



Massachusetts
Institute of
Technology



PRINCETON
UNIVERSITY

EPFL



Interplay of Efficient Model Checking and Secure Processor Design: A Case Study on Secure Speculation

Tingzhen Dong*, Kunpeng Wang*, Yuheng Yang, Yu-Wei Fan,
Qinhan Tan, Thomas Bourgeat, Sharad Malik, Mengjia Yan

This Paper



Formally verify **security** on complex **out-of-order CPUs**

This Paper



Formally verify **security** on complex **out-of-order CPUs**



1. **Decoupling** security and functionality can scale verification
2. New abstraction primitive **HUF**

This Paper



Formally verify **security** on complex **out-of-order CPUs**

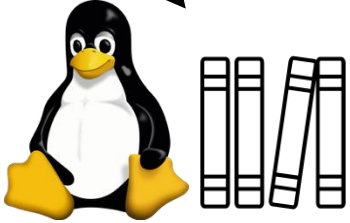
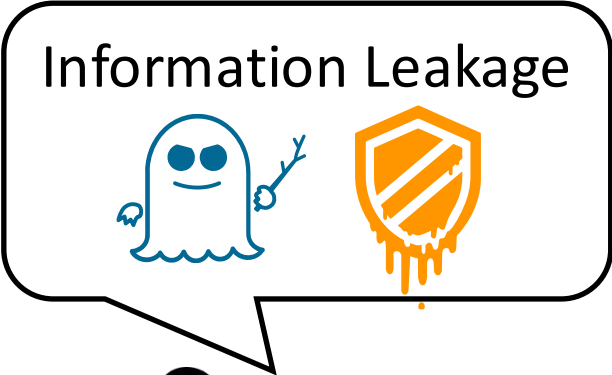


1. **Decoupling** security and functionality can scale verification
2. New abstraction primitive **HUF**

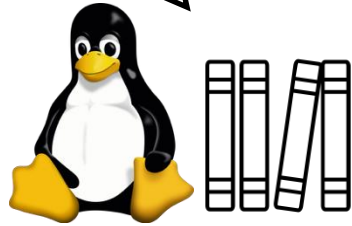
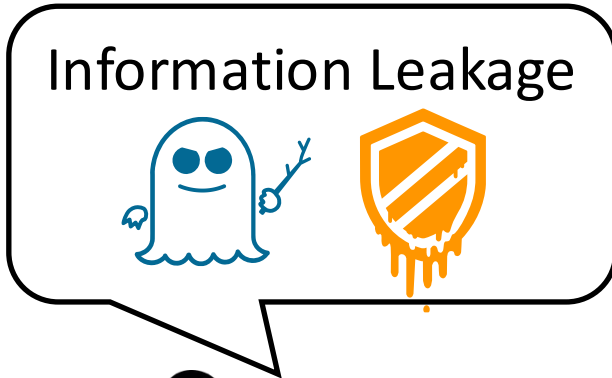


For the first time, we scale **model checking** to verifying secure speculation on **MegaBOOM**

Timing Side-Channel Security

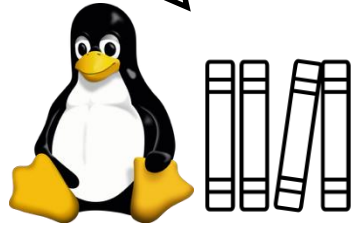
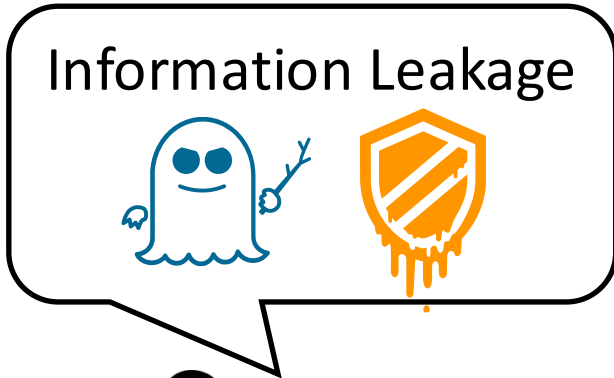


Timing Side-Channel Security



CPU + Spectre Mitigation

Timing Side-Channel Security

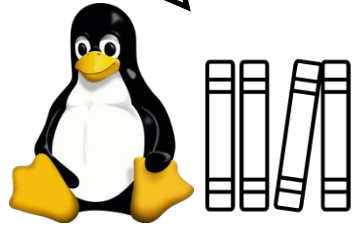
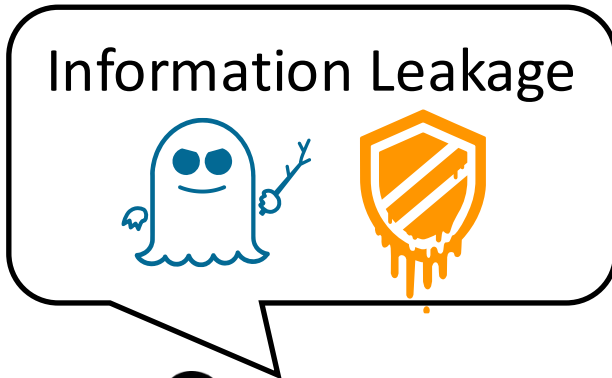


