



<https://www.innovairre.com/super-tuesday/>

6.045

Lecture 8: Communication Complexity, Start up Turing Machines

6.045

Announcements:

- **Pest 3 is due tomorrow**
- **Midterm: March 19**
- **Reminder: these slides on the web!**

L has a streaming alg using $\leq s(n)$ bits of space

means:

Give an algorithm A and prove that on all inputs x ,
A determines $x \in L$ correctly and uses $\leq s(|x|)$ bits
of memory

Give an upper bound!

Every streaming alg for L needs $\geq s(n)$ bits of space

means:

For any n , give a streaming distinguisher S for L
(a set of strings such that all pairs can be
distinguished in L) where $|S| \geq 2^{s(n)}$

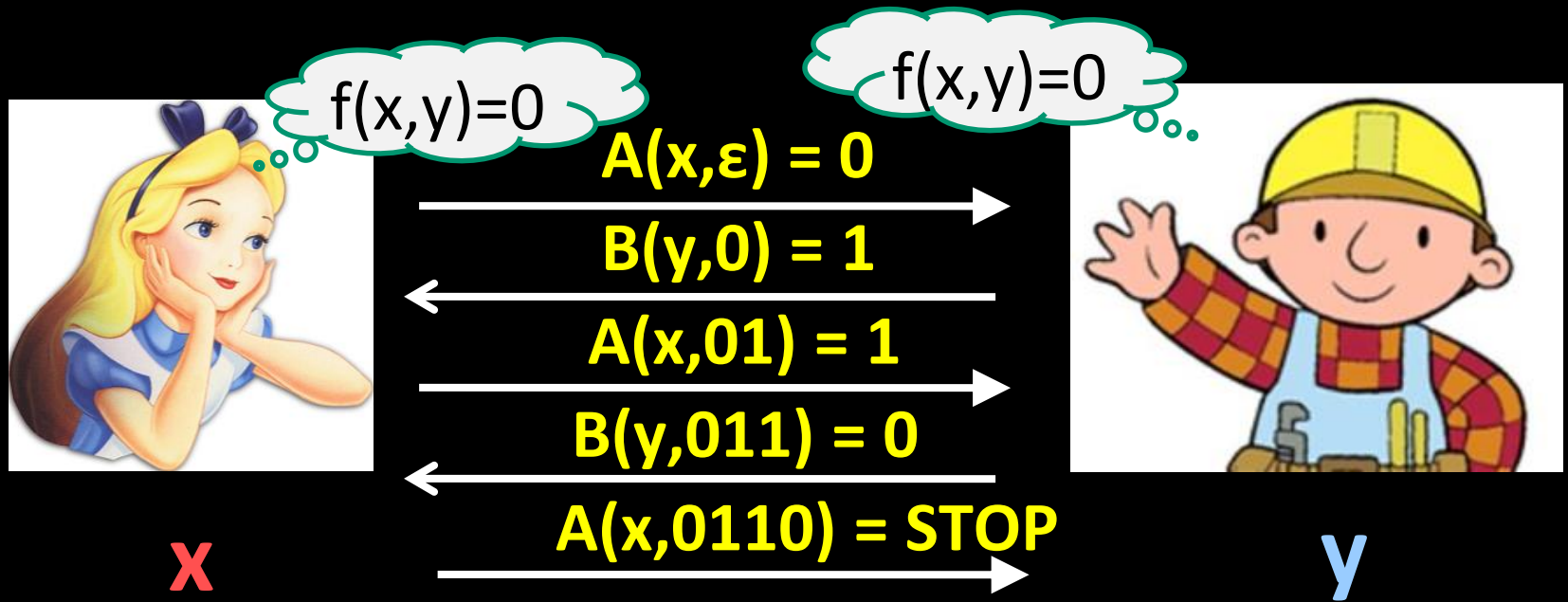
Give a lower bound!

