

Lecture 13

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1 Topics

- Fourier analysis on boolean hypercube
- Linearity test analysis

2 Review

2.1 Linear Functions

Recall the definition of linear functions from last lecture.

Definition 1. A function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ is said to be **linear** if for all $x, y \in \{\pm 1\}^n$,

$$f(x)f(y) = f(x \odot y)$$

where " \odot " denotes element-wise multiplication.

From this definition, the following fact can readily be seen.

Fact 2. All linear functions on $\{\pm 1\}^n$ are of the form

$$\chi_S(x) = \prod_{i \in S} x_i$$

for some subset $S \subseteq [n]$. Moreover, each function of this form is linear.

2.2 Linear Testing

We are interested in efficiently testing whether a function is nearly linear, we formalize a notion of near linearity.

Definition 3. A function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ is said to be ϵ -**linear** if there exists a linear function g such that

$$\Pr_{x \sim \mathcal{U}} [f(x) = g(x)] \geq 1 - \epsilon$$

where \mathcal{U} denotes the uniform distribution on $\{\pm 1\}^n$.

Definition 4. A **linearity tester** is an algorithm that takes as input query access to a function f and a parameter $\epsilon > 0$ and satisfies the following requirements:

- If f is linear, $\Pr[\text{tester outputs "pass"}] = 1$.
- If f is not ϵ -linear, $\Pr[\text{tester outputs "fail"}] \geq \frac{3}{4}$.

Here, $\frac{3}{4}$ could be replaced by any number in $(0, 1)$ since we can always repeat run a tester multiple times to reduce the error probability.

Consider the following proposed linearity tester.

Algorithm 1 Proposed Linearity Tester

Require: $\epsilon \geq 0, n \in \mathbb{N}$, query access to function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$.

for $i = 1, \dots, k$ **do**
 Sample $x, y \stackrel{i.i.d.}{\sim} \mathcal{U}$
 Reject if $f(x)f(y) \neq f(x \odot y)$.
end for
Accept.

Clearly, the tester always passes when f is linear, but we want to figure out how large k needs to be in order for the tester to fail with high probability when f is not ϵ -linear. In order to do so, we will need to use tools from Fourier analysis on the boolean cube.

3 Fourier Analysis

Definition 5. Let \mathcal{F}_n be the vector space of functions from $\{\pm 1\}^n$ to \mathbb{R} . For any $f, g \in \mathcal{F}_n$, we define the *inner product* of f and g as

$$\langle f, g \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x)g(x).$$

Theorem 6. The set of linear functions $\{\chi_S\}_{S \subseteq [n]}$ is an orthonormal basis with respect to the inner product.

Proof. We first prove that the χ_S each have norm 1. Let $S \subseteq [n]$.

$$\langle \chi_S, \chi_S \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \chi_S(x)^2 = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} 1 = 1.$$

We now prove that the linear functions are orthogonal. Let $S, T \subseteq [n]$ where $S \neq T$. Let $k \in S \Delta T$. Consider the set

$$A = \{x \in \{\pm 1\}^n \mid x_k = 1\}.$$

For any $x = (x_1, \dots, x_{k-1}, 1, x_{k+1}, \dots, x_n) \in A$, let $x^{\oplus k} = (x_1, \dots, x_{k-1}, -1, x_{k+1}, \dots, x_n)$. Clearly, the map $x \mapsto x^{\oplus k}$ is a bijection between A and $\{\pm 1\}^n \setminus A$. We use this to compute the inner product of χ_S and χ_T .