CPU + Spectre Mitigation



Mitigation works properly?
Need **formal verification**
e.g., model checking

Timing Side-Channel Security



CPU + Spectre Mitigation



Mitigation works properly?
Need **formal verification**
e.g., model checking

Security Goal: Ensure no information leakage in CPU using formal verification

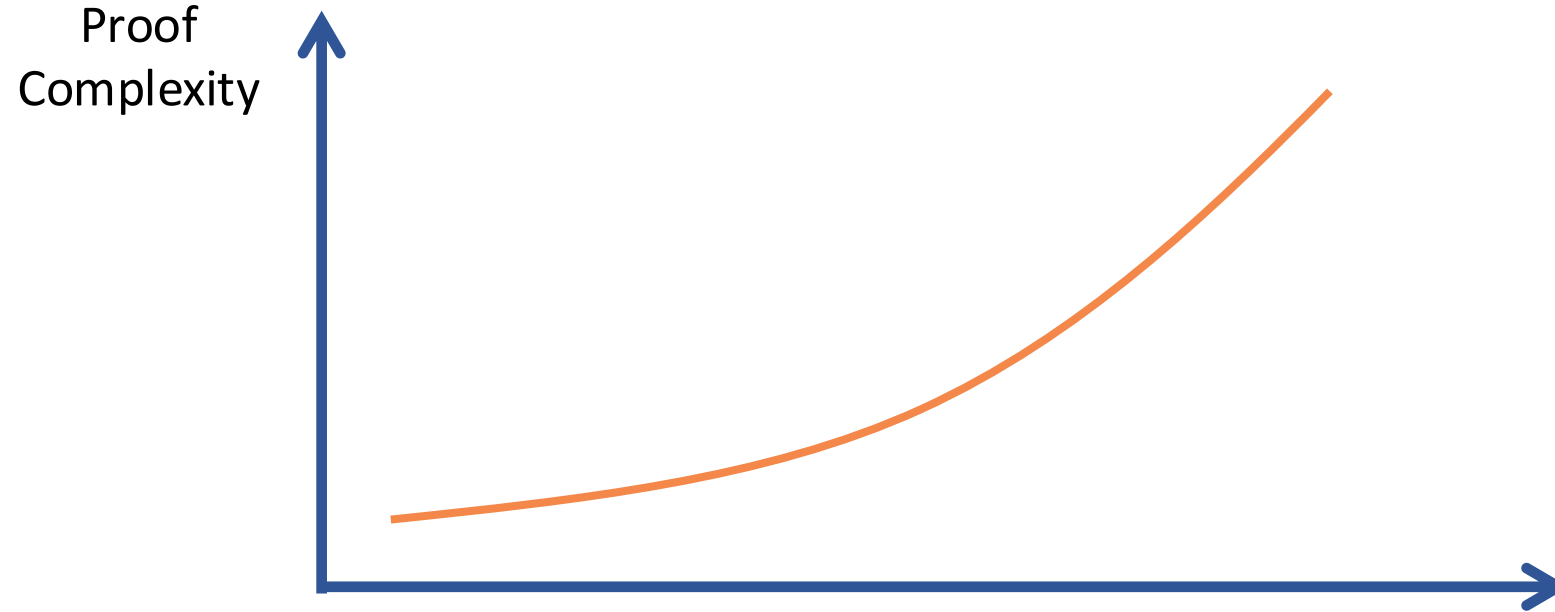


Scalability of Model Checker

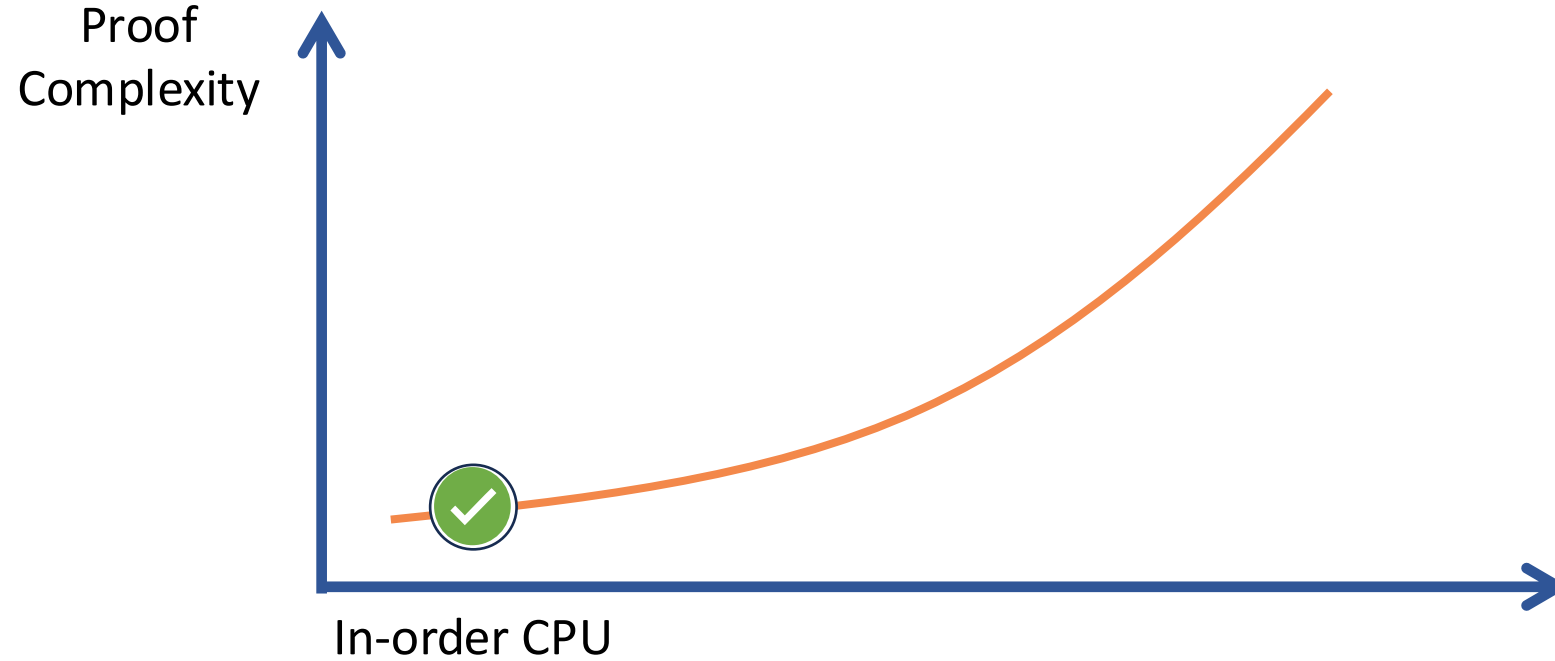
Scalability of Model Checker



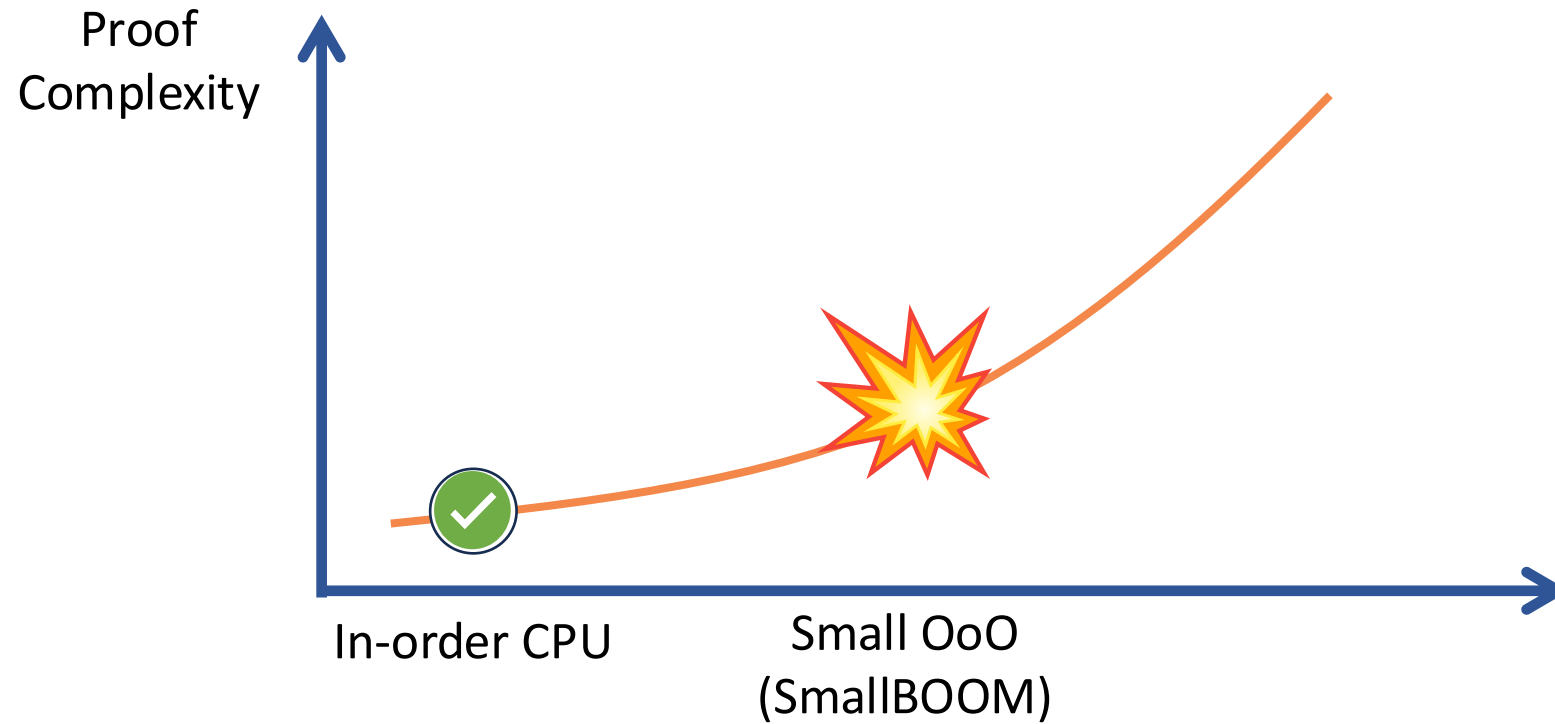
Scalability of Model Checker



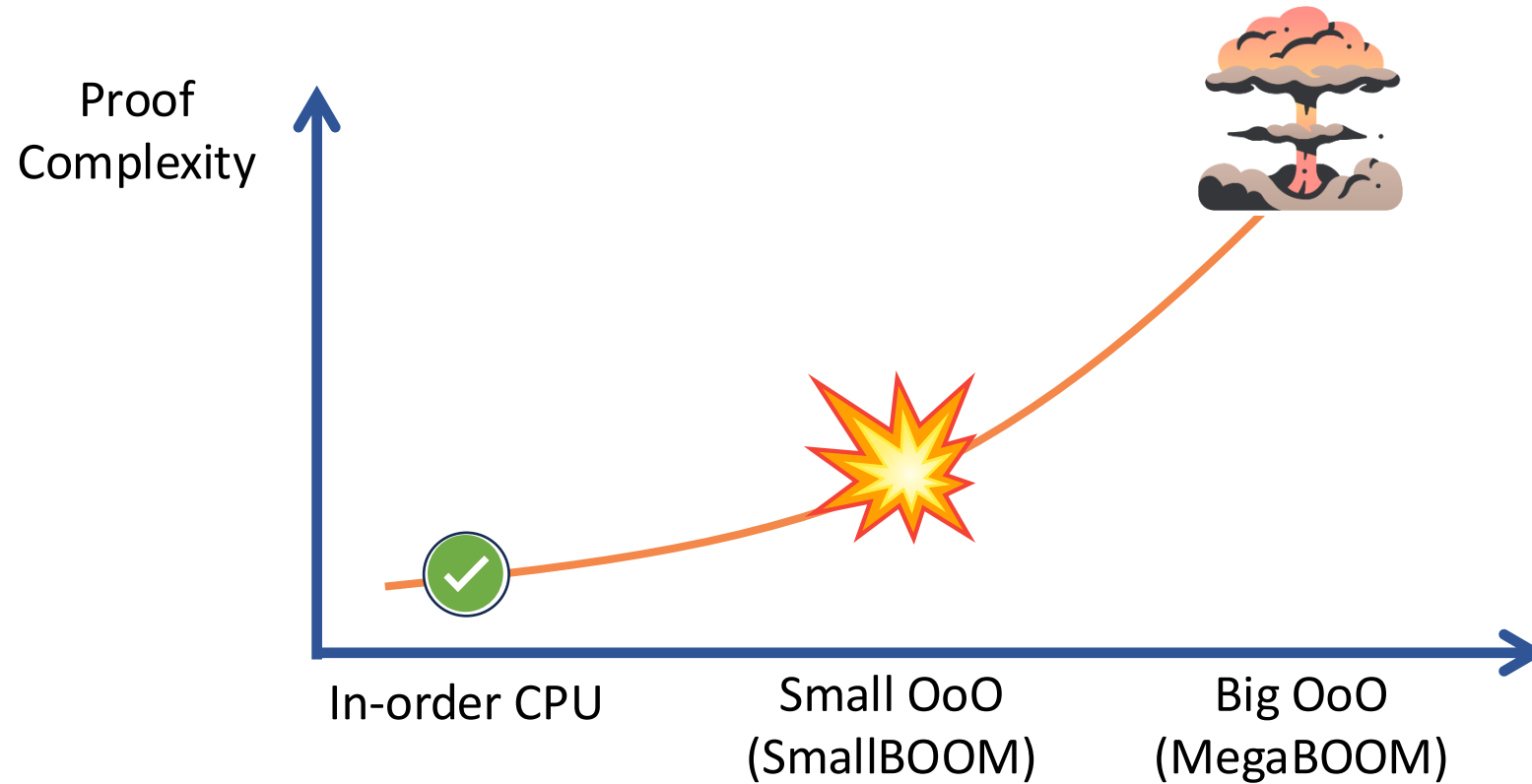
Scalability of Model Checker



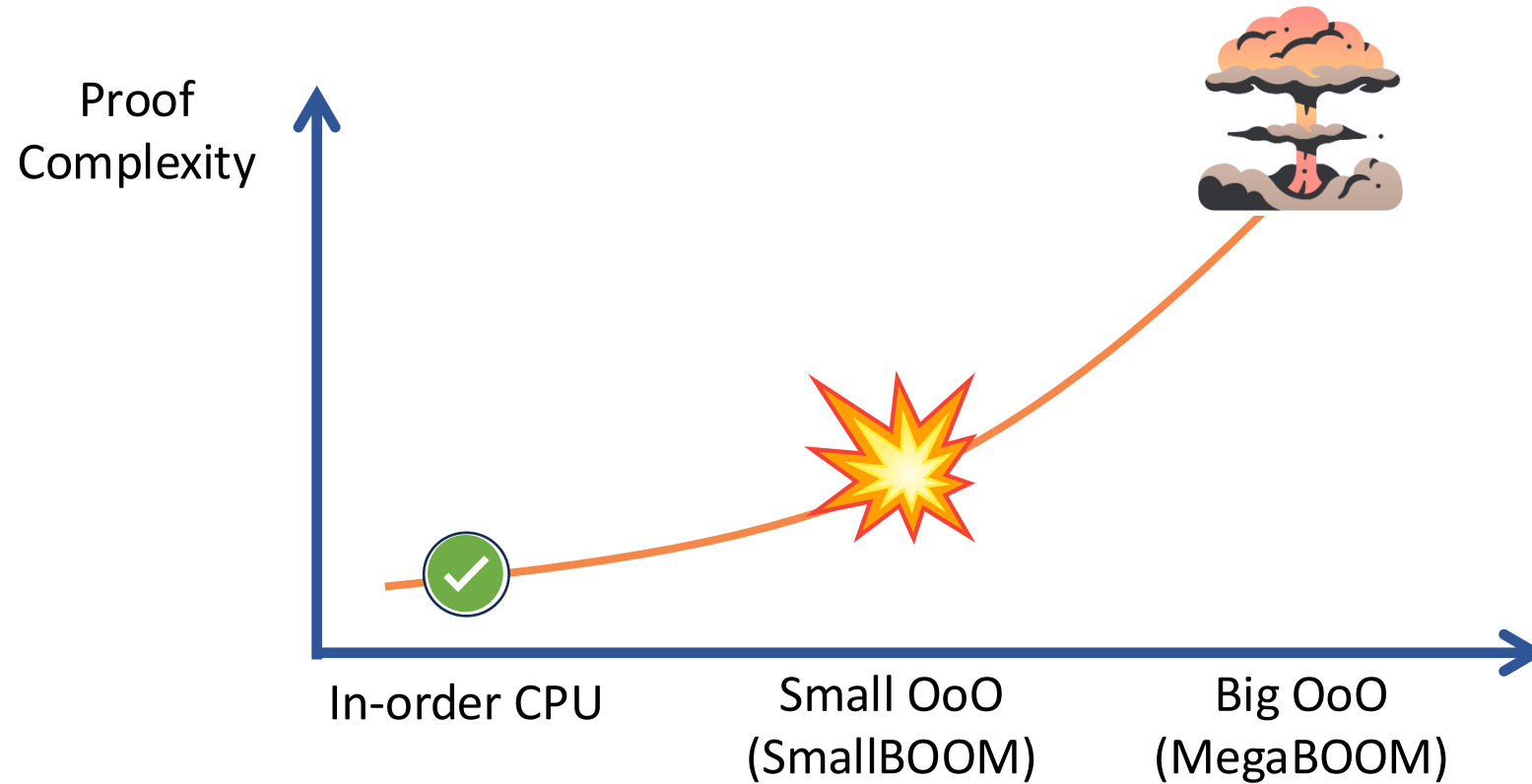
Scalability of Model Checker



Scalability of Model Checker




Scalability of Model Checker



Existing model checkers do not scale to **practical designs**

Model Checking is a Search Problem

- Search for **invariants**



intermediate facts
about the design

Model Checking is a Search Problem

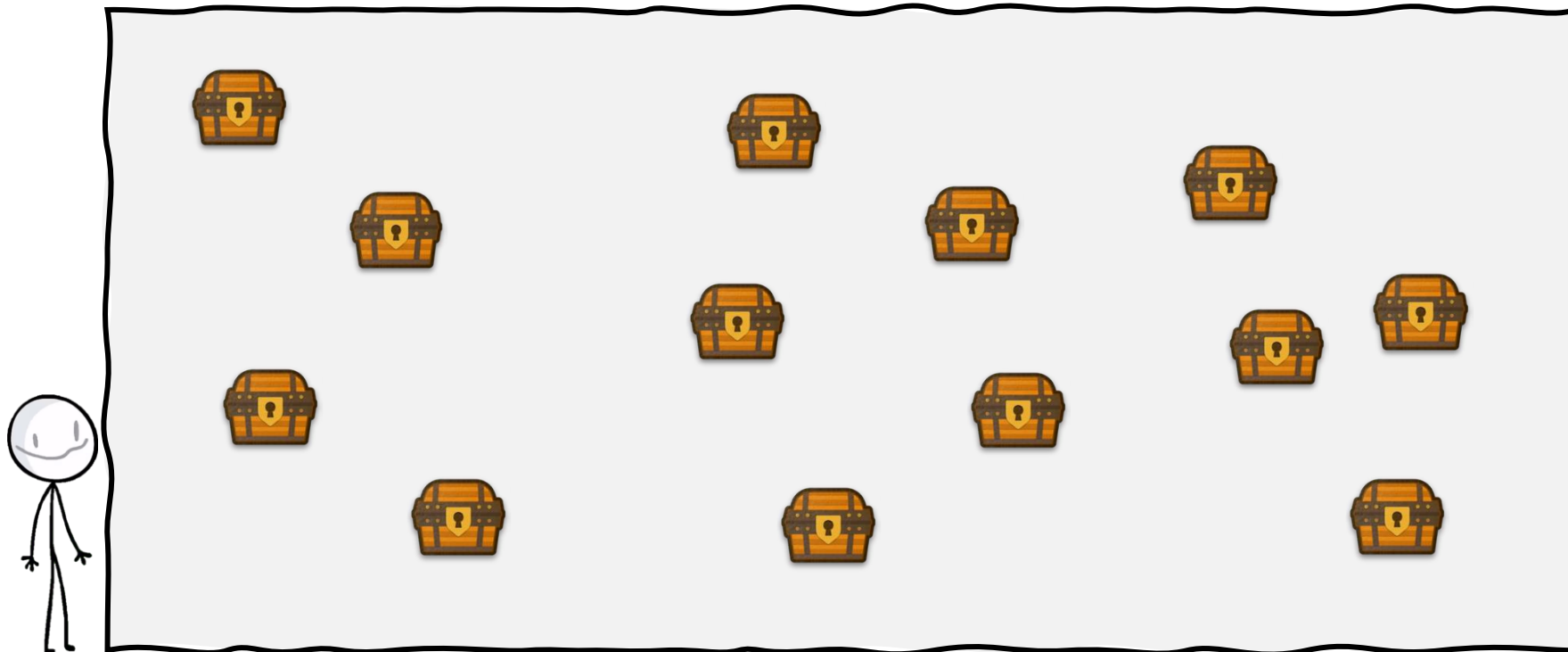
- Search for **invariants**
- Accumulate **enough useful** invariants

