# Linear Programming (LP) Decoding Corrects a Constant Fraction of Errors

Jon Feldman

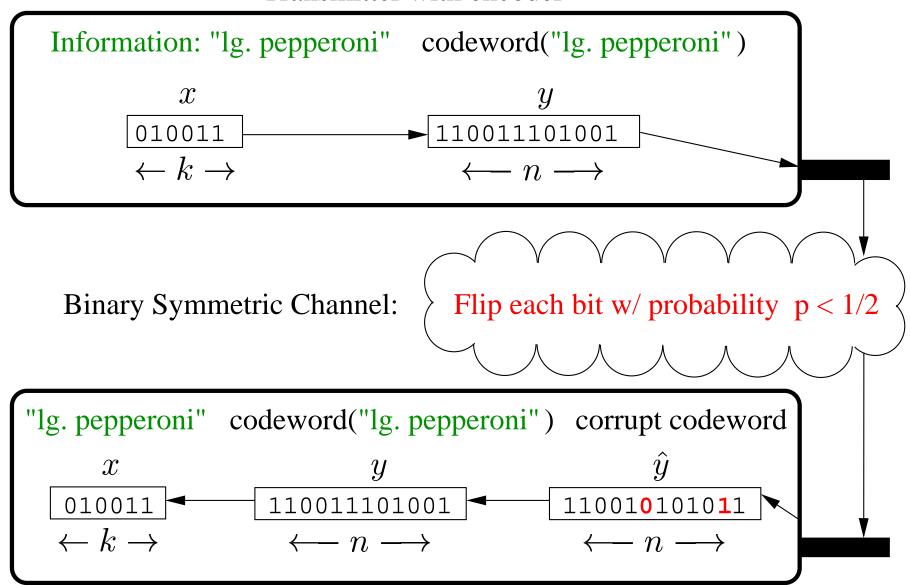
Columbia University

Joint work with Tal Malkin, Cliff Stein, Rocco Servedio (Columbia);

Martin Wainwright (UC Berkeley)

#### Binary error-correcting codes

#### Transmitter with encoder



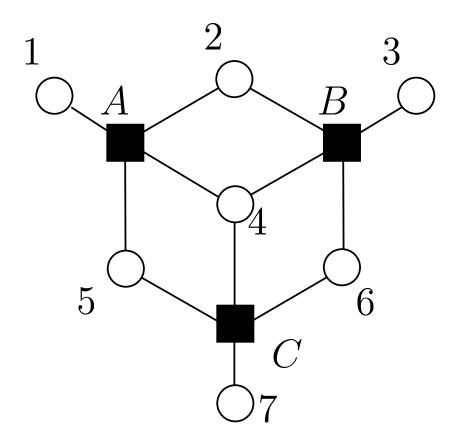
Receiver with decoder

#### Basic Coding Terminology

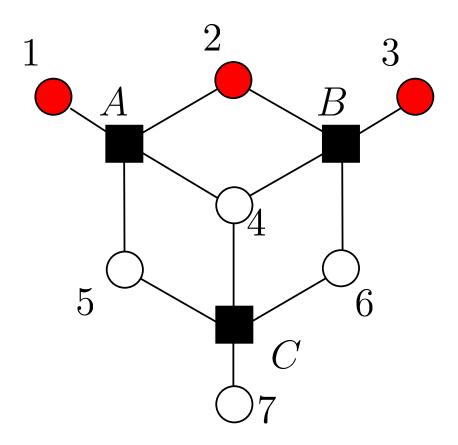
- A code is a subset  $C \subseteq \{0,1\}^n$ , where  $|C| = 2^k$ . If  $y \in C$ , then y is a codeword.
- $\blacksquare$  Dimension = k = info bits in each codeword.
- Length = n = size of a codeword.
- Rate = k/n = info per transmitted code bit.
- (Minimum) distance  $\Delta = \min_{y,y' \in C} \Delta(y,y')$ . Relative (minimum) distance  $\delta = \Delta/n$ .
- Word error rate (WER) = probability of decoding failure =  $Pr_{noise}$ [ transmitted  $y \neq decoded y$ ]. Practical measure of performance.
- Goals: high rate, large distance, low WER, low (construction, encoding, decoding) complexity.