$$\begin{aligned} \langle \chi_S, \chi_T \rangle &= \frac{1}{2^n} \sum_{x \in S} \chi_S(x) \chi_T(x) \\ &= \frac{1}{2^n} \sum_{x \in S} \prod_{i \in S} x_i \prod_{j \in T} x_j \\ &= \frac{1}{2^n} \sum_{x \in S} \left(\prod_{i \in S \cap T} x_i \prod_{i \in S \setminus T} x_i \right) \left(\prod_{j \in S \cap T} x_j \prod_{i \in T \setminus S} x_j \right) \\ &= \frac{1}{2^n} \sum_{x \in S} \prod_{i \in S \cap T} x_i^2 \prod_{j \in S \Delta T} x_j \\ &= \frac{1}{2^n} \sum_{x \in S} \prod_{j \in S \Delta T} x_j \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{2^n} \left(\sum_{x \in A} \prod_{j \in S \Delta T} x_j + \sum_{x \in \{\pm 1\}^n \setminus A} \prod_{j \in S \Delta T} x_j \right) \\
&= \frac{1}{2^n} \left(\sum_{x \in A} \prod_{j \in S \Delta T} x_j + \sum_{x \in A} \prod_{j \in S \Delta T} x_j^{\oplus k} \right) \\
&= \frac{1}{2^n} \left(\sum_{x \in A} \prod_{j \in (S \Delta T) \setminus \{k\}} x_j + \sum_{x \in A} - \prod_{j \in (S \Delta T) \setminus \{k\}} x_j \right) \\
&= \frac{1}{2^n} (0) \\
&= 0.
\end{aligned}$$

Therefore, the χ_S are orthonormal. Since there are 2^n of them and the dimension of \mathcal{F}_n is 2^n , they must span all of \mathcal{F}_n and, thus, form an orthonormal basis. \square

This implies that any function in \mathcal{F}_n can be written as a linear combination of linear functions, with coefficient obtained by taking inner products with the χ_S . This is formalized by the following definition and corollary.

Definition 7. For $f : \{\pm 1\}^n \rightarrow \mathbb{R}$ and $S \subseteq [n]$, define

$$\hat{f}(S) = \langle f, \chi_S \rangle.$$

Corollary 8. For all $f : \{\pm 1\}^n \rightarrow \mathbb{R}$,

$$f = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S.$$

Fact 9. A function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ is linear if and only if there exists a set S such that $\hat{f}(S) = 1$ and $\hat{f}(T) = 0$ for all $T \neq S$.

Next, we show that when $\hat{f}(S)$ is large, f is close to χ_S .

Lemma 10. For all $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ and $S \subseteq [n]$,

$$\hat{f}(S) = 1 - 2 \text{dist}(f, \chi_S)$$

where $\text{dist}(f, \chi_S) = \Pr_{x \sim \mathcal{U}}[f(x) \neq \chi_S(x)]$.

Proof. From definitions 5 and 7,

$$\begin{aligned}
\hat{f}(S) &= \langle f, \chi_S \rangle \\
&= \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x) \chi_S(x) \\
&= \frac{1}{2^n} \sum_{x: f(x) = \chi_S(x)} f(x) \chi_S(x) + \frac{1}{2^n} \sum_{x: f(x) \neq \chi_S(x)} f(x) \chi_S(x) \\
&= \frac{1}{2^n} \sum_{x: f(x) = \chi_S(x)} (1) + \frac{1}{2^n} \sum_{x: f(x) \neq \chi_S(x)} (-1) \\
&= \Pr_{x \sim \mathcal{U}}[f(x) = \chi_S(x)] - \Pr_{x \sim \mathcal{U}}[f(x) \neq \chi_S(x)]
\end{aligned}$$

$$\begin{aligned}
&= 1 - \Pr_{x \sim \mathcal{U}}[f(x) \neq \chi_S(x)] \Pr_{x \sim \mathcal{U}}[f(x) \neq \chi_S(x)] \\
&= 1 - 2 \Pr_{x \sim \mathcal{U}}[f(x) \neq \chi_S(x)].
\end{aligned}$$

□

From this, we observe the following.

