Èdouard Lucas:

The theory of recurrent sequences is an inexhaustible mine which contains all the properties of numbers; by calculating the successive terms of such sequences, decomposing them into their prime factors and seeking out by experimentation the laws of appearance and reproduction of the prime numbers, one can advance in a systematic manner the study of the properties of numbers and their application to all branches of mathematics.



Counting Combinatorial Rearrangements: What are the right questions?

Daryl DeFord

Dartmouth College

September 18, 2013



Abstract

In this talk I will introduce a simple combinatorial model based on seating rearrangements in a classroom. The model has a natural extension to arbitrary graphs and connects with various traditional tiling problems. Many of the solutions to these problems can be expressed in terms of simple and well-known recurrent sequences like the Fibonacci numbers and the Pell numbers. In addition to presenting simple problems and solutions, I will also prove several general theorems about this model and discuss some interesting consequences of attempting to "fix" one of these theorems.



Outline

- Introduction
- Rearrangements on Graphs
 Rules
 Cycle Covers
 Permanents
 Examples (Cycle Covers)
 Examples (Graph Families)
 Easy Theorems
 Wheel Graphs +
 A brief foray into the applied
- Rearrangements on Chessboards Preliminaries (pretty pictures) Return of the Fibonacci Huge numbers ahead An impractical theorem
- Recurrence Orders
 The bad news...
- **5** Hope for the future More General Cases
- 6 References

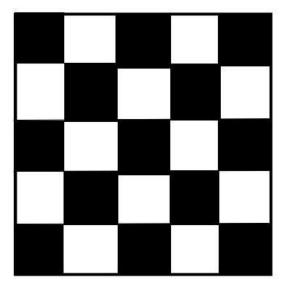


Original Problem (Honsberger)

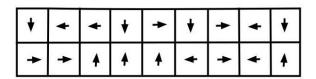
A classroom has 5 rows of 5 desks per row. The teacher requests each pupil to change his seat by going either to the seat in front, the one behind, the one to his left, or the one to his right (of course not all these options are possible to all students). Determine whether or not his directive can be carried out.

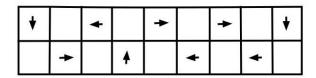


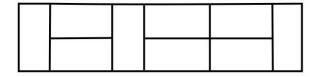
Original Problem



Seating Rearrangements and Tilings







In order to count rearrangements on arbitrary graphs, we constructed the following problem statement:

Problem

Given a graph, place a marker on each vertex. We want to count the number of legitimate "rearrangements" of these markers subject to the following rules:

- Each marker must move to an adjacent vertex
- After all of the markers have moved, each vertex must contain exactly one marker.

To permit markers to either remain on their vertex or move to an adjacent vertex, add a self-loop to each vertex (forming a pseudograp.



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Digraphs

With this problem statement we can describe these rearrangements mathematically as follows:

- Given a graph G, construct \overrightarrow{G} , by replacing each edge in G with a two directed edges (one in each orientation).
- \bullet Then, each rearrangement on G corresponds to a cycle cover of \overleftrightarrow{G} .



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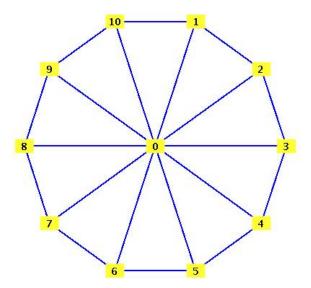
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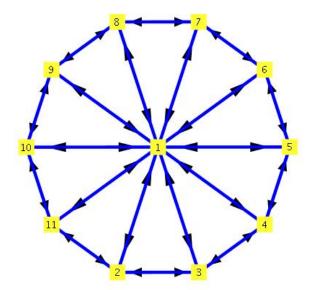


 $\overset{\widehat{}}{G}$ and $\overset{\longleftarrow}{G}$





G and \overleftrightarrow{G}





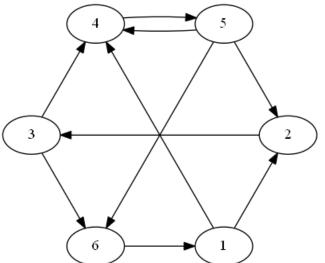
Definition

Given a digraph D=(V,E), a cycle cover of D is a subset $C\subseteq E$, such that the induced digraph of C contains each vertex in V, and each vertex in the induced subgraph lies on exactly one cycle [7].