#### Correcting a constant fraction of error

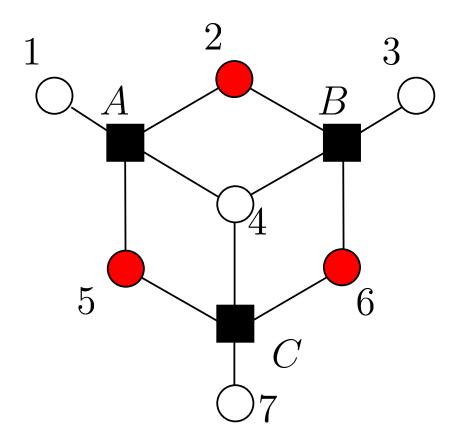
- A code *family* is an infinite set of codes  $C_1, C_2, \ldots$  of increasing length  $n_1 < n_2 < \ldots$
- One major goal of coding theory: construct a family of codes and a decoder, where:
  - ♦ The codes have constant rate r.
  - The decoder runs in time poly(n).
  - ♦ The decoder succeeds if  $\leq \alpha n$  bits flipped, where  $\alpha$  constant. (Note:  $\Longrightarrow$  WER  $\leq 2^{-\Omega(n)}$ .)
- Achieved by GMD [F], iterative bit-flipping [G, SS, BZ], list decoding [GI].
- This talk: LP decoding [FK '02] corrects a constant fraction of errors, using expanding LDPC codes.



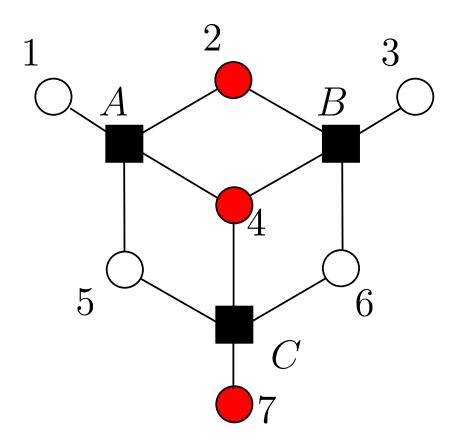
- Codebit nodes 1 . . . n.
- Check nodes  $1 \dots m$ .
- Codewords:  $y \in \{0,1\}^n$  where all check neighborhoods have even parity w.r.t. y.
- Rate  $\geq 1 m/n$ .
- Low density: constant degree.
- Codeword examples:
  - **•** 0000000



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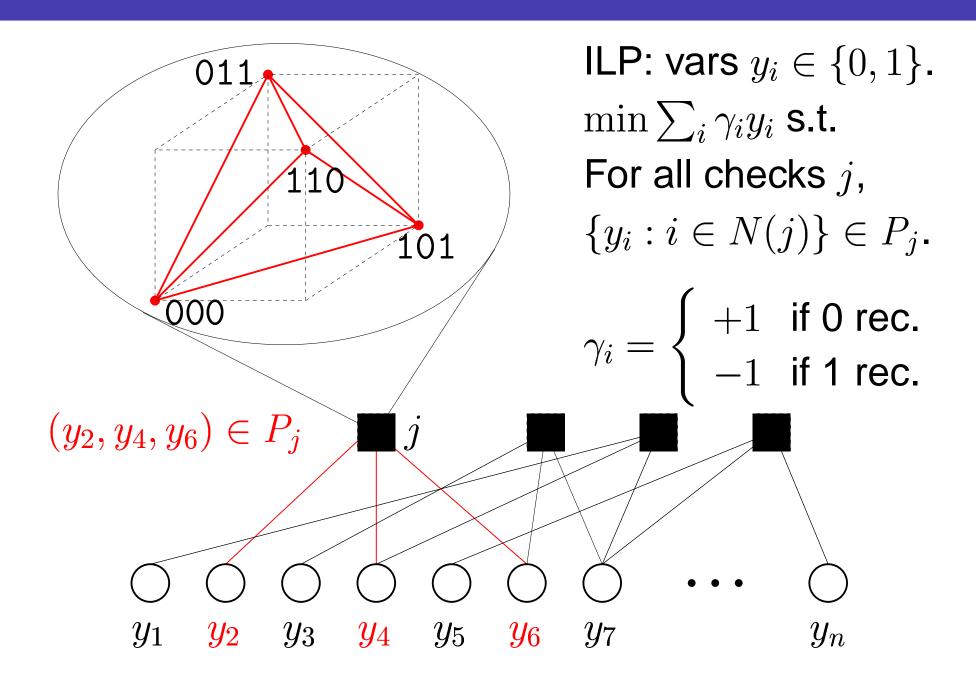


