## **Today**

- Savitch's Theorem (clean(er) proof)
- Diagonalization: Power & Problems
- Relativization
- Baker-Gill-Solovay
- Introduction to Alternation

### Savitch's theorem

Thm:  $NSPACE(s(n)) \subseteq SPACE(s(n)^2)$  for  $s(n) > \log n$ 

Proof steps:

Lemma 1: S-T-Connectivity is in  $Log^2$  Space.

Lemma 2: Lemma 1 suffices.

Proof of Lemma 2: NSPACE(s(n)) corresponds to determining s-t-connectivity in graph of size  $2^{s(n)}$ .

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## **Proof of Lemma 1**

Algorithm  $STCONN(G, s, t, \ell)$ 

- Determines if  $\exists$  path of length  $\leq \ell$  from s to t in G.
- $\begin{tabular}{ll} \bullet & {\sf Compute} \ G^2 = {\sf graph} \ {\sf with} \ {\sf same} \ {\sf vertex} \ {\sf set} \\ {\sf as} \ G \ {\sf where} \ u \leftrightarrow v \ {\sf if} \ {\sf distance} \ {\sf from} \ u \ {\sf to} \ v \\ & \leq 2 \ {\sf in} \ G. \\ \end{tabular}$
- Return STCONN $(G^2, s, t, \ell/2)$

Inductively claim: takes space  $\log \ell \cdot \log n$ .

Crucial step in proof similar to Lemma 3.

Lemma 3: If  $L_1 \leq_{s_1} L_2$  and  $L_2$  in  $\mathsf{SPACE}(s_2)$  then  $L_1 \in \mathsf{SPACE}(2s_1 + s_2)$ .

# Moving on: Big picture in complexity

- E.g., Would like a complete map of complexity?
- Unfortunately: only two tools so far -Algorithms & Diagonalization.
- Diagonalization can prove:
  - Problems undecidable.
  - Space hieararchy, time hierarchy.
  - Ladner's theorem (between any two classes is an infinitely dense hierarchy).
  - But can it resolve NP  $\stackrel{?}{=}$  P?

# Aside: Bird's eyeview of Ladner's theorem

- Suppose  $P \neq NP$ .
- Let  $L_1 \in P$  and  $L_2$  be NP-complete.
- Let  $n_1 = 1$  and  $n_i = 2^{n_{i-1}}$ .
- Let  $L = L_1$  for strings of length  $[n_{i-1}, n_i)$ for odd i, and  $L = L_2$  for strings of length  $[n_{i-i}, n_i)$  for even i.
- $L \in \mathbb{P}$ ? Probably not.
- Is L NP-complete? Probably not.
- Ladner's theorem picks a more careful choice of  $n_i$ 's (by "lazy diagonalization"), to eliminate the "Probably's" above.

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Won't cover theorem in detail.

## Power of diagonalization

- Can it resolve NP  $\stackrel{?}{=}$  P?
- Question raised in the seventies.
- Baker-Gill-Solovay: No!
- Err.... some caveats ....

## Relativization

Defn: Let C be a complexity class of languages decidable with machines having a certain resource bound. Let A be any language. Then  $C^A$  is the set of languages accepted by oracle machines, with the same (similar?) resource bound as machines in C, having access to oracle for A.

Warning: Not really a definition!

Defn:  $P^A$  is the set of all languages accepted by deterministic polynomial time oracle Turing machines with access to oracle for A.

Defn:  $NP^A$  is the set of all languages accepted by non-deterministic polynomial time oracle Turing machines with access to oracle for A.

## **B-G-S Proposition**

Prop: If diagonalization shows  $C_1 \not\subset C_2$ , then for every A,  $C_1^A \not\subset C_2^A$ .

Jargon:  $C_1 \not\subset C_2$  relativizes.