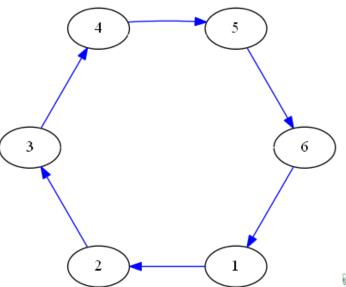
Permutation Parity

A cycle cover (permutation) is odd if it contains an odd number of even cycles.

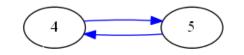


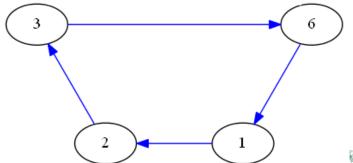


Odd Cycle Cover



Even Cycle Cover





$$per(M) = \sum_{\pi \in S_n} \prod_{i=1}^n M_{i,\pi(i)},$$

- Determinant Similarities
- Differences
- Computational Complexity
- Counting with Permanents



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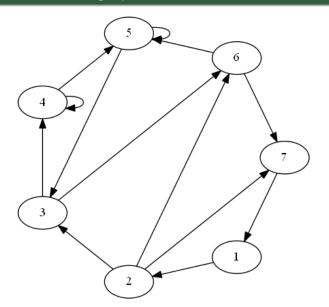


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Labeled Digraph





Adjacency Matrix

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$per(A) = 2$$



Adjacency Matrix

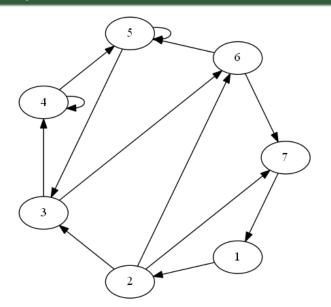
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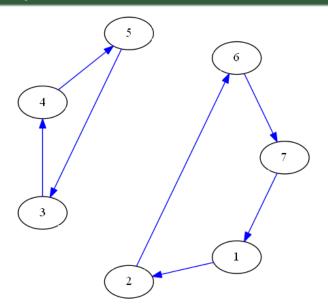
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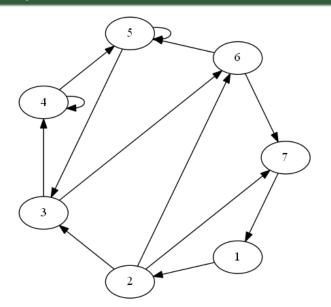
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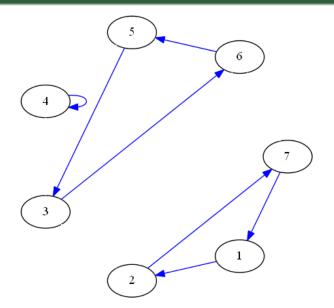






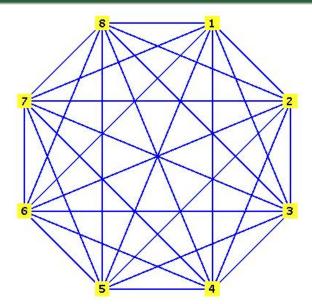








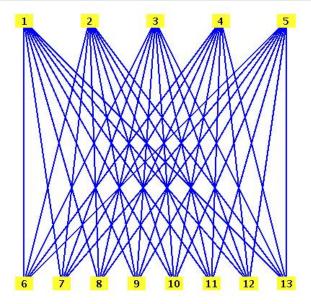
 K_8





GSS Talk Fall 2013
Rearrangements on Graphs
Examples (Cycle Covers)







