



# Learning Deep Features for Visual Recognition

CVPR 2017 Tutorial

Kaiming He

Facebook AI Research (FAIR)

covering joint work with:

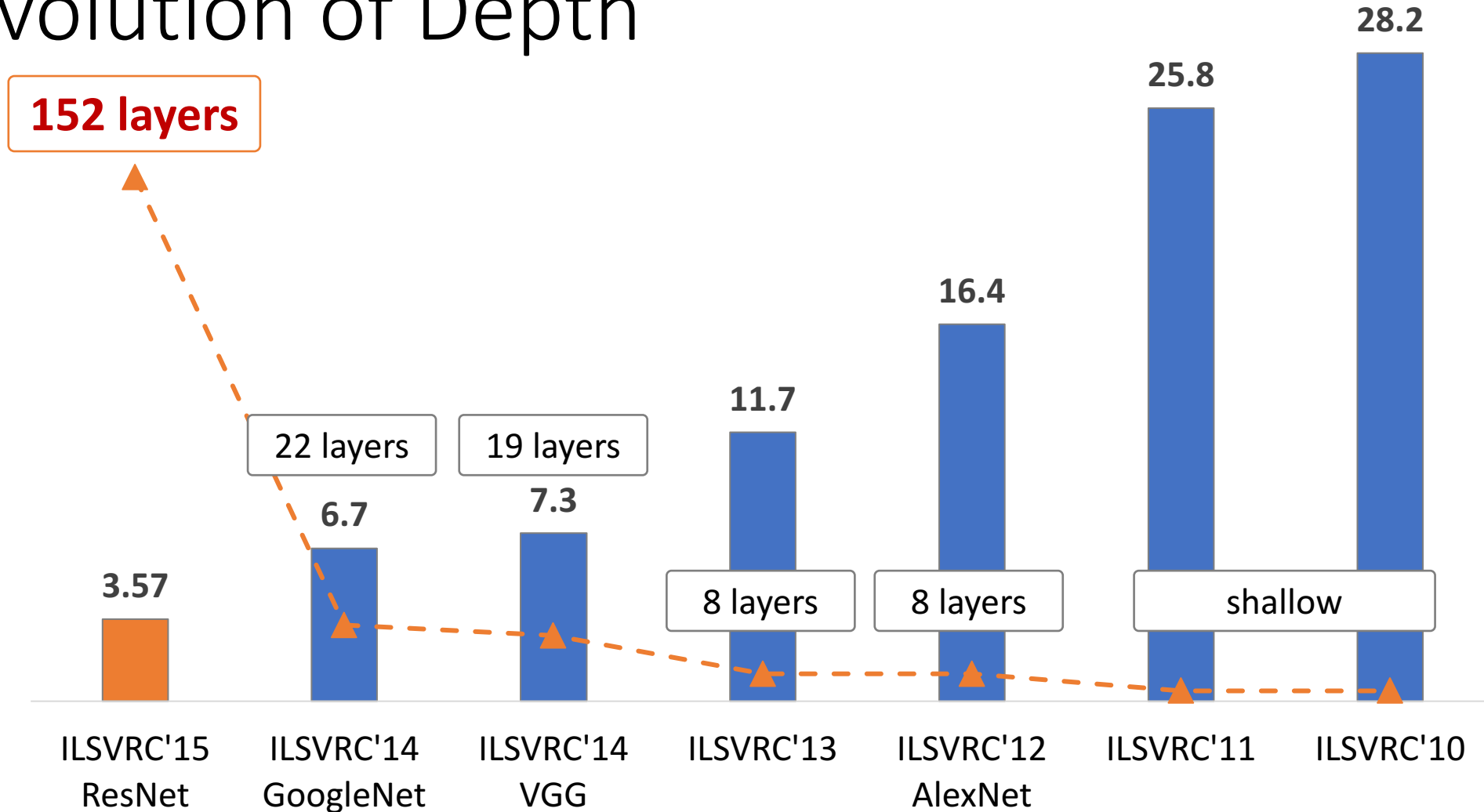
Xiangyu Zhang, Shaoqing Ren, Jian Sun, Saining Xie, Zhuowen Tu, Ross Girshick, Piotr Dollar

# Outline

- Introduction
- Convolutional Neural Networks: Recap
  - LeNet, AlexNet, VGG, GoogleNet; Batch Norm
- ResNet
- ResNeXt

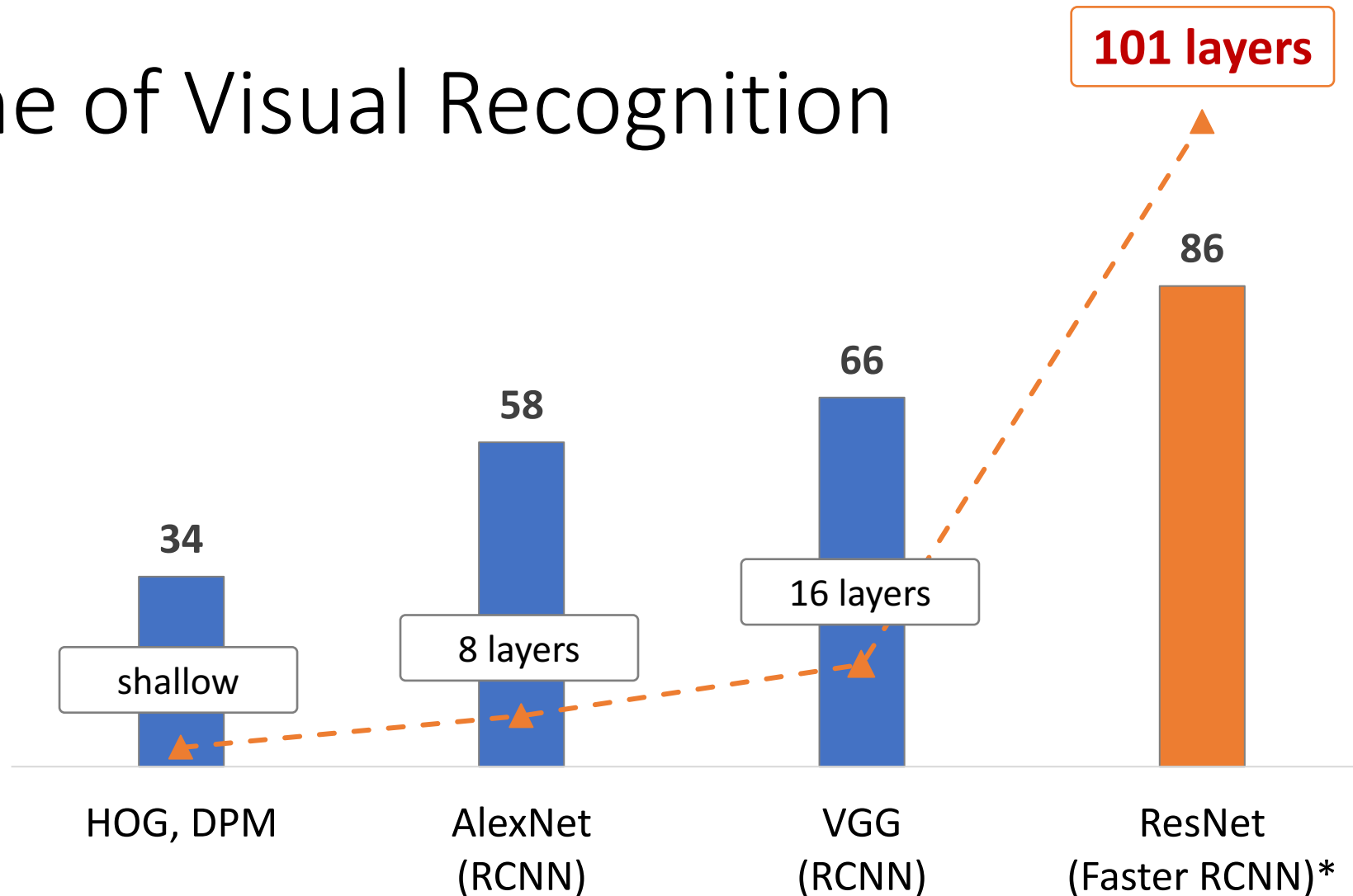
slides will be available online

# Revolution of Depth



ImageNet Classification top-5 error (%)

# Engine of Visual Recognition



PASCAL VOC 2007 **Object Detection** mAP (%)

\*w/ other improvements & more data

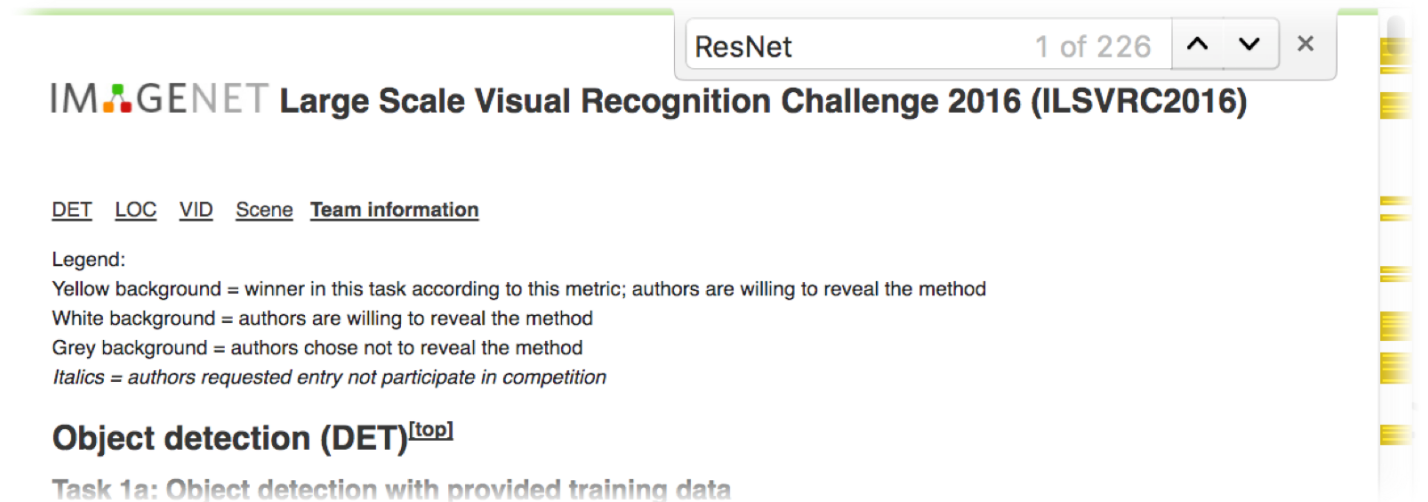
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". CVPR 2016.

# Engine of Visual Recognition

ResNets/extensions are leading models on popular benchmarks

- Detection: COCO/VOC
- Segmentation: COCO/VOC/ADE/Cityscape
- Visual Reasoning: VQA/CLEVR
- Video: UCF101/HMDB
- ...

**Search “ResNet” on ILSVRC2016  
result page returns 226 entries**



ResNet 1 of 226 ^ v x

IMAGENET Large Scale Visual Recognition Challenge 2016 (ILSVRC2016)

[DET](#) [LOC](#) [VID](#) [Scene](#) [Team information](#)

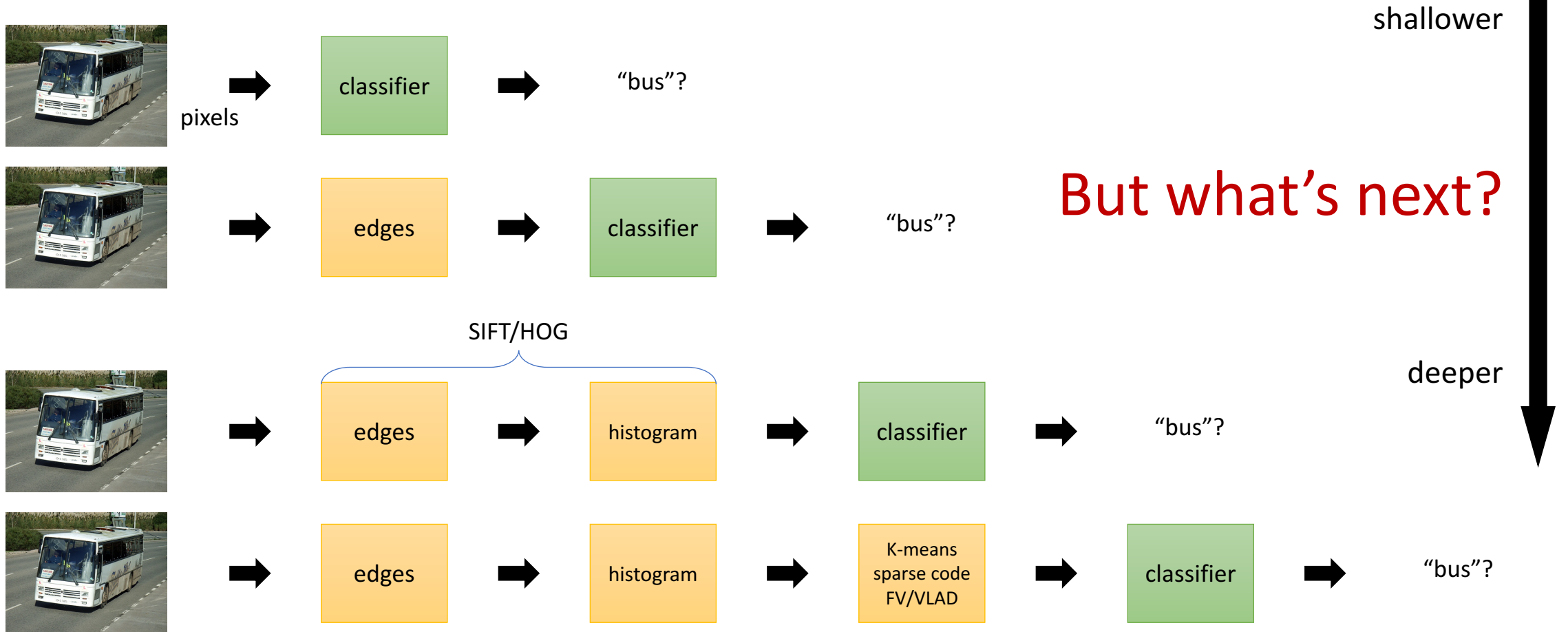
Legend:  
Yellow background = winner in this task according to this metric; authors are willing to reveal the method  
White background = authors are willing to reveal the method  
Grey background = authors chose not to reveal the method  
*Italics = authors requested entry not participate in competition*

