Èdouard Lucas:

The theory of recurrent sequences is an inexhaustible mine which contains all the properties of numbers; by calculating the successive terms of such sequences, decomposing them into their prime factors and seeking out by experimentation the laws of appearance and reproduction of the prime numbers, one can advance in a systematic manner the study of the properties of numbers and their application to all branches of mathematics.



Computational Approaches for Political Redistricting Part IV: Specific State Analyses

Daryl DeFord

CSAIL - GDP Group

IAP 2019 Massachusetts Institute of Technology January 29, 2019



MORAL:

Computational Redistricting is NOT a solved problem!



Advertisements

- **1** VRDI 6 week summer program for graduate and undergraduate students (Deadline 2/1)
 - Application: tinyurl.com/apply-vrdi-2
 - Information: gerrydata.org
- Contact:
 - Email: ddeford at mit.edu
 - Website: mggg.org
 - Slack channel: GerryChat.slack.com
- **3** Research Projects
 - Math Problems: tinyurl.com/gerryprojects
 - Data Problems: tinyurl.com/GerryChainProjects
- 4 IAP Info:
 - Resources: people.csail.mit.edu/ddeford/CAPR
 - Today 12-1 In-depth state examples



Outline

- Introduction
- Preliminaries
- 3 Pennsylvania: Partisan Gerrymandering
- 4 Virginia: Racial Gerrymandering
- **5** Wisconsin: Defining Competitiveness
- 6 Pennsylvania: Preserving Municipalities



Compactness Measures







Polsby-Popper

Theorem (Isoperimetry)

Let Ω be a bounded open subset of \mathbb{R}^2 with finite perimeter. Then:

$$4\pi A \leq P^2$$

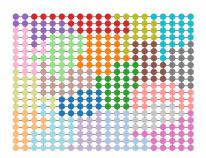
Definition (Polsby-Popper)

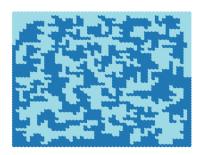
The Polsby-Popper score of a district is:

$$PP(\Omega) = \frac{4\pi A}{P^2}$$



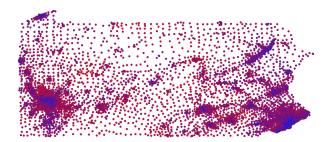
(Discrete) Total Perimeter







Partisanship Measures





Seats-Votes Curves

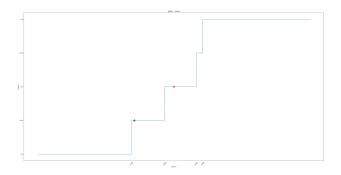


Figure: Dem %: [.249,.389,.273,.51]



Seats-Votes Curves

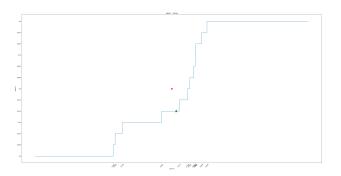


Figure: Dem %: [.698,.458,.724,.43,.435,.428,.553,.489,.407,.387,.731,.45]



Seats-Votes Curves

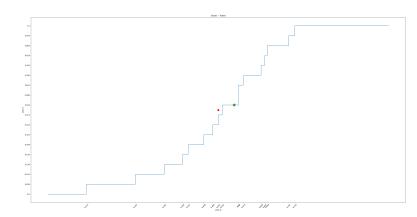


Figure: Dem %:



[.487,.79,.934,.635,.652,.589,.535,.546,.403,.487,.41,.34,.705,.421,.322,.473,.563]

Partisan Metrics

Definition (Mean-Median)

Horizontal distance between (.5, .5) and the seats votes curve).

Alternatively, difference between the median and mean of the votes vector.

Definition (Partisan Bias)

Vertical distance between (.5,.5) and the seats votes curve

Definition (Partisan Asymmetry)

Integral of the difference between the seats votes curve and its reflection around (.5,.5).