intermediate facts
about the design

Model Checking is a Search Problem

- Search for **invariants**
- Accumulate **enough useful** invariants

intermediate facts
about the design



Useful invariants



Useless invariants

Model Checking is a Search Problem

- Search for **invariants**
- Accumulate **enough useful** invariants

intermediate facts
about the design



Model Checking is a Search Problem

- Search for **invariants**
- Accumulate **enough useful** invariants

intermediate facts
about the design



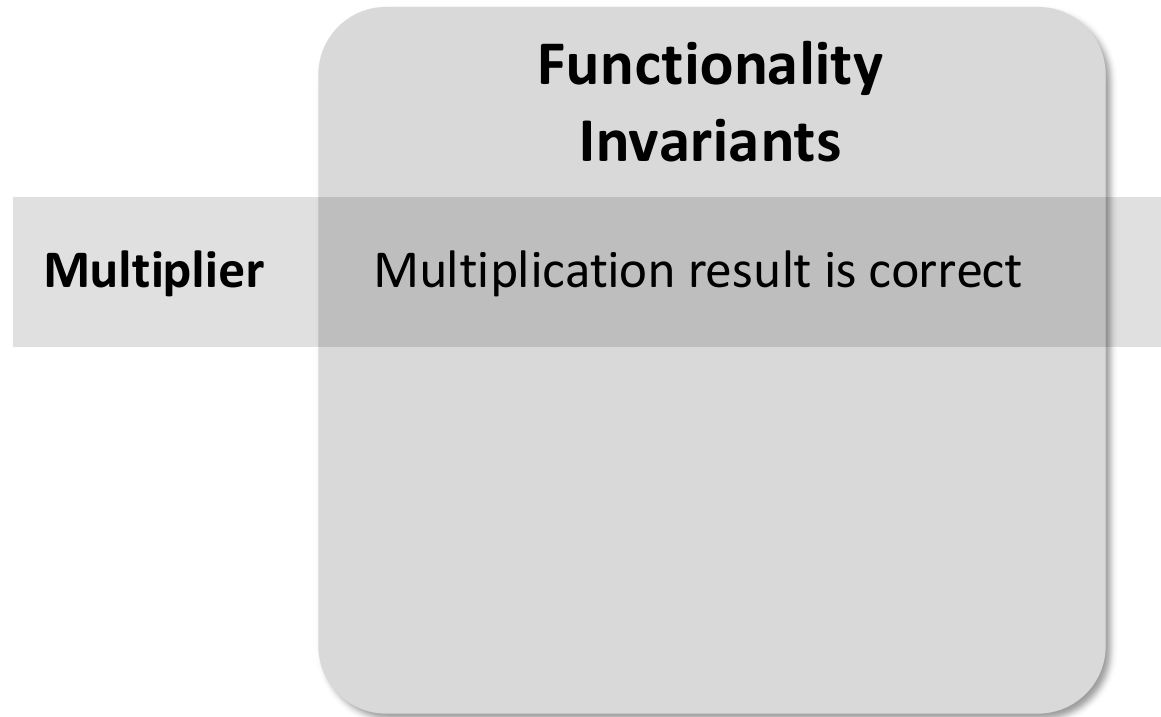


Bottleneck of Model Checking Security

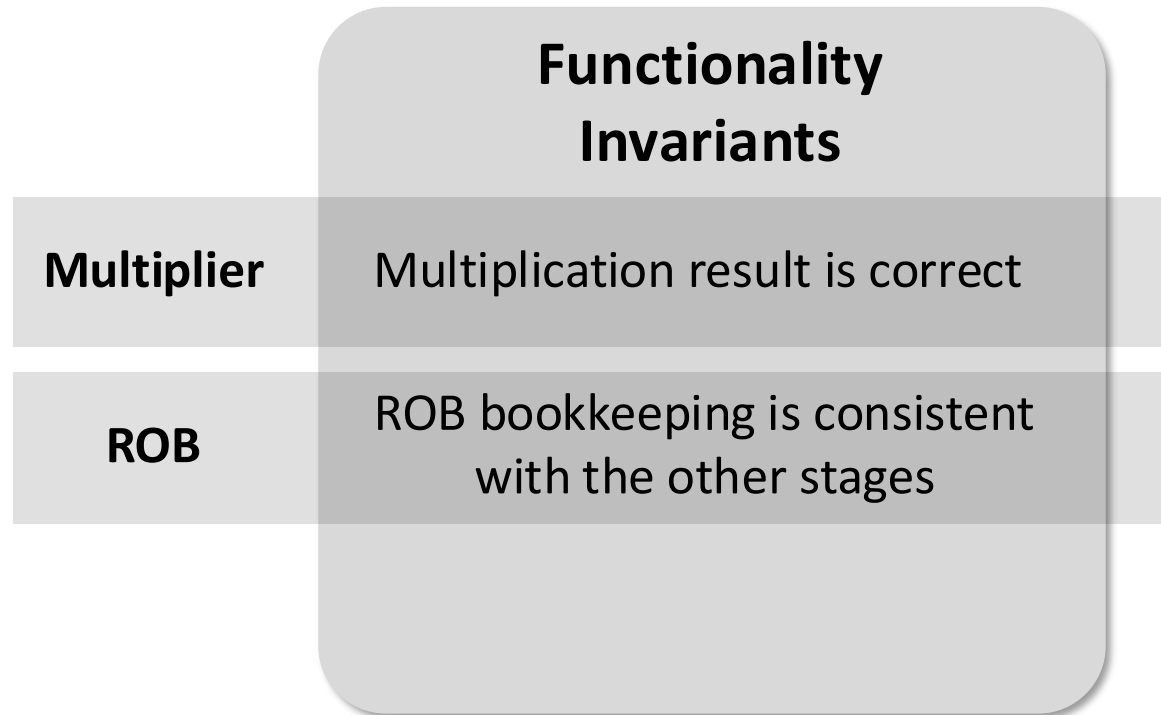
Bottleneck of Model Checking Security

**Functionality
Invariants**

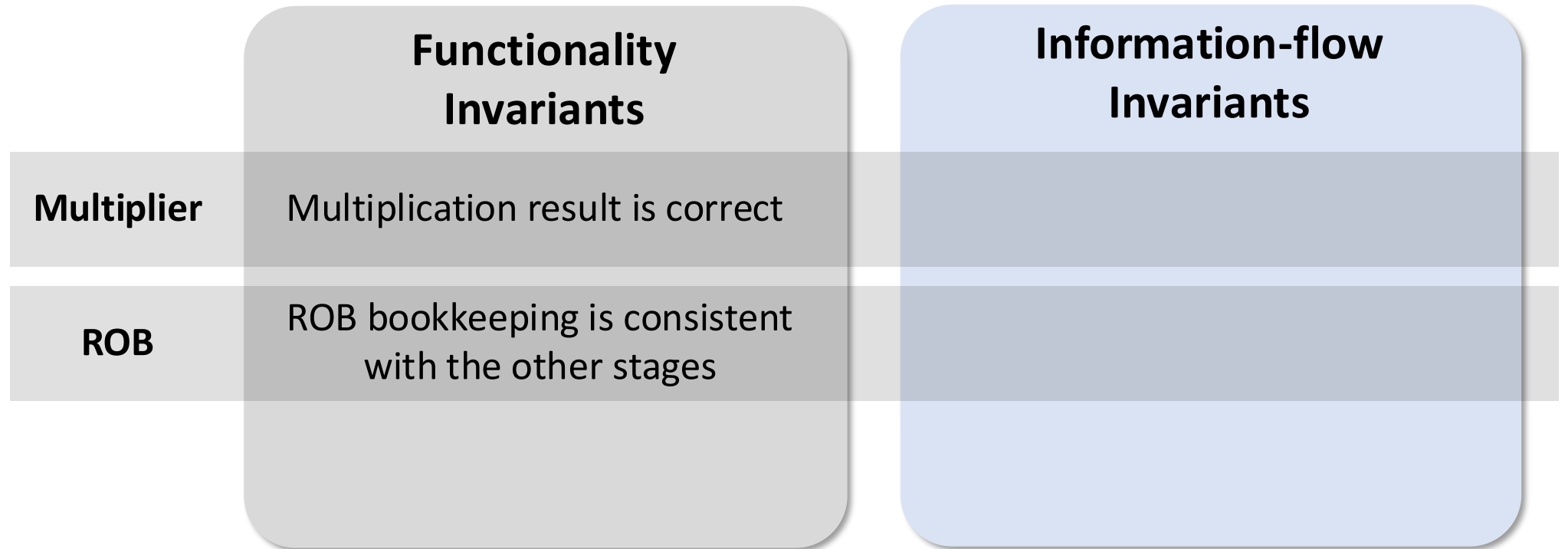
Bottleneck of Model Checking Security



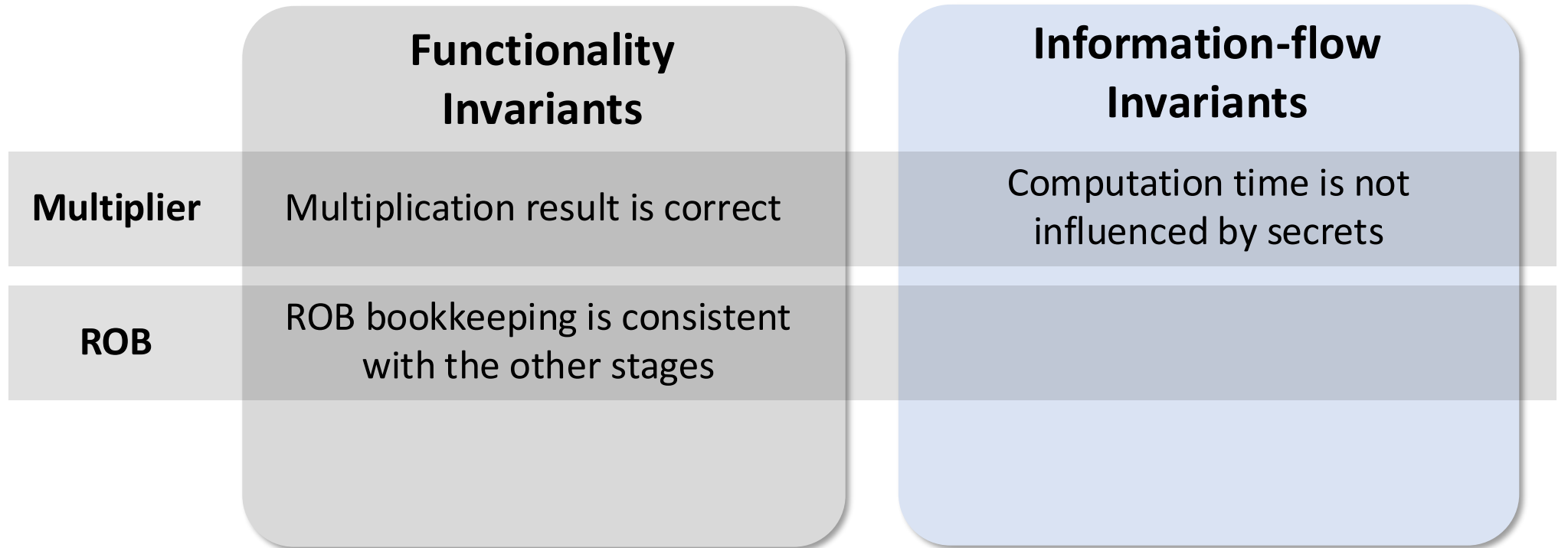
Bottleneck of Model Checking Security



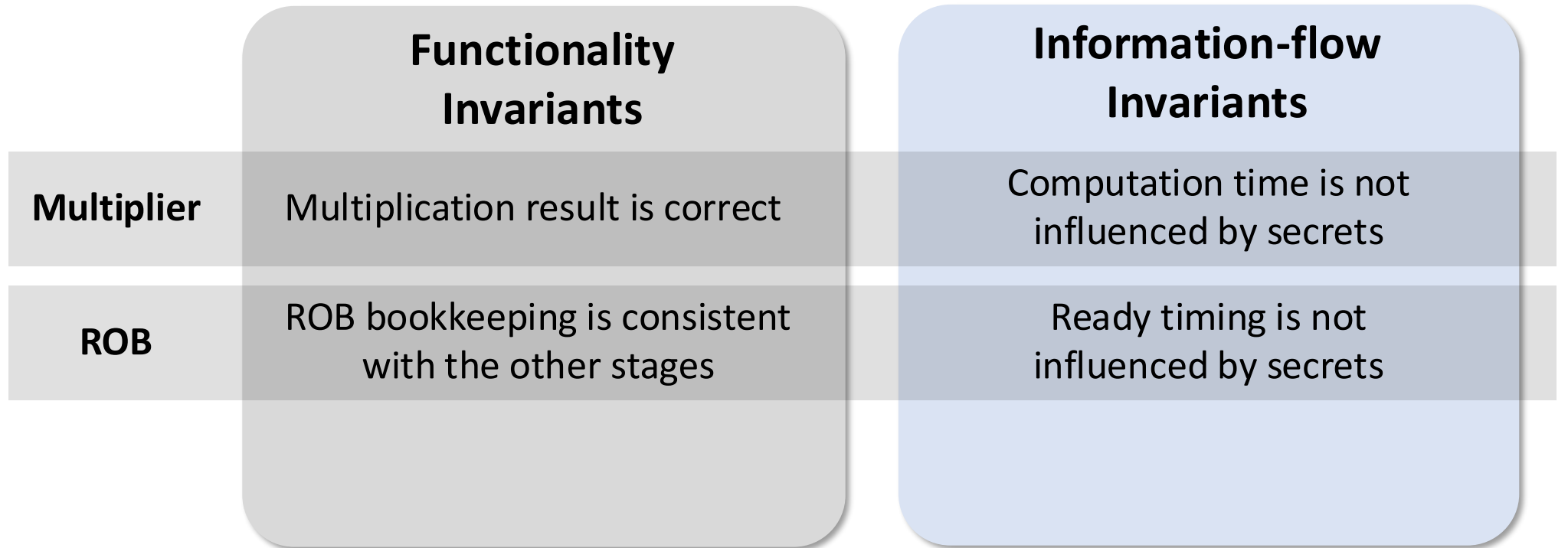
Bottleneck of Model Checking Security



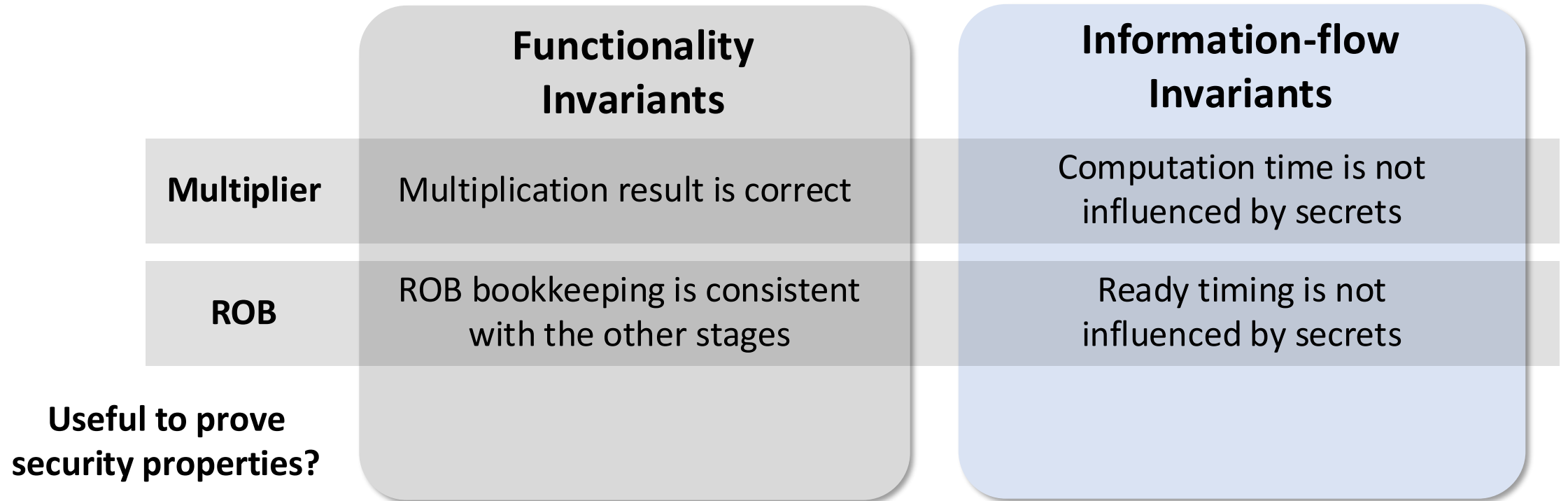
Bottleneck of Model Checking Security



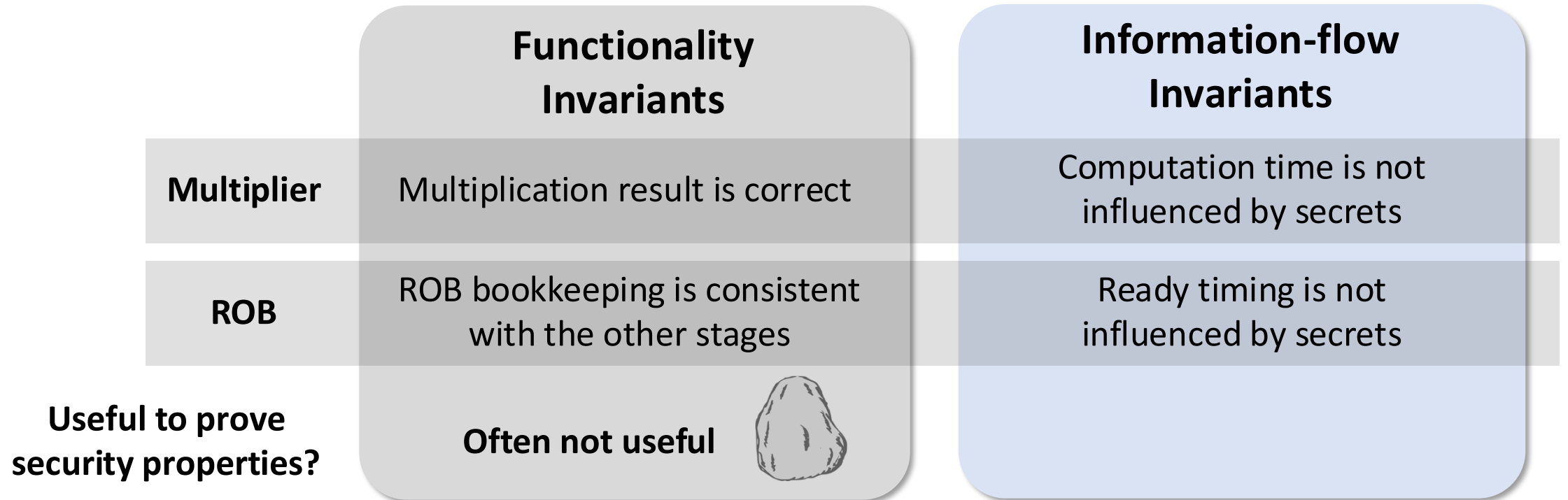
Bottleneck of Model Checking Security



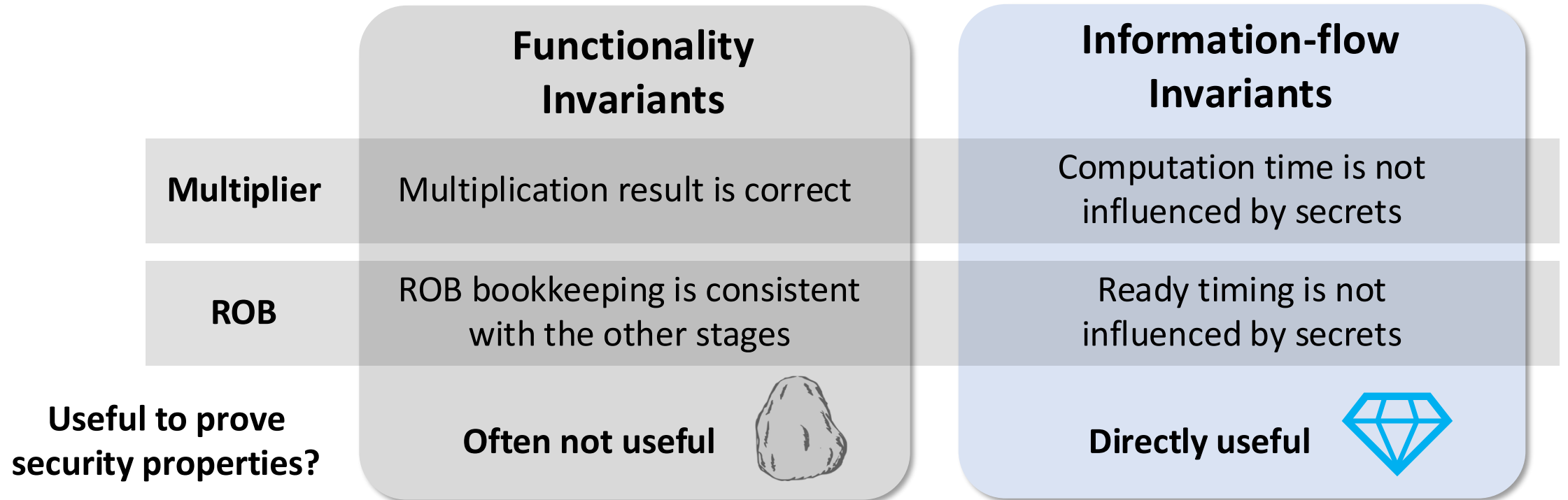
Bottleneck of Model Checking Security



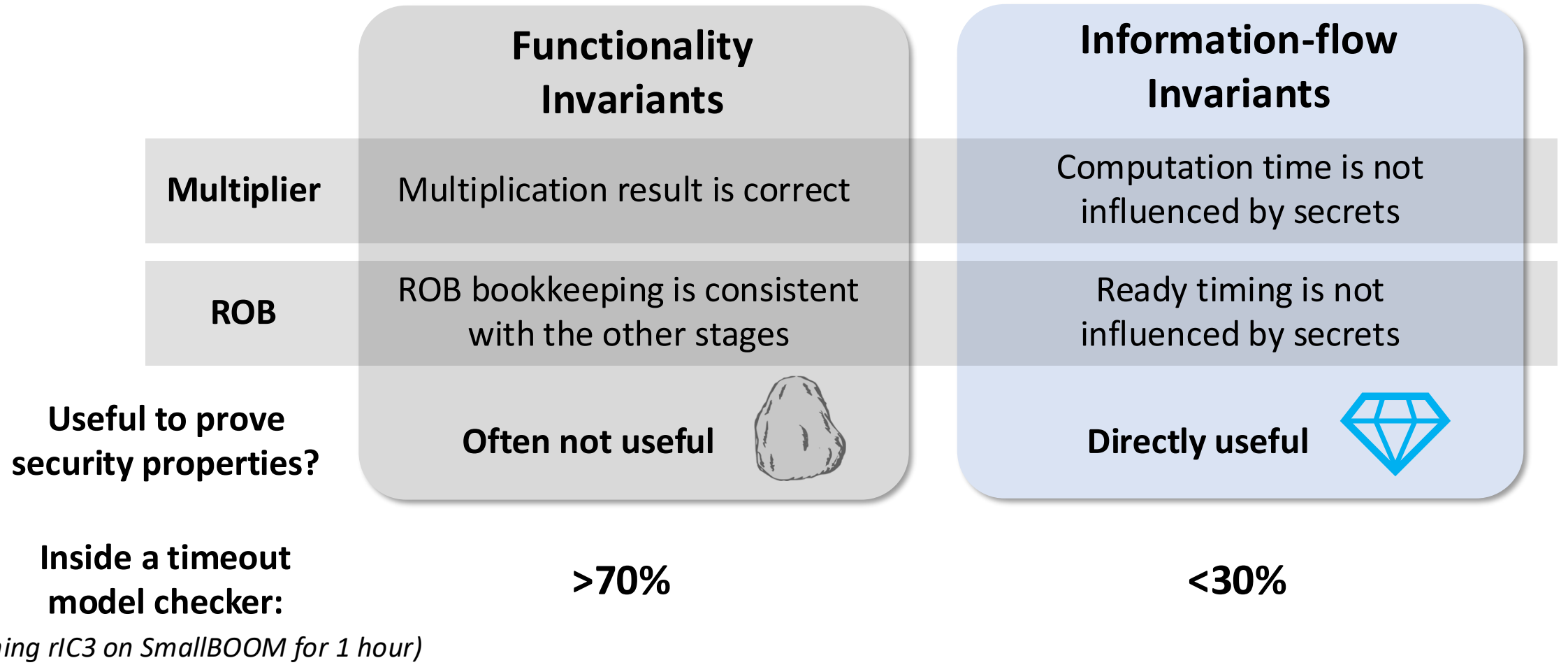
Bottleneck of Model Checking Security





Bottleneck of Model Checking Security



Bottleneck of Model Checking Security



Bottleneck of Model Checking Security

	Functionality Invariants	Information-flow Invariants
Multiplier	Multiplication result is correct	Computation time is not influenced by secrets