**Object detection (DET)**<sup>[top]</sup>

Task 1a: Object detection with provided training data

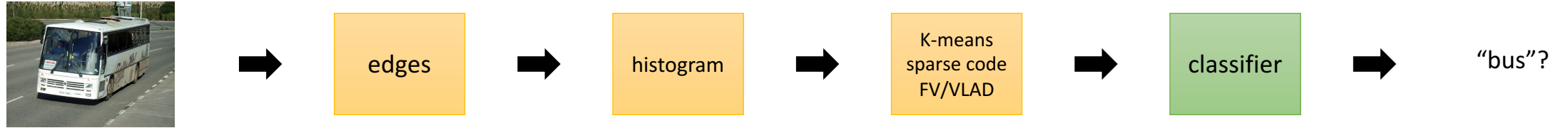
Source: Ross Girshick

# How did computer recognize an image?

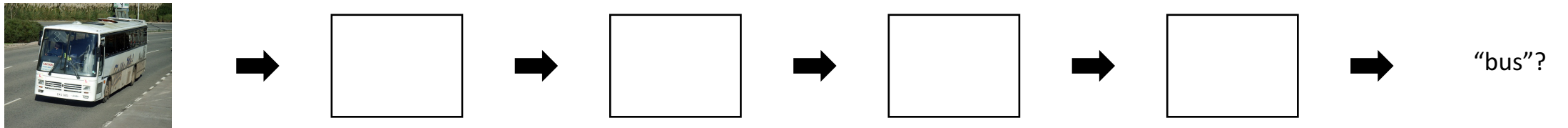


# Learning Deep Features

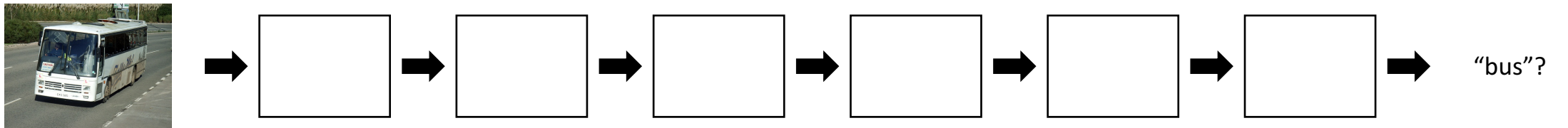
Specialized components, domain knowledge required



Generic components/"layers", less domain knowledge



Repeat **elementary** layers: going deeper



- Richer solution space
- End-to-end learning by BackProp

# Convolutional Neural Networks: Recap

LeNet, AlexNet, VGG, GoogleNet; Batch Norm,...



# LeNet

- Convolution:

- locally-connected
- spatially **weight-sharing**

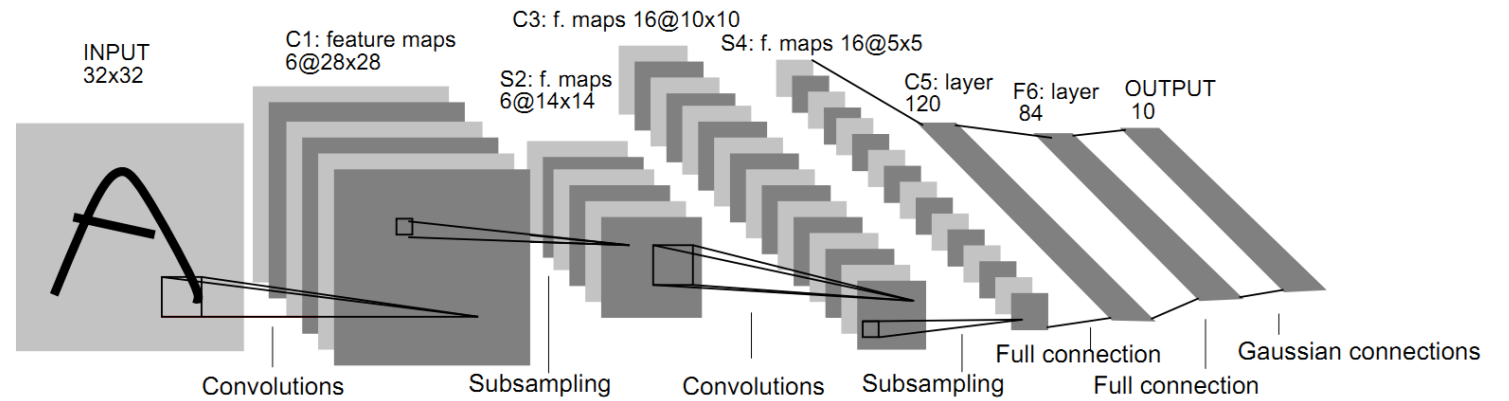
- weight-sharing is a key in DL (e.g., RNN shares weights temporally)

- Subsampling

- Fully-connected outputs

- Train by BackProp

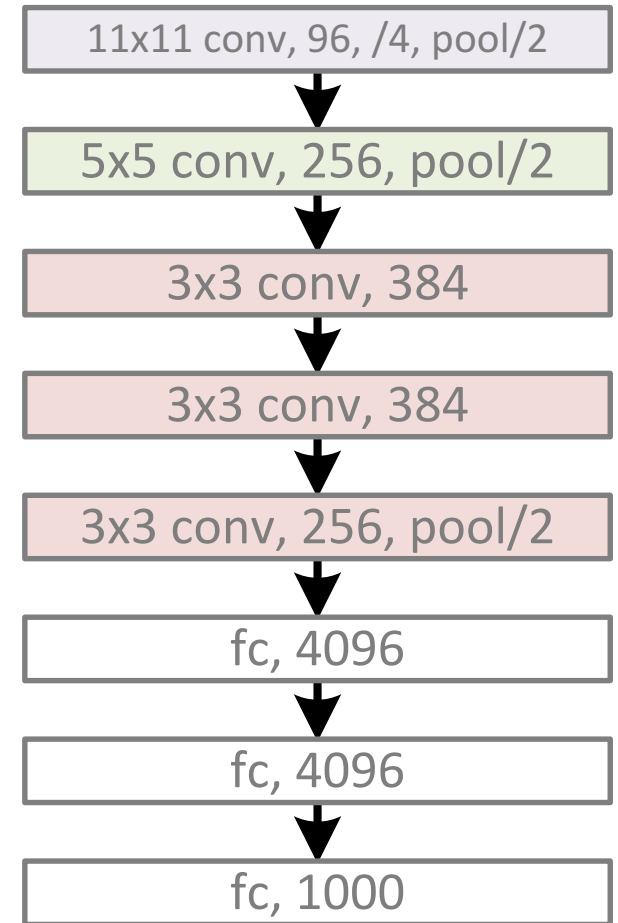
- All are still the basic components of modern ConvNets!



# AlexNet

LeNet-style backbone, plus:

- ReLU [Nair & Hinton 2010]
  - “RevoLUtion of deep learning”\*
  - Accelerate training; better grad prop (vs. tanh)
- Dropout [Hinton et al 2012]
  - In-network ensembling
  - Reduce overfitting (might be instead done by BN)
- Data augmentation
  - Label-preserving transformation
  - Reduce overfitting



\*Quote Christian Szegedy

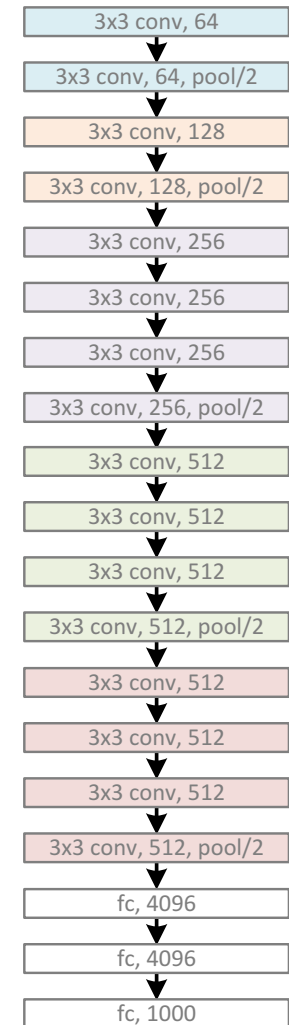
# VGG-16/19

“16 layers are beyond my imagination!”

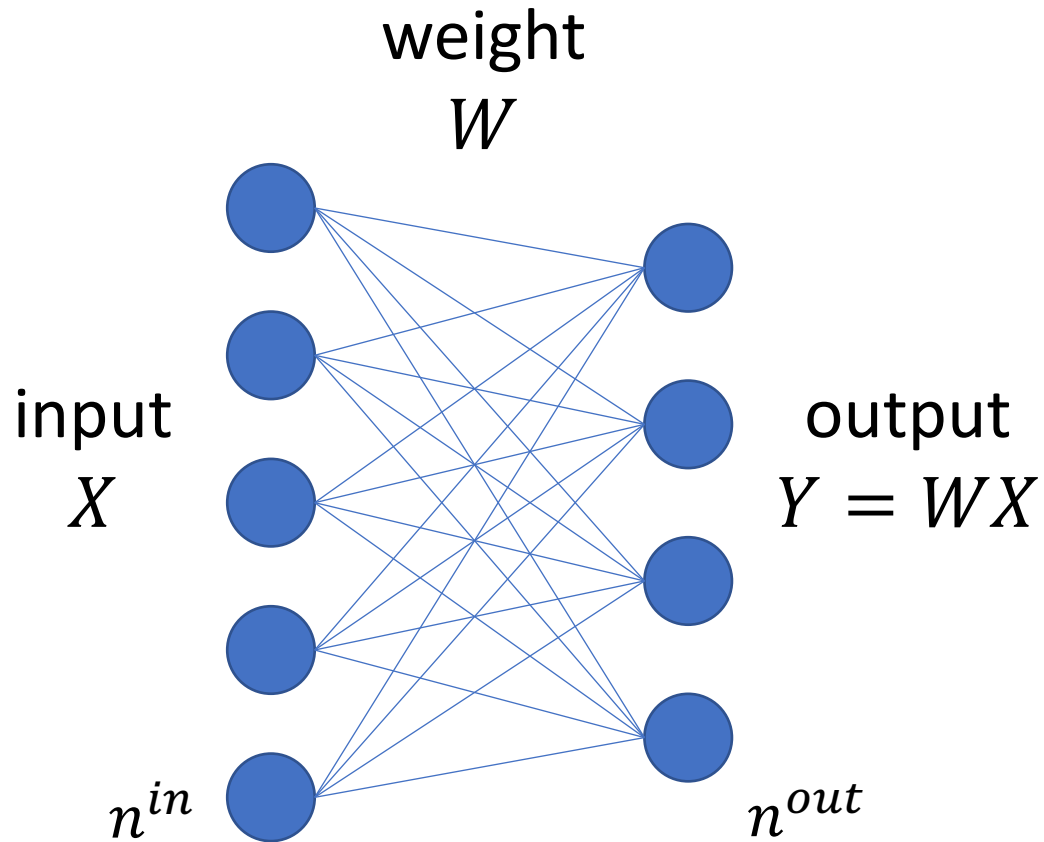
-- after ILSVRC 2014 result was announced.

Simply “Very Deep”!

- Modularized design
  - 3x3 Conv as the module
  - Stack the same module
  - Same computation for each module (1/2 spatial size => 2x filters)
- Stage-wise training
  - VGG-11 => VGG-13 => VGG-16
  - We need a better initialization...