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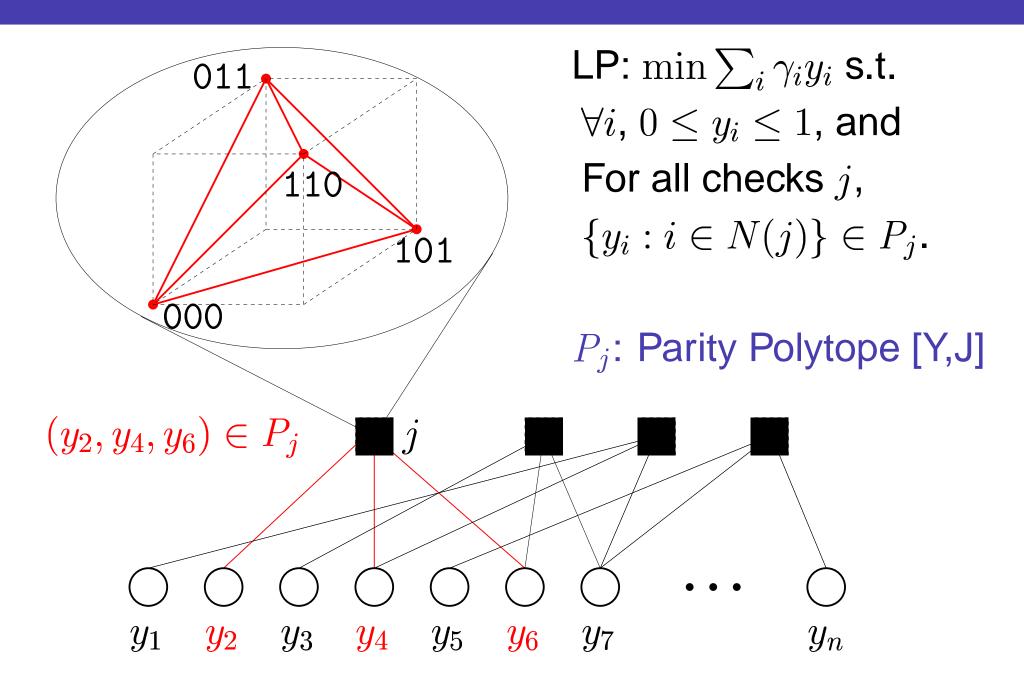
#### Turbo codes and low-density parity-check (LDPC) codes

- Turbo codes [BGT '93], LDPC codes [Gal '62], with message-passing algs: lowest WER (in practice).
- Most successful theory: density evolution [RU, LMSS, RSU, BRU, CFDRU, ..., '99...present].
  - Non-constructive, assumes local tree structure.
- "Finite-Length" analysis:
  - ML decoding finds most likely codeword; sub-optimal decoding finds most likely pseudocodeword.
  - Combinatorially understood pseudocodewords:
    - Deviation sets [Wib '96, FKV '01],
    - Tail-biting trellises [FKKR '01],
    - Stopping sets (erasure channel) [DPRTU '02].

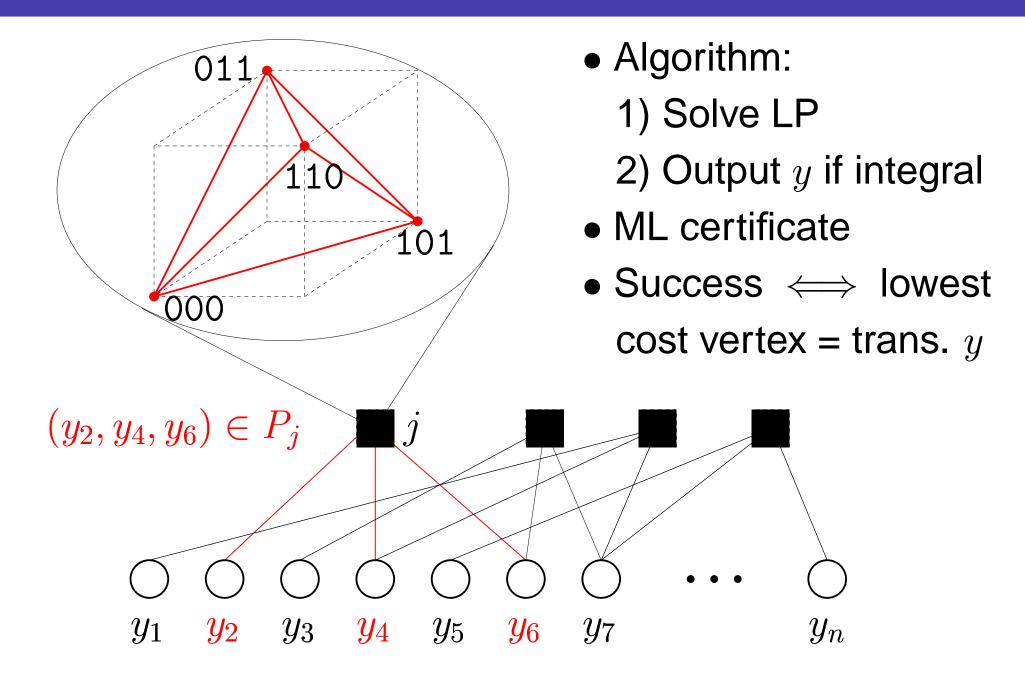
#### LP relaxation on the factor graph [FKW '03]