Communication Complexity

A theoretical model of distributed computing

- **Function** $f : \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}$
 - Two inputs, $x \in \{0,1\}^*$ and $y \in \{0,1\}^*$
 - **We assume $|x|=|y|=n$. Think of n as HUGE**
 - **Two computers: Alice and Bob**
 - **Alice only** knows x , **Bob only** knows y
 - **Goal: Compute $f(x, y)$ by communicating as few bits as possible between Alice and Bob**
- We do not count computation cost.*** We only care about the number of bits communicated.

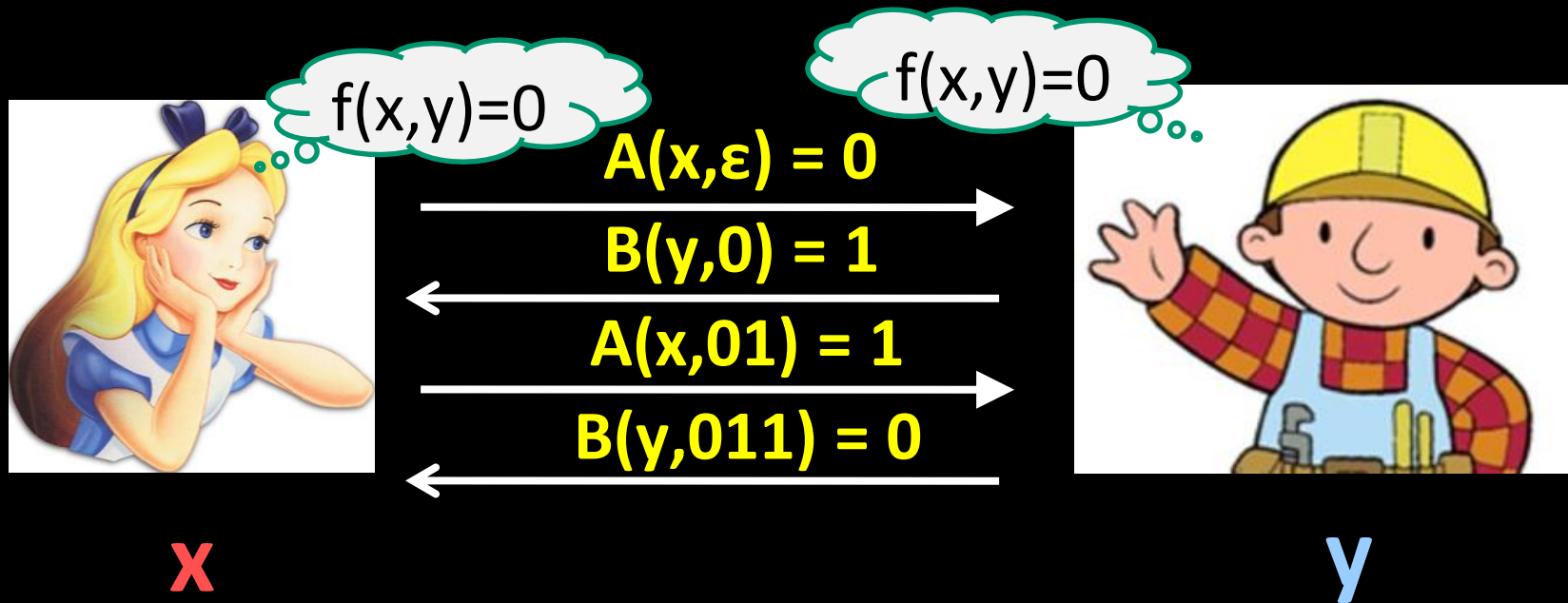
Alice and Bob Have a Conversation



In every step: A bit or STOP is sent, which is a function of the party's input and all the bits communicated so far.

Communication cost = number of bits communicated
= 4 (in the example)

We assume Alice and Bob alternate in communicating, and the last BIT sent is the value of $f(x,y)$



Def. A *protocol* computing f is a pair of functions $A, B : \{0,1\}^* \times \{0,1\}^* \rightarrow \{0, 1, \text{STOP}\}$ with the semantics:

On input (x, y) , let $r := 0, b_0 := \varepsilon$.