# Initialization



If:

- Linear activation
- $x, y, w$ : independent

Then:

1-layer:

$$\text{Var}[y] = (n^{in} \text{Var}[w]) \text{Var}[x]$$

Multi-layer:

$$\text{Var}[y] = \left( \prod_d n_d^{in} \text{Var}[w_d] \right) \text{Var}[x]$$

# Initialization

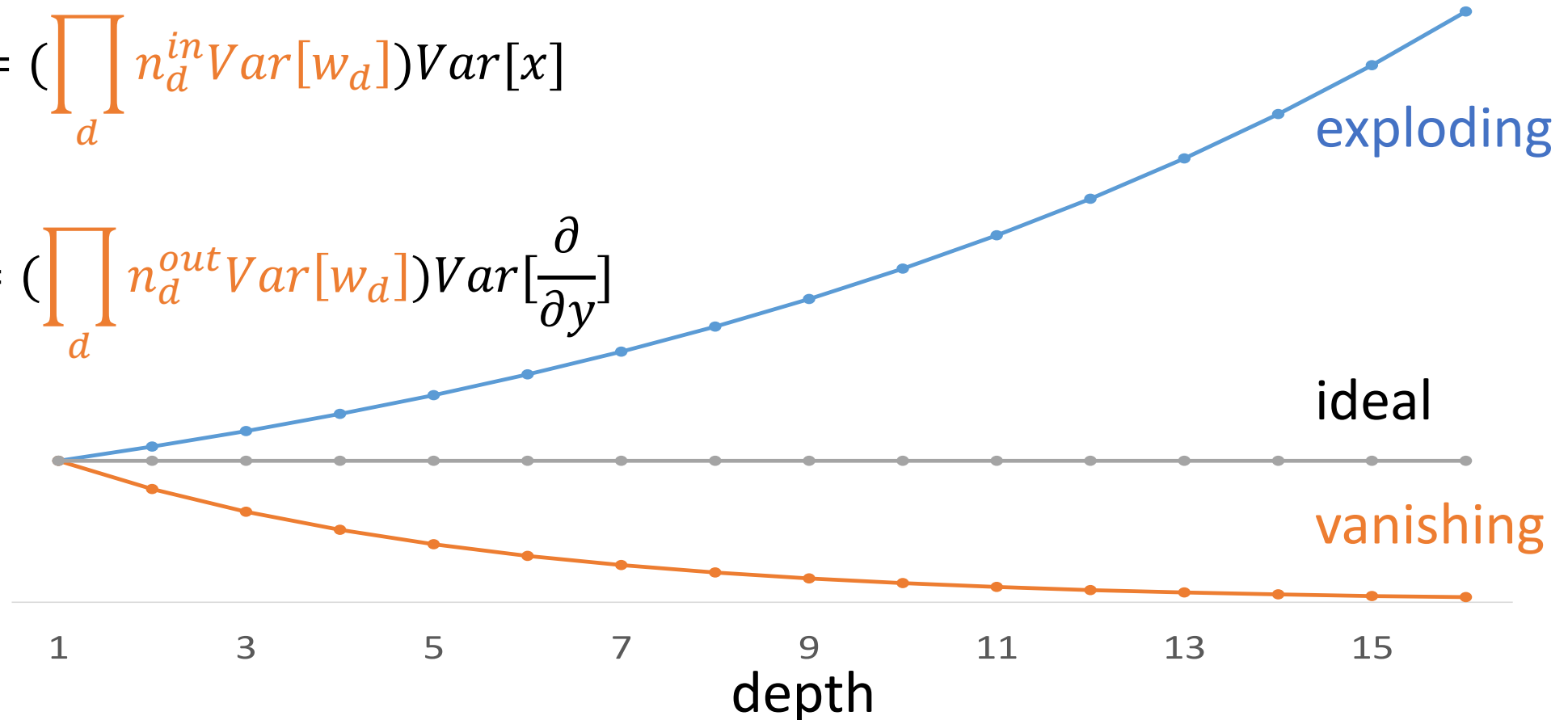
Both forward (response) and backward (gradient) signal can vanish/explode

Forward:

$$\text{Var}[y] = \left( \prod_d n_d^{\text{in}} \text{Var}[w_d] \right) \text{Var}[x]$$

Backward:

$$\text{Var} \left[ \frac{\partial}{\partial x} \right] = \left( \prod_d n_d^{\text{out}} \text{Var}[w_d] \right) \text{Var} \left[ \frac{\partial}{\partial y} \right]$$



# Initialization: “Xavier”

- Initialization under **linear** assumption

$$\prod_d n_d^{in} Var[w_d] = const_{fw} \text{ (healthy forward)}$$

and

$$\prod_d n_d^{out} Var[w_d] = const_{bw} \text{ (healthy backward)}$$



$$\begin{array}{l} n_d^{in} Var[w_d] = 1 \\ \text{or} \\ n_d^{out} Var[w_d] = 1 \end{array}$$

# Initialization: “MSRA”

- Initialization under **ReLU**

$$\prod_d \frac{1}{2} n_d^{in} \text{Var}[w_d] = \text{const}_{\text{fw}} \text{ (healthy forward)}$$

and

$$\prod_d \frac{1}{2} n_d^{out} \text{Var}[w_d] = \text{const}_{\text{bw}} \text{ (healthy backward)}$$



$$\frac{1}{2} n_d^{in} \text{Var}[w_d] = 1$$

or

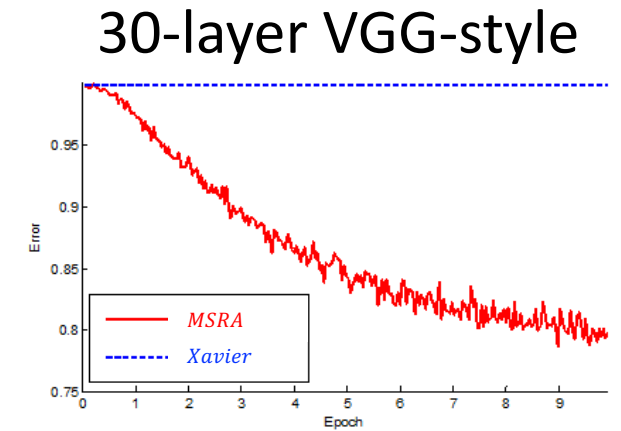
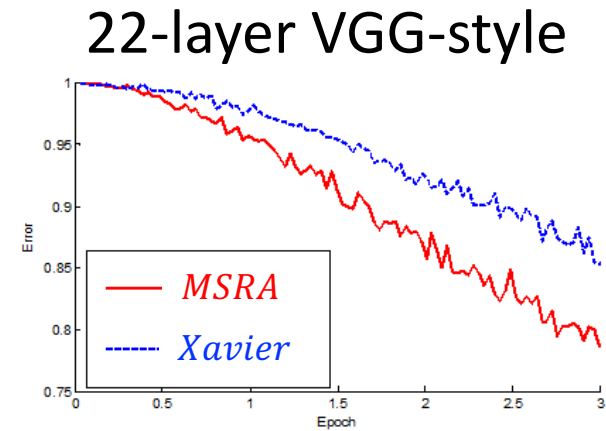
$$\frac{1}{2} n_d^{out} \text{Var}[w_d] = 1$$

With  $D$  layers, a factor of 2 per layer has exponential impact of  $2^D$

# Initialization

## Xavier/MSRA init

- Required for training VGG-16/19 from scratch
- Deeper (>20) VGG-style nets can be trained w/ MSRA init
  - but deeper plain nets are not better (see ResNets)
- Recommended for newly initialized layers in fine-tuning
  - e.g., Fast/er RCNN, FCN, etc.
- $\sqrt{\frac{1}{n}}$  or  $\sqrt{\frac{2}{n}}$  doesn't directly apply to multi-branch nets (e.g., GoogleNet)
  - but the same derivation methodology is applicable
  - does not matter, if BN is applicable...



\*Figures show the beginning of training

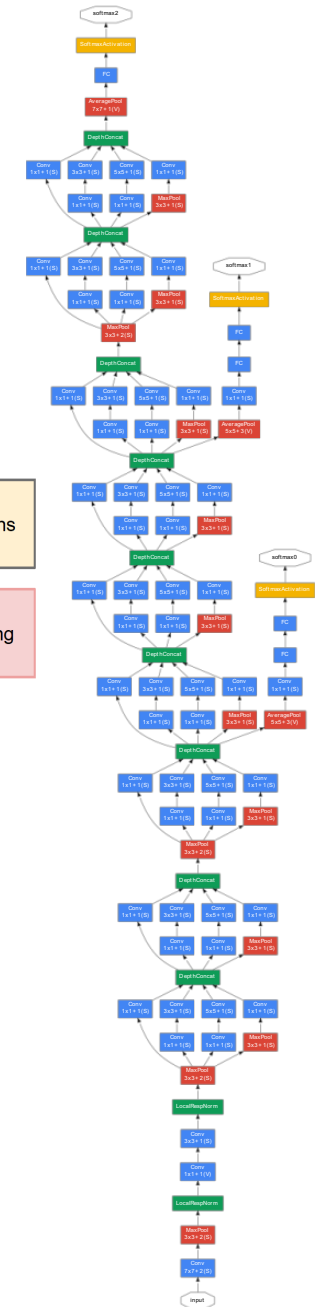
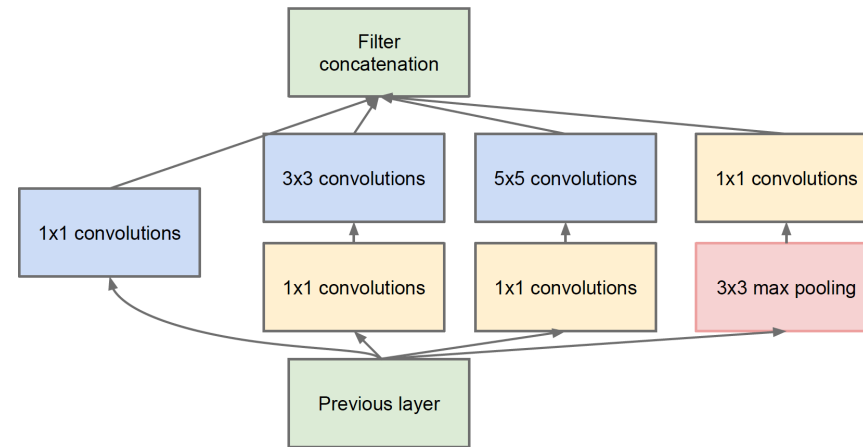


# GoogleNet/Inception

Accurate with small footprint.

My take on GoogleNets:

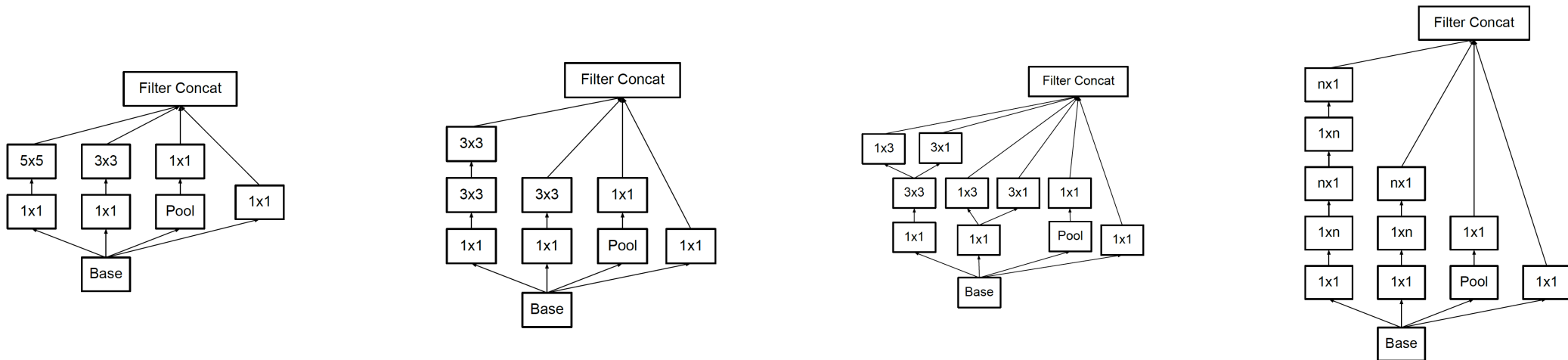
- Multiple branches
  - e.g., 1x1, 3x3, 5x5, pool
- Shortcuts
  - stand-alone 1x1, merged by concat.
- Bottleneck
  - Reduce dim by 1x1 before expensive 3x3/5x5 conv



# GoogleNet/Inception v1-v3

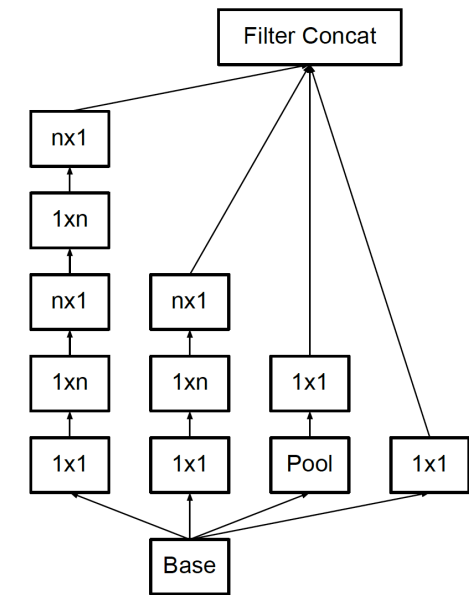
More templates, but the same 3 main properties are kept:

- Multiple branches
- Shortcuts (1x1, concate.)
- Bottleneck



# Batch Normalization (BN)

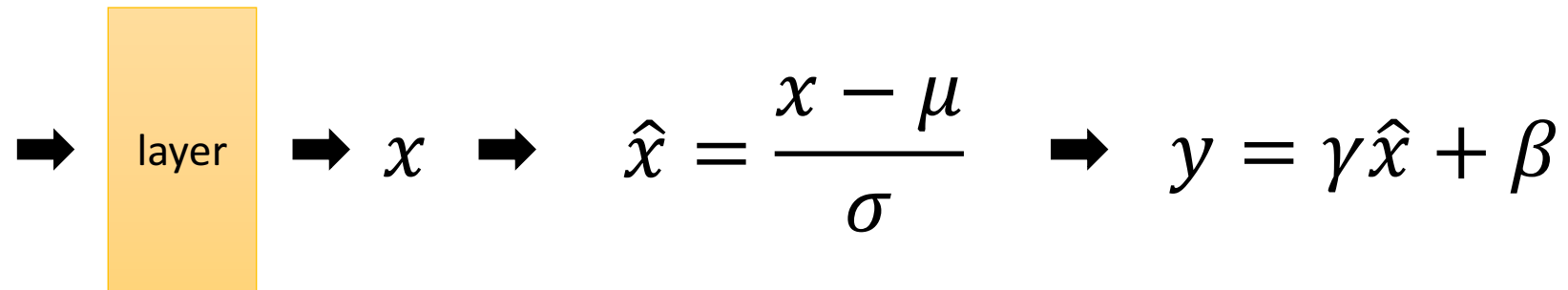
- Recap: Xavier/MSRA init are not directly applicable for multi-branch nets
- Optimizing multi-branch ConvNets largely benefits from BN
  - including all Inceptions and ResNets