ROB	ROB bookkeeping is consistent with the other stages	Ready timing is not influenced by secrets
Useful to prove security properties?	Often not useful 	Directly useful 

Our discovery: Model checking wastes time in **functionality** facts that are **unnecessary** for security

Key Contributions



Our Insight:

Decoupling security and functionality can scale verification

Key Contributions



Our Insight:

Decoupling security and functionality can scale verification

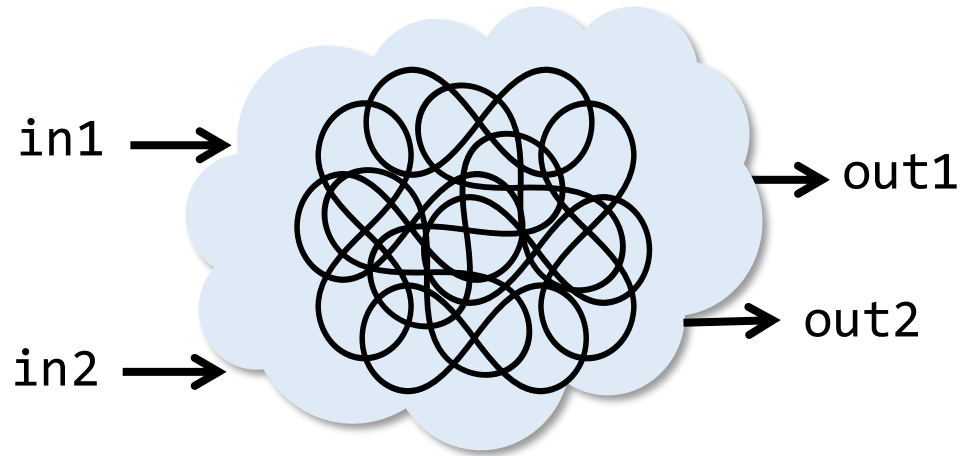


New abstraction primitive **HUF**

- abstract irrelevant functionality
- preserve necessary information flow

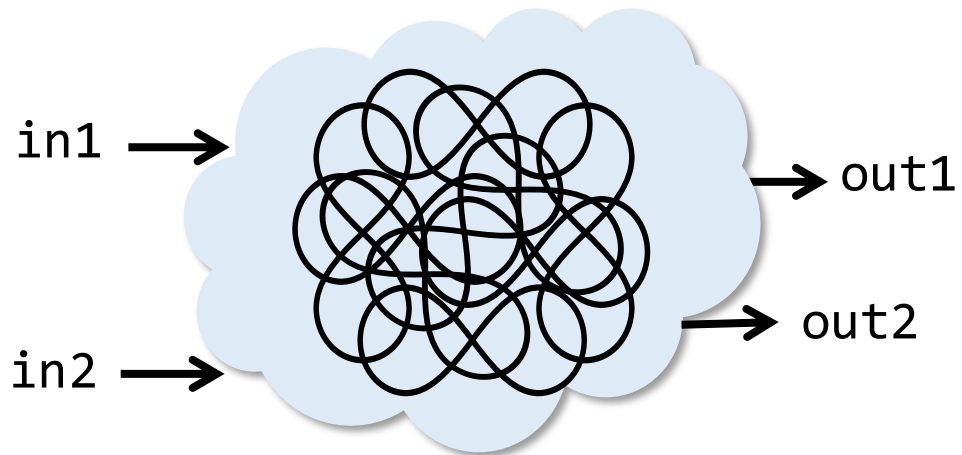
Abstraction Guides Model Checking

Abstraction Guides Model Checking



Concrete: complete functionality  

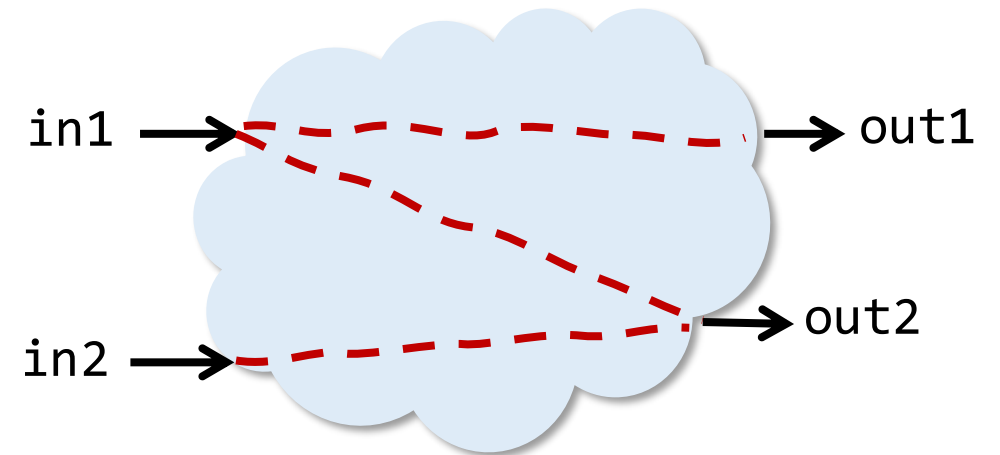
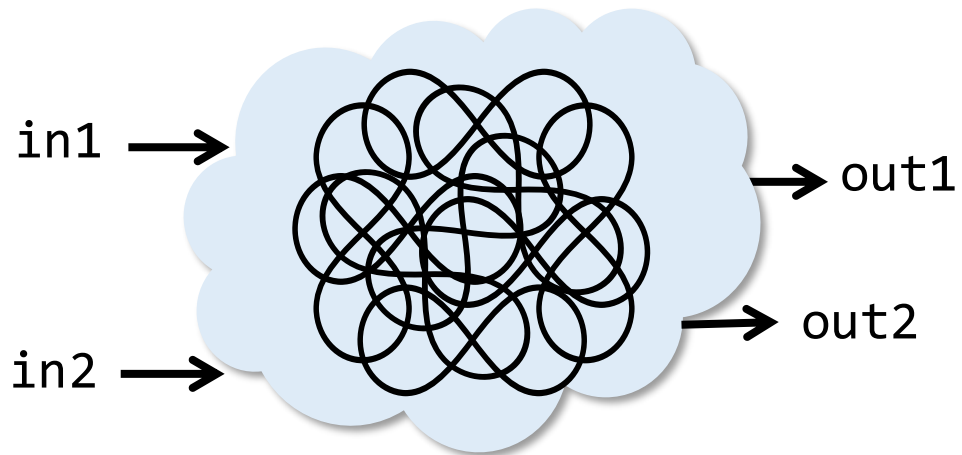
Abstraction Guides Model Checking



Concrete: complete functionality  

“how inputs influence outputs”

Abstraction Guides Model Checking

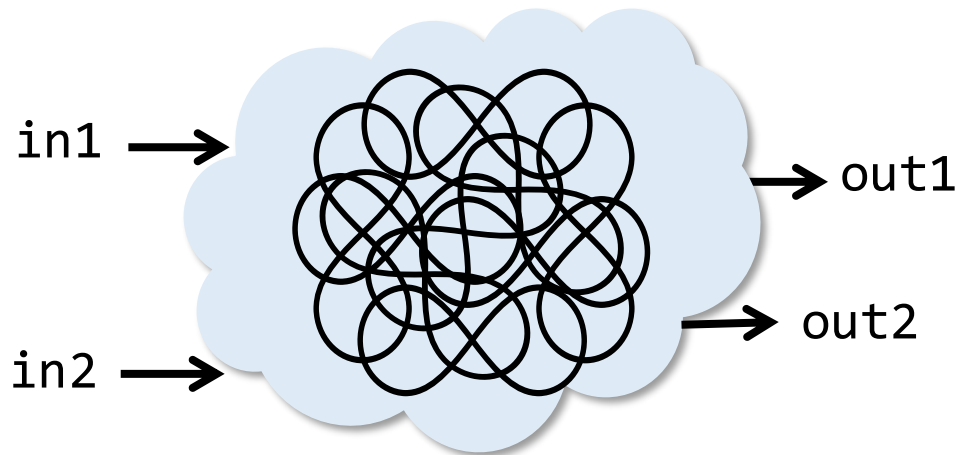



Concrete: complete functionality  

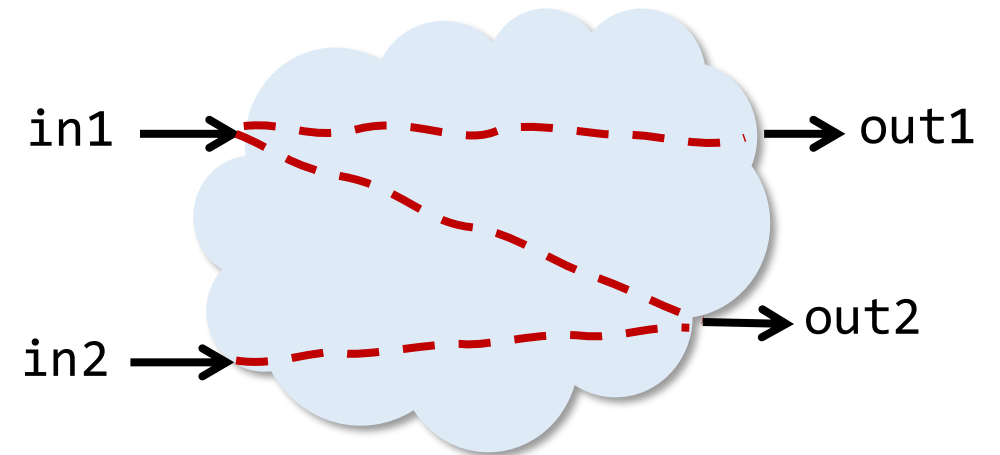
Abstracted: information-flow only 


“how inputs influence outputs”

Abstraction Guides Model Checking

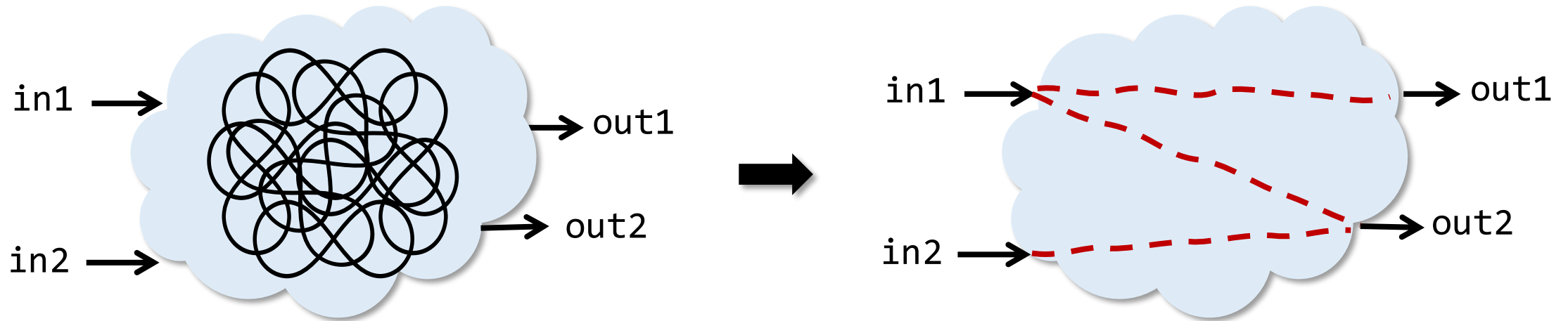


Concrete: complete functionality  
“**how** inputs influence outputs”



Abstracted: information-flow only 
“**whether** inputs influence outputs”

Abstraction Guides Model Checking



Concrete: complete functionality  

“**how** inputs influence outputs”

Abstracted: information-flow only 

“**whether** inputs influence outputs”