Simple Graphs

Graph	Rearrangements	With Stays
P_n	0, 1, 0, 1, 0	f_n
C_n	0, 1, 2, 4, 2, 4	$l_n + 2 = f_n + f_{n-2} + 2$
K_n	D(n)	n!
$K_{n,n}$	$(n!)^2$	$\sum_{i=0}^{n} \left[(n)_i \right]^2$
$K_{m,n}$ with $m \leq n$	0	$\sum_{i=0}^{m} (m)_i(n)_i$



Bipartite Graphs Theorem

Theorem

Let $G=(\{U,V\},E)$ be a bipartite graph. The number of rearrangements on G is equal to the square of the number of perfect matchings on G.



Bipartite Graphs Proof

Proof.

Sketch.

Construct a bijection between pairs of perfect matchings on G and cycle covers on G. WLOG select two perfect matchings of G, m_1 and m_2 . For each edge, (u_1,v_1) in m_1 place a directed edge in the cycle cover from u_1 to v_1 . Similarly, for each edge, (u_2,v_2) in m_2 place a directed edge in the cycle cover from v_2 to v_2 . Since v_1 and v_2 are perfect matchings, by construction, each vertex in the cycle cover has in–degree and out–degree equal to v_2 .

Given a cycle cover C on \overrightarrow{G} construct two perfect matchings on G by taking the directed edges from vertices in U to vertices in V separately from the directed edges from V to U. Each of these sets of (undirected) edges corresponds to a perfect matching by the definition of cycle cover and the bijection is complete.



$P_2 \times G$ Theorem

Theorem

The number of rearrangements on a bipartite graph G, when the markers on G are permitted to remain on their vertices, is equal to the number of perfect matchings on $P_2 \times G$.



$P_2 \times G$ Proof

Proof.

Sketch.

Observe that $P_2 \times G$ is equivalent to two identical copies of G where each vertex is connected to its copy by a single edge (P_2) . To construct a bijection between these two sets of objects, associate a self-loop in a cycle cover with an edge between a vertex and its copy in the perfect matching. Since the graph is bipartite, the remaining cycles in the cycle cover can be decomposed into matching edges from U to V and from V to U as in the previous theorem.



Seating Rearrangements with Stays

- Applying the previous theorem to the original problem of seating rearrangements gives that the number of rearrangements in a $m \times n$ classroom, where the students are allowed to remain in place or move is equal to the number of perfect matchings in $P_2 \times P_m \times P_n$. These matchings are equivalent to tiling a $2 \times m \times n$ rectangular prism with $1 \times 1 \times 2$ tiles.
- A more direct proof of this equivalence can be given by identifying each possible move type; up/down, left/right, or stay, with a particular tile orientation in space.

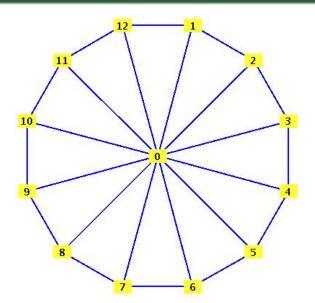


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Wheel Graph Order 12





- n odd
- Uniquely determined by the center vertex: $n\cdot n=n^2$
- \bullet n even
- Must create an odd cycle: $\frac{n}{2} \cdot 2n = n^2$

	3	4	5	6	7		9	10	n
No stays	9	16	25		49	64	81	100	
With stays	24	53	108	212	402	745	1356	2435	



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No stays	9	16	25	36	49	64	81	100	n^2
With stays	24	53	108	212	402	745	1356	2435	\Rightarrow

The number of rearrangements on a wheel graph when the markers are permitted to either move or stay is equal to:

$$nf_{n+2} + f_n + f_{n-2} - 2n + 2$$

- if it remains in place,
- $C_n = f_n + f_{n-2} + 2$
- if it moves to one of the n other vertices,
- $nf_{n-1} + 2n \sum_{k=2}^{n} f_{n-k} = nf_{n-1} + 2nf_n 2n$

$$f_n + f_{n-2} + 2 + nf_{n-1} + 2nf_n - 2n = n((f_{n-1} + f_n) + f_n) + f_n + f_{n-2} - 2n +$$