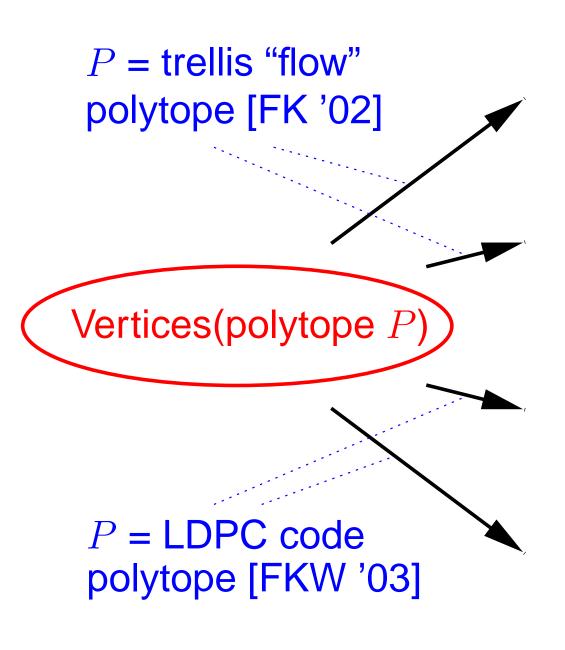
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### Unifying other understood pseudocodewords



Tail-biting trellis PCWs [FKMT '01]

Rate-1/2 RA code promenades [EH '03]

BEC stopping sets [DPRTU '02]

PCWs of graph covers [KV '03]

#### Success conditions: find zero-valued dual point

Assume  $0^n$  is transmitted (polytope symmetry); assume unique LP optimum (no problem).

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success \iff Point 0^n is LP optimum \iff \exists dual feasible point w/ value 0
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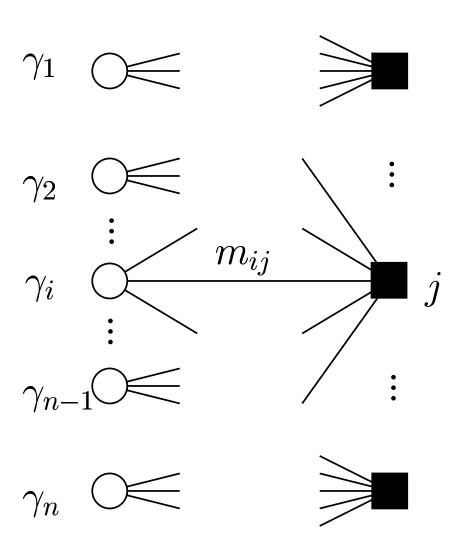
- Take LP dual, set dual objective = 0: polytope  $\hat{P}$ . success  $\iff$   $\hat{P}$  non-empty
- Main result:

Theorem: Suppose G (regular left-degree c) is an  $(\alpha n, \delta c)$ -expander, where  $\delta > 2/3 + 1/(3c)$ . Then the LP decoder succeeds if  $< \frac{3\delta-2}{2\delta-1}\alpha n$  bits are flipped by the channel.

New generalization to expander codes.

#### Edge weights

Polytope  $\hat{P}$  for LDPC code relaxation:



- Edge weights  $m_{ij}$  (free).
- For all code bits (left nodes) i,

$$\sum_{j \in N(i)} m_{ij} \le \gamma_i.$$

For all checks j, pairs  $i, i' \in N(j)$ ,

$$m_{ij} + m_{i'j} \ge 0.$$

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Polytope  $\hat{P}$  for LDPC code relaxation:

$$+1 \bigcirc \qquad \qquad \vdots$$

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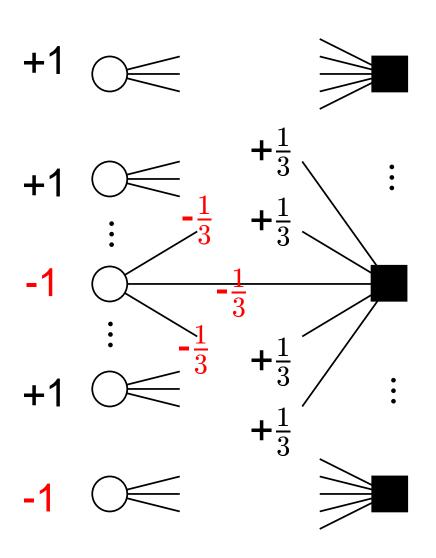
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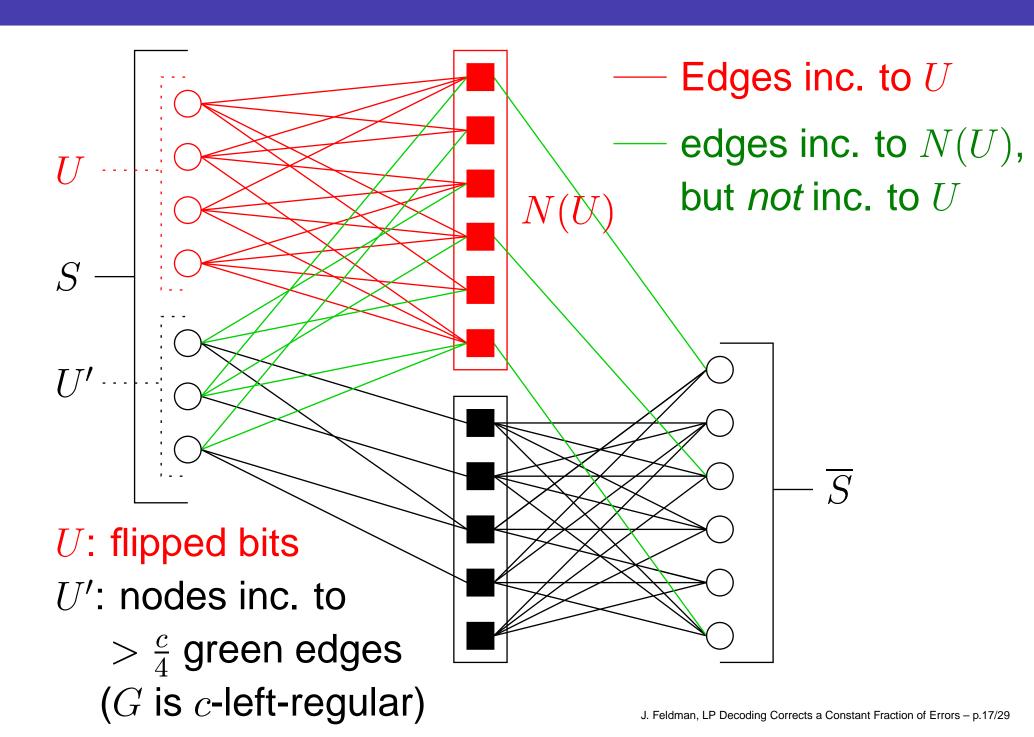
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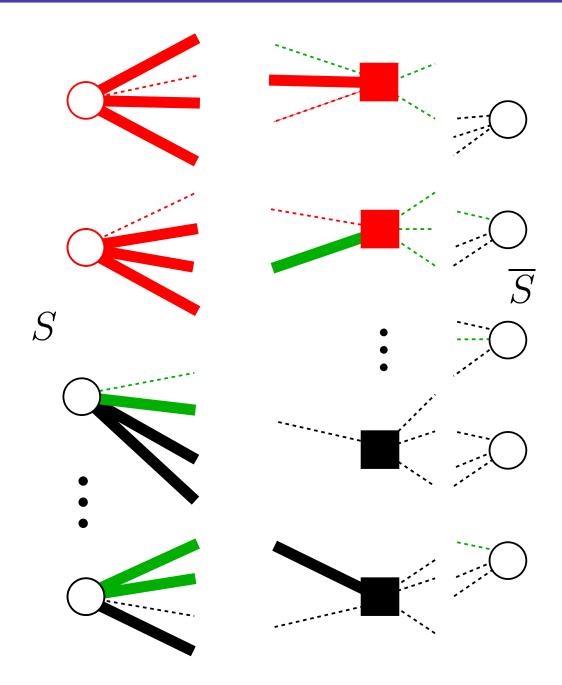
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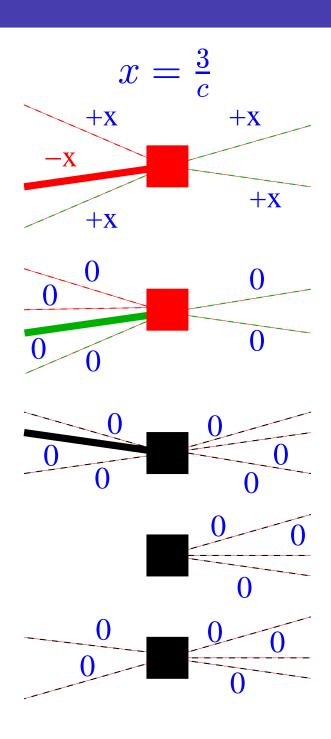
#### Weighting scheme: node sets S, U, U'



