

## Lecture 21

- Self-correcting for linear fctns
- testing linearity

# Linear Functions:

$$f: G \rightarrow H$$

$G, H$  finite groups with operations  $+_G, +_H$   
closure, associative, identity, inverse

Today:

every group  
is

commutative !!

$f$  is "linear" (homomorphism) if

$$\forall x, y \in G \quad f(x) +_H f(y) = f(x +_G y)$$

Examples of finite groups:

$$G = \mathbb{Z}_m \text{ with operation } "+ \text{ mod } m"$$

$\{0, 1, \dots, m-1\}$

$$G = \mathbb{Z}_m^k \text{ with coordinatewise } "+ \text{ mod } m"$$

$(x_1, \dots, x_k)$  s.t.  $x_i \in \mathbb{Z}_m$

Examples of homomorphisms:

$$f(x) = x$$

$$f(x) = 0$$

$$f(x) = ax \text{ mod } q \quad \text{for } G = \mathbb{Z}_q$$

$$f_{\vec{a}}(\vec{x}) = \sum a_i x_i \text{ mod } 2 = (x_1, \dots, x_n) \cdot \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix}$$

$G = \mathbb{Z}_2^n \quad H = \mathbb{Z}_2$

def.  $f$  is "linear" (homomorphism) if  $\forall x, y \in G$   $f(x) +_H f(y) = f(x +_G y)$

def  $f$  is " $\varepsilon$ -linear" if  $\exists$  linear fctn  $g$  s.t.  $\leftarrow$  " $\varepsilon$ -close to linear"

$f$  &  $g$  agree on  $\geq 1 - \varepsilon$  fraction of inputs,

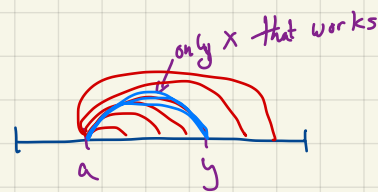
$$\Pr_{x \in G} [f(x) = g(x)] \geq 1 - \varepsilon$$

else,  $f$  is " $\varepsilon$ -far" from linear

A useful observation:

$$\forall a, y \in G \quad \Pr_x [y = a+x] = \frac{1}{|G|}$$

since only  $x = a - y$  satisfies equation



$\Rightarrow$  if pick  $x \in_{\mathcal{R}} G$   
then  $a+x$  is unif dist in  $G$  ( $a+x \in_{\mathcal{R}} G$ )

example:

If  $G = \mathbb{Z}_2^n$  with operation  $(a_1, \dots, a_n) + (b_1, \dots, b_n)$   
 $= (a_1 \oplus b_1, a_2 \oplus b_2, \dots, a_n \oplus b_n)$

then  $(0110) + (b_1 b_2 b_3 b_4) = (0 \oplus b_1, 1 \oplus b_2, 1 \oplus b_3, 0 \oplus b_4)$   
is distributed uniformly if  $b_i$ 's are

why?  
• each coord uniform  
•  $b_i$ 's indep  $\Rightarrow a_i \oplus b_i$ 's indep

Self-Correcting: also known as "random self-reducibility"

Given  $f$  st.  $\exists$  linear  $g$  st.  $\Pr_x [f(x) = g(x)] \geq 7/8$  ← not given  $g$ , just  $f$ !!!

Can compute  $g(x) \forall x$ : (using  $f$ )

for  $i = 1 \dots c \log \frac{1}{\beta}$

Pick  $y \in_R G$

answer <sub>$i$</sub>  ←  $f(y) + f(x-y)$

Output most common value for answer <sub>$i$</sub>

hope:  
if  $f$  agrees with  $g$  everywhere  
 $g(y) + g(x-y) = g(x)$   
 $\Rightarrow$  answer <sub>$i$</sub>  =  $g(x)$

$y$  +  $x-y$  are unif in domain

Claim:  $\Pr[\text{output} = g(x)] \geq 1 - \beta$

Pf.

$$\Pr[f(y) \neq g(y)] \leq 1/8$$

$$\Pr[f(x-y) \neq g(x-y)] \leq 1/8$$

$$\therefore \Pr[\underbrace{f(y) + f(x-y)}_{\text{answer}_i} \neq \underbrace{g(y) + g(x-y)}_{g(x)}] \leq 1/4$$

since  $g$  linear.