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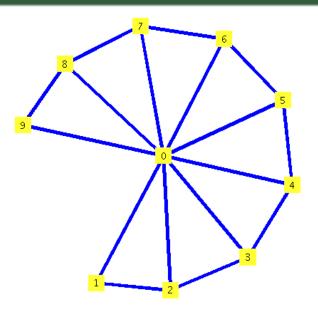
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$$= n(f_{n+1} + f_n) + f_n + f_{n-2} - 2n + 2$$

$$= nf_{n+2} + f_n + f_{n-2} - 2n + 2.$$



Flat Wheel of Order 9



Counting on Flat Wheels

- Without Stays: $\frac{n^2+2n+1}{4}$ (odd) or $\frac{n^2+2n}{4}$ (even).
- With Stays:

$$f_n + \sum_{l=1}^{n} \left[\left(f_{n-l} \sum_{j=0}^{l-2} [f_j] \right) + \left(f_{l-1} f_{n-l} \right) + \left(f_{l-1} \sum_{k=0}^{n-l-1} [f_k] \right) \right]$$



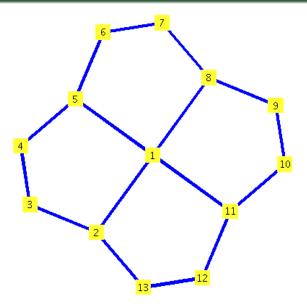
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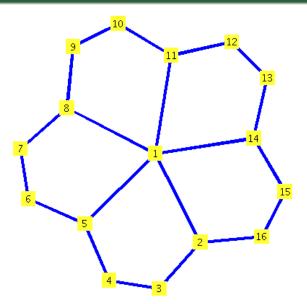
$$f_n + \sum_{l=1}^{n} \left[\left(f_{n-l} \sum_{j=0}^{l-2} [f_j] \right) + (f_{l-1} f_{n-l}) + \left(f_{l-1} \sum_{k=0}^{n-l-1} [f_k] \right) \right]$$



k-Wheel Graphs (Flower Graphs?)



k-Wheel Graphs (Flower Graphs?)



Counting on k—Wheel Graphs (Flower Graphs?)

- Without Stays: 0 (n and k are even) n^2 otherwise.
- With Stays:

$$l_{(k-2)n} + 2 + n f_{(k-2)n-1} + 2n f_{(n-2)k-(n-1)} + 2n \sum_{i=1}^{k-2} f_{(k-2)(n-i-1)-1}$$



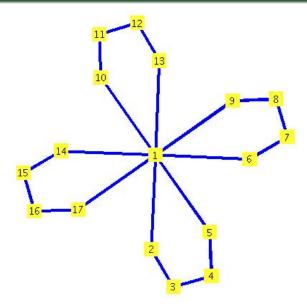
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Dutch Windmill D_4^5





Counting on Dutch Windmills

- Without Stays: 0 (even) or 2m (odd)
- With Stays:

$$(f_{n-1})^m + 2m(f_{n-2} + 1)(f_{n-1})^{m-1}$$



Counting on Dutch Windmills

- Without Stays: 0 (even) or 2m (odd)
- With Stays:

$$(f_{n-1})^m + 2m(f_{n-2} + 1)(f_{n-1})^{m-1}$$



Hosoya Index of Trees

The Hosoya index is a topological invariant from computational chemistry that is equivalent to the total number of matchings on a graph. This index correlates with many physical properties of organic compounds, especially the alkanes (saturated hydrocarbons).

Theorem

Let T be an n-tree with adjacency matrix A(T). Then the Hosoya index of T is equal to $det(A(T)i+I_n)$



Hosoya Index Proof

Proof.

