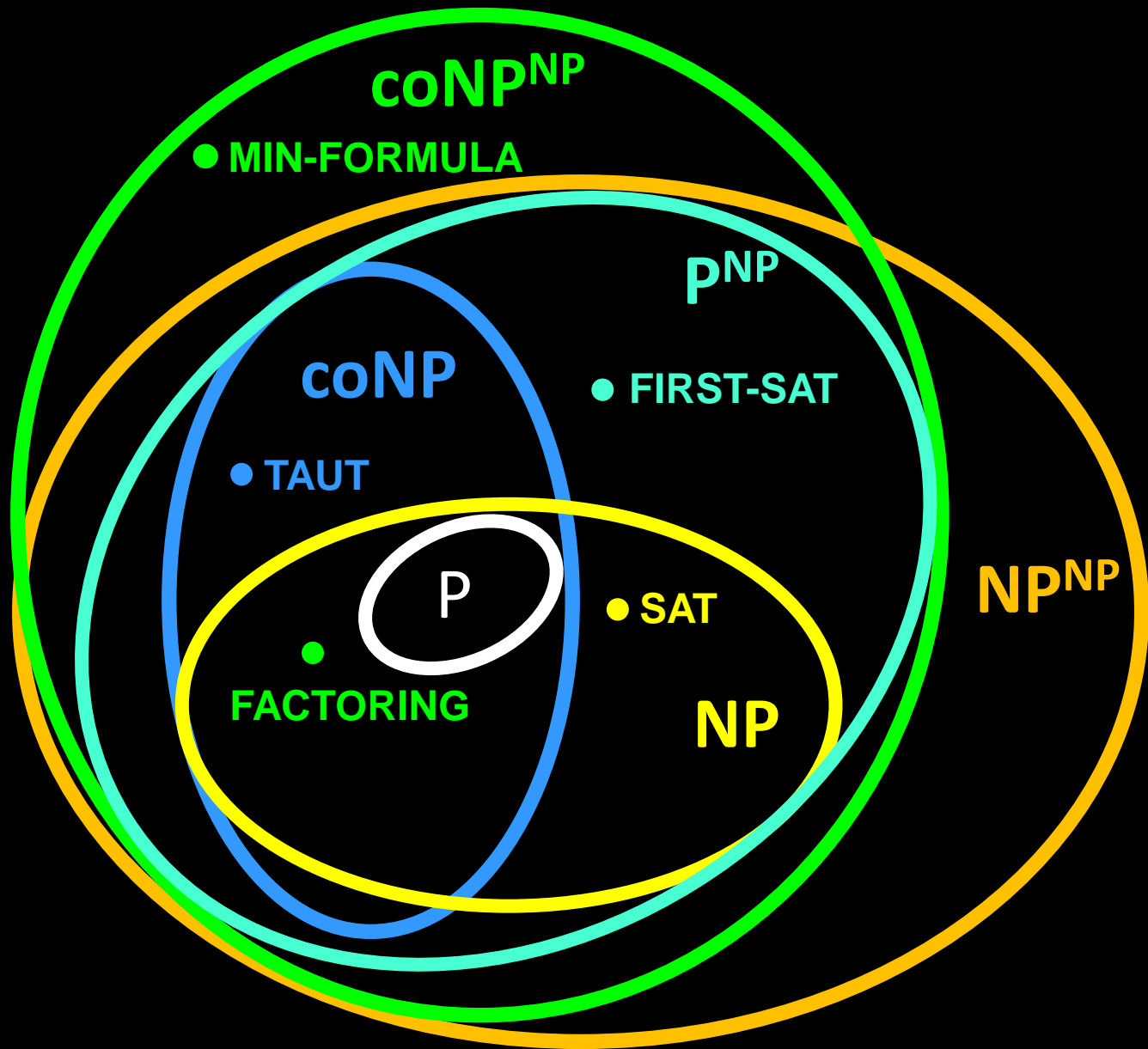


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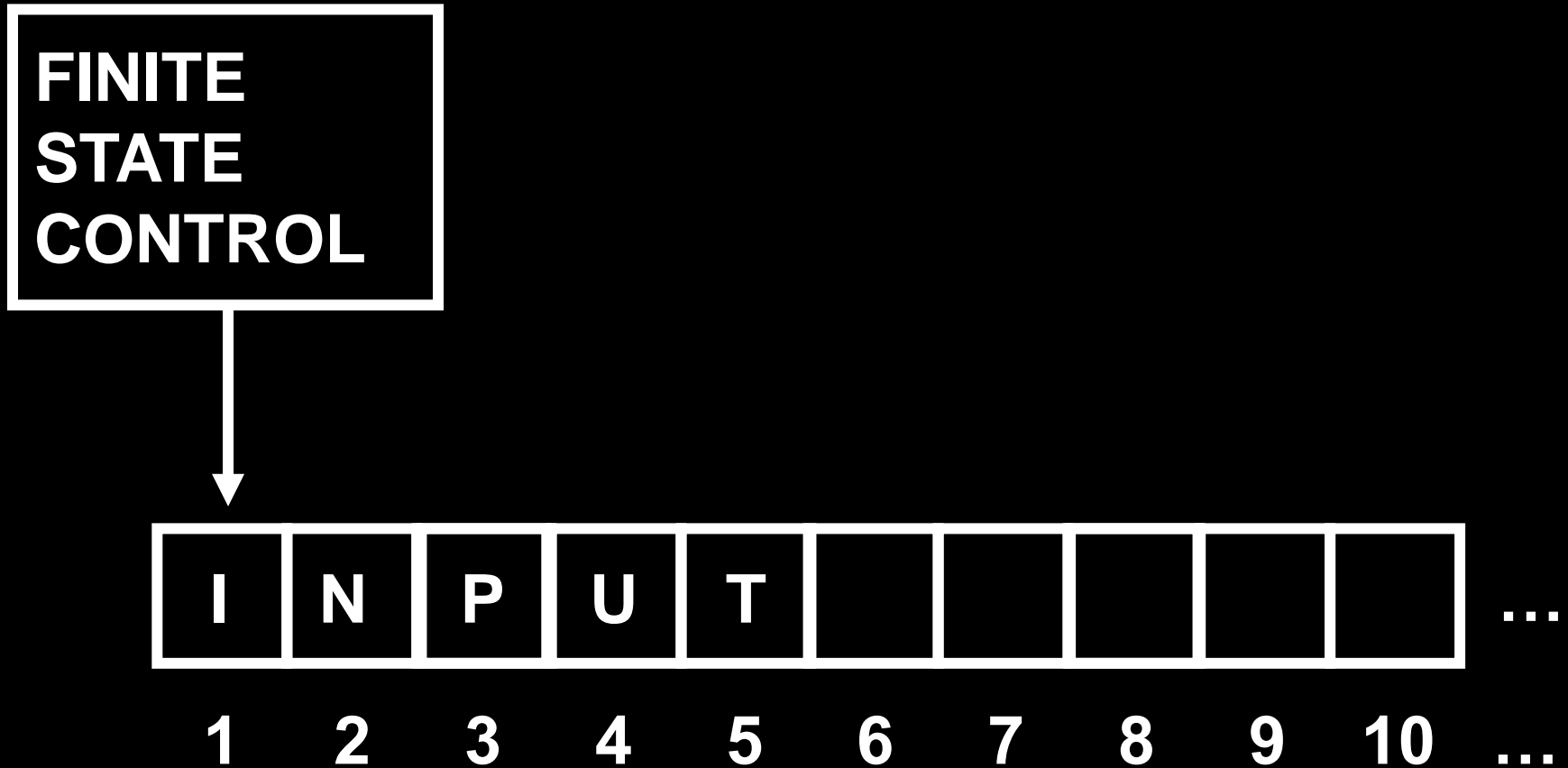
Lecture 21: Space Complexity



Space Problems



Measuring Space Complexity



We measure *space complexity* by finding the *largest tape index reached* during the computation

Let M be a deterministic Turing machine that only accesses a finite number of cells on each input
(not necessarily halting!)

Definition: The **space complexity** of M is the function $S : \mathbb{N} \rightarrow \mathbb{N}$, where $S(n)$ is the **largest tape index** reached by M on any input of length n .

Definition: $\text{SPACE}(S(n)) =$
 $\{ L \mid L \text{ is decided by a Turing machine with } O(S(n)) \text{ space complexity} \}$

Theorem: $3SAT \in SPACE(n)$

Proof Idea: Given formula ϕ of length n , try all possible assignments A to the (at most n) variables. Evaluate ϕ on each A , and accept iff you find A such that $\phi(A) = 1$. All of this can be done in $O(n)$ space.