so each answer <sub>$i$</sub>  =  $g(x)$  with prob  $\geq 3/4$   
 $\Rightarrow$  most common answer value =  $g(x)$  with prob  $\geq 1 - \beta$   
(Chernoff)

# Linearity Testing

Goal: Given  $f$

• if  $f$  linear, pass

• if  $f$   $\varepsilon$ -far from linear, fail with prob  $\geq 2/3$

need to change value of  $f$  on  $\geq \varepsilon$  fraction of domain

equivalently,  $\forall g$  linear  $\Pr_{x \in D} [f(x) \neq g(x)] > \varepsilon$

## Proposed Test

do ? times:

Pick  $x, y \in G$

if  $f(x) + f(y) \neq f(x+y)$  output "FAIL" + halt

Output PASS

## Behavior of Test

$f$  linear  $\Rightarrow$  always passes ✓

if  $f$   $\epsilon$ -far from linear?

to show (contrapositive):

if  $f$  likely to pass then  $f$  is  $\epsilon$ -linear

(equivalent:  $f$   $\epsilon$ -far from linear  $\Rightarrow$   $f$  likely to fail)

## Plan

- if  $f$   $\epsilon$ -close to linear then fctn  $g$  you get from self-correcting  $f$ :

$$g(x) = \text{majority}_y [ \underbrace{f(x+y) - f(y)}_{\substack{\text{y's vote for} \\ \text{of } g(x) \text{ value}}} ]$$

will be

- (1) linear
- (2) close to  $f$

- if  $f$  not close to linear, then no guarantees on  $g(x)$   
but if test fails rarely, then you do get guarantees

e.g. • most  $x$  satisfy  $f(x) = \text{majority}_y [ f(x+y) - f(y) ]$

- if  $x$  satisfies  $\rightarrow$  does  $x+y$ ?



Thm Suppose  $\delta = \Pr_{x,y} [f(x) + f(y) \neq f(xy)] < \frac{1}{16}$  Then  $f$  is  $\frac{\epsilon}{2\delta}$ -close to linear

# times we need to repeat lin test is  $\Omega\left(\frac{1}{\delta}\right)$  so  $\gg \frac{1}{\delta}$   
 $\Omega\left(\frac{1}{\epsilon}\right)$

Proof let  $g$  be the self-correction of  $f$ :

def  $g(x) = \text{plurality}_y [f(xy) - f(y)]$   
 $y$ 's vote for  $f(x)$

← break ties arbitrarily will show: no ties

def  $x$  is  $\frac{1}{2}$ - $\rho$ -good if  $\Pr_y [g(x) = f(xy) - f(y)] > \underline{1-\rho}$   
how many votes did  $g(x)$  disagree with?

Suppose  $1-\rho > \frac{1}{2}$ ,  $g(x)$  defined via clear majority  
 $\frac{1}{2}$ -good  $x$ : clear winner

First:  $g$  &  $f$  usually agree

$$\delta = \Pr_{x,y} [f(x) + f(y) \neq f(xy)] < \frac{1}{16}$$

$$\text{def } g(x) = \text{plurality } [f(xy) - f(y)]$$

$$\text{def } x \text{ is } p\text{-good if } \Pr_y [g(x) = f(xy) - f(y)] > p$$

Claim 1: for  $p < 1/2$

$$\Pr_x [x \text{ is } p\text{-good} \wedge g(x) = f(x)] > 1 - \frac{\delta}{p}$$

$\Rightarrow$  fraction of  $x$  for which  $f$  &  $g$  agree is  $> 1 - 2\delta > 7/8$  since  $\delta < 1/16$

Pf of Claim 1

$$\text{let } \alpha_x = \Pr_y [f(x) \neq f(xy) - f(y)] \leftarrow \text{fraction of "}\neq\text{" in a row}$$

if  $\alpha_x < p < 1/2$  then  $x$  is  $p$ -good &  $g(x) = f(x)$

$$E_x [\alpha_x] = \frac{1}{|G|} \cdot \sum_{x \in G} \Pr_y [f(x) \neq f(xy) - f(y)]$$

$$= \Pr_{x,y \in G} [f(x) \neq f(xy) - f(y)] = \delta$$

$$\text{so } \Pr_x [\alpha_x > p] \leq \frac{\delta}{p} \leftarrow \text{Markov's } \neq = \left(\frac{p}{\delta}\right) \cdot \delta$$

all  $y$ 's

all  $x$ 's

=	=	=	=	=	≠
=	=	=	≠	=	=
≠	=	≠	=	+	≠
=	=	=	=	=	=
=	+	+	+	+	+
=	=	=	=	=	=

≠ if  $f(x) + f(y) \neq f(xy)$   
= o.w.