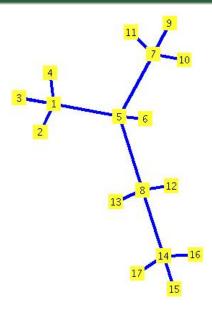
Sketch.

Since T is a tree there is a direct bijection between a given cycle cover on \overrightarrow{T} with a self loop added to each vertex and a matching on T. Furthermore, $per(A(T)+I_n)$ counts these cycle covers. To see that $det(A(T)i+I_n)=per(A(T)+I_n)$ notice that each 2-cycle and thus each even cycle counted in $det(A(T)i+I_n)$ has a weight of $i^2=-1$, and thus that the weight of each cycle cover is equal to the sign of the permutation. \square



A brief foray into the applied

Isopentane Example



A(T)

= 584

$A(T)i + I_{17}$

= 584

Dartmouth

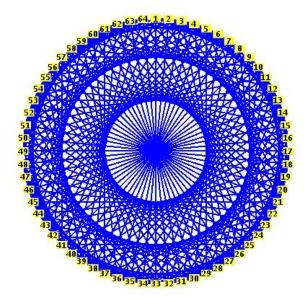
Game Pieces

In order to generate well–motivated families of graphs, we turned to the following problem statement:

Consider an $m \times n$ chessboard along with mn copies of a particular game piece, one on each square. In how many ways can the pieces be rearranged if they must each make one legal move? Or at most one legal move? Can these rearrangement problems be solved with recurrence techniques?

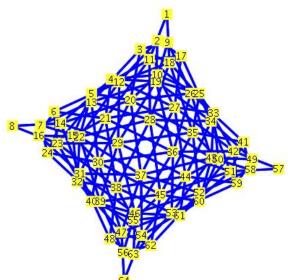


8×8 Rook Graph



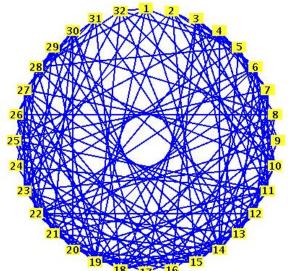
GSS Talk Fall 2013 Rearrangements on Chessboards Preliminaries (pretty pictures)

8×8 Knight Graph



Dartmouth

8×8 Bishop Graph



Dartmouth

Fibonacci Relations

- $1 \times n$ Kings
- *F*_n
- $2 \times n$ Bishops
- \bullet F_n^2
- $2 \times 2n$ Knights
- $\bullet \ F_n^4 \ {\rm or} \ F_n^2 * F_{n-1}^2 \\$



- $1 \times n$ Kings
- F_n
- $2 \times n$ Bishops
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- $1 \times n$ Kings
- F_n
- $2 \times n$ Bishops
- \bullet F_n^2
- $2 \times 2n$ Knights
- $\bullet \ F_n^4 \ {\rm or} \ F_n^2 * F_{n-1}^2 \\$



- $1 \times n$ Kings
- F_n
- ullet 2 imes n Bishops
- F_n^2
- $2 \times 2n$ Knights
- $\bullet \ F_n^4 \ {\rm or} \ F_n^2 * F_{n-1}^2 \\$

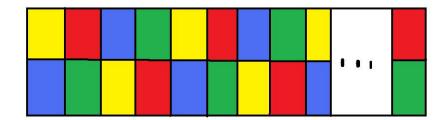
- $1 \times n$ Kings
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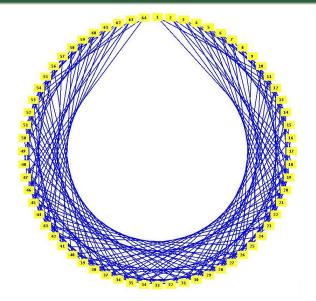
GSS Talk Fall 2013 Rearrangements on Chessboards Huge numbers ahead

