### **Today**

- ASPACE vs. TIME
- ATIME vs. SPACE
- Perspective on PSPACE
- Fortnow's Time/Space lower bound on SAT.

#### Recall Alternation

- Turing machine with two special states ∃
  and ∀, each with two outgoing transitions.
- ∃ state accepts if one outgoing path accepts.
- ∀ state accepts if both paths accept.
- Computation tree determines resources:
  - Time
  - Space
  - Alternation

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# Fundamental classes

Notation: ATISP[a, t, s].

- ATIME(t)
- ASPACE(s)
- $\bullet \ \Sigma_i^P \quad = \mathsf{ATISP}[i, poly, poly] \quad \text{starting} \quad \text{in} \\ \text{existential quantifier}.$
- $\bullet \ \Pi^P_i = \mathsf{ATISP}[i,poly,poly] \quad \text{starting} \quad \text{in} \\ \text{universal quantifier}.$
- PH =  $\bigcup_i \Sigma_i^P = \bigcup_i \Pi_i^P$ .

Last assertion follows from:

$$\Sigma_i^P \subseteq \Pi_{i+1}^P, \quad Pi_i^P \subseteq \Sigma i + 1^P$$

### Theorem 1: ATIME vs. SPACE

Lemma 1.1: ATIME(s)  $\subseteq$  SPACE(s).

Proof: Straightforward simulation, using one extra tape to record stack of  $\exists$ 's and  $\forall$ 's.

Lemma 1.2: SPACE(s)  $\subseteq$  ATIME( $s^2$ ).

Proof: As in proof of Savitch's theorem. Let TM A use space s on input x. Make Atime( $s^2$ ) machine M(c1,c2,t) to check if A goes from configuration c1 to c2 in t steps as follows:

M(c1,c2,t):  $GUESS\ c3 = config\ at\ time\ t/2$   $FORALL\ check\ M(c1,c3,t/2)$  $check\ M(c3,c2,t/2)$ .

Theorem: ATIME(poly) = PSPACE.

#### Theorem 2: ASPACE vs. TIME

Lemma 2.1: ASPACE(s) in TIME( $2^{O(s)}$ )

Proof: Make circuit corresponding to ASPACE computation:

- Gates = (C,i): C = config, i = time $\in [1, 2^s].$
- Wires  $= (C', i + 1) \rightarrow (C, i)$  if C has arrow pointing to C'. Gates at depth  $2^s$  with incoming arrows labelled REJ. Gates labelled ACC/REJ if configuration is accepting/rejecting. Gates label OR/AND depending on their type  $\exists/\forall$  etc.
- Gives circuit of size  $2^s$  accepts iff computation accepts.

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### Theorem 2: ASPACE vs. TIME (contd.)

Lemma 2.2:  $Time(2^s)$  in ASPACE(O(s))

Proof: Suffices to build machine M that checks if A, on input x, has contents sigma on cell i of configuration after t steps.

M(i,t,sigma): GUESS r1,r2,r3 contents of cells i-1, i, i+1 at time t-1. Verify (r1,r2,r3,sigma) is consistent FORALL M(i-1,t-1,r1); M(i,t-1,r2);M(i+1,t-1,r3);

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### Computational philosophy

Comparing candidates for an election: Three options:

- Candidates don't get to campaign. We make our own decisions based on our own information
- Candidates get to write a (bounded) position paper/single page ad campaign.
- Candidates are invited to debate.

What is a better system?

## Computational philosophy (contd).

Computer scientist's take: How complex a language can the system prove membership in?

Say thesis is  $x \in L$ ? The masses need to be convinced. How powerful can L be under these scenarios.

Model: Masses/audience polytime as computation.

- Zero input from candidates:  $L \in P$ .
- Fixed input from candidates:  $L \in NP$ .
- Full fledged debate between candidates:  $L \in PSPACE$ .

### **Debate systems**

 $\label{eq:use-pace} \mbox{Use characterization PSPACE} = \mbox{ATIME}(\mbox{poly}).$ 

Candidates E ( $\exists$ ) and U  $\forall$ :

E candidate claims  $x \in L$ . U candidate claims  $x \notin L$ . Every time TM comes to  $\exists$  state, E tells us which way to go.  $\forall$  state U tells us which way to go. Audience watches the debate, and at the end makes its own conclusion on whether  $x \in L$  or not, based on TM's final state.

**Complexity of Games** 

- Typical 2-person game: can evaluate if current position is already won or not; but hard to guess what will happen if we can find optimal strategies.
- For any such game (where win/loss depends only on current configuration and not on history), complexity of deciding who can win is in PSPACE.
- For some games (such as GO/Generalized Geog.), deciding who can win is PSPACE complete. (Again proven using ATIME(poly) = PSPACE.)

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### A PSPACE complete problem

 $\mathsf{TQBF} = \{\phi | \exists \mathbf{x}_1, \forall \mathbf{x}_2, \dots, Q_n \mathbf{x}_n, \phi(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n)\}$ 

- $\mathbf{x}_i$  vector of *n*-variables  $x_{i,1}, \ldots, x_{i,n}$ .
- ullet  $\phi$  2CNF formula on  $n^2$  variables.
- $Q_i$ : alternating quantifiers;  $Q_i = \exists$  if i odd, and  $Q_i = \forall$  if i even.

Proposition: TQBF is PSPACE complete.

Proof: Uses ATIME(poly) = PSPACE.

#### **Power of Alternation**

- Basic notion.
- Captures Time/Space differently.
- Next application shows how powerful it is.

#### Fortnow's theorem

For today, will use LIN to mean the class of computations in NEARLY-LINEAR TIME:

 $LIN = \bigcup_{c} TIME(n(\log n)^n)$ .

• Belief: SAT  $\notin L$ .

• Belief: SAT  $\not\in LIN$ .

• Can't prove any of the above.

• Fortnow's theorem: Both can not be false!

#### Proof of Fortnow's theorem

- For simplicity we'll prove that if  $SAT \in Time(n \log n)$  and  $SAT \in L$  then we reach a contradiction.
- Won't give full proof: But rather give main steps, leaving steps as exercises.

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1

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### Main ideas

- Alternation simulates small space computations in little time. (Savitch).
- If NTIME(t) in co-NTIME(t log t), then alternation is not powerful.
- Formal contradiction derived from: ATIME[a,t]  $\not\subseteq$  ATIME[a-1,t/log t].

# Fortnow: Step 1

Fact 1: If L in NTIME(t), and x of length n, then can construct SAT instance phi of size  $t(n) \log t(n)$  such that x in L iff phi in SAT.

Reference: a 70's paper of Cook.

Proof: Left as exercise.

Fortnow: Step 2

Fix a(n) = sqrt(log n).

Fact 2: ATIME[a,t] is contained in NTIME[t  $(\log t)^{2a}$ ]

Proof: Induction on #alternations + Fact 1.

Fortnow: Step 3

Fact 3: If SAT in L, then NTIME[t  $(\log t)^{2a}$ ] in SPACE(log t + a log log t).

Proof: Padding

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### Fortnow: Step 4

Fact 4: SPACE[s] in ATISP[b, $2^{(s/b)}$ ,bs] in ATIME[b, $2^{(s/b)}$ ]

Proof: Exercise 3 of PS 1.

### Whither contradiction?

- If we set b = a-1 (approximated by a in our calculations), then ...
- ATIME[a,t] is contained in ATIME[b,2<sup>(logt+aloglo)</sup> which is a contradiction.