Theorem: $NTIME(t(n)) \subseteq SPACE(t(n))$

Proof Idea: Try all possible computation paths of $t(n)$ steps for an NTM on length- n input. This can be done in $O(t(n))$ space (store a sequence of $t(n)$ transitions).

One Tape vs Many Tapes

Theorem: Let $s : \mathbb{N} \rightarrow \mathbb{N}$ satisfy $s(n) \geq n$, for all n .
Then every $s(n)$ space multi-tape TM has an
equivalent $O(s(n))$ space one-tape TM

The simulation of multitape TMs
by one-tape TMs already achieves this!

Corollary: The number of tapes doesn't matter for
space complexity!

One tape TMs are as good as any other model!

Space Hierarchy Theorem

Intuition: If you have more *space* to work with, then you can solve strictly more problems!

Theorem: For functions $s, S : \mathbb{N} \rightarrow \mathbb{N}$ where $s(n)/S(n) \rightarrow 0$

$$\text{SPACE}(s(n)) \subsetneq \text{SPACE}(S(n))$$

Proof Idea: Diagonalization

Make a Turing machine N that on input $\langle M \rangle$, simulates the TM M on input $\langle M \rangle$ using up to $S(|\langle M \rangle|)$ space, *then* flips the answer.

Show $L(N)$ is in $\text{SPACE}(S(n))$ but not in $\text{SPACE}(s(n))$

$$\mathbf{PSPACE} = \bigcup_{k \in \mathbb{N}} \mathbf{SPACE}(n^k)$$

Since for every k , $\mathbf{NTIME}(n^k)$ is in $\mathbf{SPACE}(n^k)$,
we have:

$$\mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{PSPACE}$$

The class **PSPACE** formalizes the set of problems solvable by computers with *bounded memory*.

Fundamental (Unanswered) Question:
How does time relate to space, in computing?

SPACE(n^2) problems could potentially take much **longer** than **n^c time** to solve, for *any* c !

Intuition: You can always re-use space, but how can you re-use time?

Is $P = PSPACE$?

Time Complexity of SPACE[S(n)]

Let M be a **halting** TM with **S(n) space complexity**

How many time steps could M possibly take on inputs of length n ? *Is there an upper bound?*

The number of time steps is at most the total number of possible *configurations*!

(If a configuration repeats, the machine is looping!)

A configuration of M specifies a **head position, state, and S(n) cells of tape content.**

The total number of configurations is at most:

$$S(n) |Q| |\Gamma|^{S(n)} \leq 2^{O(S(n))}$$

Theorem: Let $S(n)$ be “nice”.
For every space- $S(n)$ TM, there is a TM
running in $2^{O(S(n))}$ time that decides the
same language.

$$\text{SPACE}(s(n)) \subseteq \bigcup_{c \in \mathbb{N}} \text{TIME}(2^c \cdot s(n))$$

Proof Idea: For each $s(n)$ -space bounded TM M
there is a $c > 0$ so that on all inputs x , if M runs for
more than $2^{cs(|x|)}$ time steps on x , then M *must have*
repeated a configuration, so M will never halt.