$2 \times 2n$ Knights





Knight Rearrangements





- 8×8 Knight's Tour
- 26,534,728,821,064 [4]
- ullet 8 imes 8 Knight Rearrangements
- 8,121,130,233,753,702,400



- 8×8 Knight's Tour
- 26,534,728,821,064 [4]
- 8 × 8 Knight Rearrangements
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- 8×8 Knight's Tour
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- 8×8 Knight's Tour
- 26,534,728,821,064 [4]
- 8×8 Knight Rearrangements
- **.** 8,121,130,233,753,702,400



LHCCRR Theorem

Theorem

On any rectangular $m \times n$ board B with m fixed, and a marker on each square, where the set of permissible movements has a maximum horizontal displacement, the number of rearrangements on B satisfies a linear, homogeneous, constant—coefficient recurrence relation as n varies.



LHCCRR Proof

Proof.

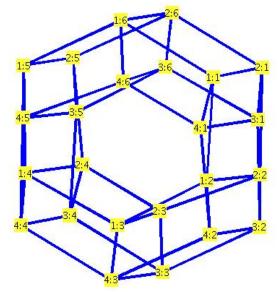
Sketch.

Let d represent the maximum permissible horizontal displacement. Consider any set of marker movements that completes the first column. After all of the markers in the first column been moved, and other markers have been moved in to the first column to fill the remaining empty squares, any square in the initial $m \times d$ sub-rectangle may be in one of four states. Let S be the collection of all 4^{md} possible states of the initial $m \times d$ sub-rectangle, and let S^* represent the corresponding sequences counting the number of rearrangements of a board of length n beginning with each state as n varies. Finally, let a_n denote the sequence that describes the number of rearrangements on B as n varies.

For any board beginning with an element of S, consider all of possible sets of movements that "complete" the initial column. The resulting state is also in S, and has length n-k for some k in [1,d]. Hence, the corresponding sequence can be expressed as a sum of elements in S^* with subscripts bounded below by n-d. This system of recurrences can be expressed as a linear, homogeneous, constant–coefficient recurrence relation in a_n either through the Cayley–Hamilton Theorem or by the successor operator matrix [4].

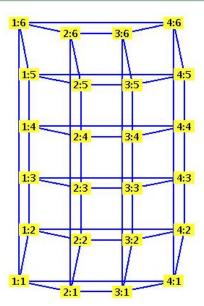


Other Surfaces





Other Surfaces





- Kings (2, 3, 10, 27, 53, 100+)
- Knights (8, 27, lots)
- Verifying minimality :(



- Kings (2, 3, 10, 27, 53, 100+)
- Knights (8, 27, lots)
- Verifying minimality :(



- Kings (2, 3, 10, 27, 53, 100+)
- Knights (8, 27, lots)
- Verifying minimality :(



- Kings (2, 3, 10, 27, 53, 100+)
- Knights (8, 27, lots)
- Verifying minimality :(



Can we bound the order?

- .YES!!
- Can we do it easily? Sometimes.
- Does it impact the asymptotic analysis? Not even close



Example: Preliminaries

Lemma

The number of distinct Fibonacci tilings $\mathcal{S}(f_n)$ of order n up to symmetry is equal to $\frac{1}{2}(f_{2k}+f_{k+1})$ when n=2k and $\frac{1}{2}(f_{2k+1}+f_k)$ when n=2k+1.

Lemma

The number of endings with no consecutive 1×1 tiles is equal to P_{n+2} .

Lemma

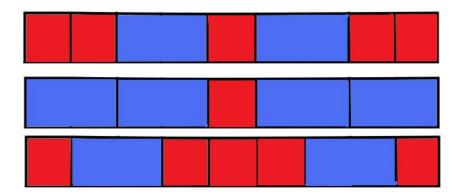
The number of distinct Padovan tilings $\mathcal{S}(P_n)$ of order n up to symmetry is equal to $\frac{1}{2}(P_{2k}+P_{k+2})$ when n=2k and $\frac{1}{2}(P_{2k+1}+P_{k-1})$ when n=2k+1.