Abstraction **removes** functionality to **promote** information-flow studying

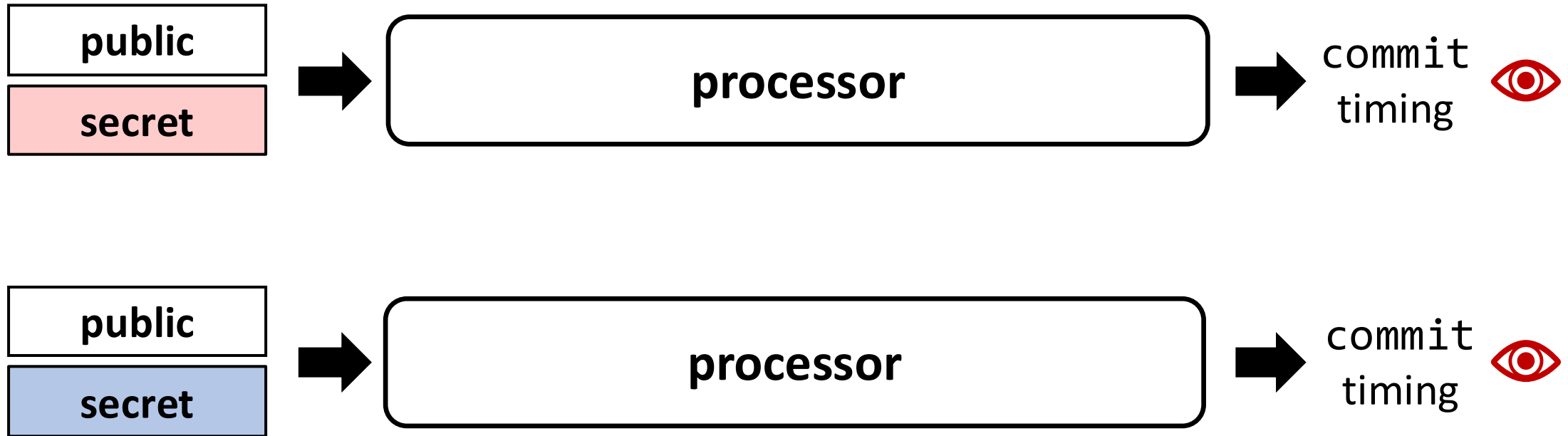


Proof Construction: Self-Composition

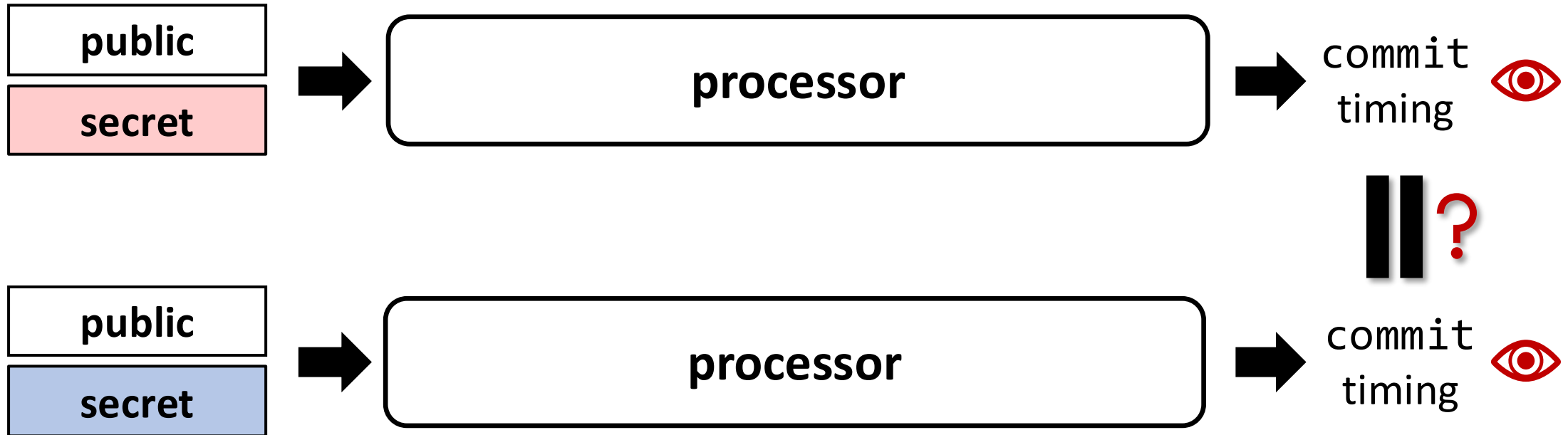
Proof Construction: Self-Composition



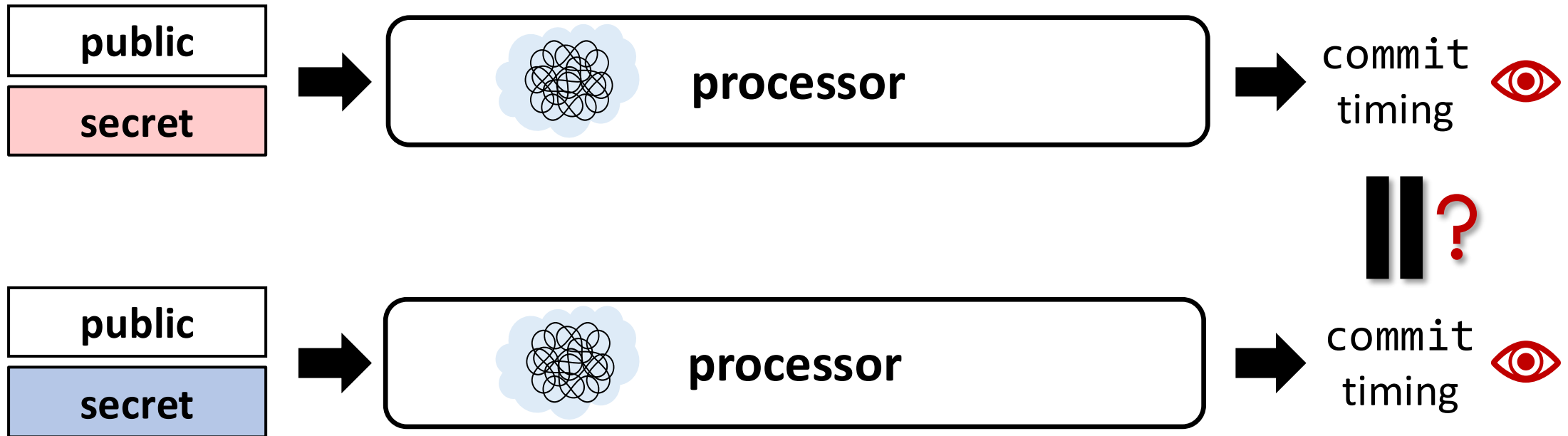
Proof Construction: Self-Composition



Proof Construction: Self-Composition

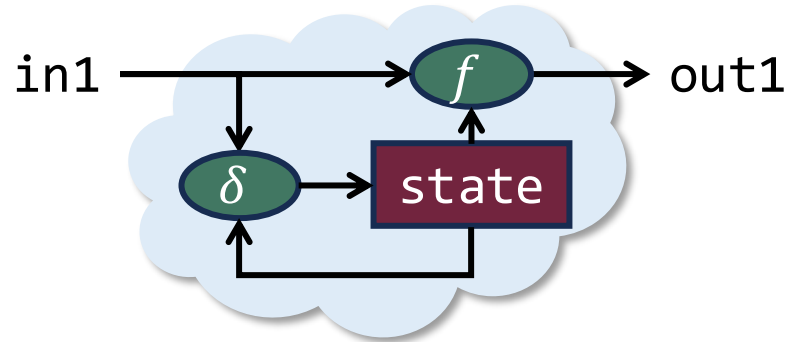


Proof Construction: Self-Composition

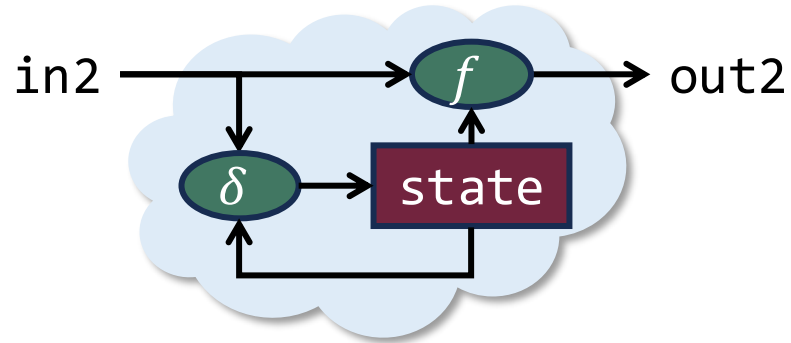


HUF Abstracts Sequential Modules

Module from copy1

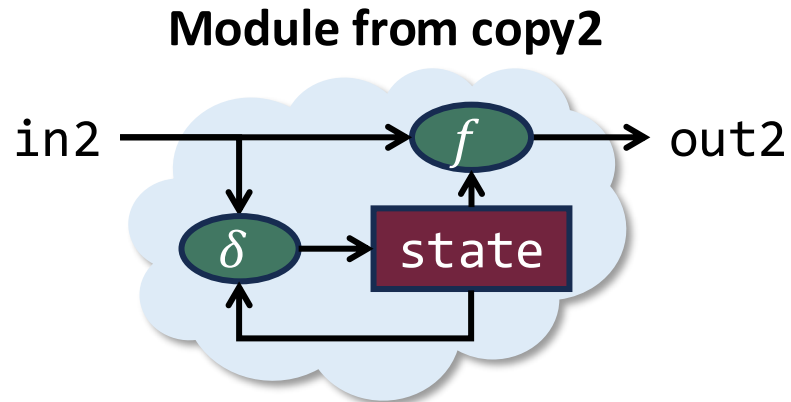
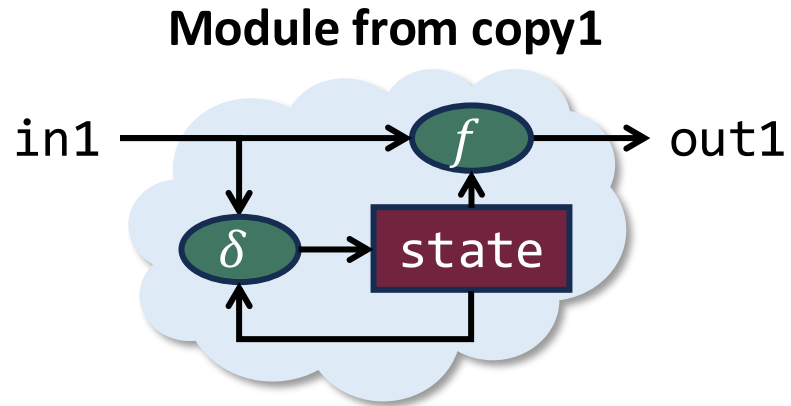


Module from copy2



● Comb. Logic ■ Seq. Logic

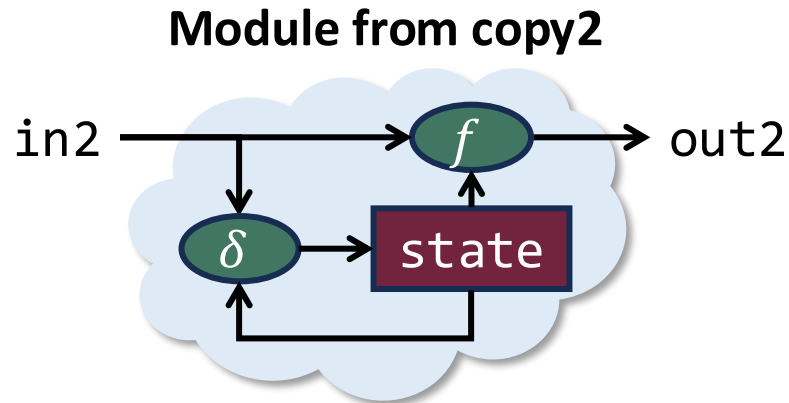
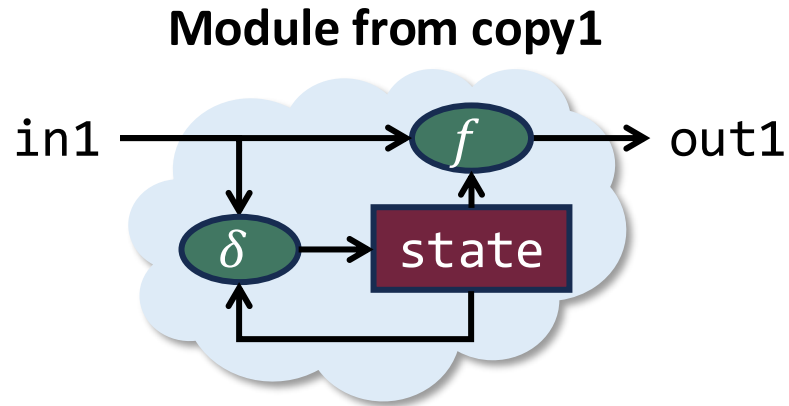
HUF Abstracts Sequential Modules



Goal of HUF

1. model **whether** **in** influences **out**
2. remove f , δ , state

HUF Abstracts Sequential Modules



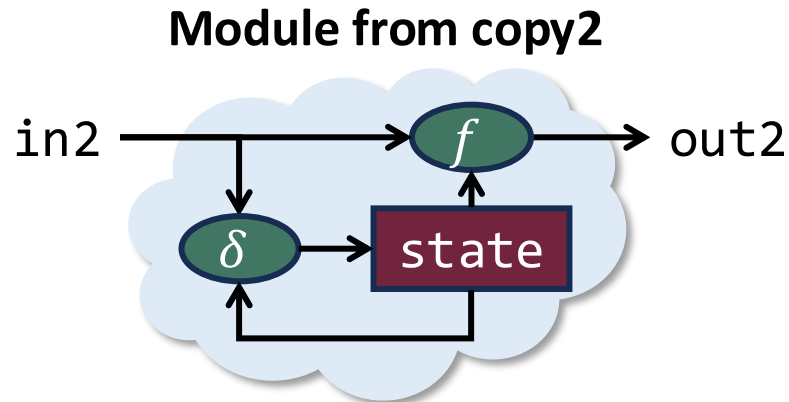
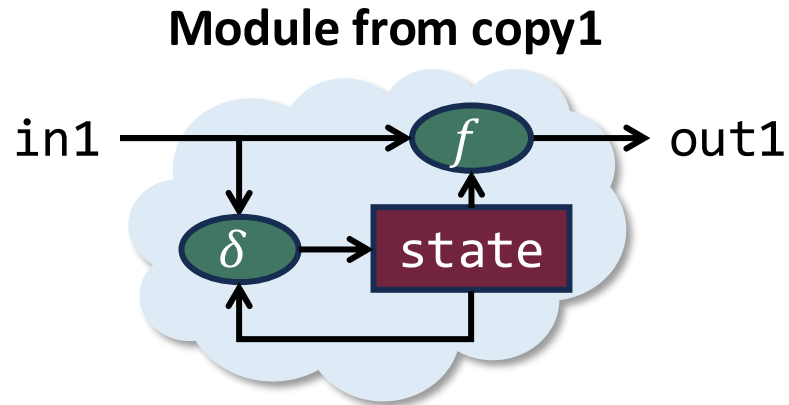
Goal of HUF

1. model **whether** **in** influences **out**
2. remove f , δ , state

HUF: Uninterpreted Function with History

Outputs must be **equal**,
when **input histories are equal**

HUF Abstracts Sequential Modules



● Comb. Logic ■ Seq. Logic

Goal of HUF

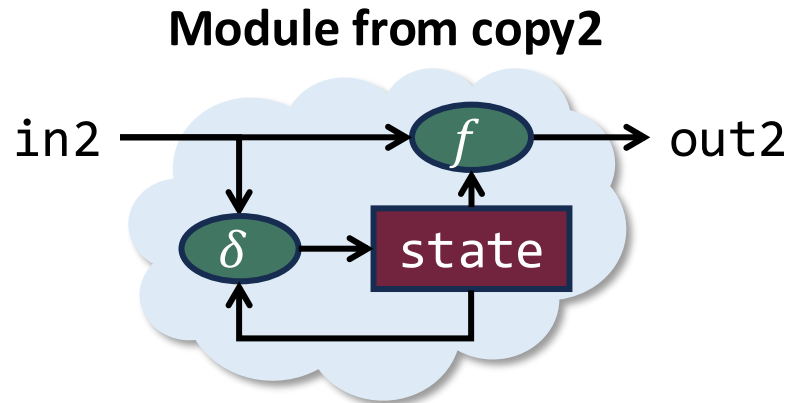
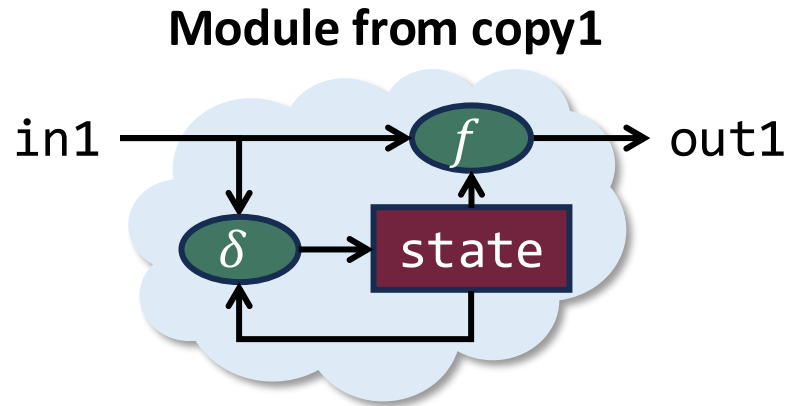
1. model **whether** **in** influences **out**
2. remove f , δ , state

