

# Édouard Lucas:

*The theory of recurrent sequences is an inexhaustible mine which contains all the properties of numbers; by calculating the successive terms of such sequences, decomposing them into their prime factors and seeking out by experimentation the laws of appearance and reproduction of the prime numbers, one can advance in a systematic manner the study of the properties of numbers and their application to all branches of mathematics.*



# Preview





# Mathematical Challenges in Neutral Redistricting

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Geometric Data Processing Group

Math Department Colloquium  
Yale University  
August 7, 2019



# Outline

- ① Introduction
- ② Political Redistricting Problems
- ③ Total Variation Isoperimetric Profiles
- ④ Hardness of Partition Sampling
- ⑤ Conclusion



# Key Collaborators

- Prof. Moon Duchin
- Prof. Justin Solomon
- Lorenzo Najt
- Hugo Lavenant
- Zachary Schutzman

**Tufts Math**

**MIT CSAIL**

**University of Wisconsin Math**

**Université Paris–Sud Math**

**University of Pennsylvania CIS**



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- Resources:
  - [mggg.org](http://mggg.org)
  - [github.com/{gerrymandr,mggg,mggg-states,vrdi}](https://github.com/{gerrymandr,mggg,mggg-states,vrdi})
  - [tinyurl.com/gerryprojects](https://tinyurl.com/gerryprojects)



# Main Theorems

## Theorem (D., Lavenant, Schutzman, Solomon (2018))

*The Total Variation relaxation of the isoperimetric profile satisfies an isoperimetric inequality, is the lower convex envelope of the regular profile, and admits a family of distinguished solutions that extend the Cheeger set.*

## Theorem (D., Najt, Solomon (2019))

*If there is a polynomial time algorithm to sample uniformly from the space of connected  $k$  partitions on the class of connected planar graphs then  $RP = NP$ .*



# MORAL #1:



## MORAL #1:

Computational Redistricting is  
NOT a solved problem!



# MORAL #2:





## MORAL #2:

Computational Redistricting is  
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## MORAL #2:

# Computational Redistricting is NOT a solved problem!

### **More Background:**

Computational Approaches for Political Redistricting  
Introduction to Discrete MCMC for Redistricting (with  
Scrabble)

### **Research Projects:**

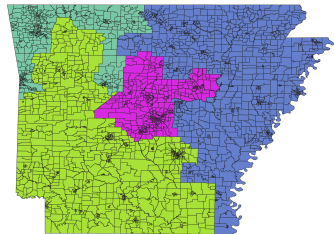
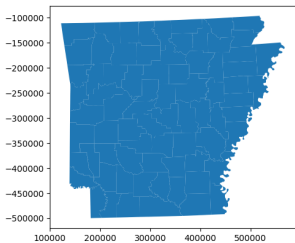
[tinyurl.com/gerryprojects](http://tinyurl.com/gerryprojects)

### **Research Papers:**

[mgggg.org/work](http://mgggg.org/work)



# Political Partitioning



# Permissible Districting Plans

We want to partition a given geography (graph), at a given scale, into  $k$  pieces, satisfying some constraints:

- Contiguity
- Population Balance
- Compactness
- Communities of Interest
- Municipal Boundaries
- Competitiveness/Responsiveness
- Partisan Symmetry
- Incumbency Protection
- ...



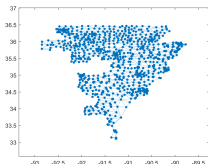
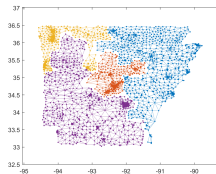
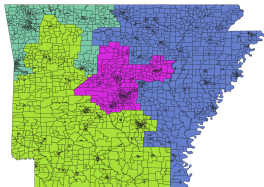
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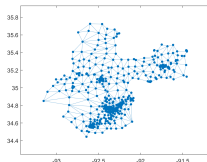
- **Contiguity**
- **Population Balance**
- Compactness
- Communities of Interest
- Municipal Boundaries
- Competitiveness/Responsiveness
- Partisan Symmetry
- Incumbency Protection
- ...



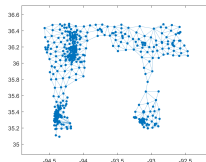
# Discrete Districting



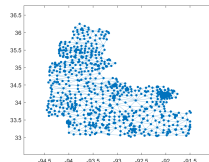
(c) District # 1



(d) District # 2



(e) District # 3



(f) District # 4

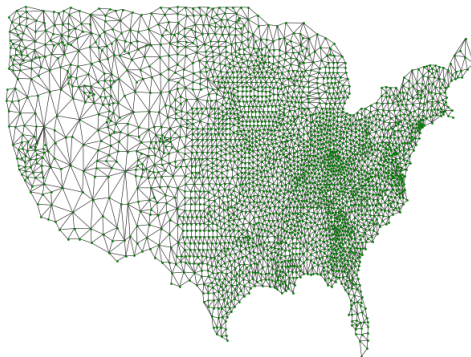
# Mathematical Formulation

Given a (connected) graph  $G = (V, E)$ :

- A  **$k$ -partition**  $P = \{V_1, V_2, \dots, V_k\}$  of  $G$  is a collection of disjoint subsets  $V_i \subseteq V$  whose union is  $V$ .
- A partition  $P$  is **connected** if the subgraph induced by  $V_i$  is connected for all  $i$ .
- The **cut edges** of  $P$  are the edges  $(u, w)$  for which  $u \in V_i$ ,  $w \in V_j$ , and  $i \neq j$ .
- A partition  $P$  is  **$\varepsilon$ -balanced** if  $\mu(1 - \varepsilon) \leq |V_i| \leq \mu(1 + \varepsilon)$  for all  $i$  where  $\mu$  is the mean of the  $|V_i|$ 's.
- An **equi-partition** is a 0-balanced partition.



# Which graphs?

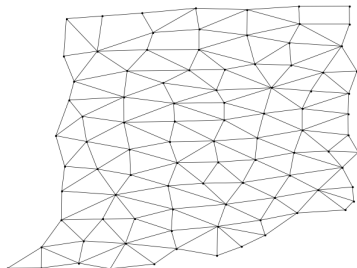
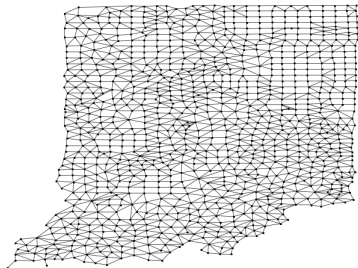
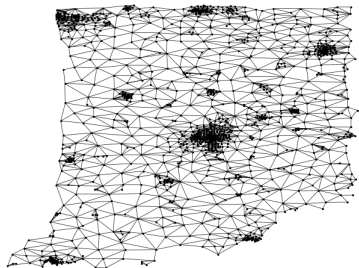


## Census Dual Graphs

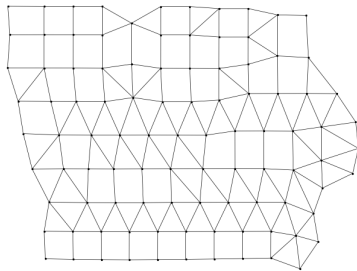
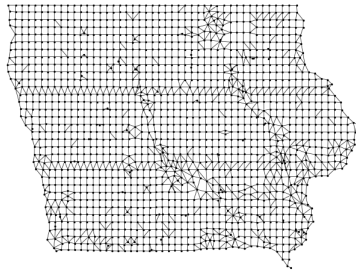
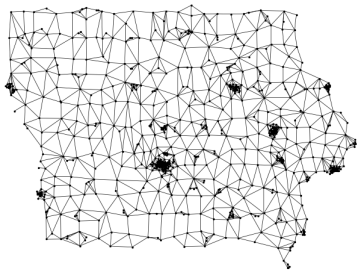




