#### **Today**

# • Fortnow's time/space lower bound on SAT.

#### • Randomized Computation.

# **Power of Alternation**

- Basic notion.
- Captures Time/Space differently.
- Next application shows how powerful it is.

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#### Fortnow's theorem

For today, will use LIN to mean the class of computations in NEARLY-LINEAR TIME:

$$LIN = \bigcup_c TIME(n(\log n)^n).$$

- Belief: SAT  $\notin L$ .
- Belief: SAT  $\notin LIN$ .
- Can't prove any of the above.
- Fortnow's theorem: Both can not be false!

#### Formal theorem + Proof

Theorem: [Fortnow '97] If SAT  $\in$  L, then  $\exists \epsilon > 0$  s.t. SAT  $\not\in$  Time $(n^{1+\epsilon})$ .

Proof: Assume SAT  $\in$  L, and SAT  $\in$   $\cap_{\epsilon>0}$  Time $(n^{1+\epsilon})$ .

Then .... will get contradication (after few slides).

#### **Proof Idea**

- 1. SAT in  ${\sf Time}(n^{1+\epsilon})$ , implies non-determinism is not very powerful, & so alternation is not very powerful.
- 2. SAT is complete for NTIME(n) implies SAT is very powerful.
- 3. SAT in L implies small space computation is very powerful.
- 4. Savitch's theorem implies alternation is powerful in small space ccomputation, and hence very powerful for all computation.
- 5. Contradiction to (1)!

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How to formalize all this?

Hierarchy theorem.

Use (Time)

# Fortnow: Step 1

Fact 1: If SAT  $\in$  L, then TIME $(T(n)) \subseteq$  SPACE $c \cdot \log T(n)$ 

Proof: Padding + completeness of SAT under Logspace reductions.

Fortnow: Step 2

Fact 2:  $\mathsf{SPACE}(s) \subseteq \mathsf{ATIME}[i, i2^{s/i}s].$ 

Proof:

- Draw depth i tree of width w having  $2^s$  leaves.
- At top level, Guess w intermediate configurations  $c_1, \ldots, c_w$  and for all successive pairs  $c_j, c_{j+1}$  verify reach from  $c_j$  to  $c_{j+1}$  in  $w^{i-1}$  steps.

Corollary: (with TIME $(T) \subseteq \mathsf{ATIME}[i, (T)^{c/i}]$ .

Fortnow: Step 3

Fact 3: If,say, SAT  $\in \mathsf{TIME}(n^{1+\epsilon})$ , then  $\mathsf{ATIME}[\mathsf{a,t}] \subseteq \mathsf{TIME}t^{(1+\epsilon)^{2i}}$ .

Proof:

- Induction on # alternations.
- Use strong form of Cook's theorem at every step.
- Take care to make sure numbers work out.

**Contradiction?** 

Have

$$\begin{aligned} \mathsf{Time}(T(n) &= 2^{2^{\sqrt{\log n}}}) \\ &\subseteq (\log T) \\ &\subseteq \mathsf{ATime}[i, T^{c/i}] \\ &\subseteq \mathsf{Time}(T^{(c/i)(1+\epsilon)^{2i}}). \end{aligned}$$

Contradicts if  $(c/i)(1+\epsilon)^{2i} < 1$ . Can be arranged by picking i=10c and  $\epsilon=1/(2i)$ .

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# Randomized computation

- Physicists' Belief: Natural phenomena have randomness built into them.
- How does this affect our belief that "polynomial time" is all that is feasible?
- Should study formally.

#### Randomized algorithms/Turing machines

- Model 1: Machine can enter a random state whenever it wishes. Takes one of two outgoing transitions randomly.
- (Equivalent) Model 2: Machine has two inputs: (1) The actual input and (2) the outcome of many independent random coin tosses.

# Randomized machines and languages

Machine M for Language L has:

**Completeness** c if  $c = \inf_{x \in L} \Pr_y[M(x,y) \text{accepts}]$  (Assume uniform distribution on  $\ell(|x|)$  bit strings.

**Soundness** s if  $s = \sup_{x \notin L} \Pr_y[M(x, y) \text{accepts}].$ 

M seems to decide membership in L if c>s. But even better if c=1 (and/or s=0).

# **Complexity Classes**

- Resource? Space or Time?
- What kind of error? Two attributes; Four classes.
  - "False positives": Says  $x \in L$  while  $x \notin L$ . (Soundness > 0.)
  - "False negatives": Says  $x \notin L$  when  $x \in L$ . (Completeness < 1.)
- All in all, get eight classes!

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#### . .

#### Time-bounded randomization

ullet BPP: (Bounded Probability Polynomial-time): Both kinds of errors allowed (two-sided error):  $L \in BPP$  if there exists a two-input deterministic machine M running in time poly in first input such that:

$$x \in L \Leftrightarrow \Pr_y[M(x,y) \text{accepts}] \geq 2/3.$$

(Completeness = 2/3; Soundness = 1/3).

 RP: (Randomized Polynomial-time): Only false negatives (one-sided error):

$$x \in L \Rightarrow \Pr_{y}[M(x,y) \text{accepts}] \ge 2/3.$$

(Completeness = 2/3; Soundness = 0 (perfect)).

# Time-bounded randomization (contd.)

- co-RP: complements of RP languages.
- ZPP: Error happens with probabillity zero!
  So what does randomness do? Running time is not guaranteed to be polynomial.
  Only expected to be polytime.

#### **Space-bounded randomization**

Similar collection of four classes:

- BPL, RL, co-RL, ZPL.
- Catch 1: In two-input model, have one way access to second input.
- Catch 2: Machines bounded to run in polynomial time.

#### Looking ahead

- 2/3, 1/3 arbitrarily chosen. For definition of BPP suffices to have c>s. Similarly for RP, suffices to have c>0 etc.
- Randomness more powerful than deterministic?
  - Belief: No.
- Current evidence: Yes. There exist problems in RP that we can show to be in P. (Example: Primality testing.)
  There exist problems in RL that we can't show to be in L. (Example: USTCON connectivity in undirected graphs.)

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# Looking further ahead

- How do RP, BPP etc. relate to familiar complexity classes.
- Obviously: ZPP in RP & co-RP; and all are in BPP
- RP in NP (by definition).
- BPP? Don't quite know:
  - BPP in  $P/_{\text{poly}}$ .
  - BPP in PH.