HUF: Uninterpreted Function with History

Outputs must be **equal**,
when **input histories are equal**

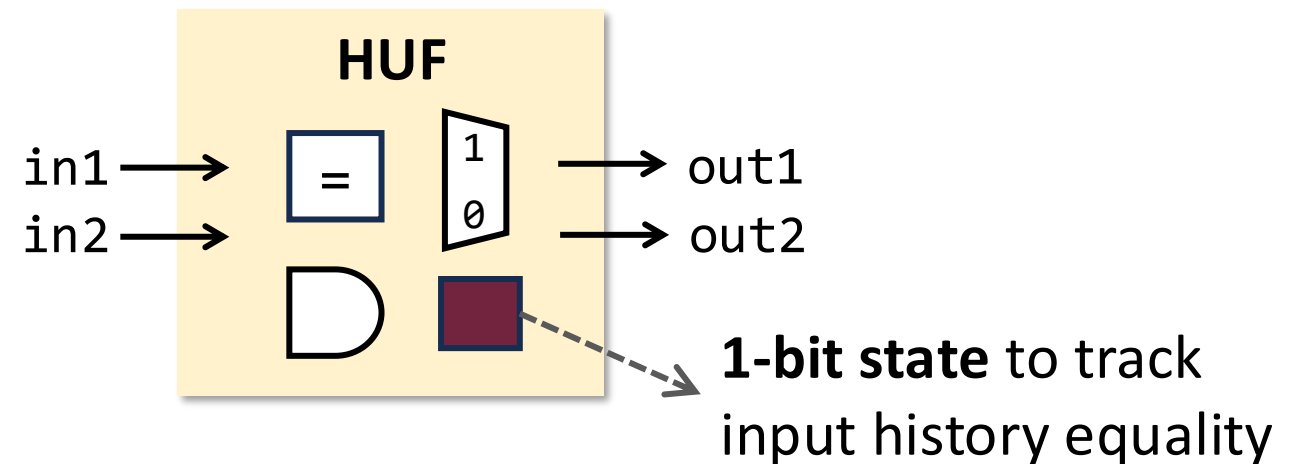
$$\forall t \in \mathbb{N}. \text{in1}[0..t] = \text{in2}[0..t] \\ \implies \text{out1}[t] = \text{out2}[t]$$

HUF Abstracts Sequential Modules

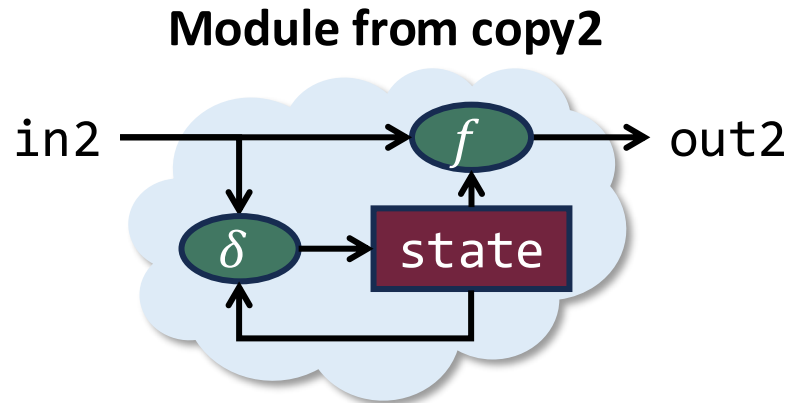
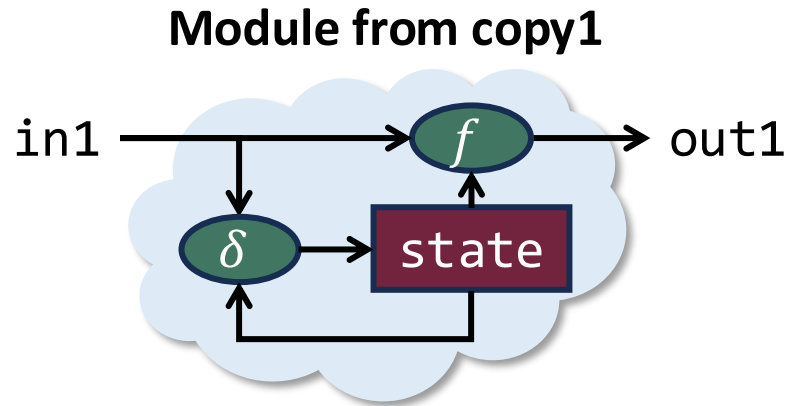


● Comb. Logic ■ Seq. Logic

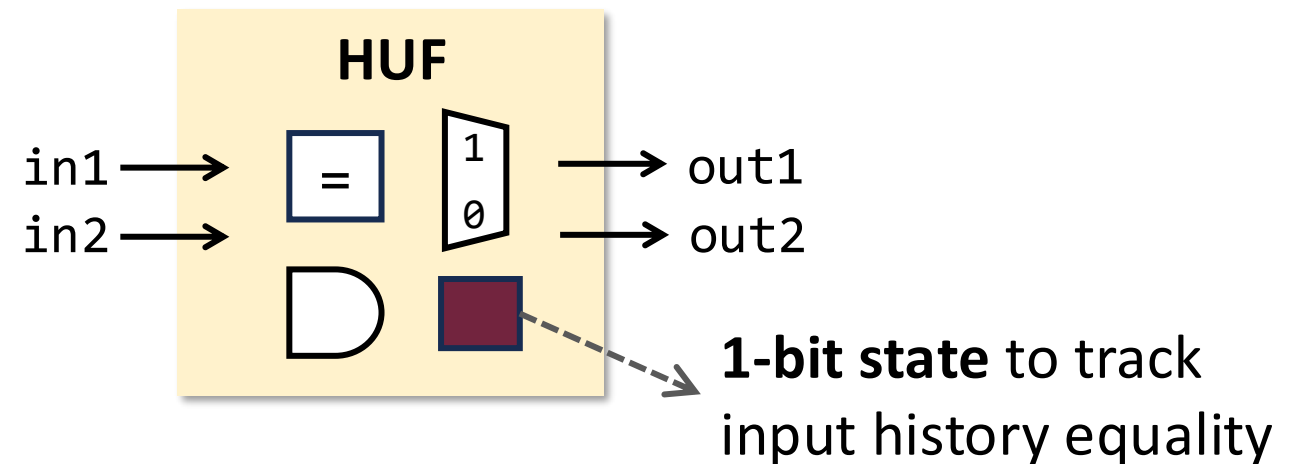
$$\forall t \in \mathbb{N}. \text{in1}[0..t] = \text{in2}[0..t] \Rightarrow \text{out1}[t] = \text{out2}[t]$$



HUF Abstracts Sequential Modules



$$\forall t \in \mathbb{N}. \text{in1}[0..t] = \text{in2}[0..t] \\ \Rightarrow \text{out1}[t] = \text{out2}[t]$$



HUF reduces a sequential module to **1-bit state of history + simple gates**, enabling efficient invariant searching

HUF Verification Flow

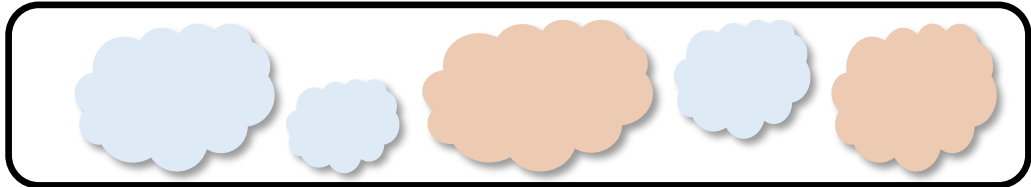
Verification target:
Self-composed processor pair



HUF Verification Flow

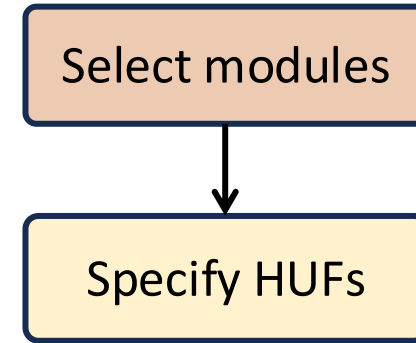
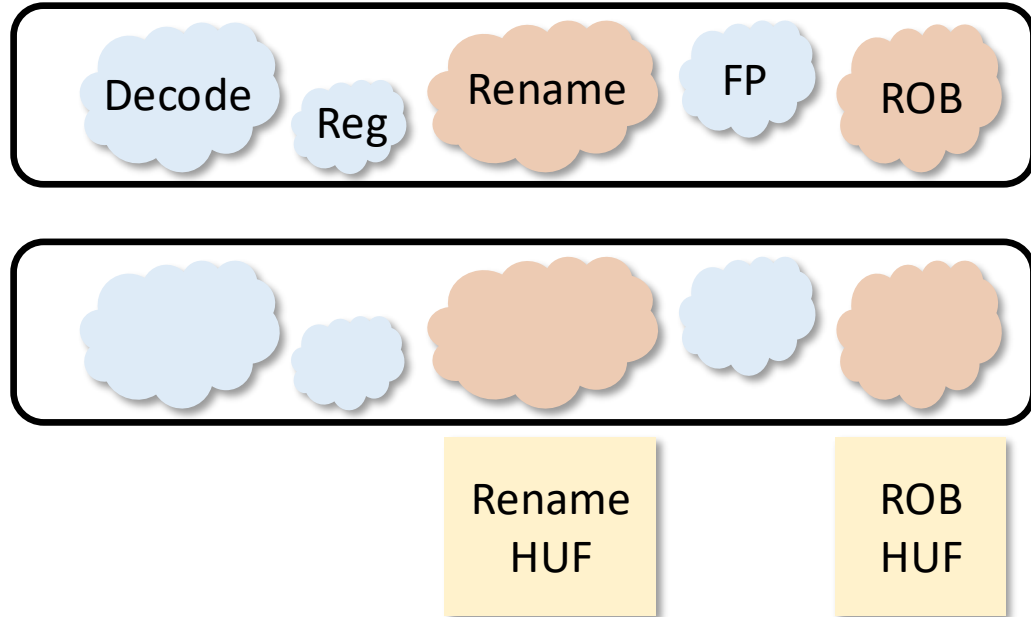
Verification target:
Self-composed processor pair

Select modules



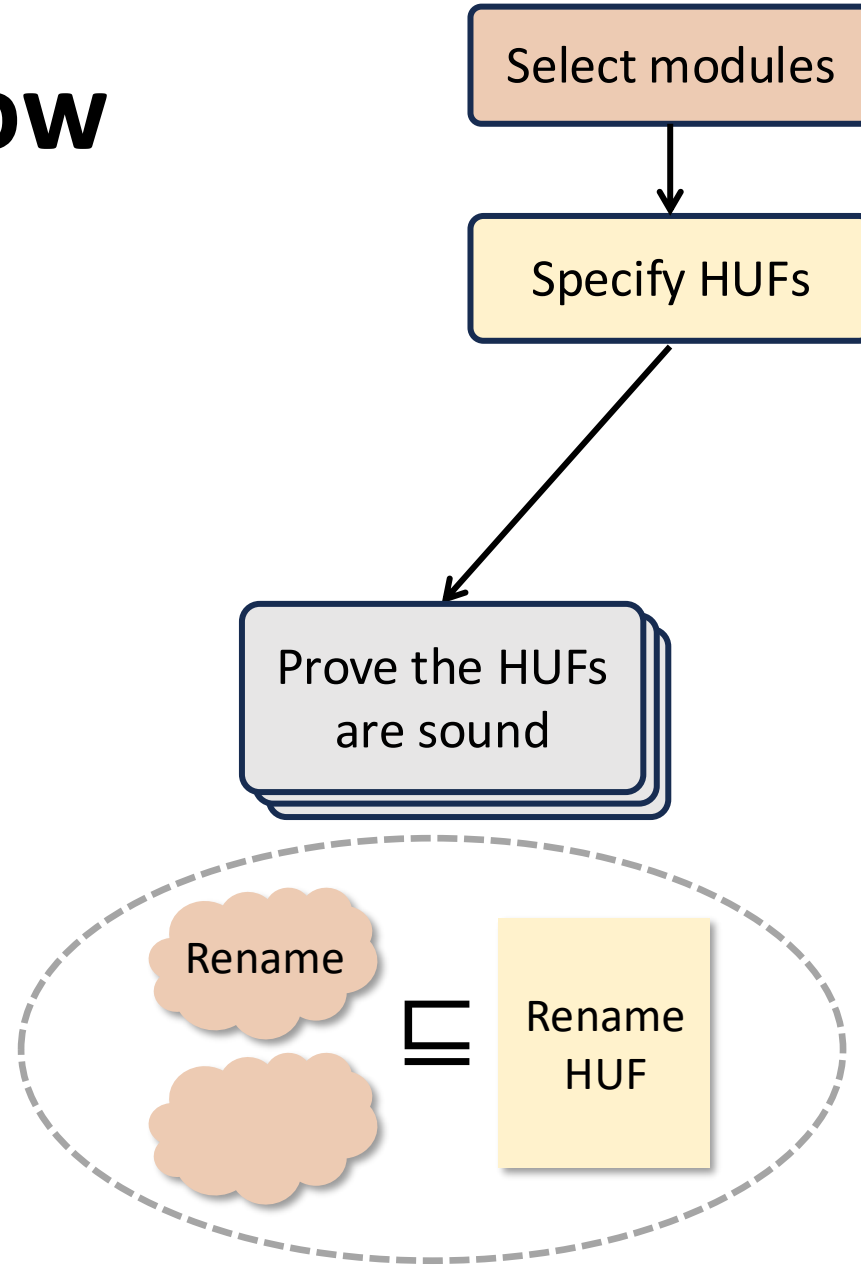
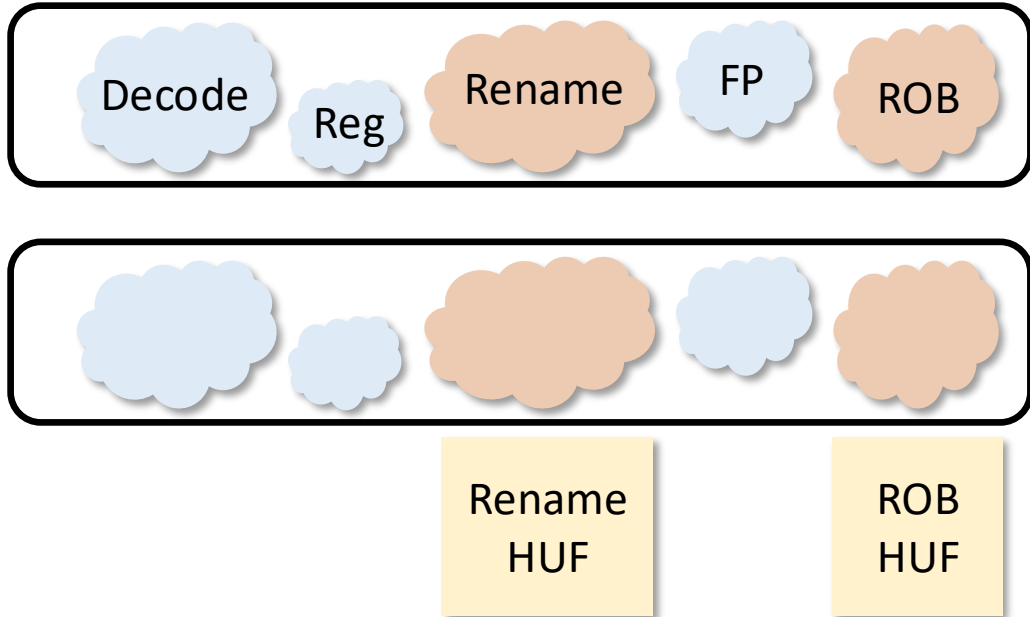
HUF Verification Flow