$$\text{PSPACE} = \bigcup_{k \in \mathbb{N}} \text{SPACE}(n^k)$$

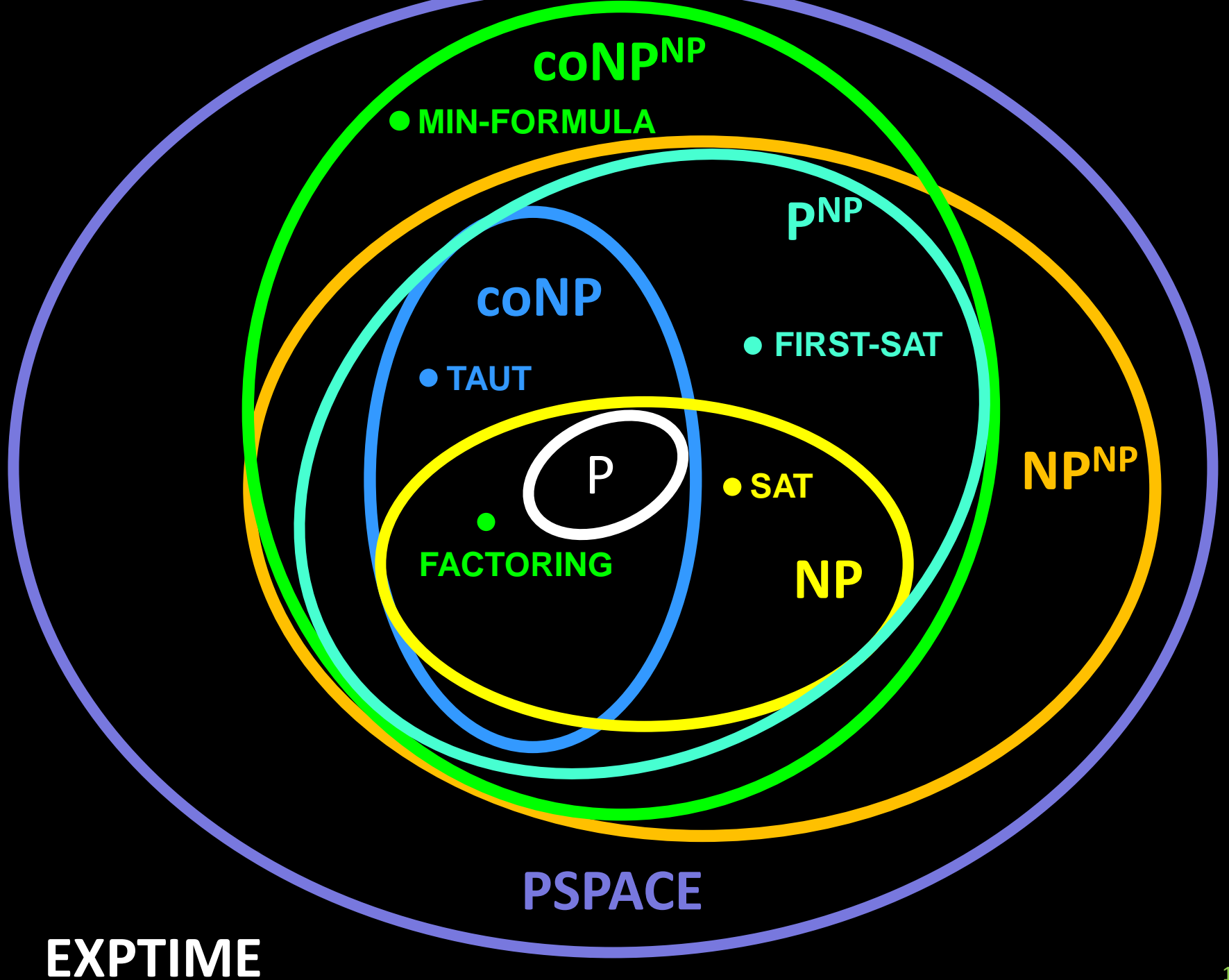
$$\text{EXPTIME} = \bigcup_{k \in \mathbb{N}} \text{TIME}(2^{n^k})$$

$$\text{PSPACE} \subseteq \text{EXPTIME}$$

$P \subseteq NP \subseteq PSPACE$

Is $NP^{NP} \subseteq PSPACE$?

Is $coNP^{NP} \subseteq PSPACE$?



$P \subseteq NP \subseteq PSPACE \subseteq EXPTIME$

Theorem: $P \neq EXPTIME$

Why? The Time Hierarchy Theorem!

$TIME(2^n) \not\subseteq P$

Therefore $P \neq EXPTIME$

Corollary: At least one of the following is true:

$P \neq NP$, $NP \neq PSPACE$, or $PSPACE \neq EXPTIME$

Proving any one of them would be major!

PSPACE and Nondeterminism

Definition: $\text{SPACE}(s(n)) =$
 $\{ L \mid L \text{ is decided by a Turing machine with}$
 $O(s(n)) \text{ space complexity} \}$

Definition: $\text{NSPACE}(s(n)) =$
 $\{ L \mid L \text{ is decided by a } \textit{non-deterministic}$
 $\text{Turing Machine with } O(s(n)) \text{ space complexity} \}$

Recall:

Space $S(n)$ computations can be simulated in at most $2^{O(S(n))}$ time steps

$$\text{SPACE}(s(n)) \subseteq \bigcup_{c \in \mathbb{N}} \text{TIME}(2^c \cdot s(n))$$

Idea: After $2^{O(s(n))}$ time steps, a $s(n)$ -space bounded computation must have repeated a configuration, after which it will provably never halt.