Definition (Efficiency Gap)

$$\frac{W^A - W^B}{T}$$

With equal turnout: twice the seat margin minus the vote margin.

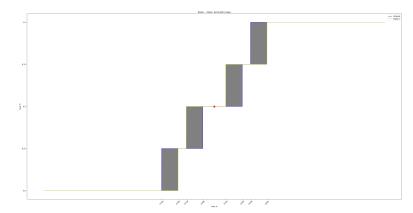


Partisan Examples

- Utah
 - Mean–Median: -.024
 - Efficiency Gap: -.039
 - Asymmetry: .048
- Pennsylvania
 - Mean–Median: .011
 - Efficiency Gap: .063
 - Asymmetry: .050
- North Carolina
 - Mean–Median: .062
 - Efficiency Gap: .198
 - Asymmetry: .093

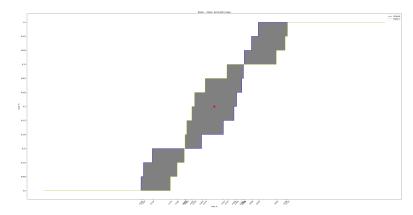


Seats-Votes Asymmetry



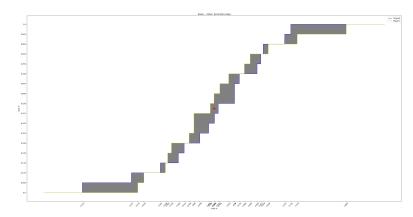


Seats-Votes Asymmetry



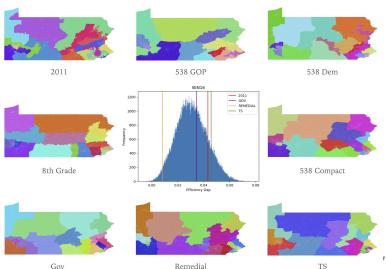


Seats-Votes Asymmetry





Court History





Data Setup

· Choice of units: Precincts

• Voting Data: Weighted Senate Results

Initial Plans: TS/GOV/Enacted

Demographics: Census



Theoretical Tool

Theorem (CFP1)

Let $M=X_0,X_1,X_2,\ldots$ be a reversible Markov chain with a stationary distribution π on the state space Ω and let $G:\Omega\to\mathbb{R}$ be a ranking function. If $X_0\sim\pi$, then for any fixed k, the probability that $G(X_0)$ is an ε -outlier from among the list of values $G(X_0),G(X_1),G(X_2),\ldots,G(X_k)$ is at most $\sqrt{2\varepsilon}$.

¹ M. Chikina, A. Frieze, and W. Pegden: Assessing significance in a Markov chain without mixing, PNAS, (2017).



Experimental Setup

- In order to apply the theorem we need a reversible chain
 - Uniform over permissible
 - Metropolis–Hastings
- · Lots of choices to make!



Compactness





Figure: Average of reciprocal Polsby-Popper scores



Other Constraints

- Population Balance:
 - All within 1%
 - MH L2
- VRA Compliance
 - Preserve two Philadelphia regions
 - Geoclusters more broadly
- County Splits:
 - Entropy of Counties split Districts
 - Entropy of Districts split Counties



Other Constraints

- Population Balance:
 - All within 1%
 - MH L2
- VRA Compliance
 - Preserve two Philadelphia regions
 - Geoclusters more broadly
- County Splits:
 - Entropy of Counties split Districts
 - Entropy of Districts split Counties
- Glue together with linear coefficients for MH weighting



Initial Results

Gerrymandering Detected!