Lemma Proofs

- The second lemma follows from a standard bijective double counting argument.
- The key to the first and third lemma is to realize that since every reflection of a particular tiling is another tiling we are over-counting by half, modulo the self-symmetric tilings. Adding these back in and a little parity bookkeeping completes the results.



Self-Symmetric Fibonacci Tilings



Example: Conclusion

Theorem

The minimal order of the recurrence relation for the number of tilings of a $k \times n$ rectangle with 1×1 and 2×2 squares is at most $\mathcal{S}(f_n) - \mathcal{S}(P_n) + 1$.

Table: Toy Example

k	1	2	3	4	5	6	7	8	9	10
Upper Bound	1	4	9	25	64	169	441	1,156	3,025	7,921
$\mathcal{O}(T_n)$	1	2	2	3	4	6	8	14	19	32



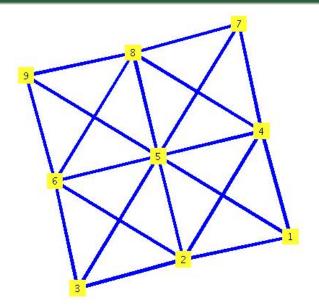
Permanents and Tilings?

Theorem

There does not exist a simple graph whose (maximal) matchings can be placed into a one-to-one correspondence with the number of tilings of an $m \times n$ rectangle with 1×1 and 2×2 squares, when $m \ge 4$ and $n \ge 4$.



The Independent Set Graph





Proof Sketch

Proof.

Sketch. For any $m \times n$ rectangle construct a graph whose vertices represent the (m-1)(n-1) possible center positions of a 2×2 square tile. Place an edge between any two vertices if a 2×2 square placed on the first vertex would intersect a 2×2 placed on the second. Call this graph $H_{m,n}$.

Notice, that an independent set (Maximal independent set if we place a self-loop on each vertex) of this graph is equivalent to a legitimate tiling of the rectangle. This gives us a one-to-one correspondence between tilings and independent sets. Thus, (maximal) matchings on the graph $G_{m,n}$ whose line graph is $H_{m,n}$ are in a similar correspondence to these tilings.

Unfortunately, there is no such graph $G_{m,n}$, by Beineke's forbidden minors theorem for line graphs [7]. Similarly, there is not directed or pseudo–graph with this property, although there is a family of hypergraphs, this does not help us count matchings.

General $1 \times n$ Case

In the preceding example, knowing two $1 \times n$ cases allowed us to reduce the upper bound from 1,156 to 10 without a significant amount of extra effort. Here we give an expression for all $1 \times n$ rectangular tilings, where the tiles in T are allowed to have multiple colors.



Notation

We begin by defining some convenient notation. Since we are covering boards of dimension $\{1\times n|n\in\mathbb{N}\}$. Let $T=(a_1,a_2,a_3,\ldots)$, where a_m is the number of distinct colors of m-dominoes available. Then, T_n is the number of ways to tile a $1\times n$ rectangle with colored dominoes in T. Connecting to our example, the Fibonacci numbers would be $T=(1,1,0,0,0,\ldots)$ while the Padovan numbers have $T=(0,1,1,0,0,0,\ldots)$.



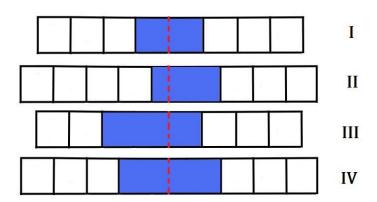
Coefficients

We also need to define a set of coefficients based on the parity of the domino length and the rectangle length.

$$c_{j} = \begin{cases} T_{n-\frac{j}{2}} & j \equiv n \equiv 0 \pmod{2} \\ 0 & j \equiv 0, n \equiv 1 \pmod{2} \\ 0 & j \equiv 1, n \equiv 0 \pmod{2} \\ T_{n-\frac{j-1}{2}} & j \equiv n \equiv 1 \pmod{2} \end{cases}$$
 (1)