# Batch Normalization (BN)

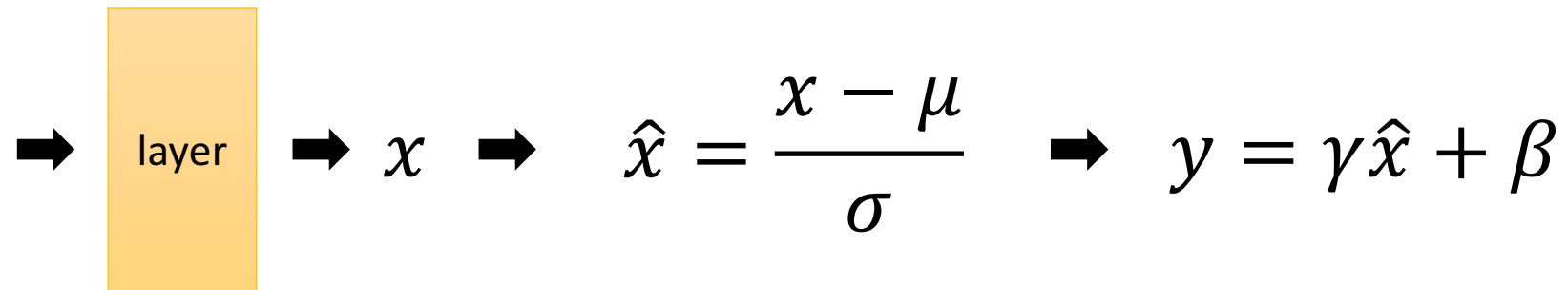
- Recap: Normalizing image input (LeCun et al 1998 “Efficient Backprop”)
- Xavier/MSRA init: Analytic normalizing each layer
- BN: data-driven normalizing each layer, for **each mini-batch**
  - Greatly accelerate training
  - Less sensitive to initialization
  - Improve regularization

# Batch Normalization (BN)



- $\mu$ : mean of  $x$  in **mini-batch**
- $\sigma$ : std of  $x$  **in mini-batch**
- $\gamma$ : scale
- $\beta$ : shift
- $\mu, \sigma$ : functions of  $x$ , analogous to responses
- $\gamma, \beta$ : parameters to be learned, analogous to weights

# Batch Normalization (BN)



2 modes of BN:

- Train mode:
  - $\mu, \sigma$  are functions of a batch of  $x$
- Test mode:
  - $\mu, \sigma$  are pre-computed\* on training set

**Caution:** make sure your BN usage is correct!  
(this causes many of my bugs in my research experience!)

\*: by running average, or post-processing after training

# Batch Normalization (BN)

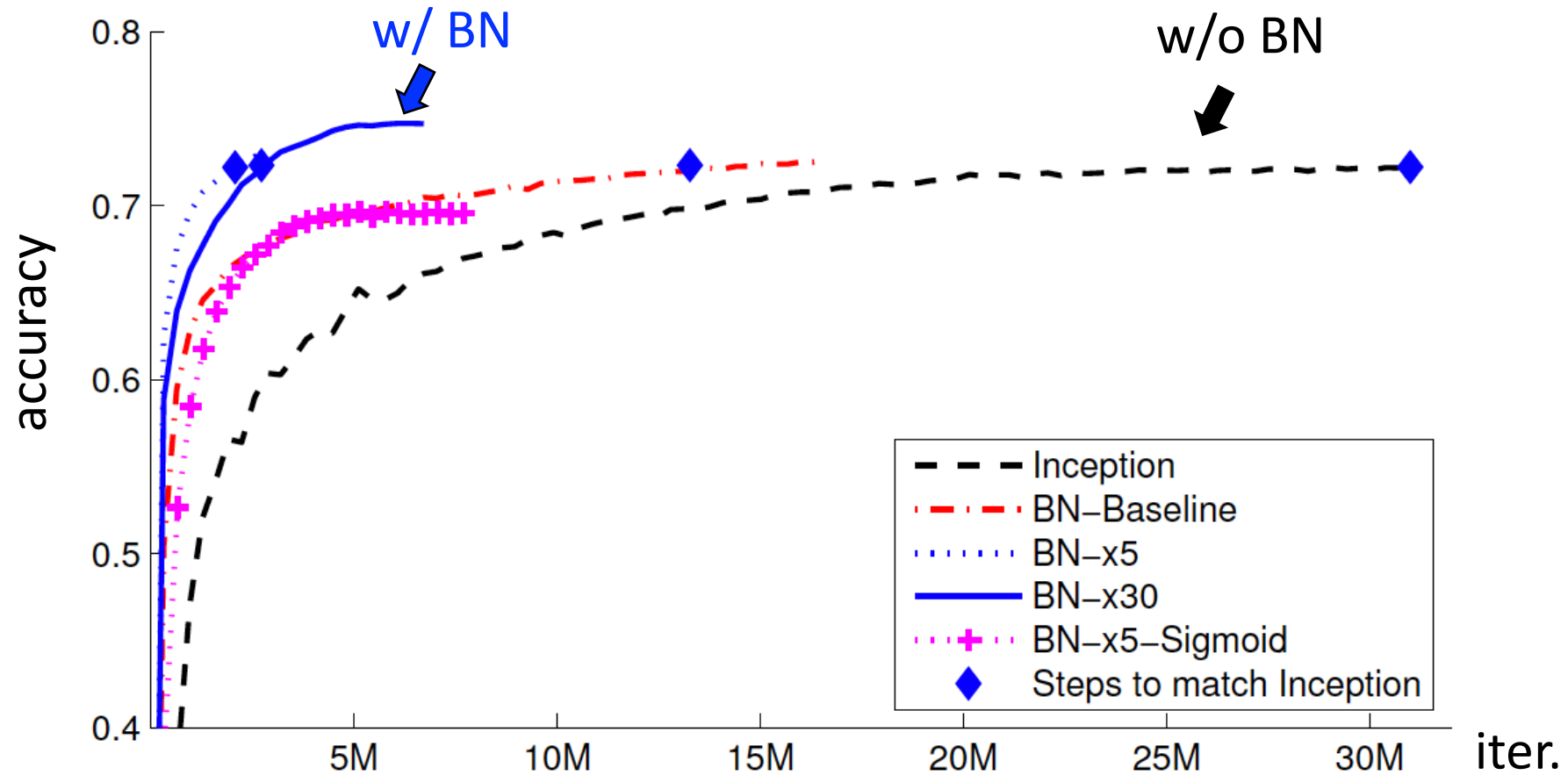
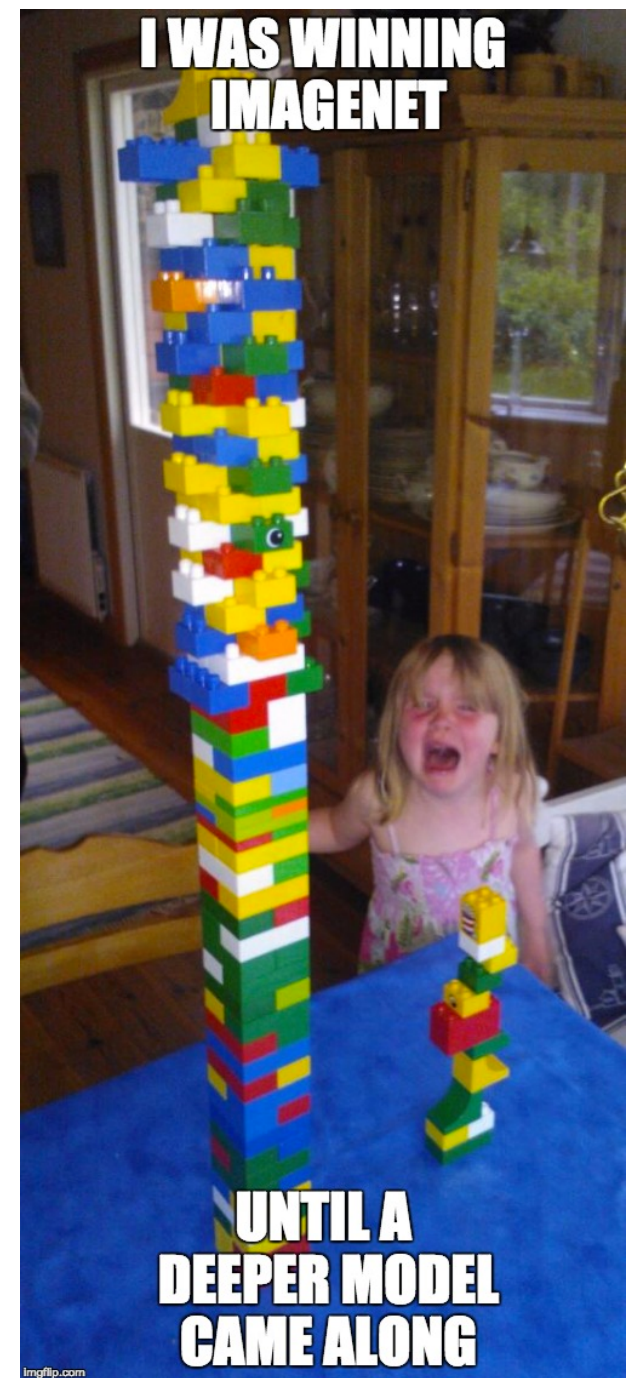


Figure credit: Ioffe & Szegedy

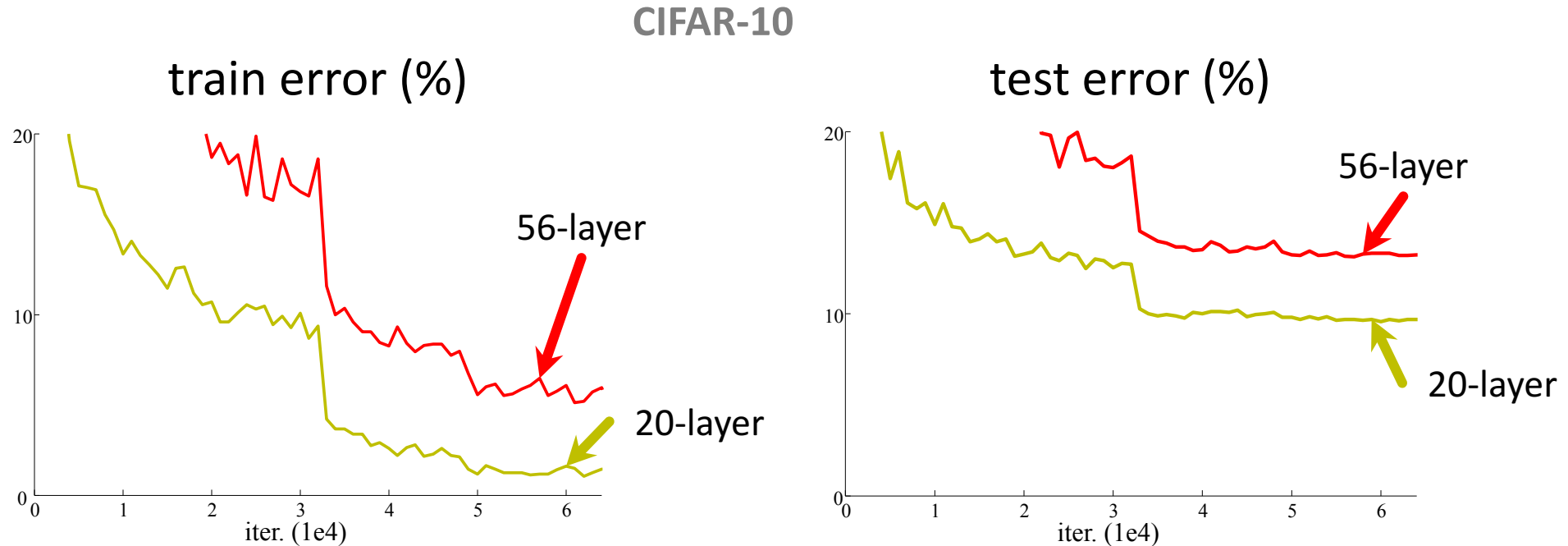
# ResNets



Credit: ???