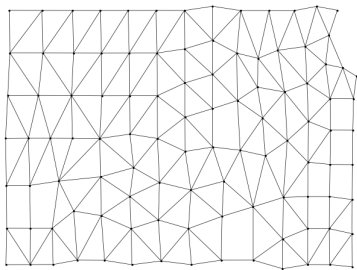
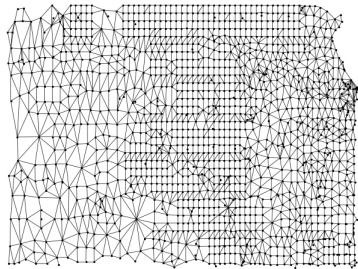
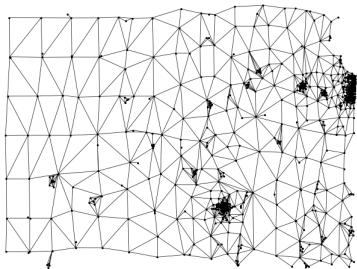
# IN Dual Graphs



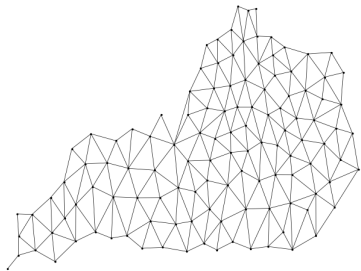
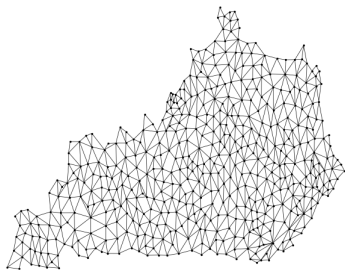
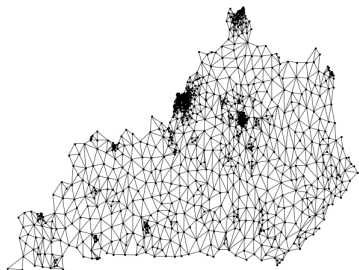
# IA Dual Graphs



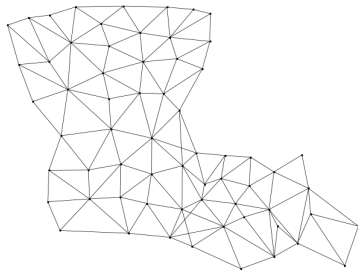
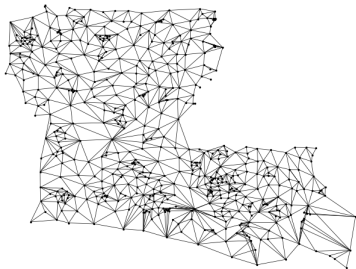
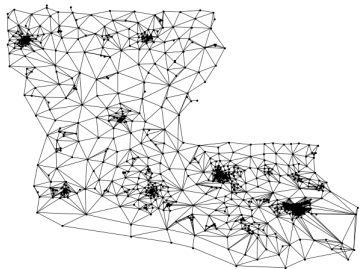
# KS Dual Graphs



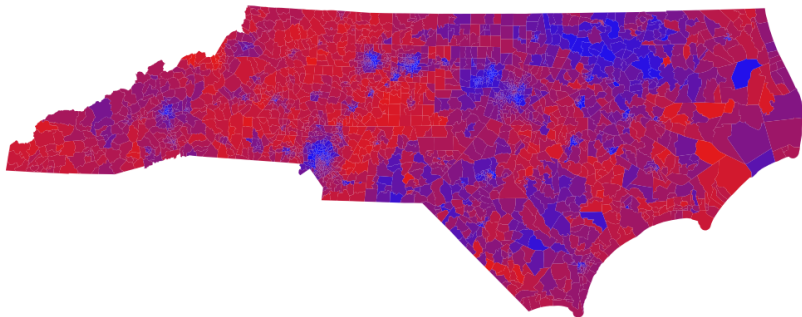
# KY Dual Graphs



# LA Dual Graphs



# Electoral Data

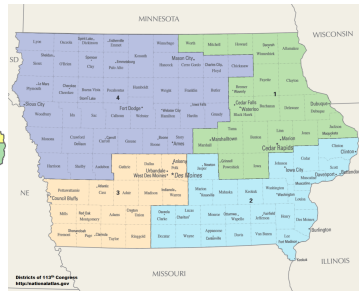
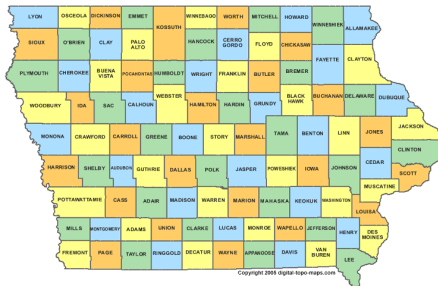


## MORAL:

Computational Redistricting is  
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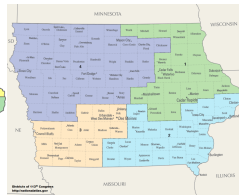
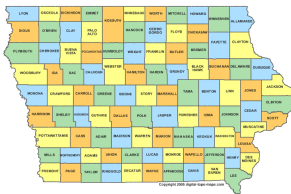


# Example: Iowa



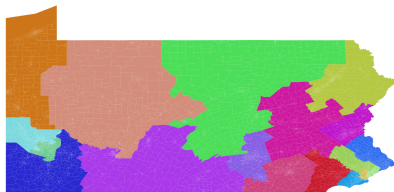
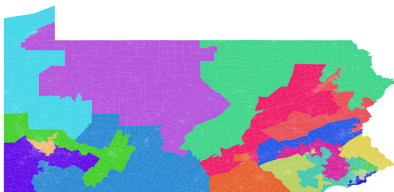


## Example: Iowa



- 4 Congressional Districts, 100 House Districts, 50 Senate Districts
- House districts nest into Senate districts
- Congressional districts made out of counties
- Independent committee with legislative approval
- No partisan data allowed

## Example: Pennsylvania



- 18 Congressional Districts, 203 House Districts, 50 Senate Districts
- Zero-balanced population
- Legislature draws congressional districts committee draws legislative districts
- Partisan behavior allowed



## MORAL:

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# What can go wrong?



# What can go wrong?



- Geometry

# What can go wrong?



- Geometry
- Partisan imbalance

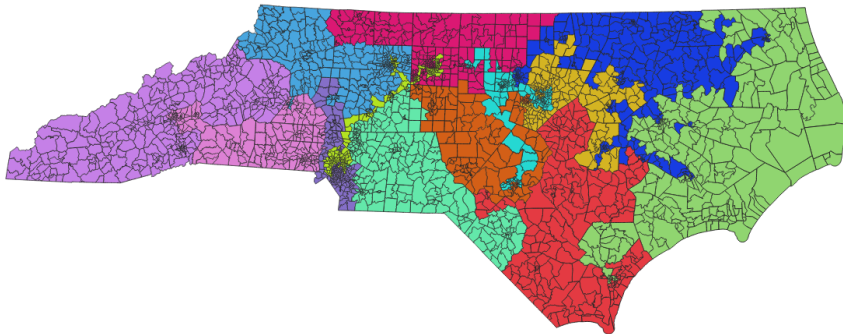
# What can go wrong?