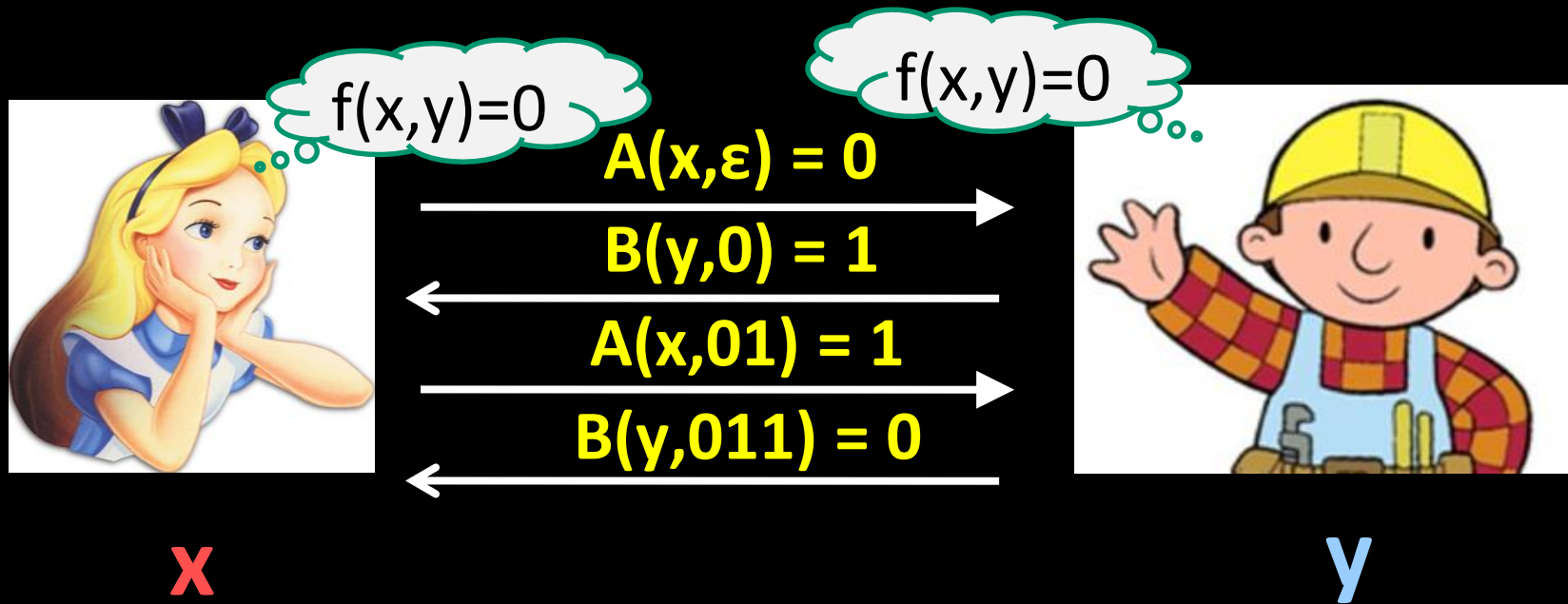
While $(b_r \neq \text{STOP})$,

$r++$

If r is odd, Alice sends $b_r = A(x, b_1 \cdots b_{r-1})$

else Bob sends $b_r = B(y, b_1 \cdots b_{r-1})$

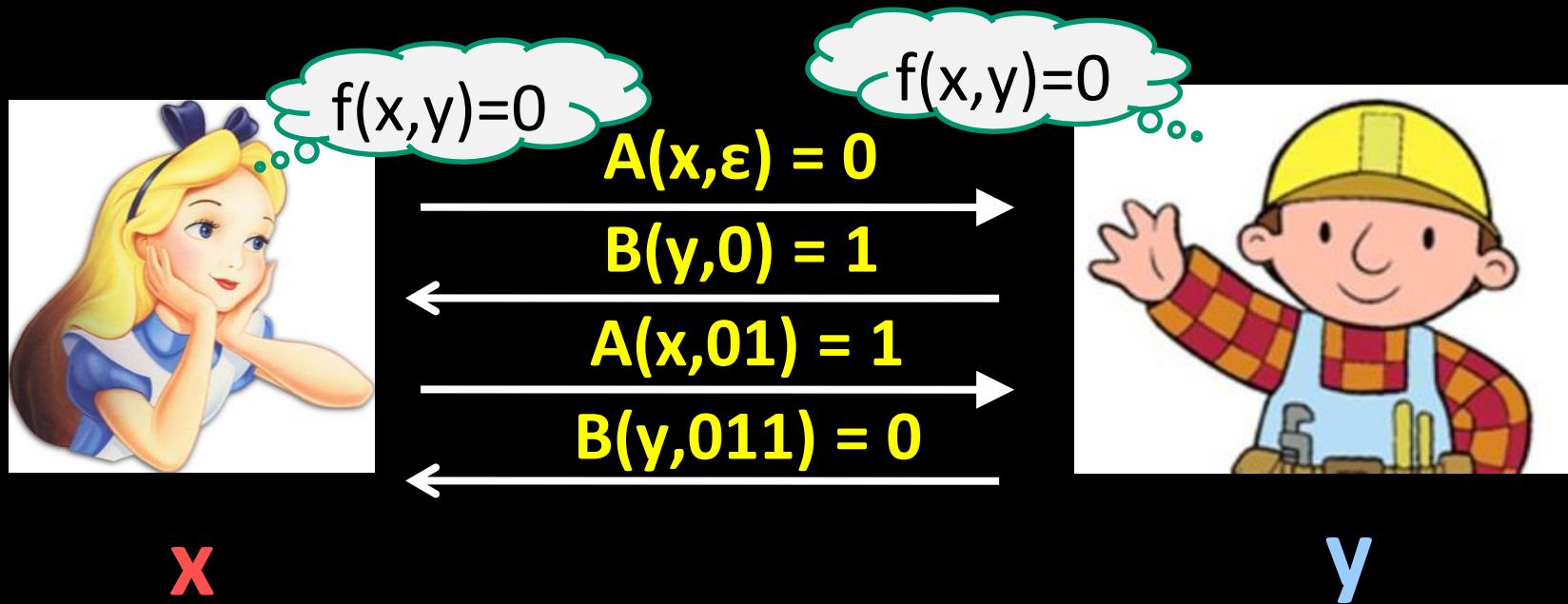
Output $b_{r-1} = f(x, y)$. Number of rounds = $r - 1$



Def. The *cost* of a protocol (A,B) on n -bit strings is

$$\max_{x,y \in \{0,1\}^n} [\text{number of rounds taken by } (A,B) \text{ on } (x,y)]$$

The *communication complexity* of f on n -bit strings, $cc(f)$, is *min cost* over all protocols computing f on n -bit strings
 = the minimum number of rounds used by any protocol computing $f(x,y)$, over all n -bit x,y



Example. Let $f : \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}$ be arbitrary