### Weighting scheme: "The matching" M

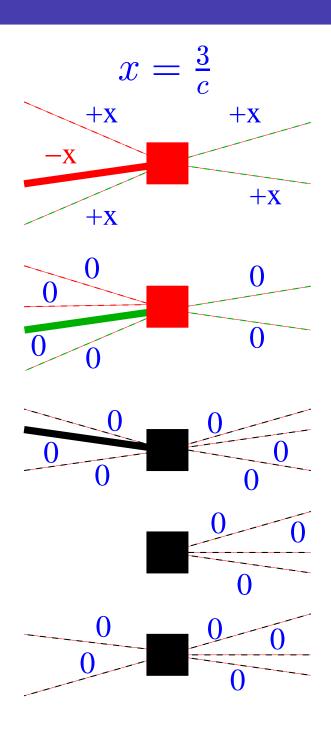
- $\blacksquare$  Find edge set M:
  - Nodes in S inc. to  $\frac{3c}{4}$  M-edges.
  - Checks inc. to  $\leq 1 M$ -edge.
- $(\alpha n, \delta)$ -expander: every set of size  $\leq \alpha n$  expands by a factor of  $\geq \delta$ .
- $(\alpha n, \frac{3c}{4})$ -expander
  - $\exists$  matching M for all S,  $|S| \leq \alpha n$ .



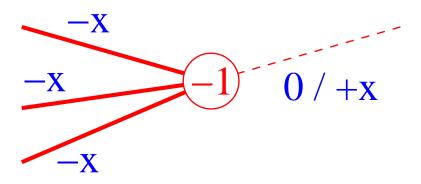


- For all checks j with incident red M-edge (i, j):
  - Set  $m_{ij} = -x$ ;
  - Set all other incident edges  $m_{i'j} = +x$ .

• Set all other  $m_{ij} = 0$ .



■ Case 1: Node in *U*.

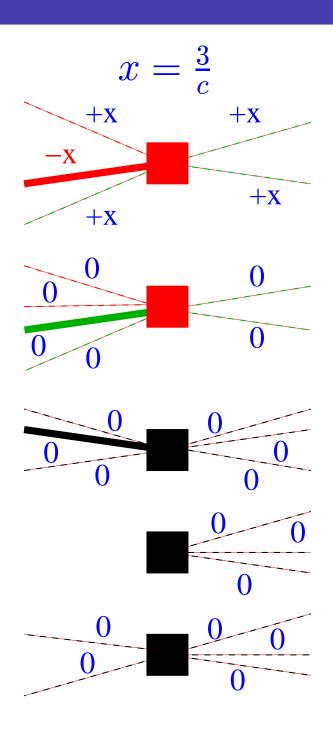


Node has  $\frac{3}{4}c$  M-edges, each with weight -x, so

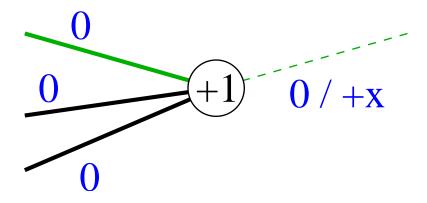
$$\sum m_{ij} \leq \frac{1}{4}cx - \frac{3}{4}cx$$

$$= -3/2$$

$$< -1.$$



• Case 2: Node in U'.

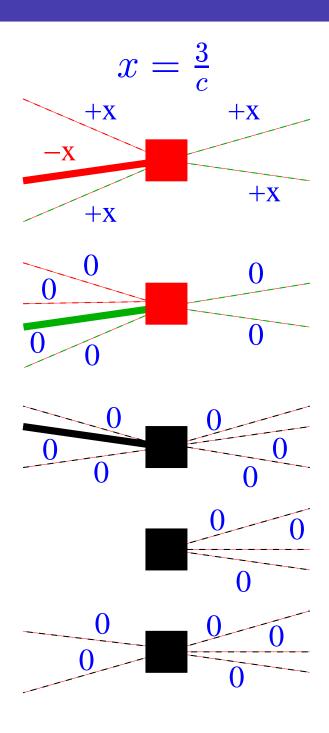


Node has  $\frac{3}{4}c$  M-edges, each with weight 0, so

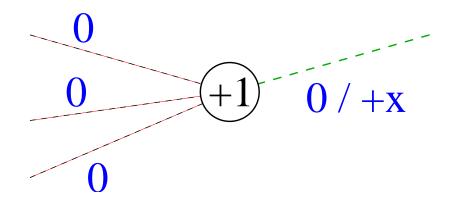
$$\sum m_{ij} \leq \frac{1}{4}cx$$

$$= 3/4$$

$$< +1.$$



• Case 3: Node in  $\overline{S}$ .