- Geometry
- Partisan imbalance
- Outlier analysis



# Ugly Shapes





# Ugly Shapes



(a) NC12 #1

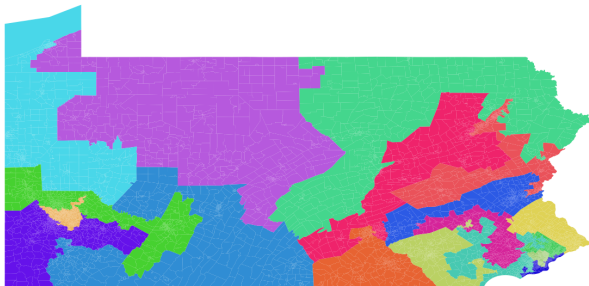


(b) NC12 #2



(c) NC12 #12

# Ugly Shapes



# Measurement Problems

## Theorem (Bar-Natan, Najt, and Schutzman 2019)

*There is no local homeomorphism from the globe to the plane that preserves the rankings of your favorite compactness measure.*

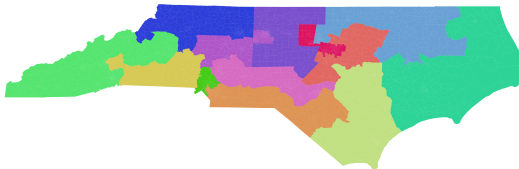
## Problem (Barnes and Solomon 2018)

*Compactness scores can be distorted by:*

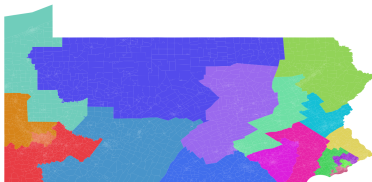
- *Map projection*
- *Data resolution*
- *State borders and coastline*
- *Topography*
- *...*



# Partisan Imbalance



(a) NC16



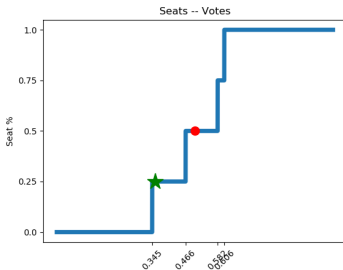
(b) PA TS-Proposed



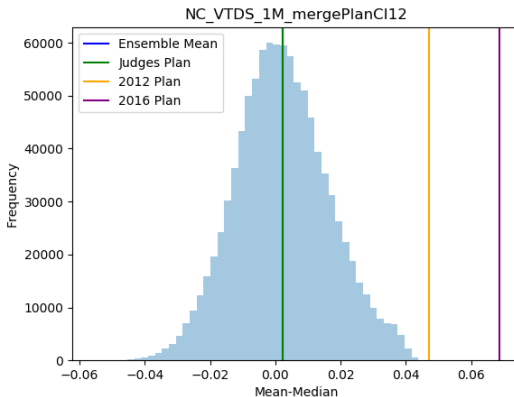
# Partisan Fairness Scores

Types of metrics:

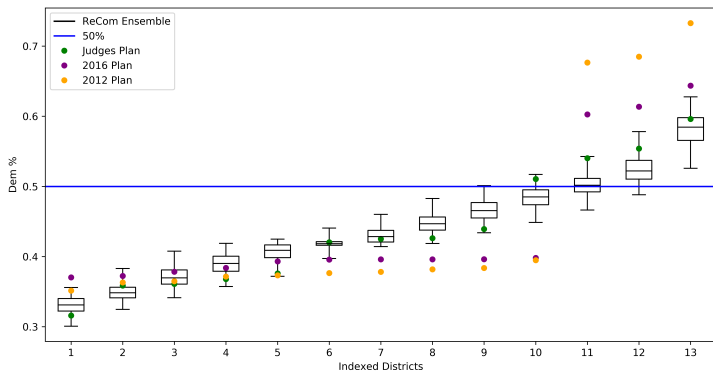
- Mean-median
- Partisan Bias
- Partisan Gini
- Efficiency Gap



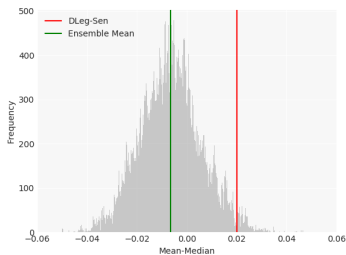
# Outlier Examples: NC



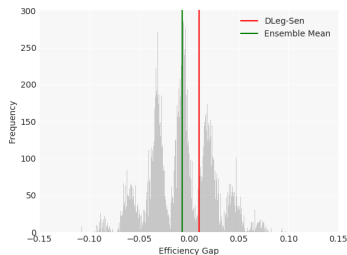
# Outlier Examples: NC



# Baseline Example: VA



(a) Mean-Median

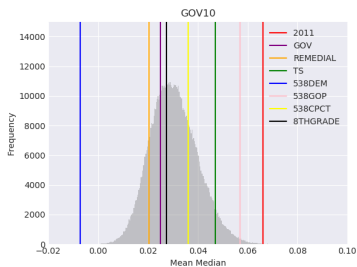


(b) Efficiency Gap

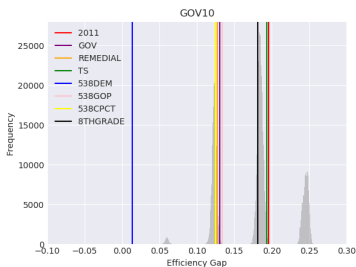




# Baseline Example: PA



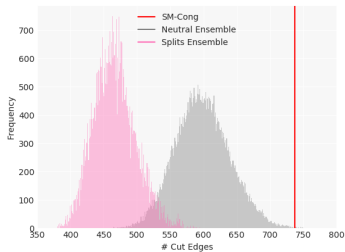
(a) Mean-Median



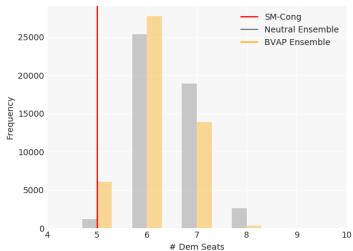
(b) Efficiency Gap



# Reform Example: VA



(a) Compactness



(b) Dem Seats



# Which ensembles?



# Redistricting Wrapup

- Shape based measures are hard to implement
- “Unfair” plans no longer need to appear ugly
- Partisan metrics are exploitable and vary in meaning from state to state
- Outlier approaches can be sensitive to choice of distribution
- Blindness isn't necessarily fairness



## MORAL:

Computational Redistricting is  
NOT a solved problem!