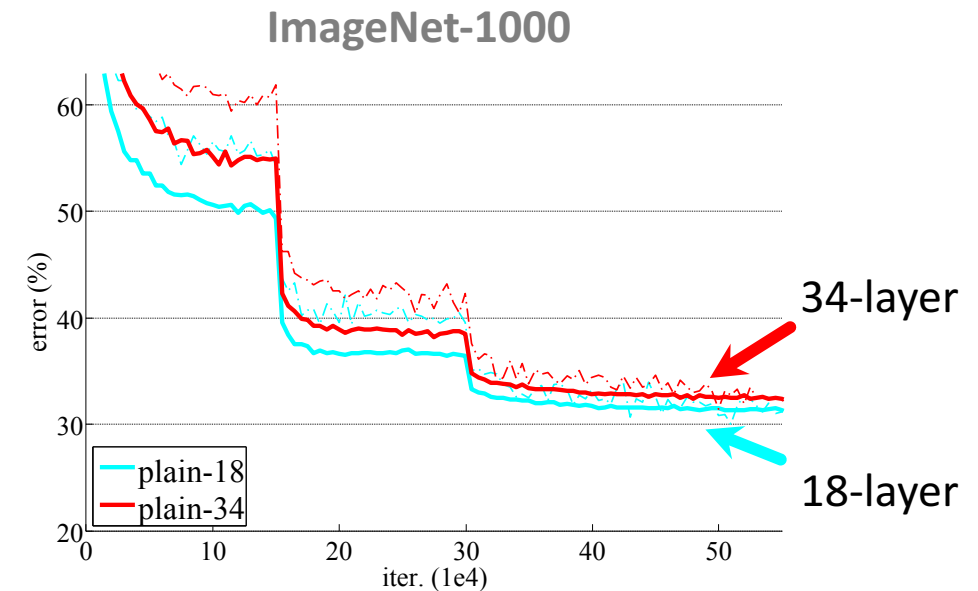
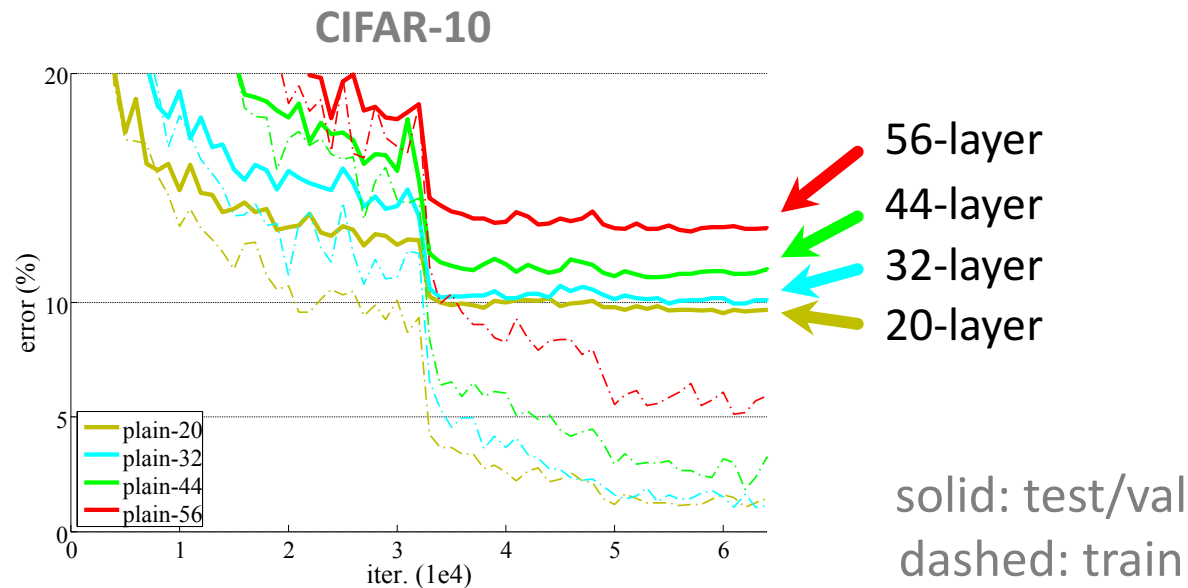


# Simply stacking layers?



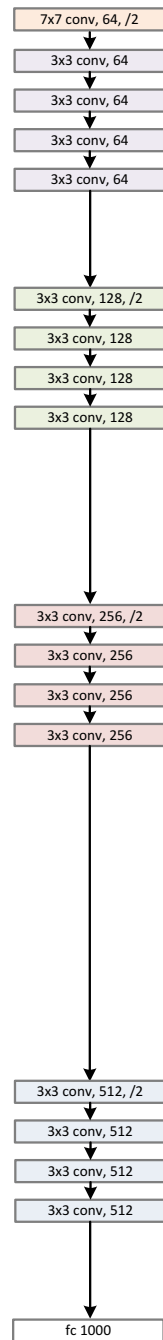
- *Plain* nets: stacking 3x3 conv layers...
- 56-layer net has **higher training error** and test error than 20-layer net

# Simply stacking layers?

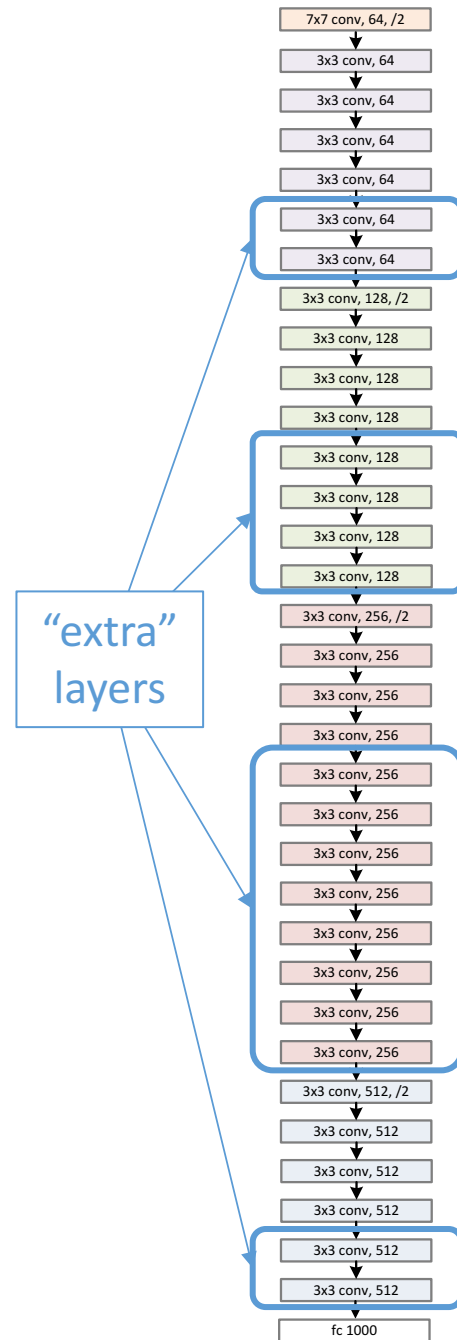


- “Overly deep” plain nets have **higher training error**
- A general phenomenon, observed in many datasets

a shallower model  
(18 layers)



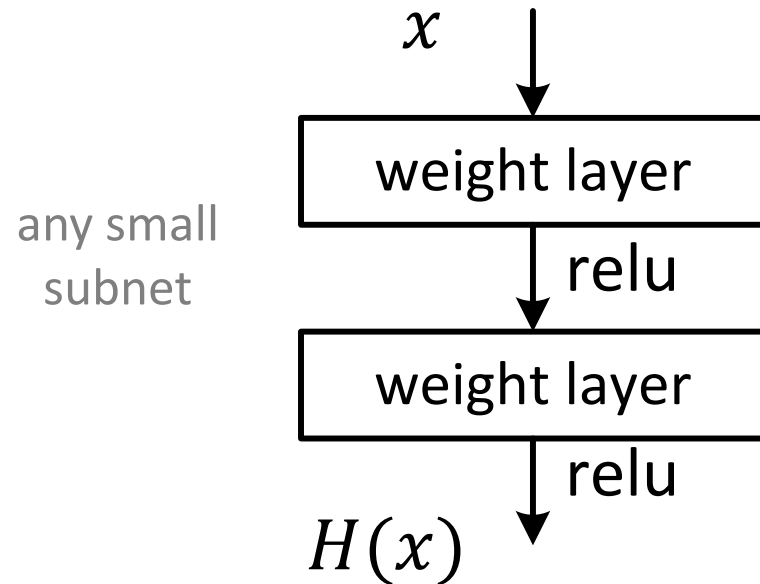
a deeper counterpart  
(34 layers)



- Richer solution space
- A deeper model should not have **higher training error**
- A solution *by construction*:
  - original layers: copied from a learned shallower model
  - extra layers: set as **identity**
  - at least the same training error
- **Optimization difficulties**: solvers cannot find the solution when going deeper...

# Deep Residual Learning

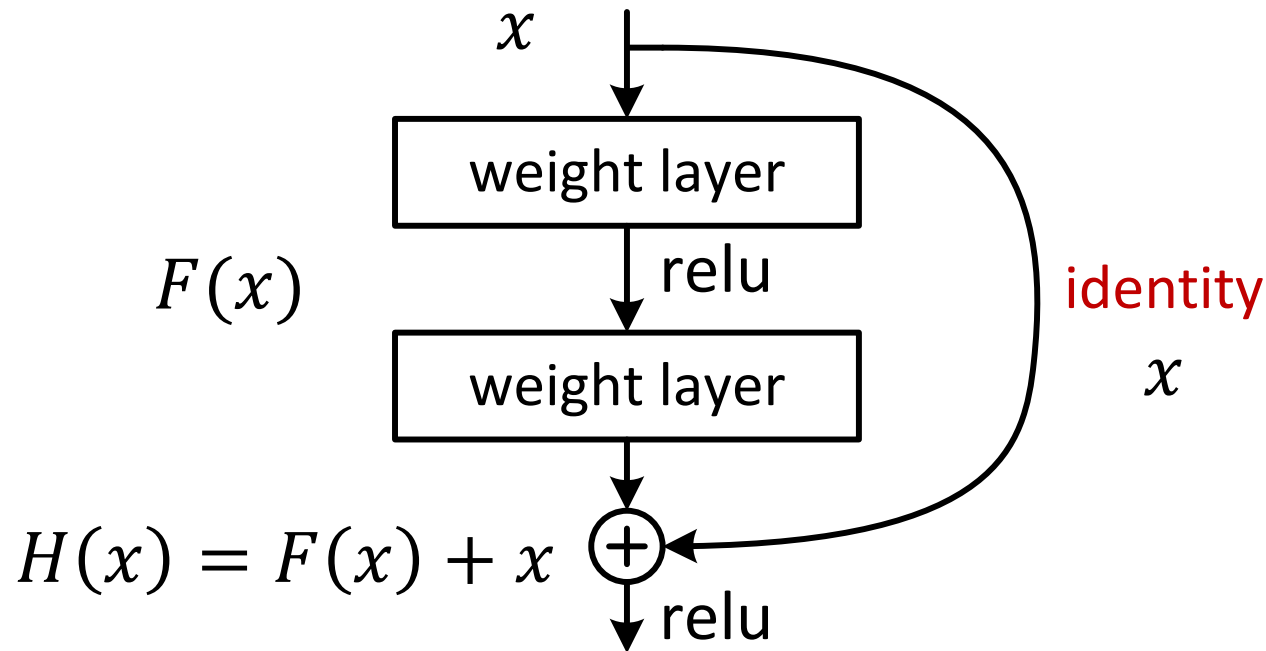
- Plain net



$H(x)$  is any desired mapping,  
hope the small subnet fit  $H(x)$

# Deep Residual Learning

- Residual net



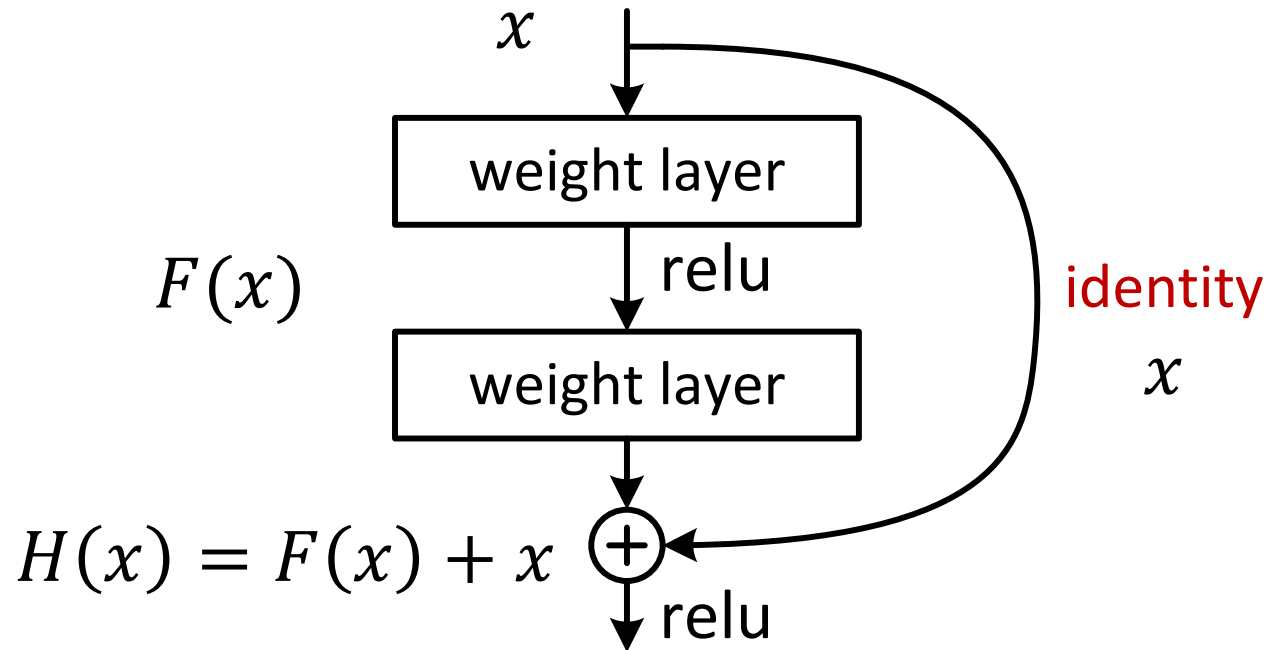
$H(x)$  is any desired mapping,  
~~hope the small subnet fit  $H(x)$~~