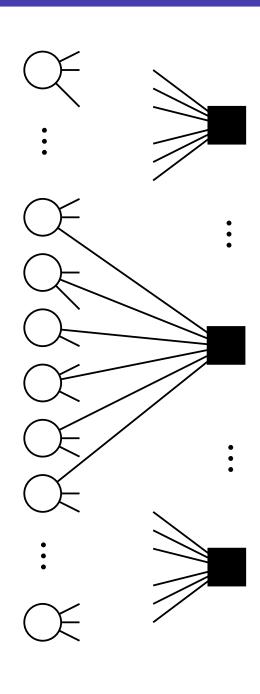
Node has  $\frac{3}{4}c$  edges *not* incident to N(U). Each such edge has weight 0, so

$$\sum m_{ij} \leq \frac{1}{4}cx$$

$$= 3/4$$

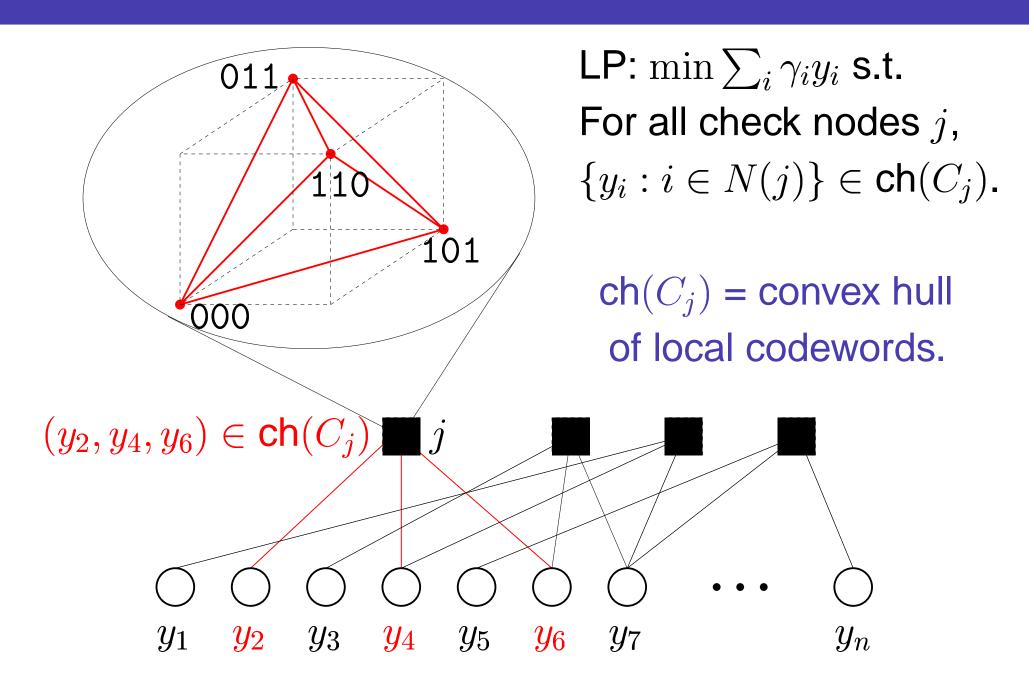
$$< +1. \square$$

### Expander codes



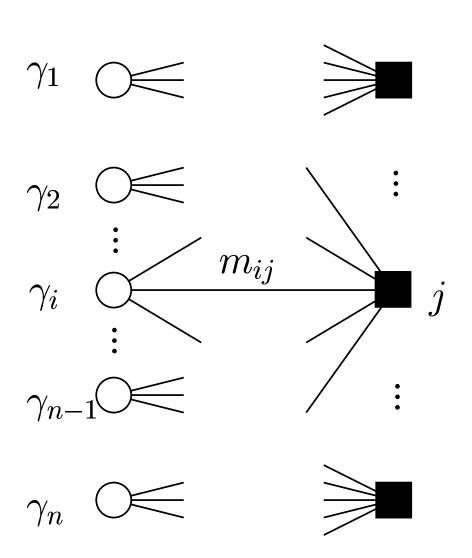
- General version of expander codes [SS, BZ]:
  - Each "check" node j has subcode  $C_j$ .
  - Overall codeword: setting of bits to left nodes s.t. each check nbhd N(j) is a codeword of  $C_j$ .
  - ♦ LDPC codes: special case where  $C_j$  = single parity check code.
- Ex: G is (3,6)-regular,  $C_j$  = {000000, 111000, 000111, 111111}.