# Polsby–Popper

## Theorem (Isoperimetry)

*Let  $\Omega$  be a bounded open subset of  $\mathbb{R}^2$  with finite perimeter. Then:*

$$4\pi A \leq P^2.$$

## Definition (Polsby–Popper)

The Polsby–Popper score of a district is:

$$PP(\Omega) = \frac{4\pi A}{P^2}$$



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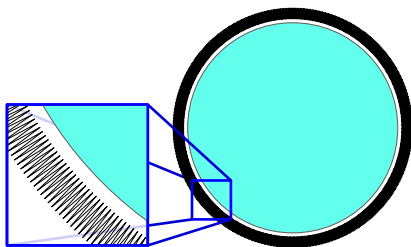
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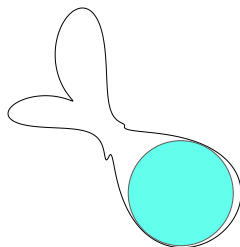
$$PP(\Omega) = \frac{4\pi A}{P^2}$$



# Boundary Perturbation



$$\frac{4\pi A}{L^2} = 0.004$$



$$\frac{4\pi A}{L^2} = 0.359$$



# Multiscale Desiderata

- Disambiguate different types of “badness”
- Stability under practical constraints
- Interpolate well–studied single measures
- Continuous and discrete versions
- Internal vs. external



# Isoperimetric Profile

## Definition (Isoperimetric Inequality)

Let  $\Omega \subseteq \mathbb{R}^n$  to be a compact region whose boundary  $\partial\Omega \subseteq \Omega$  is an  $(n-1)$ -dimensional hypersurface in  $\mathbb{R}^n$

$$n \cdot \text{vol}(\Omega)^{\frac{(n-1)}{n}} \cdot \text{vol}(B(1, \mathbf{0}))^{\frac{1}{n}} \leq \text{area}(\partial\Omega).$$



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## Definition (Isoperimetric Profile)

With  $\Omega$  as above and  $t \in [0, \text{vol}(\Omega)]$  we ask for the smallest surface area needed to enclose volume  $t$  completely within  $\Omega$ :

$$I_{\Omega}(t) := \min\{\text{area}(\partial\Sigma) : \Sigma \subseteq \Omega \text{ and } \text{vol}(\Sigma) = t\}.$$



# Geometric Properties

## Theorem (Flores and Nardulli (2016) [1])

*] Let  $M^n$  be a complete smooth Riemannian manifold with  $\text{Ric}_M \geq (n - 1)k$ , with  $k \in \mathbb{R}$  and  $V(B(p, 1)) \geq v_0 > 0$ . Then the isoperimetric profile is continuous on  $[0, V(M)[$*

<sup>1</sup> A. Flores and S. Nardulli: Continuity and differentiability properties of the isoperimetric profile in complete noncompact Riemannian manifolds with bounded geometry, <https://arxiv.org/abs/1404.3245>.



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## Question

*Identify a polynomial-time algorithm or NP-hardness result for computing isoperimetric profiles. The simplest open problem is computing the isoperimetric profile of a polygon in the plane  $\mathbb{R}^2$ .*

<sup>1</sup> A. Flores and S. Nardulli: Continuity and differentiability properties of the isoperimetric profile in complete noncompact Riemannian manifolds with bounded geometry, <https://arxiv.org/abs/1404.3245>.



# Total Variation

## Definition (Three formulations of TV)

$$\mathrm{TV}[f] =$$

①

$$\sup \left\{ \int_{\mathbb{R}^n} [f(x) \nabla \cdot \phi(x)] dx : \phi \in C_c^1(\mathbb{R}^n \rightarrow \mathbb{R}^n) \text{ and } \|\phi\|_\infty \leq 1 \right\}$$

②

$$\int_{\mathbb{R}^n} \|\nabla f\|_2 dx$$

③

$$\int_0^{+\infty} \mathrm{area}(\partial\{f \geq s\}) ds$$



# Perimeter as Total Variation

## Definition

For a region  $\Sigma \subseteq \mathbb{R}^n$ , denote its *indicator function*  $\mathbb{1}_\Sigma$  via

$$\mathbb{1}_\Sigma(x) := \begin{cases} 1 & \text{if } x \in \Sigma \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

Then, a consequence of the co-area formula is that

$$\text{area}(\partial\Sigma) = \text{TV}[\mathbb{1}_\Sigma]. \quad (2)$$



# TV Relaxation

## Definition (Isoperimetric Profile)

$$I_{\Omega}(t) = \begin{cases} \inf_{f \in L^1(\mathbb{R}^n)} & \text{TV}[f] \\ \text{subject to} & \int_{\mathbb{R}^n} f(x) dx = t \\ & 0 \leq f \leq \mathbb{1}_{\Omega} \\ & f(x) \in \{0, 1\} \quad \forall x \in \mathbb{R}^n. \end{cases}$$





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## Definition (TV Profile)

$$I_{\Omega}^{\text{TV}}(t) := \begin{cases} \min_{f \in L^1(\mathbb{R}^n)} & \text{TV}[f] \\ \text{subject to} & \int_{\mathbb{R}^n} f(x) dx = t \\ & 0 \leq f \leq \mathbb{1}_{\Omega}. \end{cases}$$



## Example: Circle

### Proposition

*For all  $(\Omega, t)$ , we have  $I_{\Omega}^{\text{TV}}(t) \leq I_{\Omega}(t)$ .*

### Examples (Circle)

*Suppose  $\Omega \subset \mathbb{R}^2$  is a circle of radius  $R$ , and take  $t = \pi r^2$  for  $r \in (0, R)$ . In this case, by the isoperimetric inequality we know  $I_{\Omega}(t) = 2\pi r$ . But suppose we take  $f(x) \equiv \frac{r^2}{R^2}$ . By the co-area formula*

$$I_{\Omega}^{\text{TV}}(t) \leq \text{TV}[f] = 2\pi R \cdot \frac{r^2}{R^2} = 2\pi r \cdot \frac{r}{R} < I_{\Omega}(t).$$

*Hence, our relaxation is not tight.*



# Isoperimetry and Convexity

## Proposition (Isoperimetry)

*Suppose  $B \subset \mathbb{R}^n$  is a ball whose volume matches  $\text{vol}(\Omega)$ . Then, for all  $t \in [0, \text{vol}(\Omega)]$ , we have  $I_B^{\text{TV}}(t) \leq I_\Omega^{\text{TV}}(t)$ , and if the equality holds for some  $t > 0$  then  $\Omega$  is a ball.*

## Proposition (Convexity)

*$I_\Omega^{\text{TV}}(t)$  is a convex function of  $t$ .*

## Proposition (Convex Envelope)

*The function  $I_\Omega^{\text{TV}}$  is the lower convex envelope of  $I_\Omega$ .*



# Dual Optimization

Dual Formulation:

$$I_{\Omega}^{TV}(t) = \left\{ \begin{array}{ll} \sup_{\phi \in C_c^1(\mathbb{R}^n \rightarrow \mathbb{R}^n), \lambda \in \mathbb{R}} & \lambda t - \int_{\Omega} \max(\lambda - \nabla \cdot \phi(x), 0) dx \\ \text{subject to} & \|\phi\|_{\infty} \leq 1 \end{array} \right.$$

Proof.

With the dual in hand, the convexity results follow from constructing an auxilliary function:

$$h(\lambda) = \inf_{\|\phi\|_{\infty} \leq 1} \int_{\Omega} \max(\lambda - \nabla \cdot \phi(x), 0) dx.$$

and computing some Legendre transforms. □



# Minimizer Structure

## Proposition (Distinguished Solutions)

*There exists a family  $(f_t)_{t \in [0,1]}$  such that:*

- *For any  $t \in [0, 1]$ , the function  $f_t \in L^1(\mathbb{R}^n)$  satisfies  $0 \leq f_t \leq \mathbb{1}_\Omega$ ,  $\int_{\mathbb{R}^n} f_t(x) dx = t$  and  $\text{TV}(f_t) = I_\Omega^{\text{TV}}(t)$ .*
- *For any  $t \in [0, 1]$ , there exist  $v_t \in (0, 1)$  such that  $f_t$  takes its values in  $\{0, v_t, 1\}$ .*
- *For a.e.  $x \in \Omega$ , the function  $t \rightarrow f_t(x)$  is increasing.*



# NC 12 # 9



# NC 12 # 2



# NC 12 # 12





# Cheeger Sets

## Definition (Cheeger Constant)

The Cheeger constant of  $\Omega$ , denoted by  $h_1(\Omega)$ , is defined as

$$h_1(\Omega) := \inf_{\tilde{\Sigma} \subseteq \Omega} \frac{\text{area}(\partial \tilde{\Sigma})}{\text{vol}(\tilde{\Sigma})},$$

and a subset  $\Sigma \subseteq \Omega$  such that  $h_1(\Omega) = \frac{\text{area}(\partial \Sigma)}{\text{vol}(\Sigma)}$  is known as a Cheeger set of  $\Omega$ .