Problem Reformulation

- Move from Local to Global MCMC steps
- Reformulate constraints
 - Weaker population bound
 - Discrete instead of continuous compactness
 - Tree steps instead of single edge flip
 - Many seeds instead of single long walk
 - More election data
 - More comparison plans

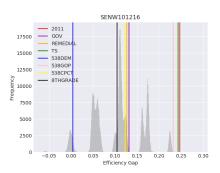


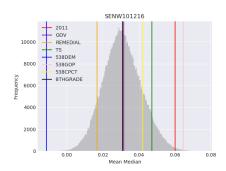
Problem Reformulation

- Move from Local to Global MCMC steps
- Reformulate constraints
 - Weaker population bound
 - Discrete instead of continuous compactness
 - Tree steps instead of single edge flip
 - Many seeds instead of single long walk
 - More election data
 - More comparison plans
- Better Geography?



Pennsylvania Landscapes





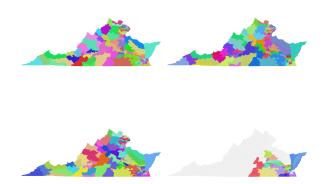


Initial Results

Gerrymandering Detected?



Legal History





Data Collection

- Choice of units: Blocks
- Voting Data: Prorated Presidential Results
- Initial Plans: (rounded) Enacted/Rep/Dem/Princeton
- Demographics: Virginia DLS



Tree Seeds Ensemble

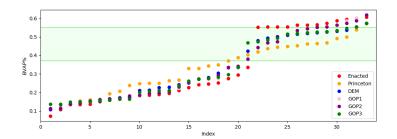


Research Question?

- What is best possible representation?
- What are impacts of packing?
- Is it possible to avoid packing?
- Partisan consequences of unpacking?

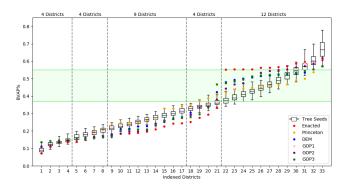


Proposed Plans



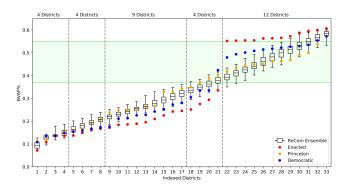


Seed Comparison



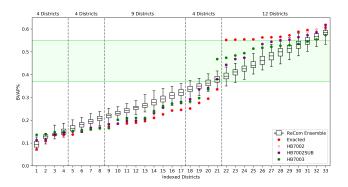


Full State Possibilities



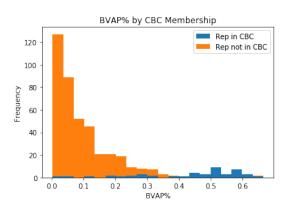


Full State Possibilities



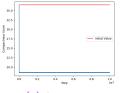


The 37% line

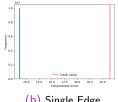




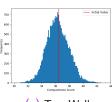
Compactness



(a) Single Edge



(b) Single Edge



(c) Tree Walk



Compactness







Initial Results

Gerrymandering Detected?



Legal History









Competitiveness

Definition (Competitive)

At least x% of districts are within y% of z. Where $z \in \{.5, \text{ state mean, state median, plan mean, plan median }\}$