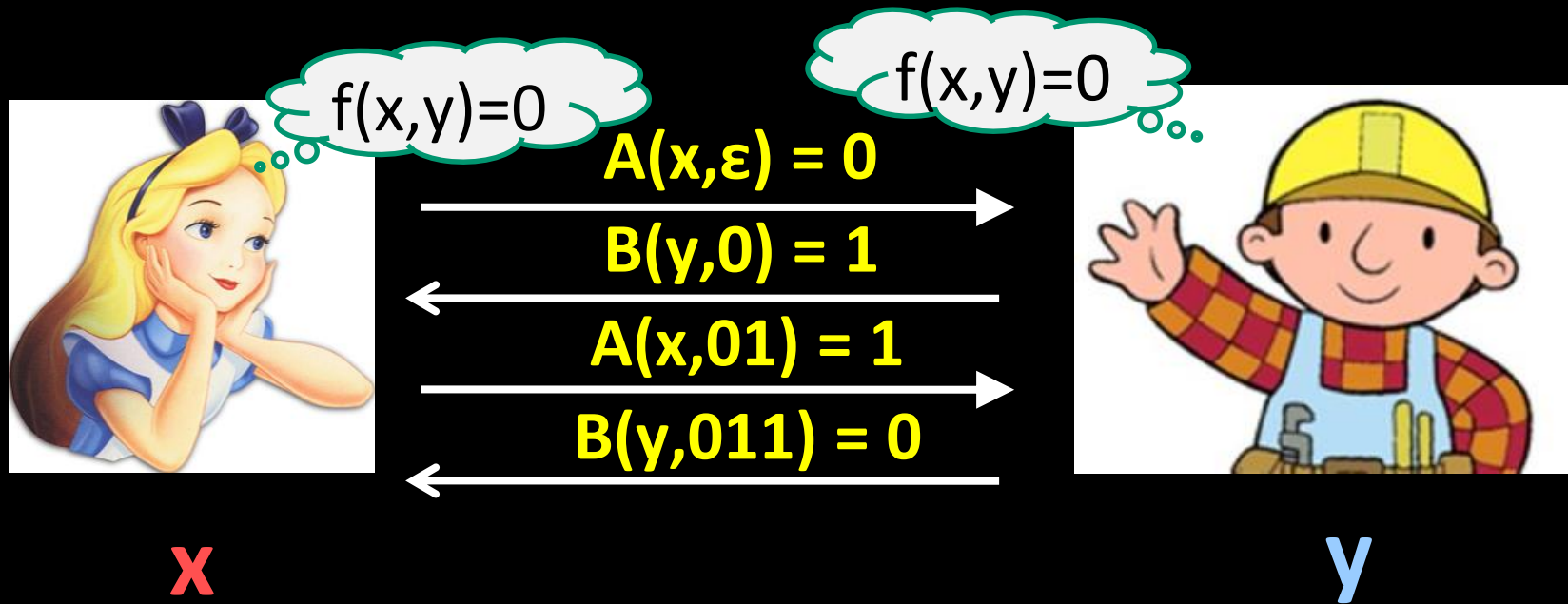
There is always a “trivial” protocol for f :

Alice sends the bits of her x in odd-numbered rounds

Bob sends whatever bit in even rounds

After $2n - 1$ rounds, Bob knows x and can send $f(x, y)$

Proposition: For every f , $cc(f) \leq 2n$



Example. $\text{PARITY}(x, y) = \sum_i x_i + \sum_i y_i \text{ mod } 2.$

What's a good protocol for computing PARITY?

Alice sends $b_1 = (\sum_i x_i \text{ mod } 2)$

Bob sends $b_2 = (b_1 + \sum_i y_i \text{ mod } 2).$ Alice stops.

Proposition: $\text{cc}(\text{PARITY}) = 2$



$f(x,y)=0$

x



$f(x,y)=0$

y

Example. MAJORITY(x, y) = most frequent bit in xy

Models voting in two “remote” locations; they want to determine a winner

What’s a good protocol for computing MAJORITY?

Alice sends N_x = **number of 1s in x**

Bob computes N_y = **number of 1s in y ,**

sends 1 iff $N_x + N_y$ is greater than $(|x|+|y|)/2 = n$

Proposition: cc(MAJORITY) $\leq O(\log n)$



$f(x,y)=0$

x



$f(x,y)=0$

y

Example. $EQUALS(x, y) = 1 \Leftrightarrow x = y$

Useful for checking consistency of two far-apart databases!

What's a good protocol for computing EQUALS?

?????