## Proposition (Small $t$ )

Let  $\Omega$  be compact, let  $h_1(\Omega)$  be the Cheeger constant of  $\Omega$ , and let  $\Sigma$  be a Cheeger set of  $\Omega$ . Then for any  $t \leq \text{vol}(\Sigma)$ , we have  $I_{\Omega}^{\text{TV}}(t) = h_1(\Omega)t$ , and a solution  $f$  is given by  $f := \frac{t}{\text{vol}(\Sigma)} \cdot \mathbb{1}_{\Sigma}$ .



# Cheeger Proof

## Proof.

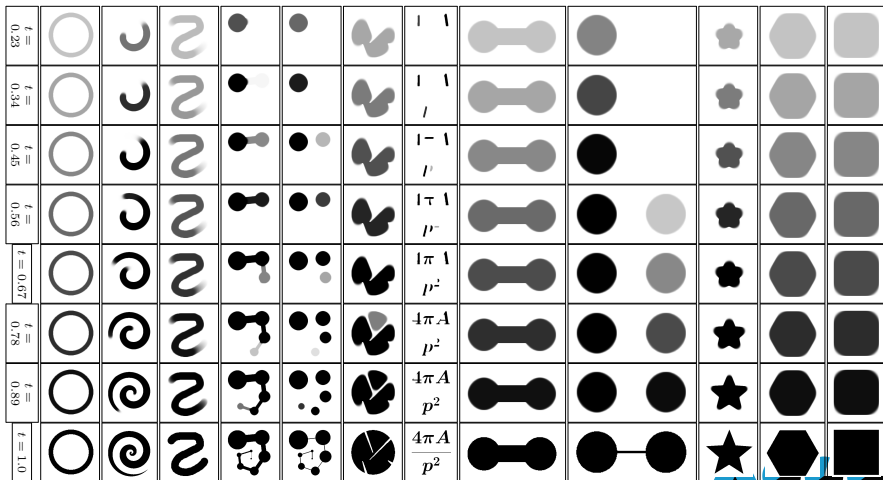
We start with  $\hat{f} = \frac{t}{\text{vol}(\Sigma)} \cdot \mathbb{1}_{\Sigma}$  which satisfies the constraints of the problem defining  $I_{\Omega}^{\text{TV}}(t)$  as soon as  $t \leq \text{vol}(\Sigma)$ , which ensures  $0 \leq \hat{f} \leq \mathbb{1}_{\Sigma} \leq \mathbb{1}_{\Omega}$ . Hence,  $I_{\Omega}^{\text{TV}}(t) \leq h_1(\Omega)t$ . On the other hand, using the co-area formula, if  $f$  is any competitor then

$$\begin{aligned} \text{TV}(f) &= \int_0^{+\infty} \text{area}(\partial\{f \geq s\}) ds \\ &= \int_0^{+\infty} \text{vol}(\{f \geq s\}) \cdot \underbrace{\frac{\text{area}(\partial\{f \geq s\})}{\text{vol}(\{f \geq s\})}}_{\geq h_1(\Omega) \text{ by definition}} ds \\ &\geq h_1(\Omega) \int_0^{+\infty} \text{vol}(\{f \geq s\}) ds = h_1(\Omega) \int_{\mathbb{R}^d} f(x) dx = h_1(\Omega)t. \end{aligned}$$

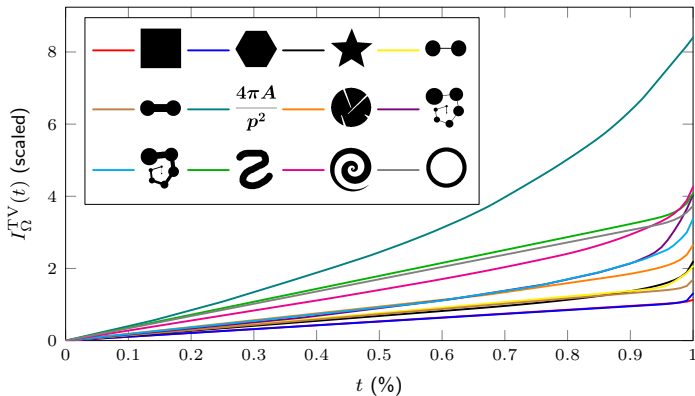
Hence, for  $t \leq \text{vol}(C)$ , we have  $I_{\Omega}^{\text{TV}}(t) = h_1(\Omega)t$ . □