Coefficient Motivation





Complete Characterization of $1 \times n$ Tilings

Theorem

Let T be some set of colored k-dominoes, then the number of distinct tilings up to symmetry of a $1 \times n$ rectangle is equal to

$$\frac{1}{2}\left(T_n + \sum_{i=1}^{\infty} a_i c_i + \frac{T_{\frac{n}{2}}}{2} + \frac{(-1)^n T_{\frac{n}{2}}}{2}\right) \tag{2}$$

Lucas Tilings

It is natural to wonder if these methods could be adapted to give a similar formula for generalized Lucas tilings on a bracelet or necklace. Unfortunately, the complexity of the underlying symmetric group makes this a much more complex problem. Even in the simplest case we have:

Theorem

The number of distinct Lucas tilings of a $1 \times n$ bracelet up to symmetry is:

$$\sum_{i=0}^{\lceil \frac{n-1}{2} \rceil} \left[\frac{1}{n-i} \sum_{d \mid (i,n-1)} \varphi(d) \binom{\frac{n-i}{d}}{\frac{i}{d}} \right]$$
 (3)



Lucas Tilings

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 (3)

Example 1

Example

The number of distinct rearrangements on a $2 \times n$ rectangle is

$$\frac{1}{4} \left(f_{2k}^2 + f_{2k} + 2f_k^2 + 2f_{k-1}^2 \right) \tag{4}$$

when n=2k and

$$\frac{1}{4} \left(f_{2k+1}^2 + f_{2k+1} + 2f_k^2 \right) \tag{5}$$

when n = 2k + 1



Example 2

Example

The number of distinct tilings of a $3\times n$ rectangle with squares of size 1×1 and 2×2 is

$$\frac{1}{3}\left(2^{2n-1}+2^n+2^{n-1}+\frac{1+(-1)^n}{2}\right) \tag{6}$$

when n is odd, and

$$\frac{1}{3}\left(2^{2n}+2^n+2^{n-1}+1\right) \tag{7}$$

when n is even.



Rectangle Symmetry

- $2 \times n$: Equivalent to the Fibonacci Tilings
- $3 \times n$: $\frac{1}{3} \left(2^{2n-1} + 2^n + 2^{n-1} + 1 \right)$ when n is even and $\frac{1}{3} \left(2^{2n} + 2^n + \frac{1 + (-1)^n}{2} \right)$ when n is odd.
- $4 \times n$ and $5 \times n$: Hideous, long generalized power sums with a mix of Fibonacci terms and eigenvalues of the original tiling recurrences
- Example $5 \times n$ odd:

$$\frac{1}{4} \left(2 \left(\frac{\varphi^n + \overline{\varphi}^n}{\sqrt{5}}\right) \left(\left(\frac{\varphi^n + \overline{\varphi}^n}{\sqrt{5}}\right)^2\right)^n + \left(c_1\alpha + c_2\beta + c_3\gamma + c_4\delta\right) \left(\left(c_1\alpha + c_2\beta + c_3\gamma + c_4\delta\right)^2\right)^n \cdots\right)$$



GSS Talk Fall 2013 Hope for the future More General Cases

$$\alpha =$$

$$x = \frac{1}{2} - \frac{1}{2\sqrt{\frac{\frac{3}{17 - \frac{25}{\sqrt[3]{1333 - 108\sqrt{151}}}} - \sqrt[3]{1333 - 108\sqrt{151}}}}}$$

$$\frac{1}{2}\sqrt{\frac{34}{3} + \frac{25}{3\sqrt[3]{1333 - 108\sqrt{151}}} + \frac{1}{3}\sqrt[3]{1333 - 108\sqrt{151}} -$$

$$12\sqrt{\frac{3}{17 - \frac{25}{\sqrt[3]{1333 - 108\sqrt{151}}} - \sqrt[3]{1333 - 108\sqrt{151}}}}$$



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That's all...

Thank You.