hope the small subnet fit  $F(x)$

$$\text{let } H(x) = F(x) + x$$

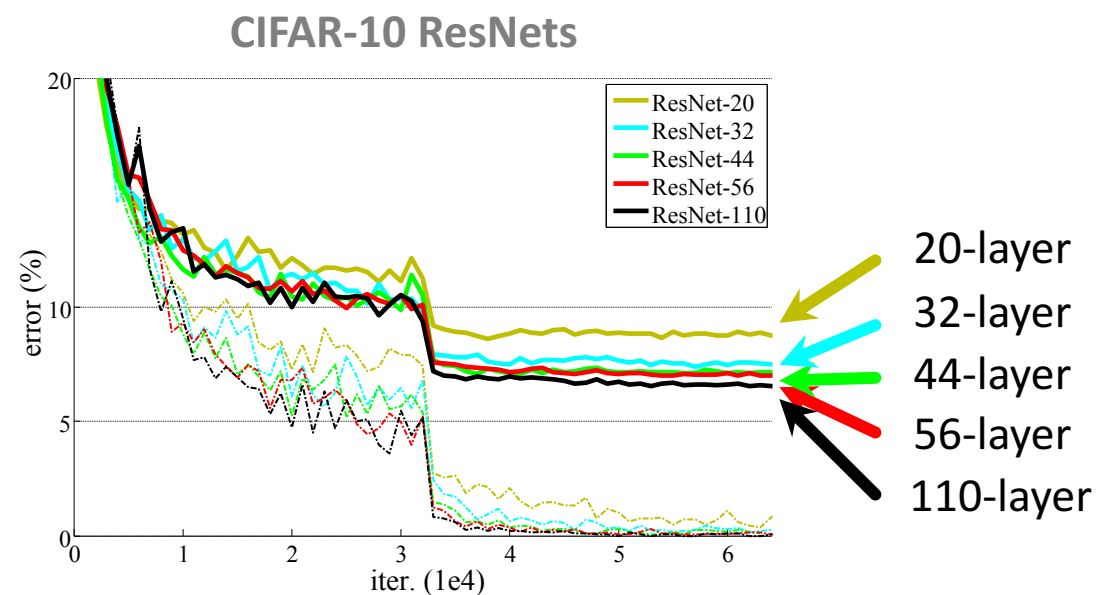
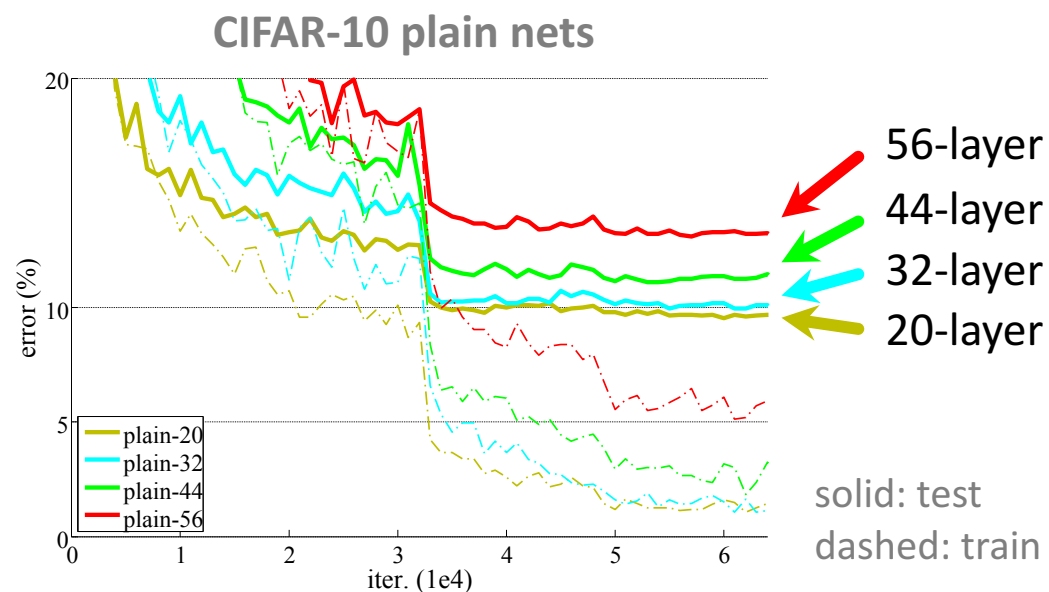
# Deep Residual Learning

- $F(x)$  is a **residual** mapping w.r.t. **identity**



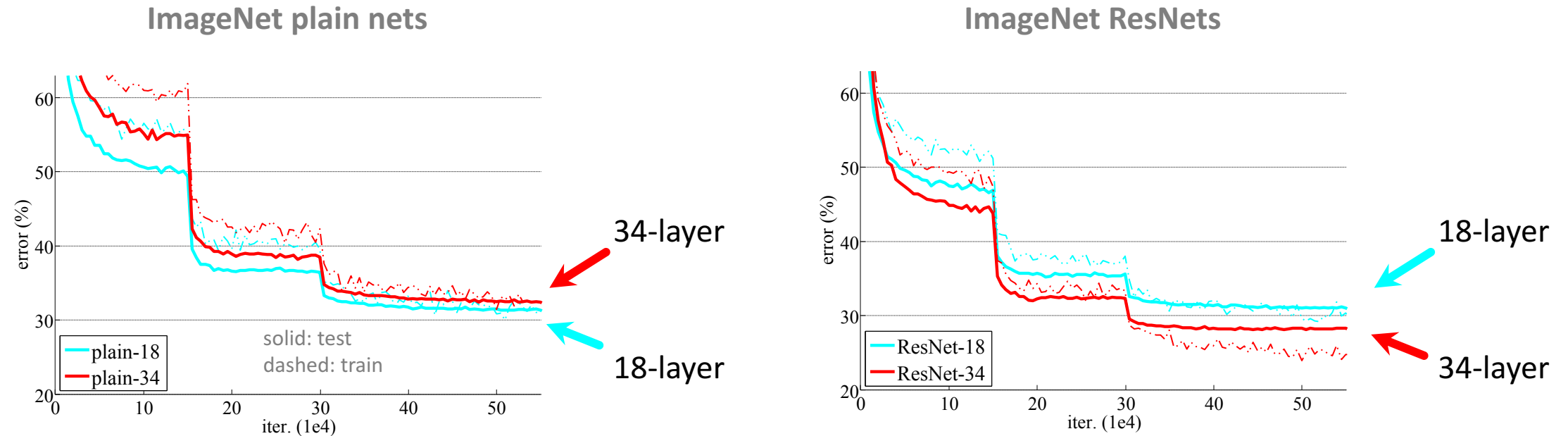
- If identity were optimal, easy to set weights as 0
- If optimal mapping is closer to identity, easier to find small fluctuations

# CIFAR-10 experiments



- Deep ResNets can be trained without difficulties
- Deeper ResNets have **lower training error**, and also lower test error

# ImageNet experiments

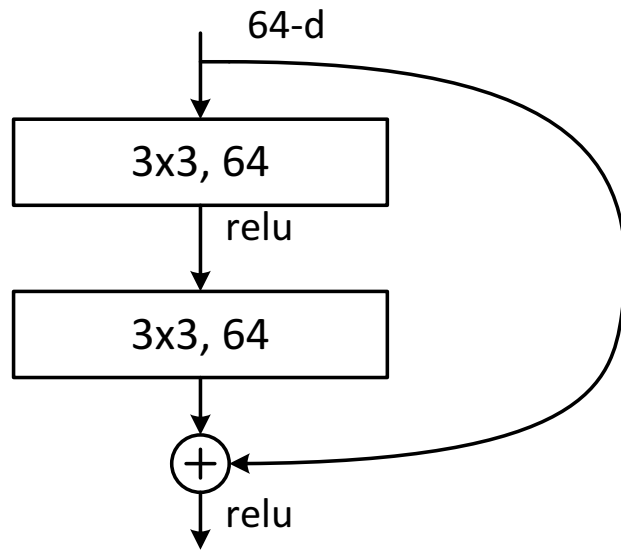


- Deep ResNets can be trained without difficulties
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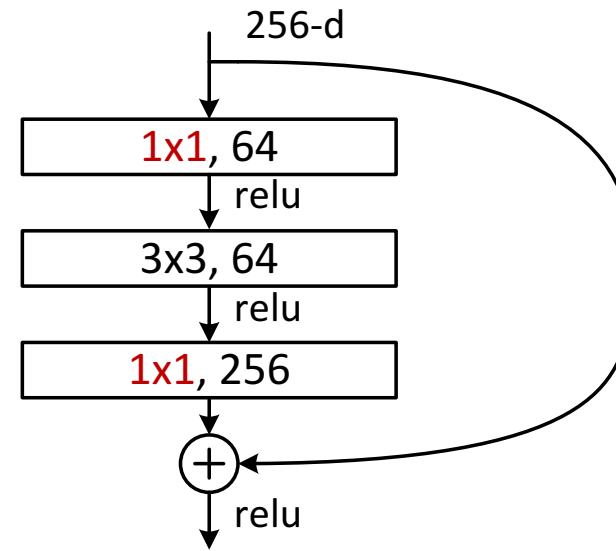


# ImageNet experiments

- A practical design of going deeper



all-3x3



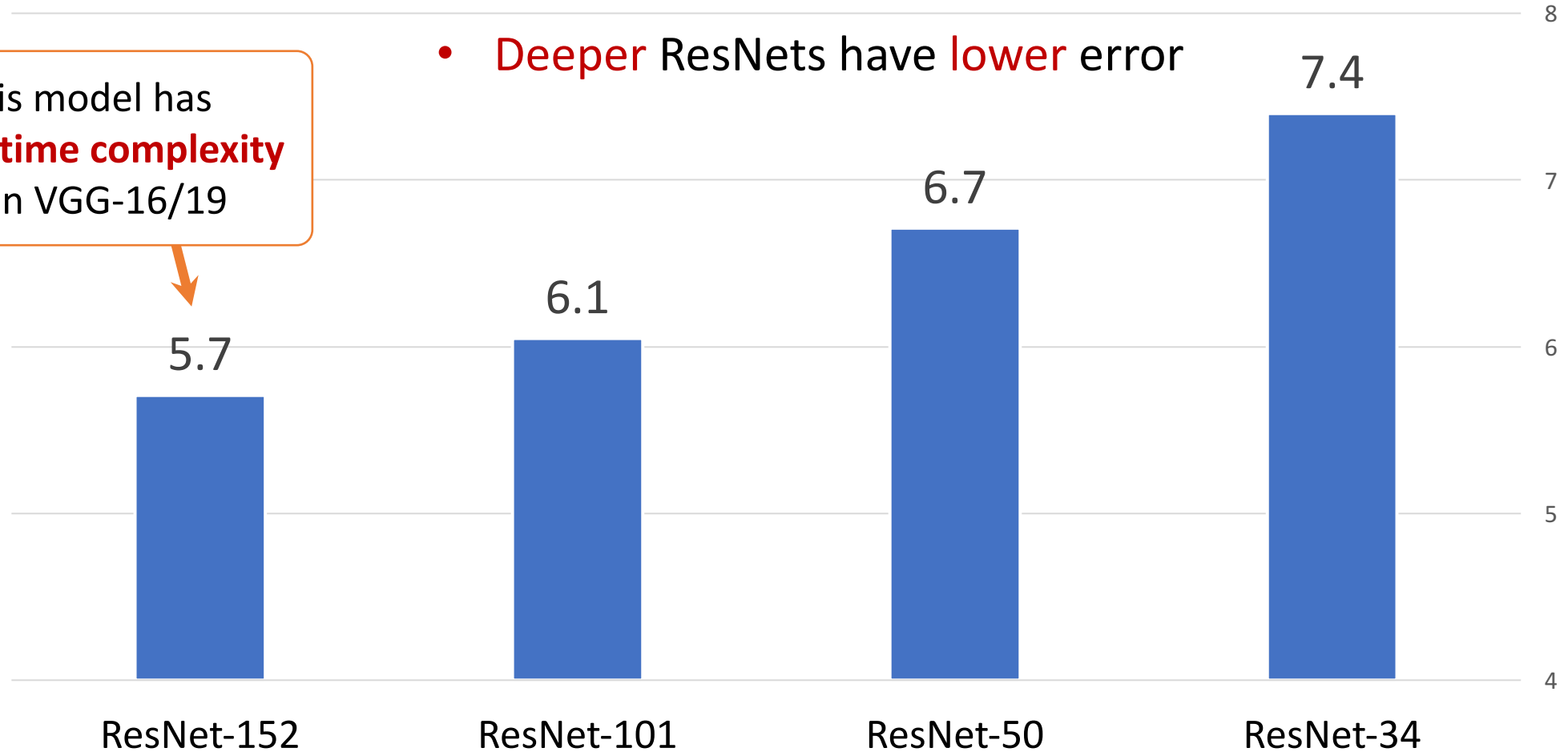
**bottleneck**

(for ResNet-50/101/152)