Fraction of  $\neq$  in matrix =  $\delta$

$E$  [fraction of  $\neq$  in row] =  $\delta$

Fraction of rows with  $> p \cdot \delta$

is at most  $\frac{1}{p}$  Markov's  $\neq$

Second: Show  $g$  "is a homomorphism"  
 (at least, where it is defined)

Claim 2  $p < 1/4$ . If  $x, y$  both  $p$ -good then

- (1)  $x+y$  is  $2p$ -good
- (2)  $g(x+y) = g(x) + g(y)$

Pf of Claim 2

let  $h(x+y) = g(x) + g(y)$

bad events  $\left\{ \begin{array}{l} \Pr_z [g(y) \neq f(y+z) - f(z)] < p \quad \text{since } y \text{ is } p\text{-good} \\ \Pr_z [g(x) \neq f(x+(y+z)) - f(y+z)] < p \quad \text{since } x \text{ } p\text{-good} \\ \phantom{\Pr_z} \phantom{[g(x)} \phantom{\neq} \phantom{f(x+(y+z))} - \phantom{f(y+z)}] < p \quad \text{since } y+z \text{ unif dist} \end{array} \right.$

so  $\Pr_z [h(x+y) = g(x) + g(y) = f(y+z) - f(z) + f(x+(y+z)) - f(y+z) = f(x+y+z) - f(z)] > 1 - 2p > 1/2$  since  $p < 1/4$

$\Rightarrow g(x+y) = h(x+y)$  by def of  $g$  + since  $f(x+y+z) - f(z) = h(x+y)$  for  $> 1/2$   $z$ 's  
 $= g(x) + g(y)$  by def of  $h$

$$\delta = \Pr_{x,y} [f(x) + f(y) \neq f(x+y)] < \frac{1}{16}$$

def  $g(x) = \text{plurality}_y [f(x+y) - f(y)]$

def  $x$  is  $p$ -good if  $\Pr_y [g(x) = f(x+y) - f(y)] > 1 - p$

Claim 1: for  $p < 1/2$   
 $\Pr_x [x \text{ is } p\text{-good} + g(x) = f(x)] > 1 - \frac{\delta}{p}$   
 $\Rightarrow$  fraction of  $x$  for which  $f + g$  agree is  $> 1 - 2\delta > 7/8$

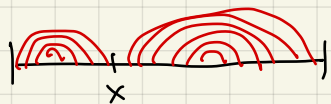
union bound over bad events

Third: Show that  $g$  is actually defined for all  $x$ .

Claim 3  $\delta < 1/16$ .  $\forall x$ ,  $x$  is  $4\delta$ -good  $\leftrightarrow g(x)$

is defined via majority element

Pf of Claim 3



if  $\exists y$  s.t.  $y$   $\leftrightarrow (x-y)$  both  $2\delta$ -good

then claim 2  $\Rightarrow x$  is  $4\delta$ -good

$$\leftrightarrow g(x) = g(y) + g(x-y)$$

To show  $y$  exists:

$$\Pr_y [y \text{ and } (x-y) \text{ both } 2\delta\text{-good}] > 1 - 2 \cdot \frac{\delta}{2\delta} \geq 0$$

$\nwarrow \nearrow$   
both uniform

$$\delta = \Pr_{x,y} [f(x) + f(y) \neq f(x+y)] < \frac{1}{16}$$

$$\stackrel{\text{def}}{=} g(x) = \text{plurality}_y [f(x+y) - f(y)]$$

def  $x$  is  $p$ -good if  $\Pr_y [g(x) = f(x+y) - f(y)] > 1 - p$

Claim 1: for  $p < 1/2$

$$\Pr_x [x \text{ is } p\text{-good} \text{ and } g(x) = f(x)] \geq 1 - \frac{\delta}{p}$$

