# Batch Mode Sparse Active Learning

Lixin Shi, Yuhang Zhao Tsinghua University

### Our work

- Propose an unified framework of batch mode active learning
- Instantiate the framework using classifiers based on sparse representation (BMSAL)
- Explore the reliability of BMSAL in different data sets

# Outline

- \* Active Learning
- \* BMSAL\* Experiments
- \* Future Work

# Why active learning

- \* Labeling is Expensive
- Which to be labeled is curial



### Framework

- \* To Reduce the unreliability of random labeling
- BMAL(Batch Mode Active Learning)
   Framework



Given a set 5 of almost unlabeled samples and desired size K, find a set of K samples which are most informative

# How?

- \* Existing Heuristics
  - \* Most uncertainty
  - \* Closest to SVM decision boundary
  - \* Maximizing Fisher Information Matrix

\* But

\* ...

- \* Is heuristics reliable?
- \* Are there any *unified* framework?

### Classifiers: a review

- Classifiers are well-founded and welllearned
  - \* SVM, KNN, .....
- They could be restated as: given an objective function *f*, we want to find class *c\**, s.t.

 $c^* = \arg \min f_c(s)$ where  $s \in S$  is the sample to be classified

### Correspondence

#### \* Correspondence:

BMAL is to choose the sample set best minimizing the corresponding classifier function *f* for any possible labeling

$$\arg\min_{|D|=k} \left\{ \mathbb{E}_{D\text{'s label}} \left( \sum_{s \in S} \mathbb{E}_{\text{class } c} f_c(s) \right) \right\}$$

If distribution is not available:

 $\arg\min_{|D|=k} \left\{ \max_{D'\text{s label}} \left( \sum_{s \in S} \min_{\text{class } c} f_c(s) \right) \right\}$ 

# Outline

- \* Active Learning\* BMSAL
- \* Experiments\* Future Work

## BMSAL

# BMSAL is an instance of BMAL corresponding to sparse classifiers

# Linear Subspace Assumption

- Samples in the same class forms a linear subspace with very small dimension
- Different classes forms disjoint subspaces

#### \* Sparse Representation

Columns are bases of theseSparseA given Samplesubspaces, i.e.  $A = [\beta_1 \ \beta_2 \ \cdots \ \beta_n]$ Representation(without noise)

 $\alpha$  is the sparsest solution: Non-zero entries are only those correspond to the bases of the class

α

X

# Sparse Classifiers (I)

#### \* L1 (I1-minimization)

\* Approximation  $\alpha * \text{is sparsest} \Leftrightarrow \alpha * = \arg \min \|\alpha\|_{0}^{\text{Aprox}} \Leftrightarrow \alpha * = \arg \min \|\alpha\|_{1}^{1}$ \* L1 classifier select class c\* that minimizes:  $f_{c}(x) = \|A \cdot (\widehat{\delta_{c}}(\alpha^{*}) - x\|_{1}^{1}), \text{ where } \alpha^{*} = \arg \min \{\|\alpha\|_{1} : x = A\alpha\}$ All entries are 0, except that entries corresponding to the bases of class c are same with  $\alpha^{*}$ 

L1 classifier finds the class that minimizes the error when representing x using the sparsest solution

# Sparse Classifiers (II)

#### \* NS (Nearest Subspace)

- Approximation to L1: the sparsest solution of x has the same projection as x itself onto the subspace of the class that x belongs to
- \* NS selects class c\* that minimizes

 $f_c(x) = \left\| A \cdot \delta_c(\alpha^*) - x \right\|_1 \approx \left\| A x_c - x \right\|_1$ 

 $\underline{x}_{c}$  is projection of x onto subspace of class c

NS classifier finds the class whose subspace is nearest to x

# Sparse Classifiers (III)

- \* NN (Nearest Neighbor)
  - Approximation to NS: The projection of x should be the same with the base closest to x
  - \* NN selects class c\* that minimizes

$$f_{c}(x) = \|A \cdot x_{c} - x\|_{1} \approx \|Ab_{c} - x\|_{1}$$

 $b_c$  is the base vector of the subspace corresponding to class c and which minimizes the distance to x

NN classifier finds the class whose subspace has a base vector with minimized distance to x

### BMSAL

#### \* Corresponding Objective functions

Kind Sparse Classifier

**BMSAL** 

L1 
$$f_c(x) = \|A \cdot \delta_c(\alpha^*) - x\|_1 g(D) = \sum_{x \in S} \min\{\|\alpha\|_1 : D\alpha = x\}$$
  
NS  $f_c(x) = \|Ab_c - x\|_1$   $g(D) = \sum_{x \in S} \|x - DD^*x\|_2^2$   
NN  $f_c(x) = \|Ax_c - x\|_1$   $g(D) = \sum_{x \in S} \min_{b \in D} \|x - b\|_2^2$ 

BMSAL: choose columns of D to minimize g(D)

# **BMSAL:** Shared Properties

#### \* Monotonic

 The objective function g decreases as the number of selected samples to be labeled increases

#### \* (Approx) Submodularity

 The speed that g decreases will get slower (with bounded errors) when number of samples to be labeled increases

Proofs could be found in the paper

# **BMSAL:** Algorithms

- Due to the shard properties, we can get a greedy algorithm, with bounded error rate ~ (1-1/e)
- We further optimize the greedy algorithm for large-scale data sets

Proofs could be found in the paper

# Outline

- \* Active Learning\* BMSAL
- \* Experiments
- \* Future Work

### Experiments

#### \* Two Goals:

- \* Provide empirical evidence about the performance of BMSAL
- Check the performance of sparse representation based BMSAL in non-linear data sets that does NOT satisfy the linear subspace assumption

# Synthetic Data Set

#### \* Setup

- \* Binary Classification in the two-spirals data
- \* Methods:
  - \* L1-BMSAL + L1 \* NS-BMSAL + NS \* NN-BMSAL + NN



# Result in Synthetic Sets

#### \* Precision Result



### Piece-wise Argument

- \* Assumption: original point is far
- Piece-wise: point in each piece could be approximately viewed as linear combination of the two ends



# Real-world Data set

- \* Document Classification sets:
  - \* UCI 20NewsGroups
  - \* WebKB
- \* Baseline
  - \* Random Choosing
  - \* Fisher Information based
  - \* SVM-based BMAL

### Result in Real-world Data



\* L1-BMSAL+L1 outperforms others \* Extensive experiments show that L1 is also reliable

# Outline

\* Active Learning
\* BMSAL
\* Experiments
\* Future Work

# Future Work

- \* Reliability of Sparse representation
  - \* We have only provide logical and empirical evidence
  - \* Provide theoretical foundations of BMSAL in non-linear application
- Exploit BMAL corresponding with other family of classifiers