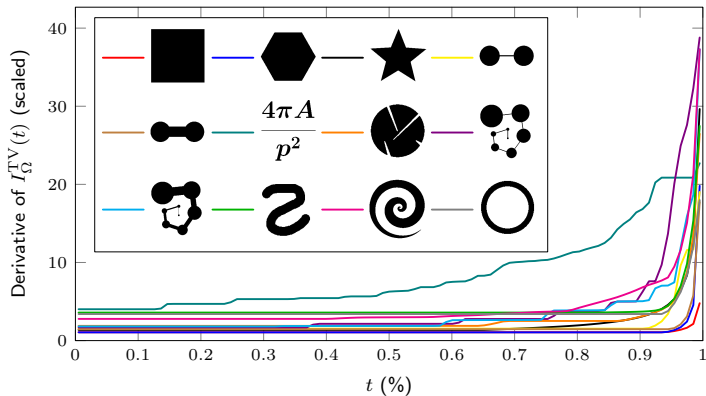
# Synthetic Examples



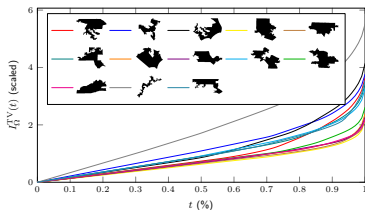
# Synthetic Profiles



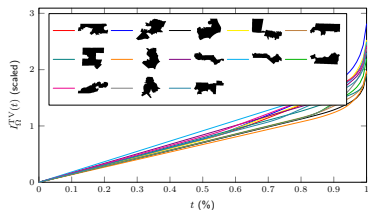
# Synthetic Derivatives



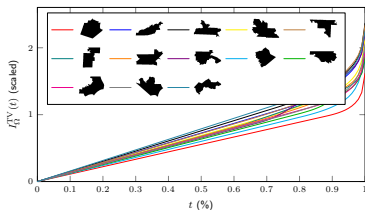
# North Carolina



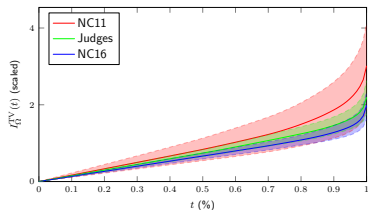
(a) 2011 districts



(b) 2016 districts



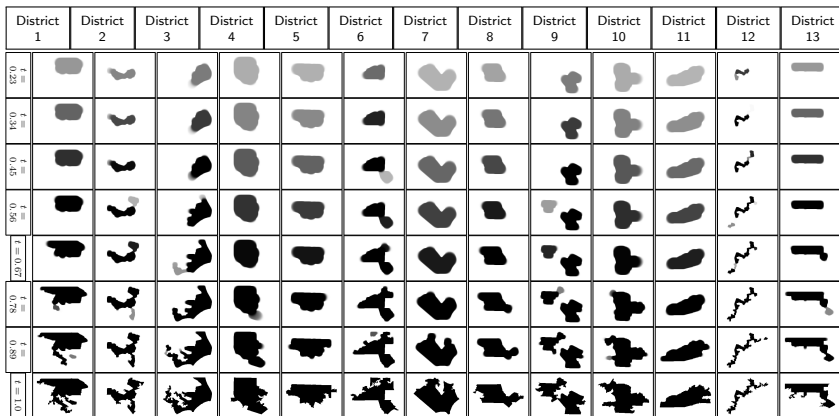
(c) Judges plan



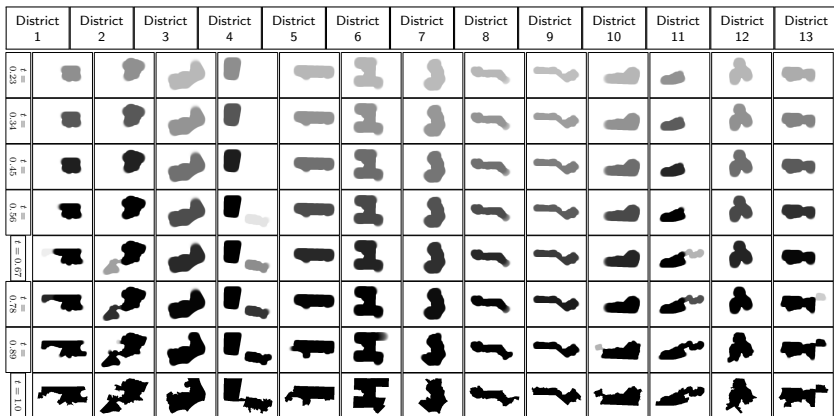
(d) Comparison



# NC 2011 Districts

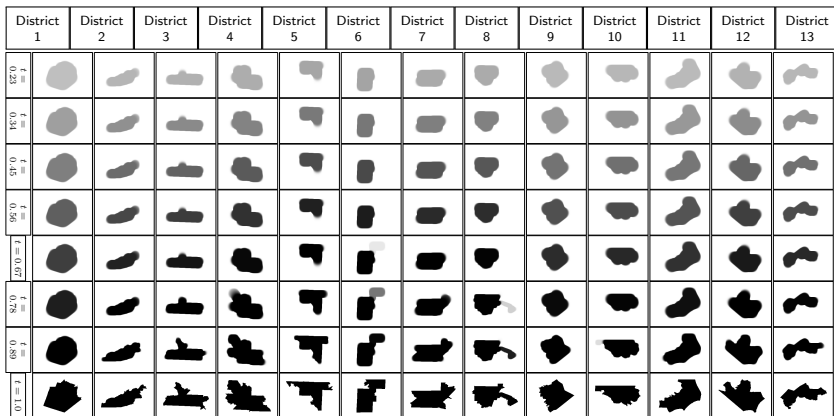


# NC 2016 Districts

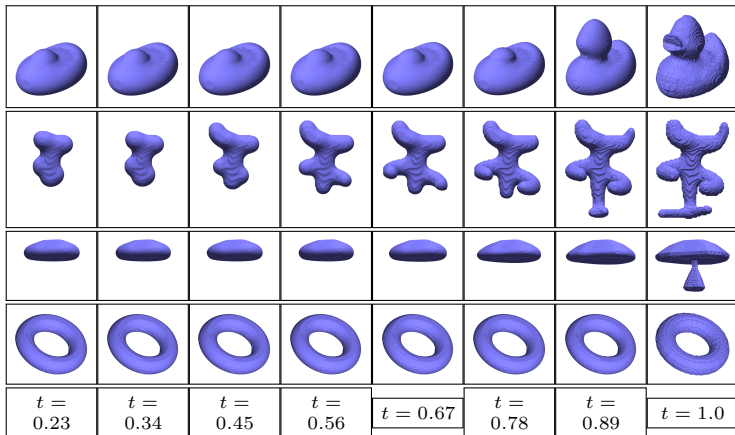




# Judge's Plan



## Higher Dimensions



## Other Formulations

### Definition (Population Measure)

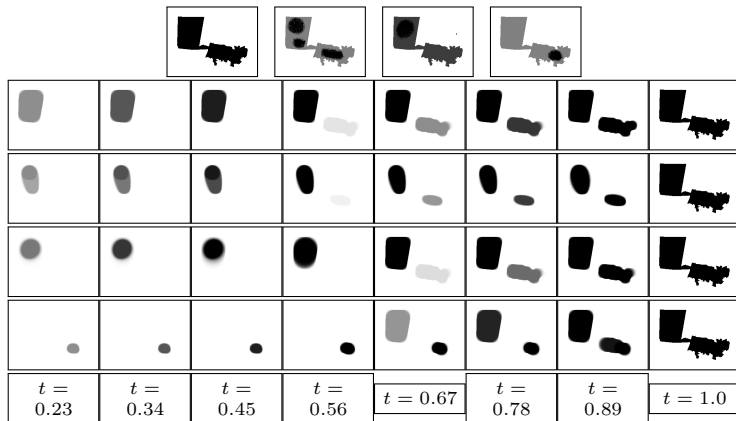
$$I_{\Omega, \rho}^{\text{TV}}(t) := \begin{cases} \min_{f \in L^1(\mathbb{R}^n)} & \text{TV}[f] \\ \text{subject to} & \int_{\mathbb{R}^n} f(x) d\rho(x) = t \\ & 0 \leq f \leq \mathbb{1}_{\Omega}. \end{cases}$$

### Definition (Discrete )

$$I_{V_0}^{\text{TV}}(t) := \begin{cases} \min_{f \in \mathbb{R}^V} & \sum_{(v,w) \in E} |f(v) - f(w)| \\ \text{subject to} & \sum_{v \in V_0} f(v) = t|V_0| \\ & f(v) = 0 \quad \forall v \notin V_0 \\ & f(v) \in [0, 1] \quad \forall v \in V. \end{cases}$$



# Synthetic Cities



# Discrete Animation



# Multiscale Wrapup

## Open questions:

- How much can we learn about the full profile from the relaxed version?
- Can the medial axis be computed from the TV-Profile?
- What is the right way to compare regions of the profiles?
- Mean curvature flow?
- Spectral Versions (i.e. how to make the heat kernel useful)
- Random walk versions (absorbing boundary nodes)
- Distance based measures
- ...



# Which ensembles?



# Ensembles in Practice

- The appeal of an ensemble method is that you get to control the input data very carefully
- However, just because a particular type of data was not considered doesn't mean that the outcome is necessarily "fair"
- There are lots of "random" methods for constructing districting plans
- Most don't offer any control over the distribution that you are drawing from





# MCMC on partitions

- 1 Set constraints to define the state space
- 2 Start with an initial plan
- 3 Propose a modification
- 4 Verify that the modification satisfies the constraints
- 5 Accept using MH criterion
- 6 Repeat



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Why?



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## Why?

- Control over sampling distribution and input data
- Possibility of local sampling
- Ergodic Theorem



# MCMC on partitions

- 1 Set constraints to define the state space
- 2 Start with an initial plan
- 3 **Propose a modification**
- 4 Verify that the modification satisfies the constraints
- 5 Accept using MH criterion
- 6 Repeat

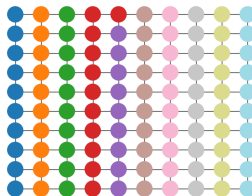
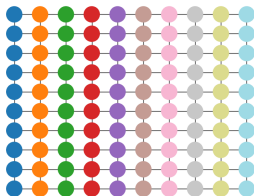
## Why?