# ImageNet experiments

- Deeper ResNets have **lower** error

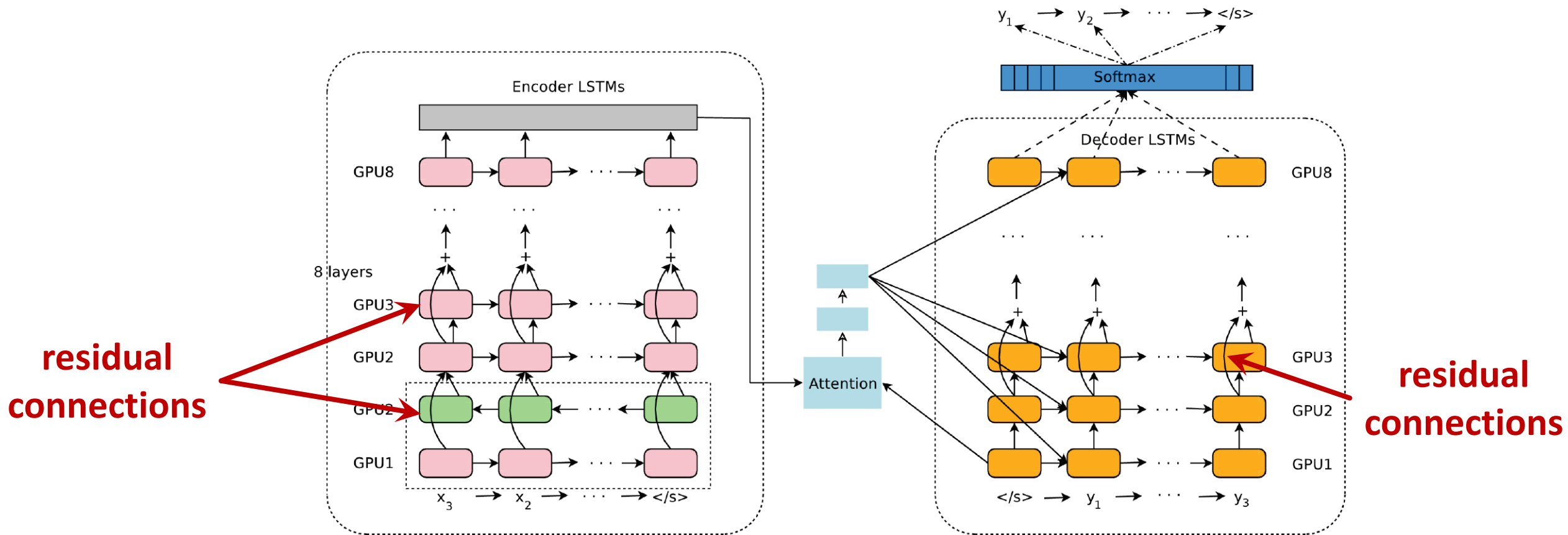
this model has **lower time complexity** than VGG-16/19



**10-crop** testing, top-5 val error (%)

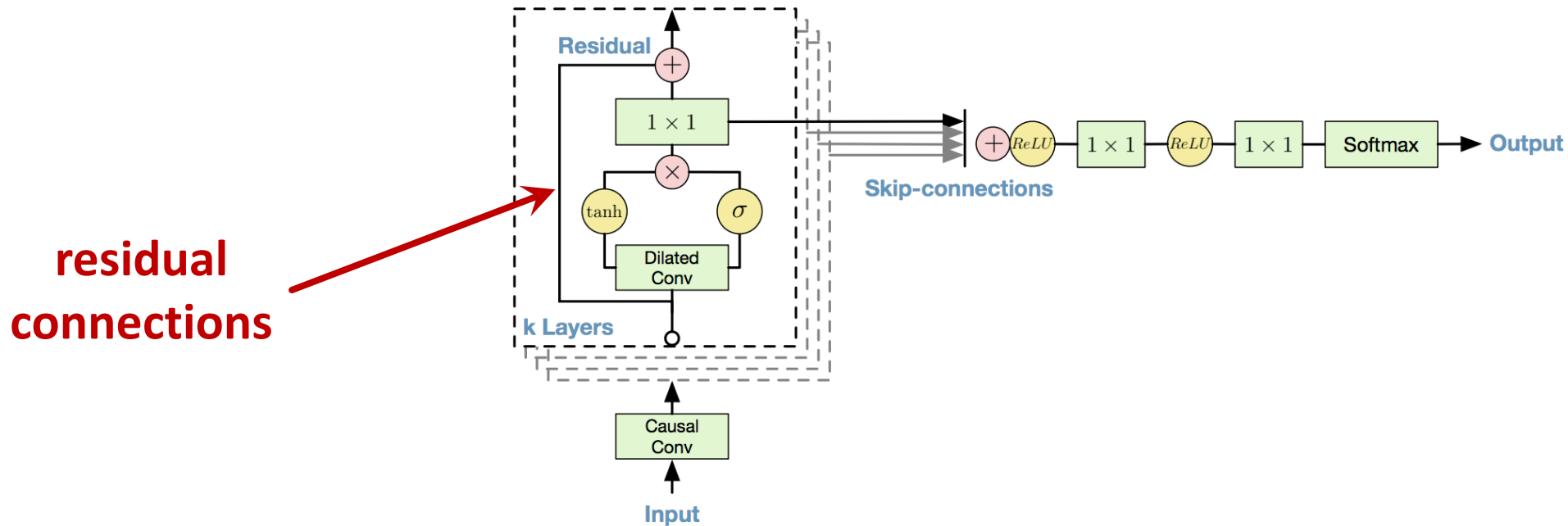
# ResNets beyond computer vision

- **Neural Machine Translation (NMT): 8-layer LSTM!**



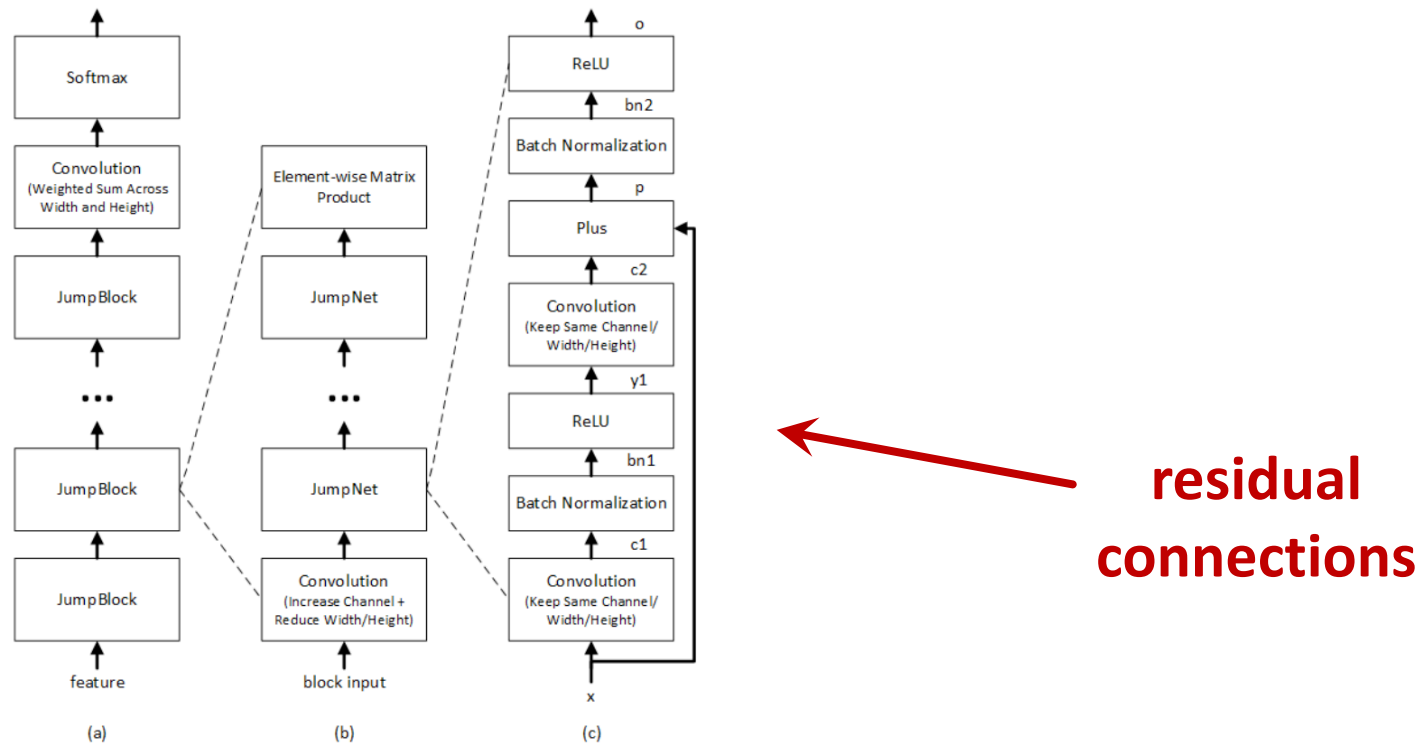
# ResNets beyond computer vision

- **Speech Synthesis (WaveNet):** Residual CNNs on 1-d sequence



# ResNets beyond computer vision

- **Speech Recognition** – Residual CNNs on 1-d sequence



# ResNeXt

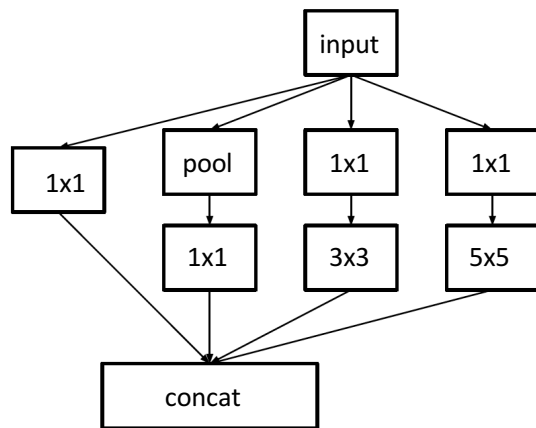
to be presented in CVPR 2017

“Aggregated Residual Transformations for Deep Neural Networks”

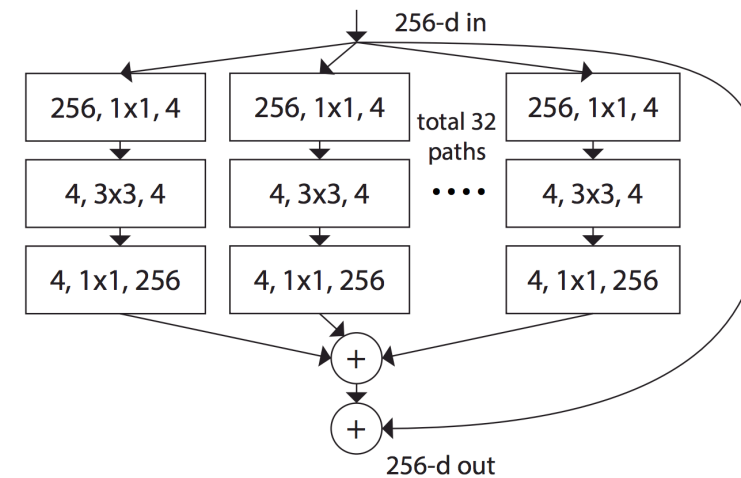
Saining Xie, Ross Girshick, Piotr Dollár, Zhuowen Tu, and Kaiming He.

# Multi-branch

- (Recap): shortcut, bottleneck, and multi-branch



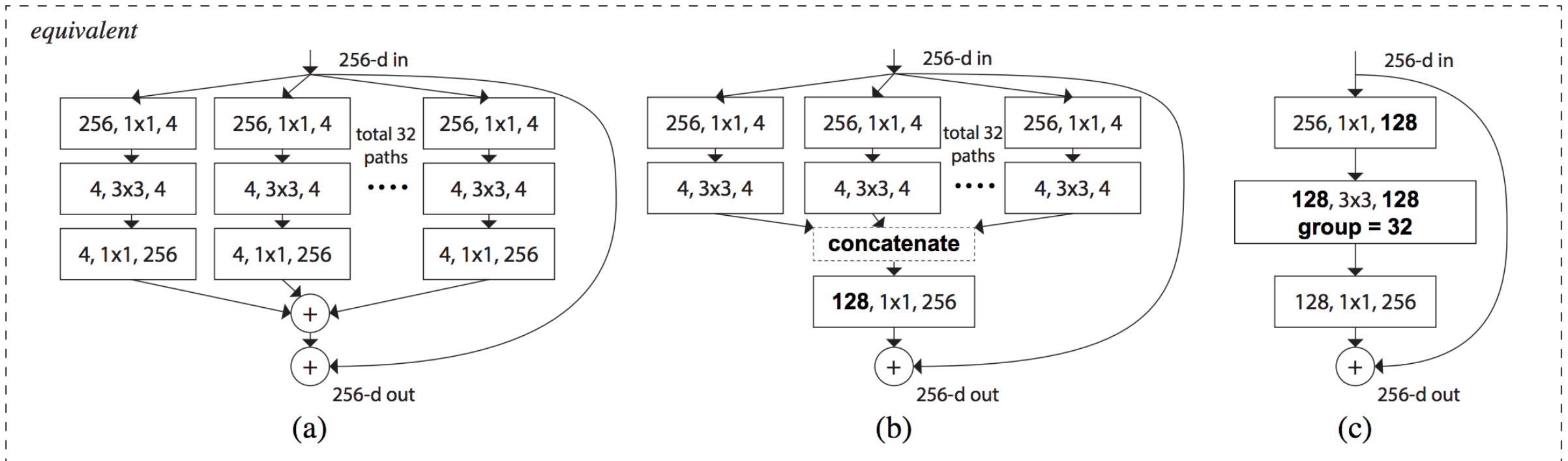
**Inception:**  
heterogeneous multi-branch