$\Rightarrow$  fraction of  $x$  for which  $f$   $\leftrightarrow g$  agree is  $> 1 - 2\delta > 7/8$

Claim 2  $p < 1/4$ . If  $x, y$  both  $p$ -good then

(1)  $x+y$  is  $2p$ -good

$$(2) g(x+y) = g(x) + g(y)$$

$\nwarrow$  claim 1  
 $\Rightarrow$   
 $> 1 - \frac{\delta}{p}$   
 $\geq 1 - \frac{1}{16} \cdot 4 = \frac{3}{4}$   
of  $x$ 's  
are  $p$ -good

since  $\Pr > 0$

$\exists y$  s.t.  $y$   $\leftrightarrow x-y$  both  $2\delta$ -good

▣

Claim 3  $\Rightarrow$

$\forall x$ ,  $g(x)$  is defined via majority

$\Rightarrow$  for  $p = 4\delta$ ,  $x$  is  $p$ -good

Claim 2  $\Rightarrow$   $g$  is homomorphism

$$\forall x, y \quad g(x) + g(y) = g(x+y)$$

Claim 1  $\Rightarrow$   $f$  &  $g$  agree on  $\geq 1 - 2\delta$

fraction of domain  $G$

so  $f$  is  $2\delta$ -close to homomorphism

$$\delta = \Pr_{x,y} [f(x) + f(y) \neq f(x+y)] < \frac{1}{16}$$

$$\text{def } g(x) = \text{plurality}_y [f(x+y) - f(y)]$$

def  $x$  is  $p$ -good if  $\Pr_y [g(x) = f(x+y) - f(y)] > 1 - p$

Claim 1: for  $p < \frac{1}{2}$

$$\Pr_x [x \text{ is } p\text{-good} \wedge g(x) = f(x)] \geq 1 - \frac{\delta}{p}$$

$\Rightarrow$  fraction of  $x$  for which  $f$  &  $g$  agree is  $> 1 - 2\delta > \frac{7}{8}$

$f$  &  $g$  are close

Claim 2  $p < \frac{1}{4}$ . If  $x, y$  both  $p$ -good then

(1)  $x+y$  is  $2p$ -good

$$(2) g(x+y) = g(x) + g(y)$$

$g$  is a homomorphism

Claim 1  $\Rightarrow$   
 $> 1 - \frac{\delta}{p}$   
 $\geq 1 - \frac{1}{16} \cdot 4 = \frac{3}{4}$   
of  $x$ 's are  $p$ -good

Claim 3  $\delta < \frac{1}{16}$ .  $\forall x$ ,  $x$  is  $\frac{1}{4}$ -good &  $g(x)$

is defined via majority element

$g$  is defined everywhere as majority

Improvements:

only need  $\delta < 2/q$

$\Rightarrow O(q/2)$  tests give const prob of failure instead of  $O(16)$

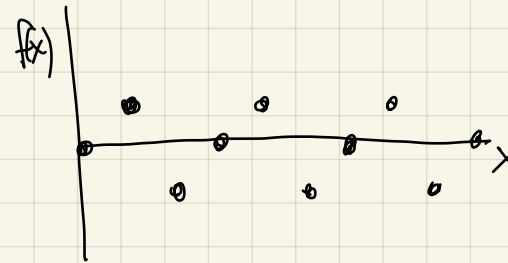
big deal? can lead to improvements in exponents of hardness of approximation results.

over  $GF(2)$ , can get better  $\delta$   
in general  $2/q$  is tight: (Coppersmith's example)

$2/q$  is a "threshold"

$$f(x) = \begin{cases} 1 & \text{if } x \equiv 1 \pmod{3} \\ 0 & \text{if } x \equiv 0 \pmod{3} \\ -1 & \text{if } x \equiv 2 \pmod{3} \end{cases}$$

integers over  $\mathbb{Z}$



closest linear fctn:  
 $g(x) = 0$   
 $\Pr[f(x) = g(x)] = 1/3$

$2/3$  - far

$f$  fails when  
else passes

$$\begin{cases} x=y=1 \pmod{3} \\ x=y=2 \pmod{3} \end{cases}$$

prob =  $2/q$

pass with prob  $7/q$

$$\begin{cases} f(x)+f(y)=2 \\ f(x+y)=-1 \end{cases}$$