- Control over sampling distribution and input data
- Possibility of local sampling
- Ergodic Theorem



# Single Edge Flip Proposals

- 1 Uniformly choose a cut edge
- 2 Change one of the incident node assignments to the other



- Mattingly et al. (2017, 2018) Court cases in NC and WI.
- Pegden et al. Assessing significance in a Markov chain without mixing, PNAS, (2017). Court case in PA and NC.



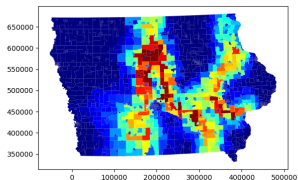
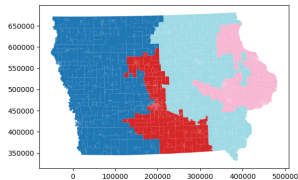
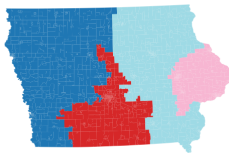
# Single Edge Ensembles



# PA Single Edge Flip

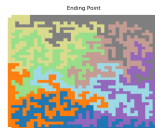
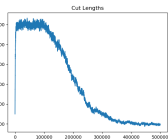
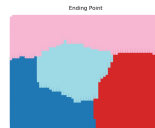
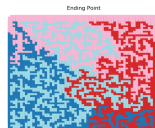
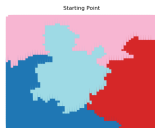
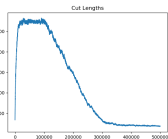


# Constraints

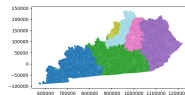
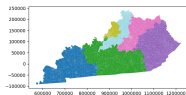
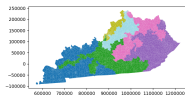
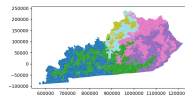
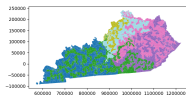




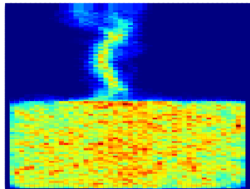
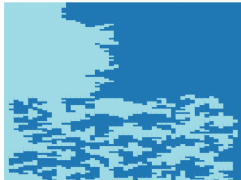
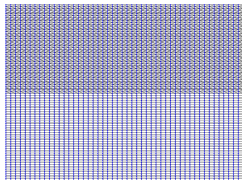
# Annealing



# KY Annealing



# Sensitivity to Topology



# Uniform Sampling of Contiguous Partitions

Theorem (D., Najt, and Solomon 2019)

*Suppose that  $\mathcal{C}$  is the class of connected planar graphs and  $k \geq 2$ . If there is a polynomial time algorithm to sample uniformly from:*

- the connected  $k$ -partitions of graphs in  $\mathcal{C}$ ,*
- or the connected, 0-balanced  $k$ -partitions of graphs in  $\mathcal{C}$ .*

*then  $RP = NP$ .*



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*then  $RP = NP$ .*

## Remark

*This theorem has various interesting extensions, including:*

- Connectivity constraints on  $\mathcal{C}$*
- Degree bounds*
- Distributions proportional to cut length*
- TV distribution approximation*



## Stronger Version Example

Theorem (D., Najt, and Solomon 2019)

*Let  $\mathcal{C}$  be the class of cubic, planar 3-connected graphs, with face degree bounded by  $C = 60$ . Let  $\mu_x(G)$  be the probability measure on  $P_k(G)$  such that a partition  $P$  is drawn with probability proportional to  $x^{\text{cut}(P)}$ . Fix some  $x > 1/\sqrt{2}$ ,  $\epsilon > 0$  and  $\alpha < 1$ . Suppose that there was an algorithm to sample from  $P_2^\epsilon(G)$  according to a distribution  $\nu(G)$ , such that  $\|\nu_G - \mu_x(G)\|_{TV} < \alpha$ , which runs polynomial time on all  $G \in \mathcal{C}$ . Then  $RP = NP$ .*



# Proof Outline Sketch

Following technique of Jerrum, Valiant, and Vazirani<sup>1</sup>.

- ① Show that uniformly sampling simple cycles is hard on some class  $\mathcal{C}$ 
  - ① Choose a gadget that respects  $\mathcal{C}$  and allows us to concentrate probability on long cycles
  - ② Count the proportion of cycles as a function of length
  - ③ Reduce to Hamiltonian path on the graph class
- ② Show closure of class under planar dual
- ③ Identify partitions with cut edges  $\mapsto$  simple cycles (via planar duality)
- ④ Conclude that sampling partitions would allow you to sample from cycles which would allow you to find Hamiltonian cycles



<sup>1</sup> M. Jerrum, L. Valiant, and V. Vazirani, Random generation of combinatorial structures from a uniform distribution, Theoretical Computer Science, 43 (1986), 169–188.



## Proof Sketch – Planar 2-Partitions

Still following technique of Jerrum, Valiant, and Vazirani.

- ① Let  $\mathcal{C}$  be the planar connected graphs
  - ① Replace the edges with chains of dipoles
  - ② Hamiltonian hardness for  $\mathcal{C}$  given by <sup>1</sup>
- ②  $\mathcal{C}$  closed under planar duals
- ③ Identify partitions with cut edges (via planar duality)



<sup>1</sup> M. Garey, D. Johnson, and R. Tarjan, The Planar Hamiltonian Circuit Problem is NP-Complete, SIAM Journal on Computing, 5, (1976), 704–714.





## Slowly Mixing Graph Families

Theorem (D., Najt, and Solomon 2019)

*Let  $G$  be any connected graph. Then let  $G^{(d)}$  be the graph obtained by replacing each edge by a doubled  $d$ -star. Then the flip walk on partitions of family of graphs  $G_{d \geq 1}^{(d)}$  is slowly mixing, in the sense the Cheeger constant is decaying exponentially fast. More specifically:*

$$H(\text{Partition Graph}(G^{(d)})) = O(2^{-d})$$



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$$H(\text{Partition Graph}(G^{(d)})) = O(2^{-d})$$

## Remark

*There are many similar constructions that give rise to equivalent mixing results.*



# Slow Mixing Example



# Slow Mixing Example

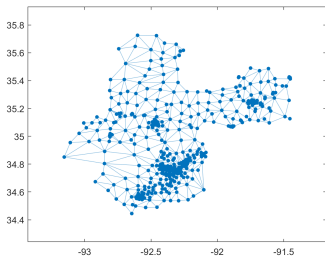


## MORAL:

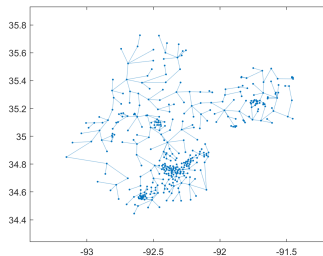
Computational Redistricting is  
NOT a solved problem!