Communication complexity of EQUALS is at most $n + 2$

Connection to Streaming Algs and DFAs



x

y

Let $L \subseteq \{0,1\}^*$

Def. $f_L: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}$

for x, y with $|x| = |y|$ as:

$$f_L(x, y) = 1 \Leftrightarrow xy \in L$$

Examples:

$L = \{x \mid x \text{ has an odd number of 1s}\}$

$$\Rightarrow f_L(x, y) = \text{PARITY}(x, y) = \sum_i x_i + \sum_i y_i \bmod 2$$

$L = \{x \mid x \text{ has at least as many 1s as 0s}\}$

$$\Rightarrow f_L(x, y) = \text{MAJORITY}(x, y)$$

$L = \{xx \mid x \in \{0,1\}^*\}$

$$\Rightarrow f_L(x, y) = \text{EQUALS}(x, y)$$

Connection to Streaming Algs and DFAs



x

y

Let $L \subseteq \{0,1\}^*$

Def. $f_L: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}$

for x, y with $|x|=|y|$ as:

$$f_L(x, y) = 1 \Leftrightarrow xy \in L$$

Theorem: If L has a streaming alg using $\leq s(m)$ space on inputs of length $\leq 2m$, then $cc(f_L) \leq O(s(n))$.

Proof Idea: Alice runs streaming algorithm A on x , reaches a memory state m . She sends m to Bob in $O(s(n))$ rounds. Then Bob starts up A from state m , runs A on y . Gets an output bit, sends bit to Alice.

Connection to Streaming Algs and DFAs

Let $L \subseteq \{0,1\}^*$ Def. $f_L(x, y) = 1 \Leftrightarrow xy \in L$

Theorem: If L has a streaming alg using $\leq s(m)$ space on inputs of length $\leq 2m$, then $cc(f_L) \leq O(s(n))$.

Corollary: For every regular L , $cc(f_L) \leq O(1)$.

Example: $cc(\text{PARITY}) = 2$

Corollary: $cc(\text{MAJORITY}) \leq O(\log n)$,
because there's a streaming algorithm for
 $\{x : x \text{ has more 1's than 0's}\}$ with $O(\log n)$ space

What about the Comm. Complexity of EQUALS?

Communication Complexity of EQUALS

Theorem: $cc(\text{EQUALS}) = \Theta(n)$.

In particular, *every* communication protocol for EQUALS must send $\geq n$ bits between Alice and Bob.

No communication protocol can do much better than “send your whole input”!

Corollary: $L = \{xx \mid x \text{ in } \{0,1\}^*\}$ is not regular.

Corollary: Every streaming algorithm for L needs $c n$ bits of memory, for some constant $c > 0$!

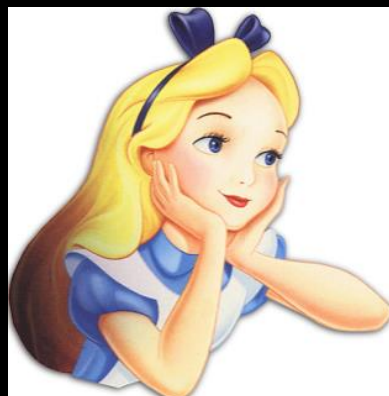
$\Omega(n)$

Communication Complexity of EQUALS

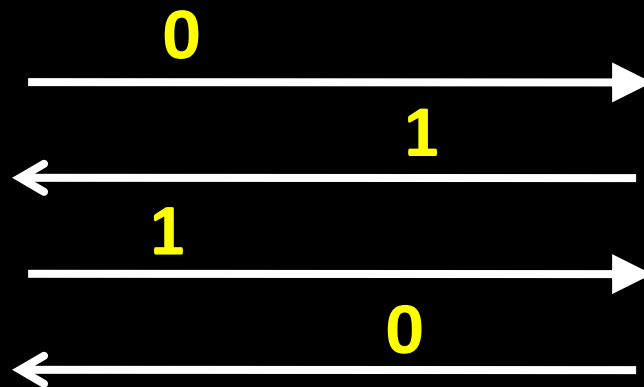
Theorem: $\text{cc}(\text{EQUALS}) = \Theta(n)$. In particular, every protocol for EQUALS needs $\geq n$ bits of communication!