Verification target:
Self-composed processor pair



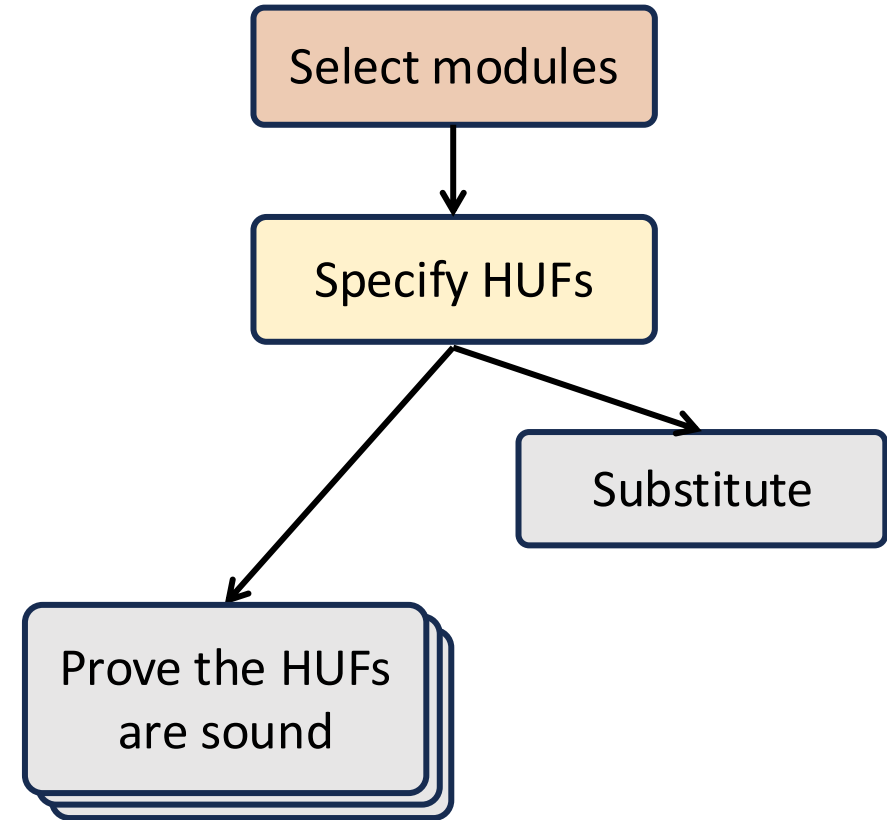
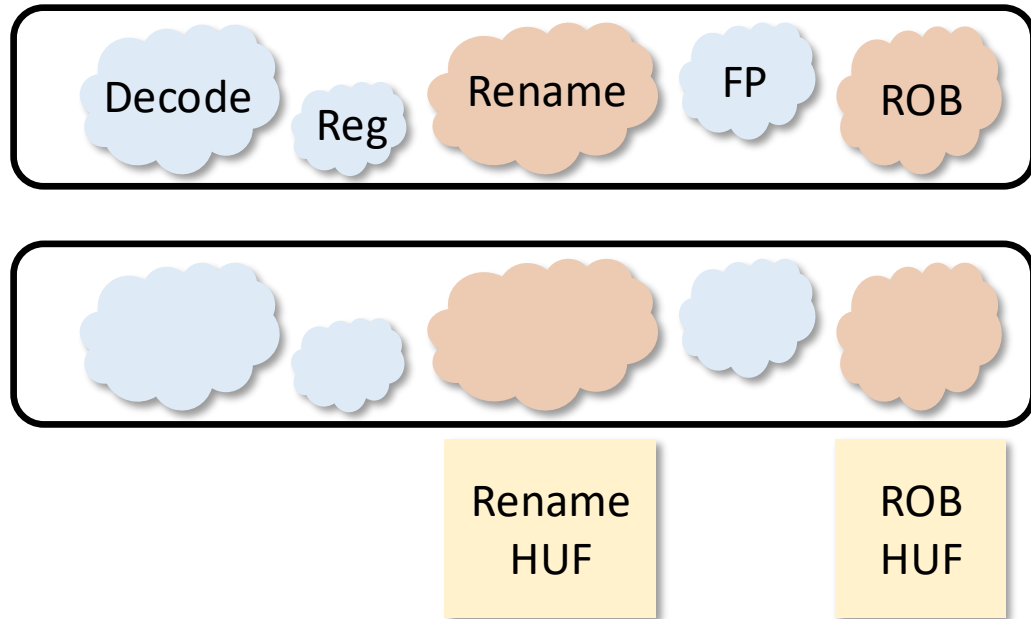
HUF Verification Flow

Verification target:
Self-composed processor pair



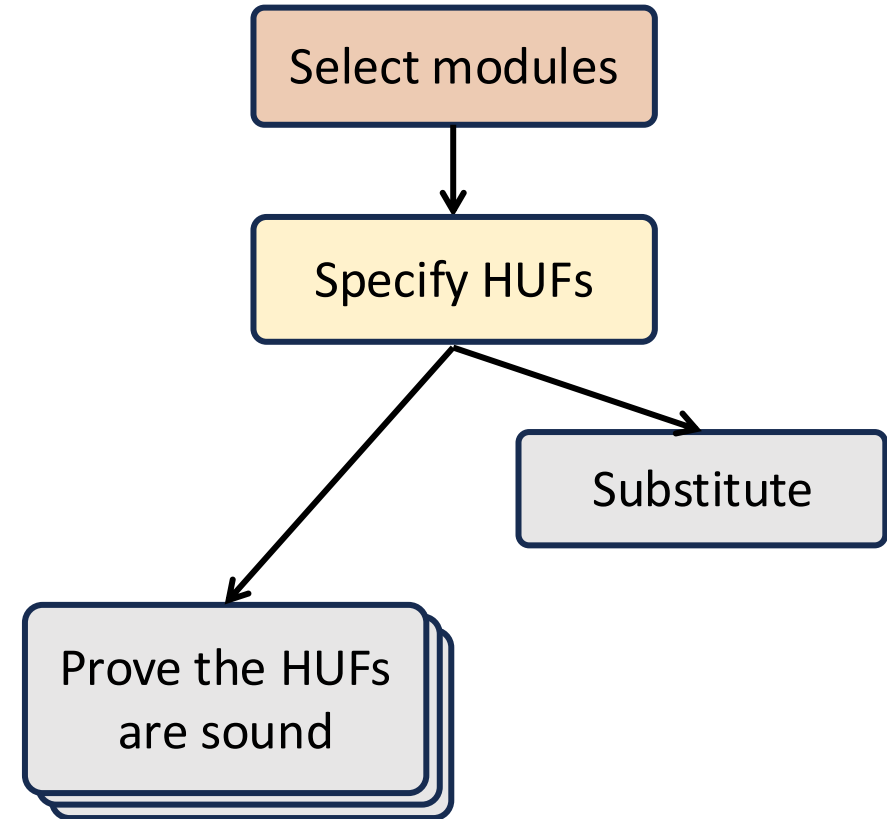
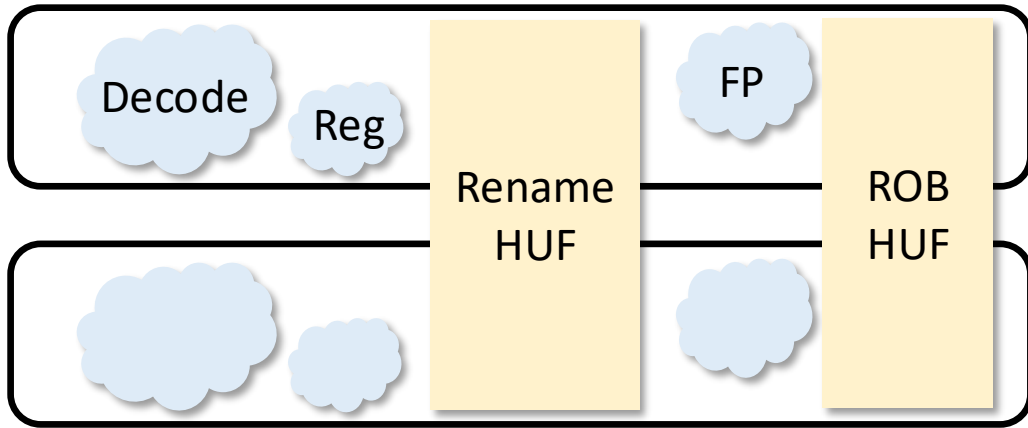
HUF Verification Flow

Verification target:
Self-composed processor pair



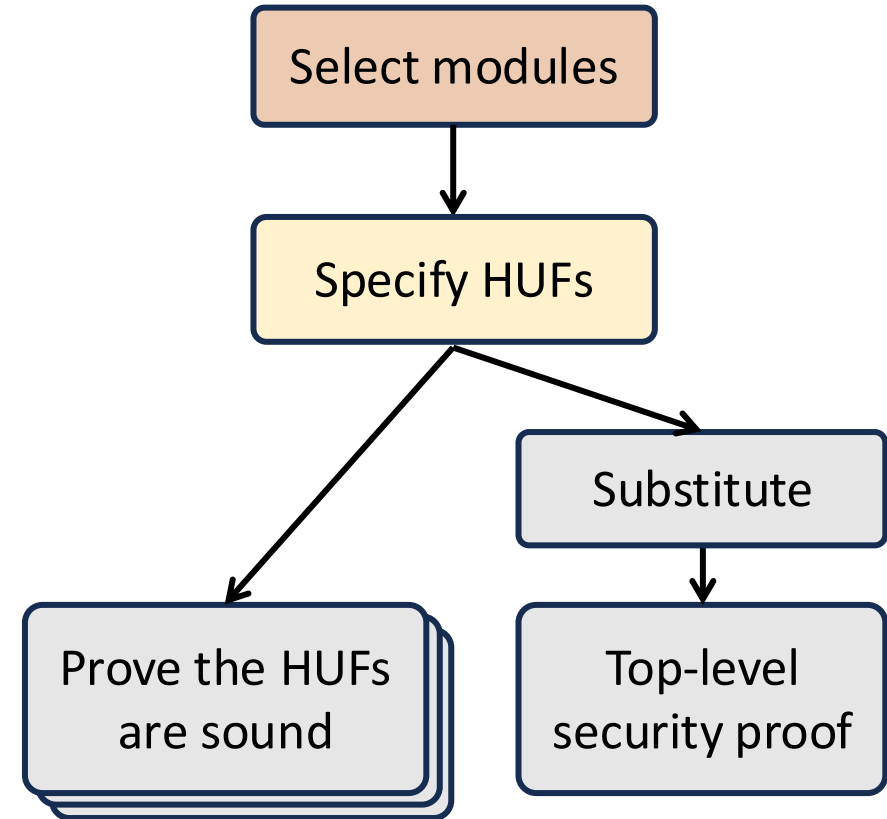
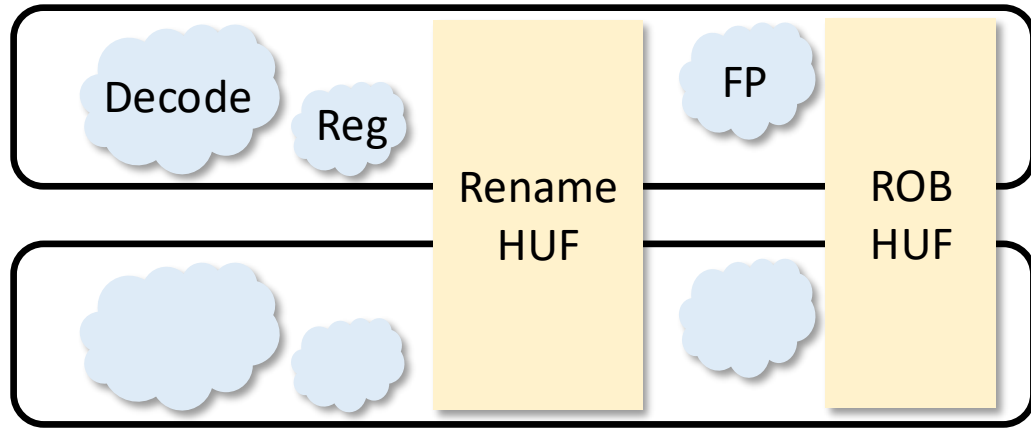
HUF Verification Flow

Verification target:
Self-composed processor pair



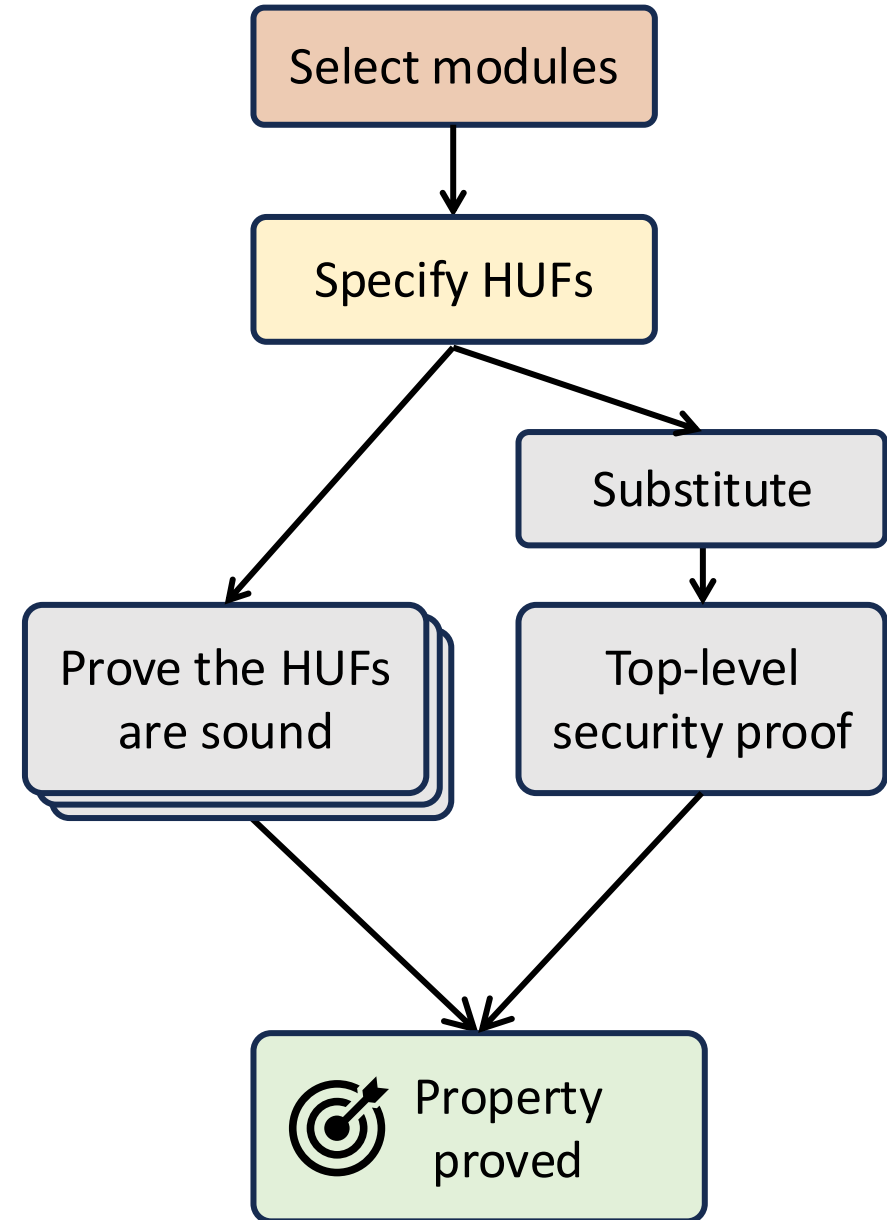
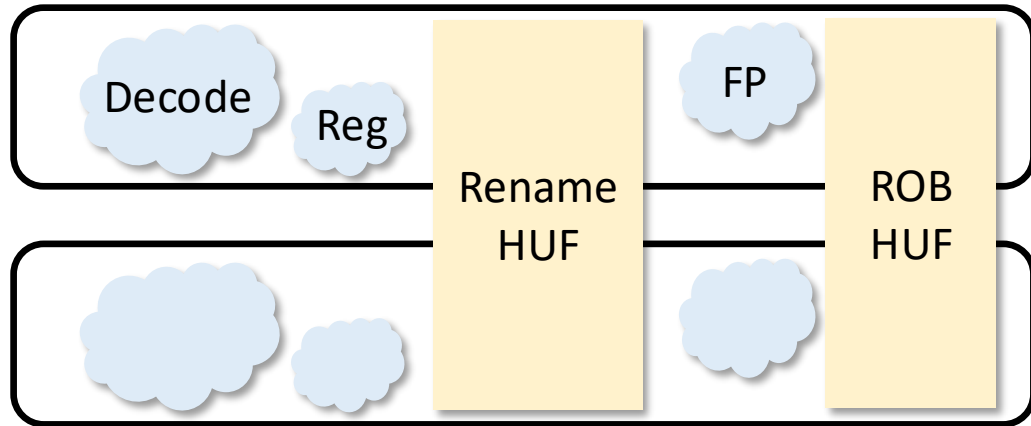
HUF Verification Flow