Observation 11. For all $S, T \subseteq [n]$ where $S \neq T$,

$$\Pr_{x \sim \mathcal{U}}[\chi_S(x) = \chi_T(x)] = \frac{1}{2}.$$

Proof. We can prove the observation by combining the fact that χ_S and χ_T are orthogonal with lemma 10.

$$1 - 2 \Pr_{x \sim \mathcal{U}}[\chi_S(x) = \chi_T(x)] = \langle \chi_S, \chi_T \rangle = 0.$$

Rearranging terms yields the statement of the observation. □

3.1 Identities

We are nearly ready to analyze the linearity test proposed in the previous section. We just need a few more identities that allow us to express inner products in terms of Fourier coefficients.

Theorem 12 (Plancherel's Identity). . For any pair of functions $f, g : \{\pm 1\}^n \rightarrow \mathbb{R}$,

$$\langle f, g \rangle = \sum_{S \subseteq [n]} \hat{f}(S) \hat{g}(S).$$

Proof. Using Corollary 8,

$$\begin{aligned}
\langle f, g \rangle &= \left\langle \sum_{S \subseteq [n]} \hat{f}(S) \chi_S, \sum_{T \subseteq [n]} \hat{g}(T) \chi_T \right\rangle \\
&= \sum_{S, T \subseteq [n]} \hat{f}(S) \hat{g}(T) \langle \chi_S, \chi_T \rangle \\
&= \sum_{S, T \subseteq [n]} \hat{f}(S) \hat{g}(T) \mathbb{1}\{S = T\} \\
&= \sum_{S \subseteq [n]} \hat{f}(S) \hat{g}(S)
\end{aligned}$$

where we use Theorem 6 for the penultimate equality. □

The special case where $f = g$ is of particular importance.

Corollary 13 (Parseval's Identity). For any function $f : \{\pm 1\}^n \rightarrow \mathbb{R}$,

$$\langle f, f \rangle = \sum_{S \subseteq [n]} \hat{f}(S)^2.$$

When the image of f is $\{\pm 1\}$, we get an even stronger result.

Corollary 14 (Boolean Parseval's Identity). *For any function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$,*

$$\sum_{S \subseteq [n]} \hat{f}(S)^2 = 1.$$

Proof. From Parseval's Identity,

$$\sum_{S \subseteq [n]} \hat{f}(S)^2 = \langle f, f \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x)^2 = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} 1 = 1.$$

□

4 Linearity Test Analysis

We are finally ready to analyze our proposed linearity tester. Fix a function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$. For any $x, y \in \{\pm 1\}^n$,

$$\frac{1 - f(x)f(y)f(x \odot y)}{2} = \mathbb{1}\{f(x)f(y) \neq f(x \odot y)\}$$

Thus, this quotient is the indicator for the pair x, y causing the tester to reject. Let δ_f be the probability that the tester fails on a particular iteration. Then,

$$\delta_f = \Pr_{x, y \stackrel{i.i.d.}{\sim} \mathcal{U}} [f(x)f(y) \neq f(x \odot y)] = \mathbf{E}_{x, y} \left[\frac{1 - f(x)f(y)f(x \odot y)}{2} \right]$$

Theorem 15. *Any function $f : \{\pm 1\}^n \rightarrow \{\pm 1\}$ is δ_f -linear*

Before we prove the theorem, we state and prove a useful lemma.

Lemma 16. *For any $S, T, U \subseteq [n]$,*

$$\mathbf{E}_{x, y} [\chi_S(x)\chi_T(y)\chi_U(x \odot y)] = \mathbb{1}\{S = T = U\}$$

Proof. For any x, y ,

$$\chi_U(x \odot y) = \prod_{k \in U} x_k y_k = \prod_{k \in U} x_k \prod_{k \in U} y_k = \chi_U(x)\chi_U(y).$$