Idea: Consider all possible ways A & B can communicate.

Definition: The *communication pattern* of a protocol on inputs (x, y) is the sequence of bits Alice & Bob send.



x



Pattern: 0110



y

Key Lemma: If (x, y) and (x', y') have the same pattern P in a protocol, then (x, y') and (x', y) also have pattern P



x

$$A(x, \varepsilon) = 0$$

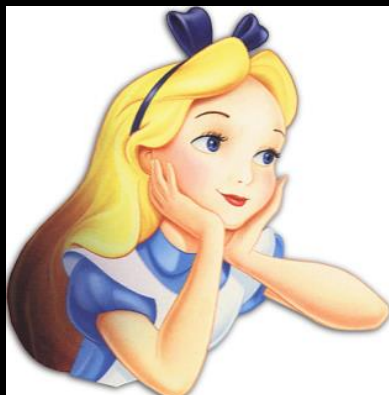
$$B(y, 0) = 1$$

$$A(x, 01) = 1$$

$$B(y, 011) = 0$$



y



x'

$$A(x', \varepsilon) = 0$$

$$B(y', 0) = 1$$

$$A(x', 01) = 1$$

$$B(y', 011) = 0$$



y'

Key Lemma: If (x, y) and (x', y') have the same pattern P in a protocol, then (x, y') and (x', y) also have pattern P



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y



x'

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y'

Key Lemma: If (x, y) and (x', y') have the same pattern P in a protocol, then (x, y') and (x', y) also have pattern P



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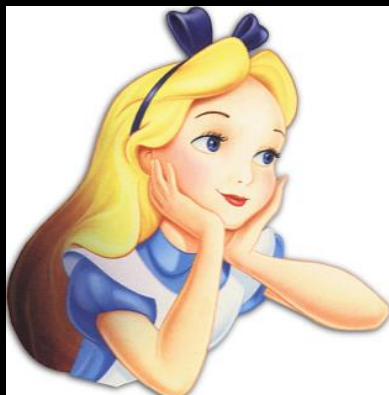
$$B(y, 0) = 1$$

$$A(x, 01) = 1$$

$$B(y, 011) = 0$$



y



x'

$$A(x, \varepsilon) = 0$$

$$B(y', 0) = 1$$

$$A(x, 01) = 1$$

$$B(y', 011) = 0$$



y'

Communication Complexity of EQUALS

Theorem: The comm. complexity of EQUALS is $\Theta(n)$.
In particular, *every* protocol for EQUALS needs $\geq n$ bits of communication.

Proof: By contradiction. Suppose $\text{cc}(\text{EQUALS}) \leq n - 1$.
Then there are $\leq 2^n - 1$ possible communication *patterns* of that protocol, over all pairs of inputs (x, y) with n bits each.

Claim: There are $x \neq y$ such that on (x, x) and on (y, y) , the protocol uses the *same* pattern P .

By the Key Lemma, (x, y) and (y, x) also use pattern P

So Alice & Bob *output the same bit* on (x, y) and (x, x) .
But $\text{EQUALS}(x, y) = 0$ and $\text{EQUALS}(x, x) = 1$. **Contradiction!**

Randomized Protocols Help!