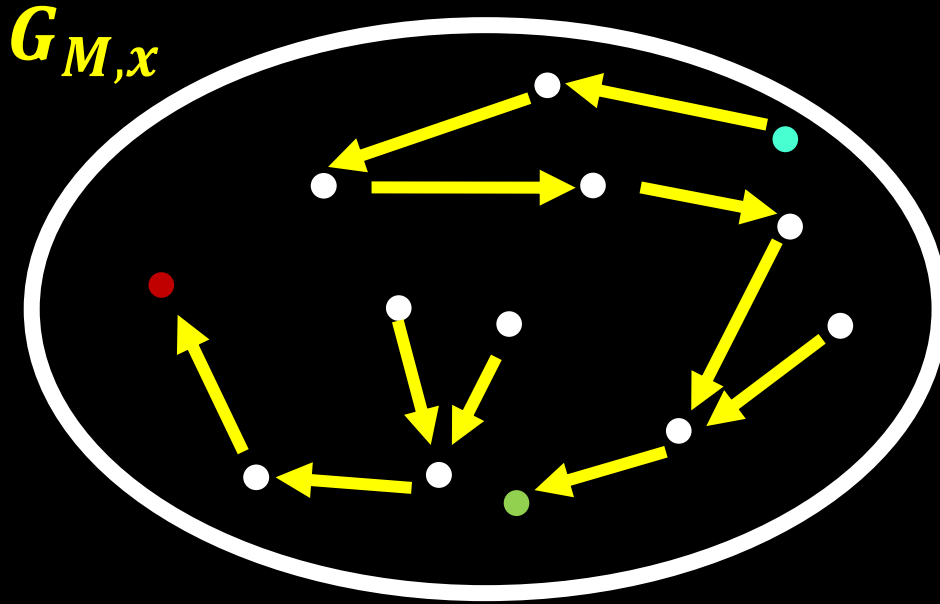
Theorem:

NSPACE $S(n)$ computations can also be simulated in at most $2^{O(S(n))}$ time steps

$$\text{NSPACE}(s(n)) \subseteq \bigcup_{c \in \mathbb{N}} \text{TIME}(2^c \cdot s(n))$$

Key Idea: Think of the problem of simulating $\text{NSPACE}(s(n))$ as a problem on graphs.

Def: The configuration graph of M on x has **nodes C** for every configuration C of M on x , and **edges (C, C')** if and only if **C yields C'**



M accepts x \Leftrightarrow there is a path in $G_{M,x}$ from the initial configuration node to a node in an accept state

M has space complexity $S(n)$

$\Rightarrow G_{M,x}$ has $\leq 2^{d \cdot S(|x|)}$ **nodes**

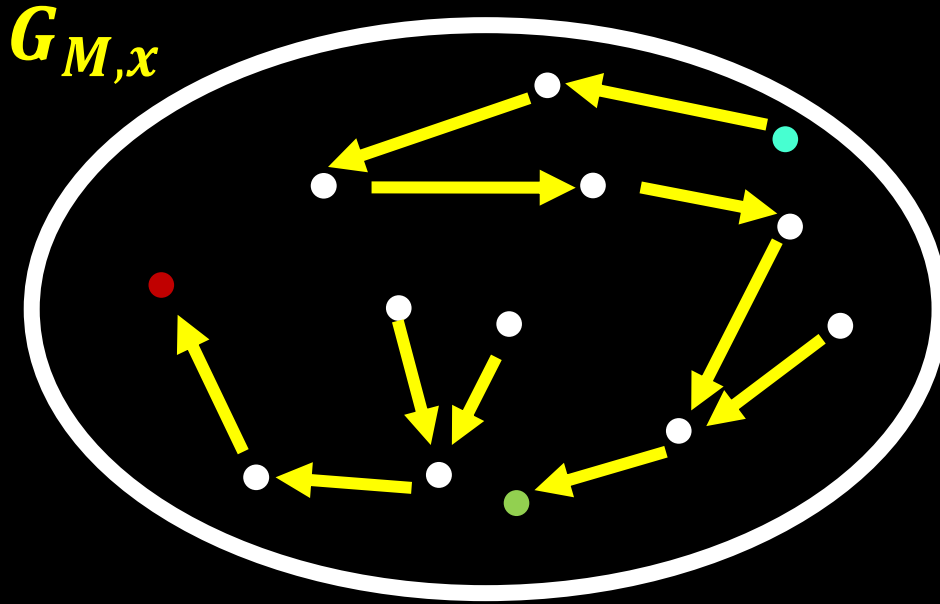
M is deterministic

\Rightarrow **every node has outdegree ≤ 1**

M is nondeterministic

\Rightarrow **some nodes may have outdegree > 1**

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M has space complexity $S(n)$