# Tree based methods



(a) District



(b) Spanning Tree

# Tree Seeds Ensemble



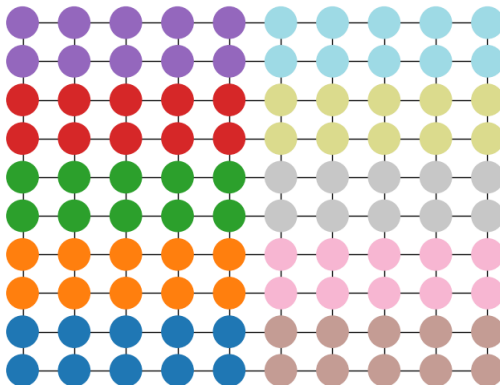
## Recombination Steps

- ① At each step, select two adjacent **districts**
- ② Merge the subunits of those two districts
- ③ Draw a spanning tree for the new super-district
- ④ Delete an edge leaving two population balanced districts
- ⑤ Repeat
- ⑥ (Optional) Mix with single edge flips

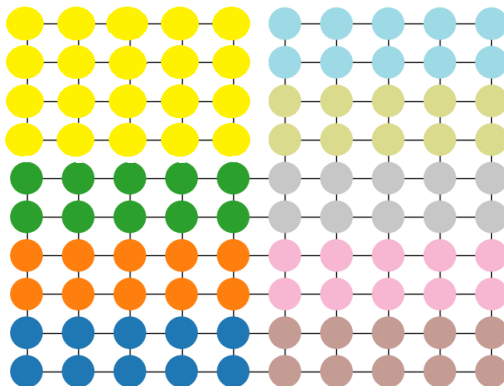




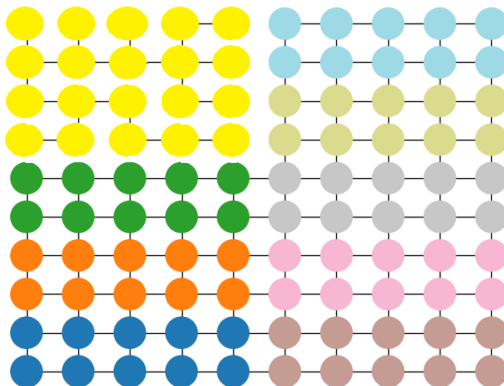
## Recombination Step Example



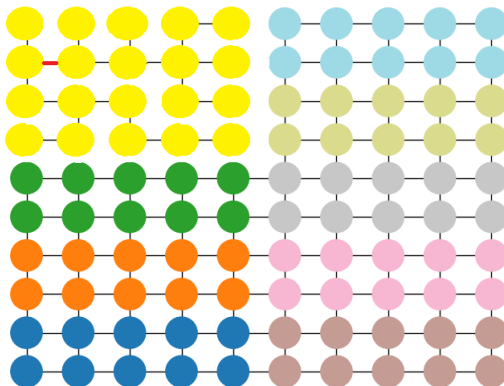
## Recombination Step Example



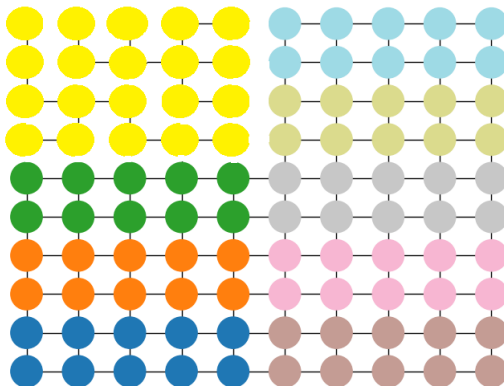
## Recombination Step Example



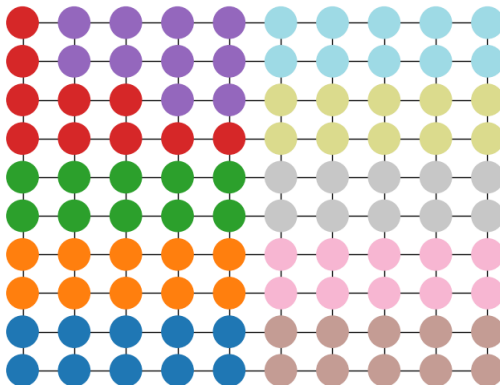
## Recombination Step Example



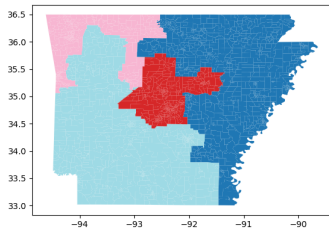
## Recombination Step Example



## Recombination Step Example



# AR Ensembles

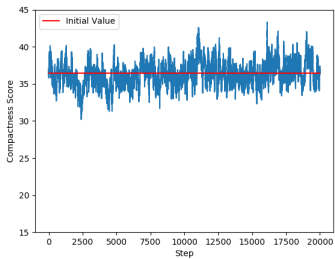


# PA Recombination Steps

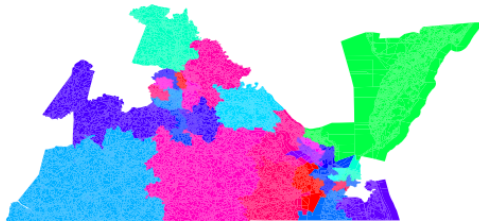




# Recombination Distribution

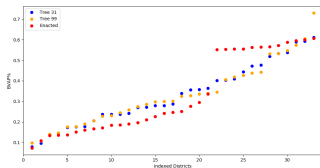


(a) Compactness

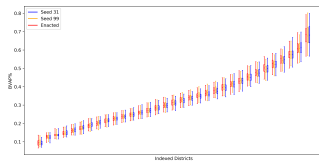


(b) 5702 cut edges

# Recombination Mixing



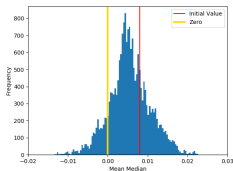
(a) Initial



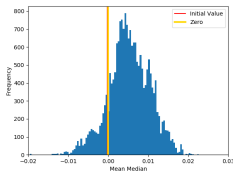
(b) 20,000 Recombination Steps



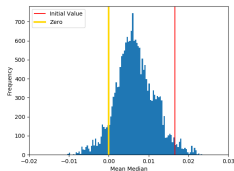
# Recombination Mean–Median



(a) ReCom Seed31



(b) ReCom Seed99



(c) ReCom Enacted



# General Tree Proposals

- ① Form the induced subgraph on the complement of the cut edges
- ② Add some subset of the cut edges
- ③ Uniformly select a maximal spanning forest
- ④ Apply a Markov chain on trees
- ⑤ Partition the spanning forest into  $k$  population balanced pieces



## Special Cases

- Uniform Trees: Add all cut edges
- $k$ -edges: Uniformly add  $k$  cut edges
- Recombination: Add all cut edges between one pair of districts.
- Super-Recombination: Take a maximal matching on the dual graph to the districts and add all cut edges between matched districts.
- Bounce Walk: Add a single cut edge between enough pairs of districts to make a tree in the dual graph of districts.



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### Question

*What are the steady state distributions (and mixing times) of these walks?*



# Tree Partitioning Questions

- Characterizing the distribution on partitions defined by cutting trees!
- How bad is the best cut?
- Criteria for determining when a tree is  $\varepsilon$  cuttable?
- Criteria for determining when all spanning trees of a graph are  $\varepsilon$  cuttable?
- How hard is it to find the minimum  $\varepsilon$  for which a cut exists?
- As a function of  $\varepsilon$  what proportion of spanning trees are cuttable?
- As a function of  $\varepsilon$  what proportion of edges in a given tree are cuttable?
- What is the fastest way to sample uniformly from  $k - 1$  balanced cut edges?



## MORAL:

Computational Redistricting is  
NOT a solved problem!





The End

Thanks!