**ResNeXt:**  
uniform multi-branch

# ResNeXt

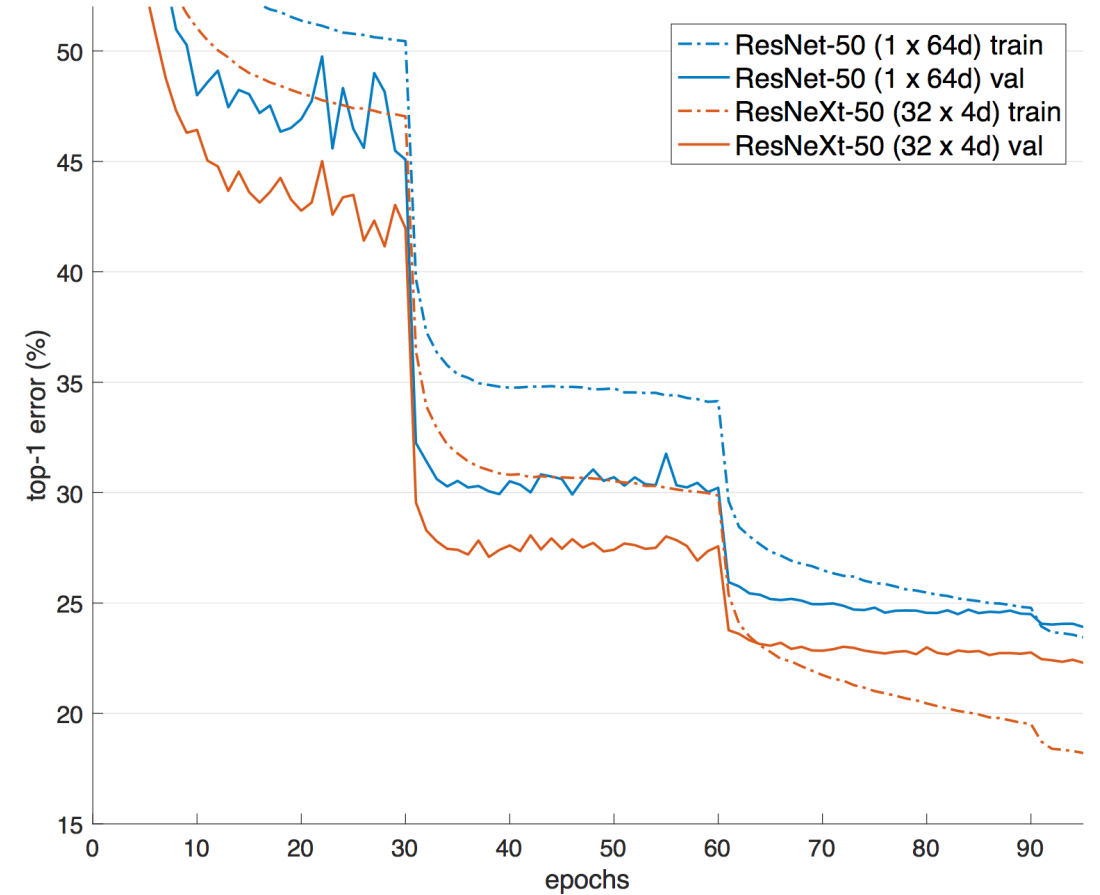
- **Concatenation** and **Addition** are **interchangeable**
  - General property for DNNs; not only limited to ResNeXt
- Uniform multi-branching can be done by **group-conv**





# ResNeXt

- Better accuracy
  - when having the same FLOPs/#params as ResNet
- Better trade-off of larger models



# ResNeXt for Mask R-CNN

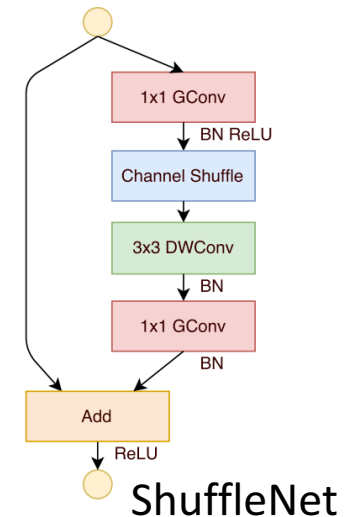
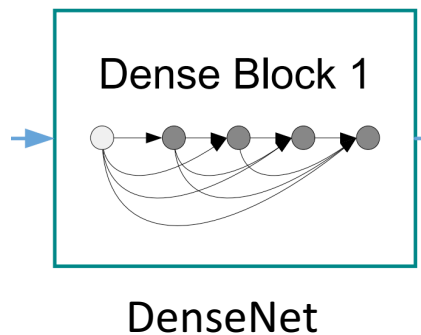
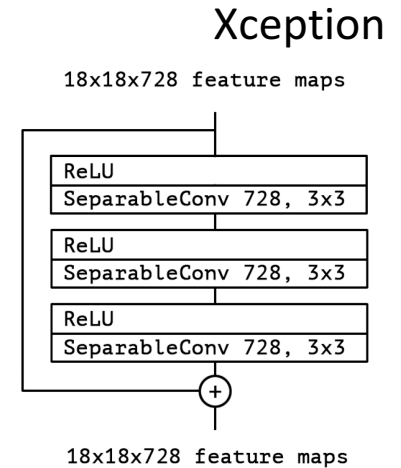
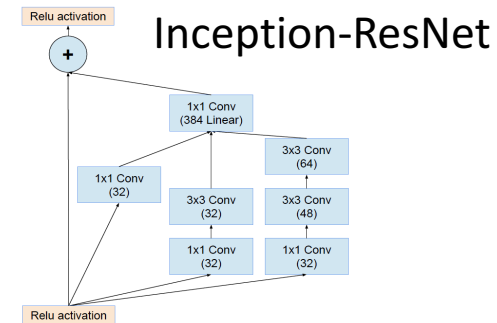
	backbone	AP <sup>bb</sup>	AP <sup>bb</sup> <sub>50</sub>	AP <sup>bb</sup> <sub>75</sub>	AP <sup>bb</sup> <sub>S</sub>	AP <sup>bb</sup> <sub>M</sub>	AP <sup>bb</sup> <sub>L</sub>
Faster R-CNN+++ [19]	ResNet-101-C4	34.9	55.7	37.4	15.6	38.7	50.9
Faster R-CNN w FPN [27]	ResNet-101-FPN	36.2	59.1	39.0	18.2	39.0	48.2
Faster R-CNN by G-RMI [21]	Inception-ResNet-v2 [37]	34.7	55.5	36.7	13.5	38.1	52.0
Faster R-CNN w TDM [36]	Inception-ResNet-v2-TDM	36.8	57.7	39.2	16.2	39.8	<b>52.1</b>
Faster R-CNN, RoIAlign	ResNet-101-FPN	37.3	59.6	40.3	19.8	40.2	48.8
<b>Mask R-CNN</b>	ResNet-101-FPN	38.2	60.3	41.7	20.1	41.1	50.2
<b>Mask R-CNN</b>	ResNeXt-101-FPN	<b>39.8</b>	<b>62.3</b>	<b>43.4</b>	<b>22.1</b>	<b>43.2</b>	51.2

**ResNeXt improves 1.6 bbox AP (and 1.4 mask AP) on COCO**

**Feature still matters!**

# More architectures (not covered in this tutorial)

- Inception-ResNet [Szegedy et al 2017]
  - Inception as transformation + residual connection
- DenseNet [Huang et al CVPR 2017]
  - Densely connected shortcuts w/ concat.
- Xception [Chollet CVPR 2017], MobileNets [Howard et al 2017]
  - DepthwiseConv (i.e., GroupConv with #group=#channel)
- ShuffleNet [Zhang et al 2017]
  - More Group/DepthwiseConv + shuffle
- .....



# Training ImageNet in 1 Hour

- 256 GPUs
- 8,192 mini-batch size
- ResNet-50
- **No loss of accuracy**

## Key factors

- Linear scaling learning rate in minibatch size
- Warmup
- Implement things correctly in multiple GPUs/machines!

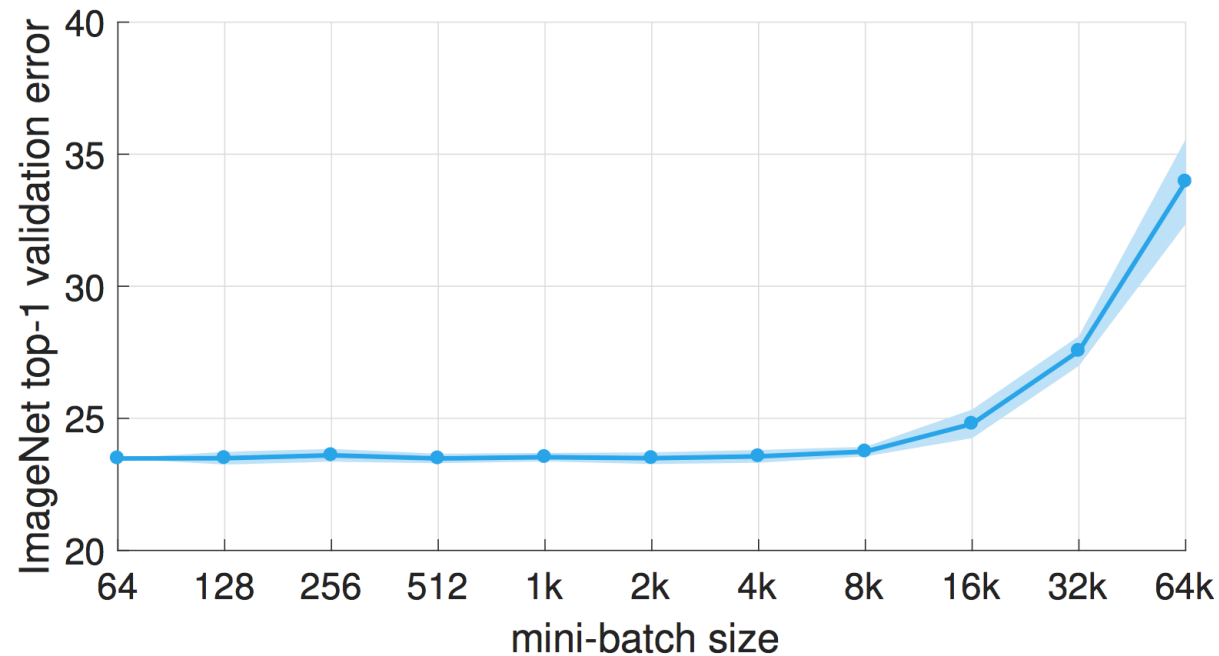
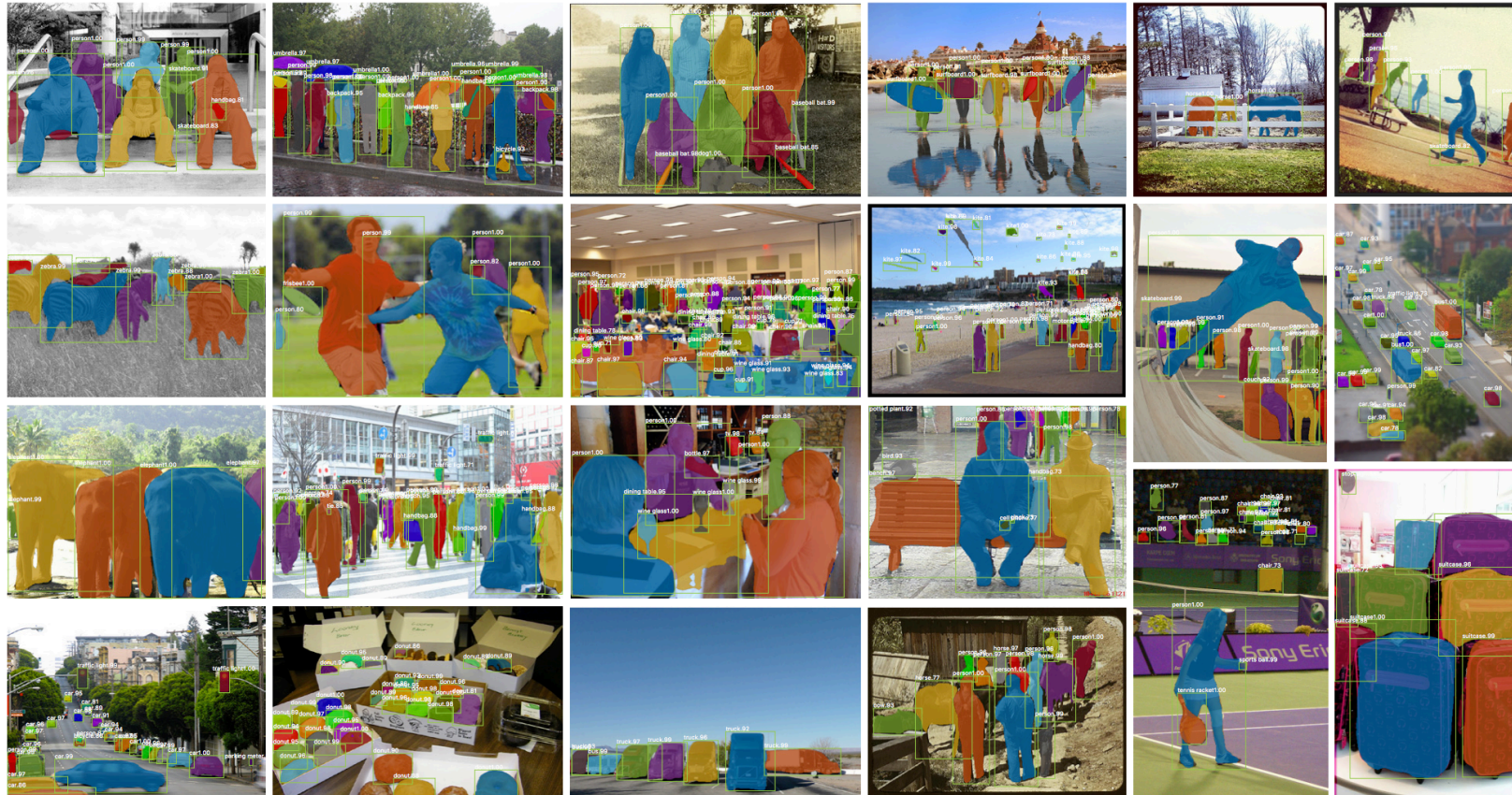


Figure 1. **ImageNet top-1 validation error vs. minibatch size.**

# Conclusion: Features Matter!



**Deep features** empower amazing visual recognition results  
(Mask R-CNN w/ ResNet101; more in next talk)