$\Rightarrow G_{M,x}$ has $\leq 2^{d \cdot S(|x|)}$ **nodes**

M is deterministic

\Rightarrow **every node has outdegree ≤ 1**

M is nondeterministic

\Rightarrow **some nodes may have outdegree > 1**

To simulate a non-deterministic M in $2^{O(S(|x|))}$ time: **do BFS in $G_{M,x}$ from the initial configuration!**

$$\text{PSPACE} = \bigcup_{k \in \mathbb{N}} \text{SPACE}(n^k)$$

$$\text{NPSPACE} = \bigcup_{k \in \mathbb{N}} \text{NSPACE}(n^k)$$

SPACE versus NSPACE

Is $\text{NTIME}(n) \subseteq \text{TIME}(n^2)$?

Is $\text{NTIME}(n) \subseteq \text{TIME}(n^k)$ for some $k > 1$?

What about the space-bounded setting?

Is $\text{NSPACE}(s(n)) \subseteq \text{SPACE}(s(n)^k)$
for some k ? Is $\text{PSPACE} = \text{NPSPACE}$?

Savitch's Theorem

Theorem: For functions $s(n)$ where $s(n) \geq n$

$$\text{NSPACE}(s(n)) \subseteq \text{SPACE}(s(n)^2)$$



Proof Try:

Let N be a non-deterministic TM with space complexity $s(n)$

Construct a deterministic machine M that tries *every possible computation path* of N

Since each branch of N uses space at most $s(n)$, then M uses space at most $s(n) \dots ?$

Given configurations C_1 and C_2 of a $s(n)$ space machine N , and a number k (in binary), want to know if N has a computation path from C_1 to C_2 within 2^k steps

Procedure $SIM(C_1, C_2, k)$:

If $k = 0$ then *accept* iff $C_1 = C_2$ or
 C_1 yields C_2 within one step.
[uses space $O(s(n))$]

If $k > 0$, then for every config C_m of $O(s(n))$ symbols,
if $SIM(C_1, C_m, k-1)$ and $SIM(C_m, C_2, k-1)$ accept
then return *accept*
return *reject* if no such C_m is found

$SIM(C_1, C_2, k)$ has $O(k)$ levels of recursion

Each level of recursion uses $O(s(n))$ additional space.

Theorem: $SIM(C_1, C_2, k)$ uses only $O(k \cdot s(n))$ space

Theorem: For functions $s(n)$ where $s(n) \geq n$

$$\text{NSPACE}(s(n)) \subseteq \text{SPACE}(s(n)^2)$$

Proof:

Let **N** be a nondeterministic TM using **$s(n)$ space**

Let **$d > 0$** be such that the number of configurations of **$N(w)$** is **at most $2^{d s(|w|)}$**

Here's a **deterministic $O(s(n)^2)$** space algorithm for **N**:

M(w): For all configurations **C_a** of **$N(w)$** in the accept state,
If **$\text{SIM}(q_0 w, C_a, d s(|w|))$** accepts, then *accept*
else *reject*

Claim: $L(M) = L(N)$ and **M** uses **$O(s(n)^2)$** space

Theorem: For functions $s(n)$ where $s(n) \geq n$

$$\text{NSPACE}(s(n)) \subseteq \text{SPACE}(s(n)^2)$$

Proof:

Let **N** be a nondeterministic TM using **$s(n)$ space**

Let **$d > 0$** be such that the number of configurations of **$N(w)$** is **at most $2^{d s(|w|)}$**

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else *reject*

Why does it take only $O(s(n)^2)$ space?

$$\text{PSPACE} = \bigcup_{k \in \mathbb{N}} \text{SPACE}(n^k)$$

$$\text{NPSPACE} = \bigcup_{k \in \mathbb{N}} \text{NSPACE}(n^k)$$

PSPACE-complete problems

Definition: Language B is **PSPACE-complete** if:

1. $B \in \text{PSPACE}$
2. Every A in PSPACE is **poly-time reducible** to B (i.e. B is **PSPACE-hard**)

Why poly-time?

Theorem: If B is **PSPACE-complete** and B is in **P** then **$P = \text{PSPACE}$**

Theorem: If B is **PSPACE-complete** and B is in **NP** then **$\text{NP} = \text{PSPACE}$**