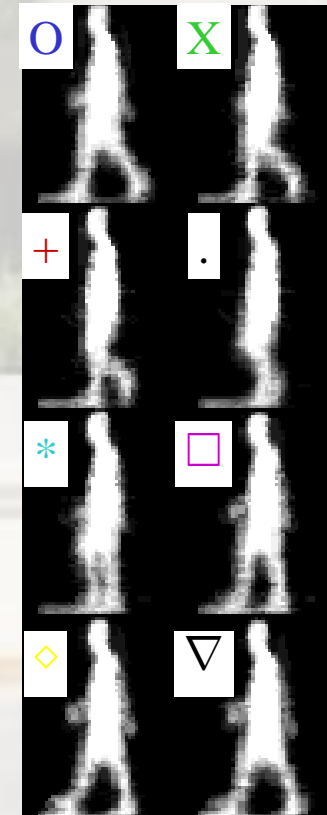
**Note:**  
Max possible RMS  
error is 48

New Ideas:

# Projection Onto 2D Subspace



Projection of each frame  
(phase determined by Binomial Field HMMs)



BFHMM  
State Models