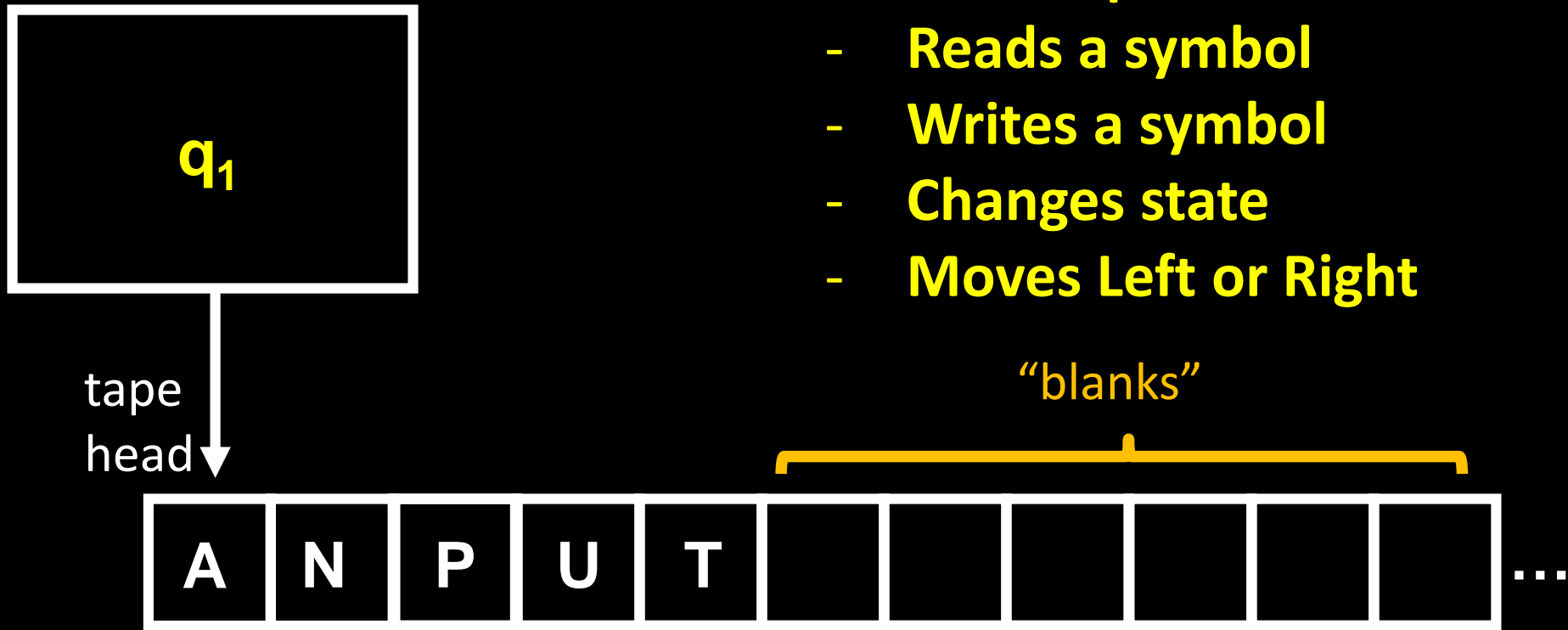
EQUALS needs $\geq n$ bits of communication,
but...

Theorem: There is a *randomized* protocol for computing EQUALS(x, y) using only $O(\log n)$ bits of communication, which is correct with probability 99.9%!

Turing Machines



Turing Machine (1936)



In each step:

- Reads a symbol
- Writes a symbol
- Changes state
- Moves Left or Right

INFINITE REWRITABLE TAPE

Turing Machine (1936)

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A. M. TURING

[Nov. 12,

ON COMPUTABLE NUMBERS, WITH AN APPLICATION TO THE ENTSCHIEDUNGSPROBLEM

By A. M. TURING.

[Received 28 May, 1936.—Read 12 November, 1936.]

The “computable” numbers may be described briefly as the real numbers whose expressions as a decimal are calculable by finite means. Although the subject of this paper is ostensibly the computable *numbers*, it is almost equally easy to define and investigate computable functions of an integral variable or a real or computable variable, computable predicates, and so forth. The fundamental problems involved are, however, the same in each case, and I have chosen the computable numbers for explicit treatment as involving the least cumbersome technique. I hope shortly to give an account of the relations of the computable numbers, functions, and so forth to one another. This will include a development