Proof (of Prop/Jargon):

- Exists machine in  $C_1$  that can simulate any machine in  $C_2$ . (Since diagonalization works.)
- Augment this machine into an oracle machine.
- Machine now shows that  $C_1^A$  diagonalizes  $C_2^A$ .

**BGS Lemmas** 

Lemma 1 There exists an oracle A such that  $NP^A = P^A$ .

Proof: Take some language that is sufficiently powerful. Example: Let A be any PSPACE-complete language. Then  $NP^A = NPSPACE = PSPACE = P^A$ .

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## **BGS** Lemmas

Lemma 2 There exists an oracle B such that  $NP^B \neq P^B$ .

Proof:

• Insert proof here.

## **BGS** Warnings

- Proof makes sense only when specialized (to say P vs. NP).
- Otherwise, it is pedagogy, not mathematics.
- Only rules out very specific proofs. Minor variations not accepted!
- Often misinterpreted, mispresented, misrepresent etc.

### Constructive use of relativization

- What happens when A is an interesting problem, and C an interesting class?  $C^A$  must be interesting too?
- Example we considered C = NP and A = PSPACE. What if A = NP? Is  $NP^{NP} = NP$ ?
- No: actually get something new!

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Note: we get the power to negate the oracles' response (or do any other polynomial time computation on it).

### **DNF** Minimization

Defn: MINDNF is the language consisting of pairs  $(\phi, k)$ , such that  $\phi$  is a DNF formula such that no DNF formula with fewer than k literals is equivalent to  $\phi$ .

Prop: MINDNF is in NP<sup>NP</sup>.

Proof: Below is an NP oracle machine  ${\cal M}$  that accesses a SAT oracle:

- ullet Guess a formula  $\psi$  with fewer than k literals.
- Ask SAT oracle if there exists an assignment x such that  $\psi(x) \neq \phi(x)$ .
- Accept if oracle says NO.

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# Introduction to Polynomial Hierarchy

 $\begin{array}{lll} \text{Defn:} & \Sigma_1^P = \text{NP.} & \text{For} \ i > 1, \ \Sigma_i^P = \\ \cup_{A \in \Sigma_{i-1}^P} NP^A. & \Pi_i^P = \{\overline{L}|L \in \Sigma_i^P. & \text{PH} = \\ \cup_{i > 0} \Sigma_i^P = \cup_{i > 0} \Pi_i^P. & \end{array}$ 

Belief: For every i > 0  $\Sigma_i^P \neq \Sigma_{i+1}^P$ .

Jargon: The Polynomial Hierarchy does not collapse.

More on the hierarchy later.

### **Alternation**

- The hierarchy gains its power by complementing responses of oracles.
- DeMorgan's Law = instead of existential guesses, it can now make universal guesses.
- Suppose we built this into a Turing machine.
- Machine has two special states: ∃ and ∀, both with two arcs leading out.
  - — ∃ state accepts if one of the two paths leading out accepts.
  - ─ ∀ state accepts if both paths accept.

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- Alternation = Resource: write down computation tree: Count max. # times we alternate enter an  $\exists$  node and then a  $\forall$  node.
- This is a (valuable) resource!

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## **Alternating complexity classes**

- Three basic resources in ATM:
  - Time
  - Space
  - Alternations
- Classes:
  - ATIME[t] = Languages accepted by ATMs running in time t(n).
  - ASPACE[s] = Languages accepted by ATMs using space s(n).
  - (only of technical interest) ATISP[a, t, s]= ... a(n) alternations, t(n) time, and s(n) space.
- ullet PH:  $\Sigma_i^P=$  languages accepted by polytime

bounded ATMs starting in existential state and making at most i-1 alternations.

# **Basic theorems about alternations**

 $\mathsf{Thm}\ 1\colon \mathsf{ATIME}(f)\subseteq \mathsf{SPACE}(f)\subseteq \mathsf{ATIME}(f^2).$ 

Thm 1:  $\mathsf{ASPACE}(f) = \mathsf{TIME}(2^{O(f)}).$