Thus,

$$\begin{aligned} \mathbf{E}_{x, y} [\chi_S(x)\chi_T(y)\chi_U(x \odot y)] &= \mathbf{E}_{x, y} [\chi_S(x)\chi_T(y)\chi_U(x)\chi_U(y)] \\ &= \mathbf{E}_x [\chi_S(x)\chi_U(x)] \mathbf{E}_y [\chi_T(y)\chi_U(y)] \\ &= \left(\frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \chi_S(x)\chi_U(x) \right) \left(\frac{1}{2^n} \sum_{y \in \{\pm 1\}^n} \chi_T(y)\chi_U(y) \right) \\ &= \langle \chi_S, \chi_U \rangle \langle \chi_T, \chi_U \rangle \\ &= \mathbb{1}\{S = U\} \mathbb{1}\{T = U\} \\ &= \mathbb{1}\{S = T = U\}. \end{aligned}$$

where we use the fact that x and y are independent for the second equality and the orthonormality of the linear functions for the fifth. □

Proof of Theorem 15. We begin by calculating the expectation of $f(x)f(y)f(x \odot y)$.

$$\begin{aligned}
\mathbf{E}_{x,y}[f(x)f(y)f(x \odot y)] &= \mathbf{E}_{x,y} \left[\left(\sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x) \right) \left(\sum_{T \subseteq [n]} \hat{f}(T) \chi_T(y) \right) \left(\sum_{U \subseteq [n]} \hat{f}(U) \chi_U(x \odot y) \right) \right] \\
&= \mathbf{E}_{x,y} \left[\sum_{S,T,U \subseteq [n]} \hat{f}(S) \hat{f}(T) \hat{f}(U) \chi_S(x) \chi_T(y) \chi_U(x \odot y) \right] \\
&= \sum_{S,T,U \subseteq [n]} \hat{f}(S) \hat{f}(T) \hat{f}(U) \mathbf{E}_{x,y}[\chi_S(x) \chi_T(y) \chi_U(x \odot y)] \\
&= \sum_{S,T,U \subseteq [n]} \hat{f}(S) \hat{f}(T) \hat{f}(U) \mathbb{1}\{S = T = U\} \\
&= \sum_{S \subseteq [n]} \hat{f}(S)^3 \\
&\leq \max_{S \subseteq [n]} \hat{f}(S) \cdot \sum_{S \subseteq [n]} \hat{f}(S)^2 \\
&= \max_{S \subseteq [n]} \hat{f}(S) \\
&= \max_{S \subseteq [n]} (1 - 2 \Pr[f(x) \neq \chi_S(x)]) \\
&= 1 - 2 \min_{S \subseteq [n]} \Pr[f(x) \neq \chi_S(x)]
\end{aligned}$$

Therefore,

$$\begin{aligned}
\delta_f &= \mathbf{E}_{x,y} \left[\frac{1 - f(x)f(y)f(x \odot y)}{2} \right] \\
&= \frac{1}{2} - \frac{1}{2} \mathbf{E}_{x,y}[f(x)f(y)f(x \odot y)] \\
&\geq \frac{1}{2} - \frac{1}{2} \left(1 - 2 \min_{S \subseteq [n]} \Pr[f(x) \neq \chi_S(x)] \right) \\
&= \min_{S \subseteq [n]} \Pr[f(x) \neq \chi_S(x)]
\end{aligned}$$

Thus, there exists a linear function χ_S such that $\Pr[f(x) = \chi_S(x)] \geq 1 - \delta_f$ so f is δ_f -linear. \square

Corollary 17. *If we run the linearity testing algorithm for $k = \left\lceil \frac{\log 4}{\epsilon} \right\rceil$ iterations, if f is not ϵ -linear, the tester rejects it with probability at least $\frac{3}{4}$.*

Proof. Suppose f is not ϵ -linear. From Theorem 15, we know that f is δ_f -linear, so $\delta_f > \epsilon$. The probability that the tester accepts f is

$$\Pr[\text{tester outputs "pass"}] = (1 - \delta_f)^k \leq e^{-\delta_f k} \leq e^{-\delta_f \frac{\log 4}{\epsilon}} \leq e^{-\log 4} = \frac{1}{4}.$$

Therefore, the tester rejects with probability at least $\frac{3}{4}$. \square

What's surprising about this result is that the number of iterations does not depend on the dimension n .