Data Collection

Choice of units: Wards

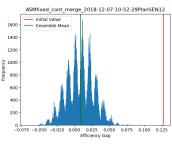
• Voting Data: All Statewide

Initial Plans: Enacted plans

Demographics: Census



Senate Seats



1000 - 200 -

ASMfixed_cont_merge_2018-12-07-10-52-29PlanSEN16

Initial Value

1200

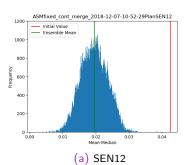
Ensemble Mean

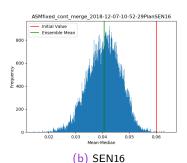
(a) SEN12

(b) SEN16



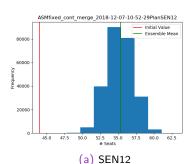
Senate Mean-Median

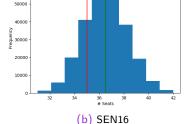






Senate Efficiency Gap





ASMfixed_cont_merge_2018-12-07-10-52-29PlanSEN16

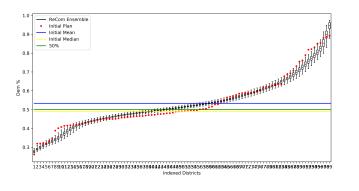
Initial Value

Ensemble Mean

60000

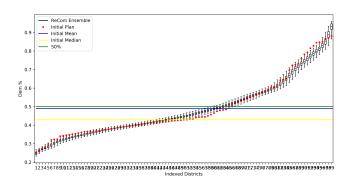


Senate 12 Box Plots

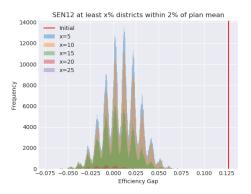




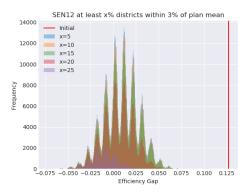
Senate 16 Box Plots



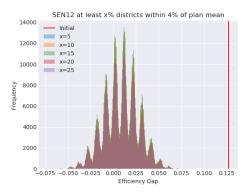




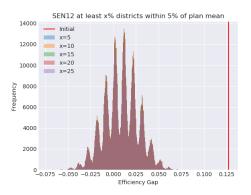




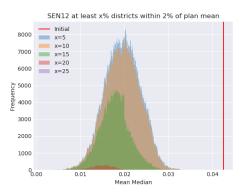




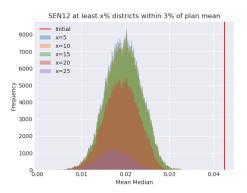




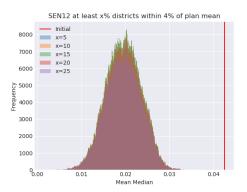




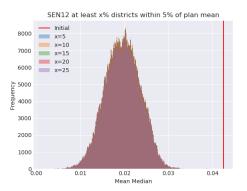














Initial Results

Gerrymandering Detected?



Legal History

- Pressure for algorithmic version of municipality preservation
- Substitute for compactness
- Allows weaker population bounds
- Enforces a multiscale viewpoint on the redistricting problem



Levels of Resolution

- Blocks
- Precincts
- Wards
- Municipalities
- Counties



Blocks



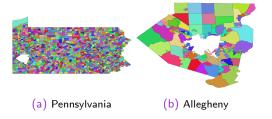


Counties





Municipalities



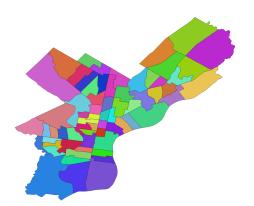


Precincts



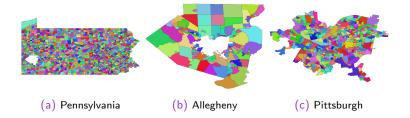


Wards



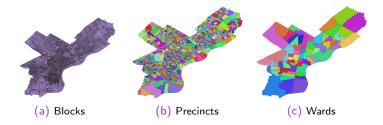


Putting Them Together



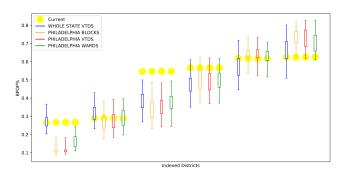


Putting Them Together



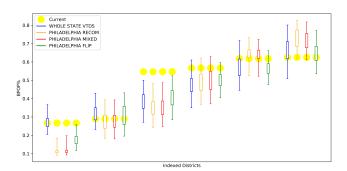


Comparison of BPOP Districts





Comparison of BPOP Districts





Initial Results

Better Representation Possible?



MORAL:

Computational Redistricting is NOT a solved problem!



The End

Thanks!