Verification target:
Self-composed processor pair



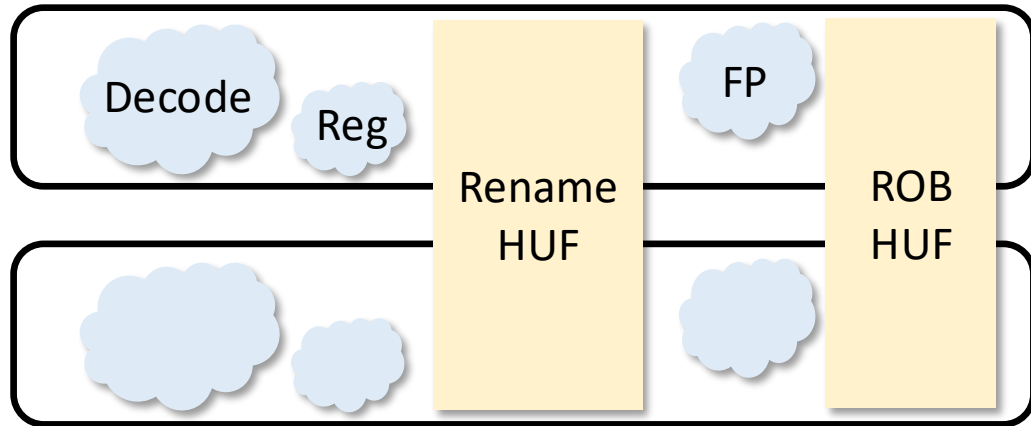
HUF Verification Flow

Verification target:
Self-composed processor pair



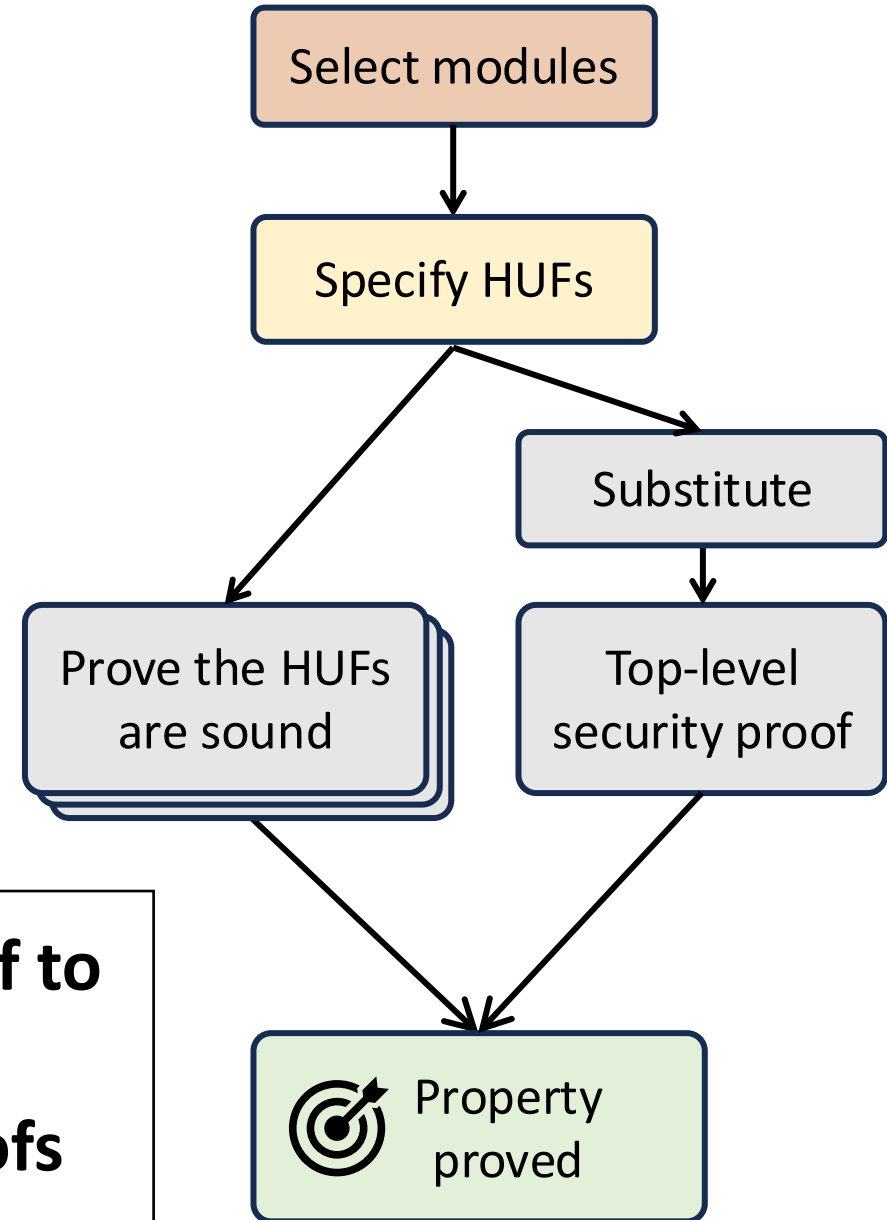
HUF Verification Flow

Verification target:
Self-composed processor pair



HUF decomposes a **large monolithic** proof to

- one **smaller** top-level property proof
- multiple **module-level** soundness proofs



Evaluation Results

Evaluation Results

Property 1: Constant-Time Instruction Set

Evaluation Results

Property 1: Constant-Time Instruction Set



H-Houdini
(160 cores)

7 mins

3 hours

Evaluation Results

Property 1: Constant-Time Instruction Set



H-Houdini
(160 cores)

7 mins

3 hours

HUF

30 s

15 mins

(11 cores)

(14x faster)

(12x faster)

Evaluation Results

Property 1: Constant-Time Instruction Set



H-Houdini
(160 cores)

7 mins

3 hours

HUF

30 s

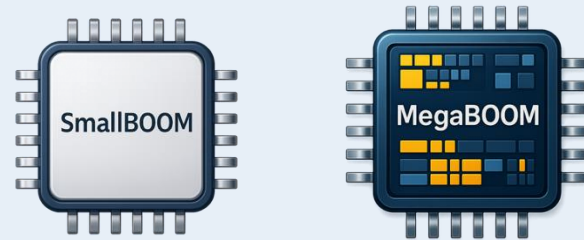
15 mins

(11 cores) (14x faster) (12x faster)

Property 2: Secure Speculation

Evaluation Results

Property 1: Constant-Time Instruction Set



H-Houdini
(160 cores)

7 mins

3 hours

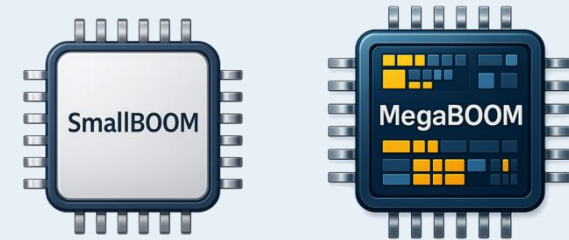
HUF

30 s

15 mins

(11 cores) (14x faster) (12x faster)

Property 2: Secure Speculation



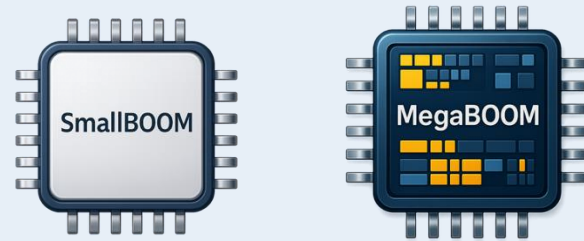
Contract
Shadow Logic



Cannot verify

Evaluation Results

Property 1: Constant-Time Instruction Set



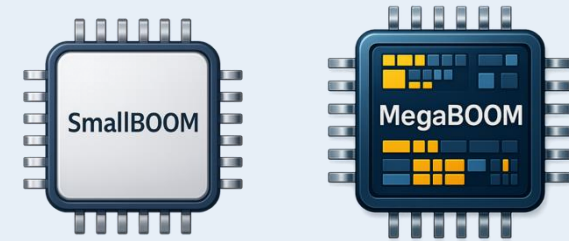
H-Houdini
(160 cores)

7 mins

3 hours

HUF
(11 cores) **30 s** **15 mins**
(14x faster) **(12x faster)**

Property 2: Secure Speculation



Contract
Shadow Logic



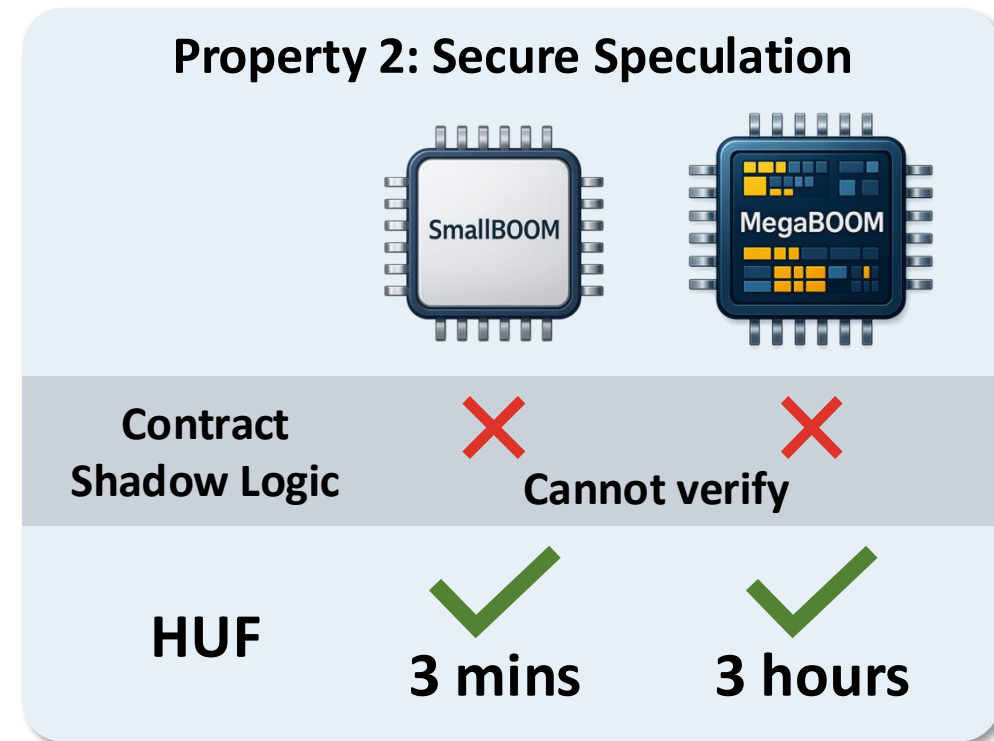
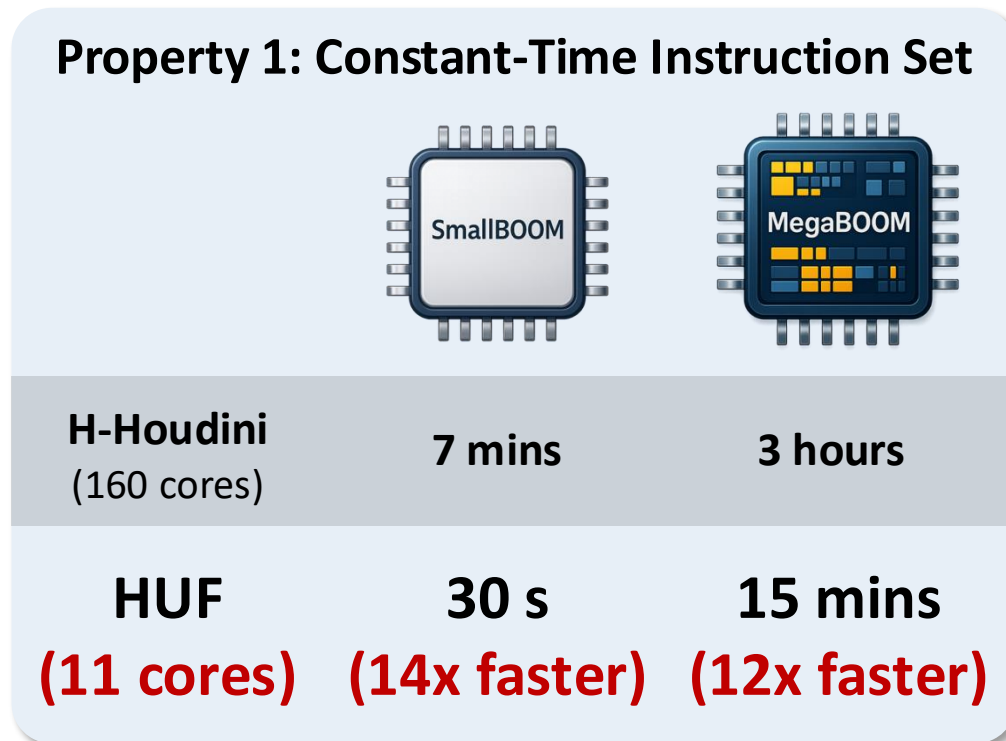
Cannot verify

HUF

A green checkmark indicating success.
3 mins

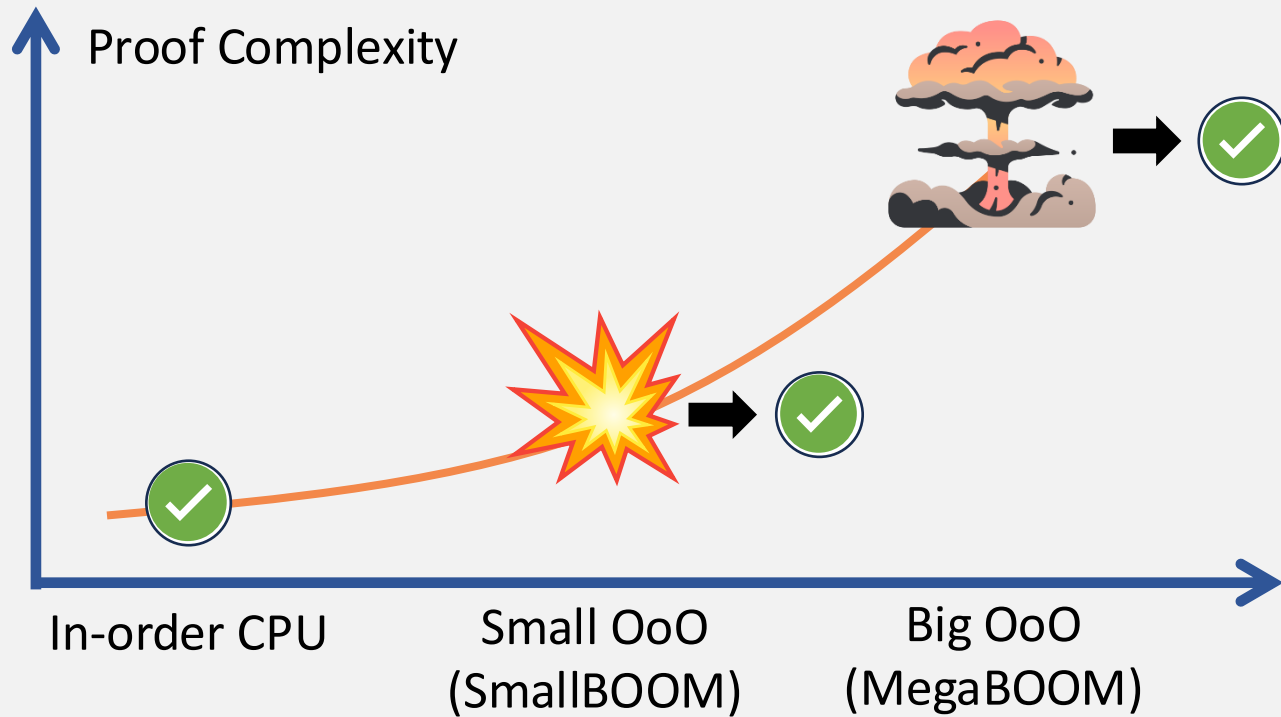
A green checkmark indicating success.
3 hours

Evaluation Results



HUF scales verification to previously out-of-reach **complex OoO CPU**

HUF: Uninterpreted Function with History



Thank you!

rogerdtz@mit.edu

Try our artifact!



[GitHub.com/MATCHA-MIT/shuffle](https://github.com/MATCHA-MIT/shuffle)