#### LP Relaxation for general expander codes



#### Edge weights for general expander codes

Polytope  $\hat{P}$  for general expander codes:



- lacksquare Edge weights  $m_{ij}.$
- For all code bits (left nodes) *i*,

$$\sum_{j \in N(i)} m_{ij} \le \gamma_i.$$

For all checks j, codewords  $c \in C_j$ ,

$$\sum_{i \in \sup(c)} m_{ij} \ge 0$$

#### Code construction

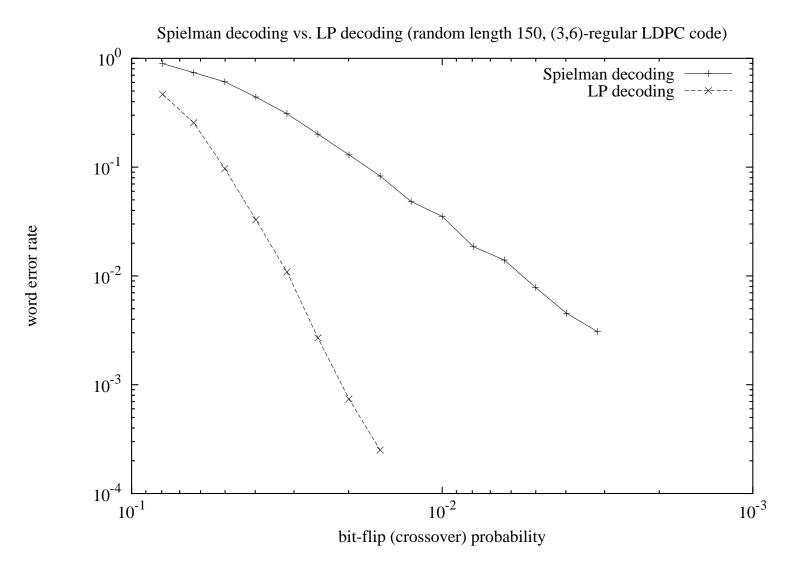
- Let G be (2, d)-regular (edge-incidence graph of d-regular expander). Fix some  $0 < \epsilon < 1$ .
- Set d sufficiently large s.t.  $C_j$  lies on GV-bound
  - Code  $C_j$  has distance  $\epsilon$ , rate  $1 H(\epsilon)$ .
  - Rate of overall code  $\geq 1 2H(\epsilon)$ .
- Weighting scheme: also benefits from expansion.
- Using Ramanujan graphs, Alon/Chung:

**Theorem:** The LP decoder succeeds if  $<\frac{\epsilon^2}{4}n$  bits are flipped by the channel.

- Sipser/Spielman: it. decoding corrects  $\epsilon^2/48$  errors.
- Barg/Zemor: diff. algorithm, corrects  $\epsilon^2/4$  errors.

#### Future Work #1

Improve results for LDPC codes, explain difference in performance.



#### Future Work #2

### Explain weird situation using LDPCCs on AWGN:

- AWGN channel:  $y_i \in \{-1, +1\}$  transmitted,  $y_i + \mathcal{N}(0, \sigma^2)$  received.
- Log-likelihood ratio: set  $\gamma_i$  = received value.
- Koetter/Vontobel [03]: Using LLRs  $\gamma_i$ , LP decoding has WER =  $2^{-O(n^{1-\epsilon})}$  for some  $\epsilon > 0$ .
- But, if you *quantize* first (set  $\gamma'_i = \text{sign}(\gamma_i)$ ), you get BSC, and using our result, get WER =  $2^{-\Omega(n)}$ .
- In other words, it is sometimes *good* to throw out information.
- Optimal decoders do not have this property; somehow this sub-optimal decoder does.

#### Future Work #3-#8

- Using more general codes, compete with best known results on rate vs. fraction corrected (Forney, Barg/Zemor, Guruswami/Indyk).
- Find more general weighting scheme → use more general graph-theoretic properties than expansion.
- Prove something better for turbo codes.
- Deepen connection to iterative algorithms (sum-product).
- Use non-linear optimization.
- Consider non-binary codes.