

DEPTH( $d(n)$ ) = langs comp. w/ depth  $d(n)$  ccts  
 Recall  $NC^1 = DEPTH(\log n)$

Since  $C(f) \leq 2^{D(f)}$ ,

$NC^1 =$  poly-size,  $O(\log n)$ -depth  
 circuits  
 $=$  poly-size formulas!

Def.  $f: \{0,1\}^* \rightarrow \{0,1\}$  is super-log-depth

if  $\exists$  unbdd  $s: \mathbb{N} \rightarrow \mathbb{N}$  s.t.  $\forall^\infty n, D(f_n) \geq s(n) \cdot \log C(f_n)$   
 (i.e.  $C(f_n) \leq 2^{D(f_n)/s(n)}$ )

Call  $f$  "super-log-depth" if this is true.

Prop.  $\exists$  super-log-depth  $f \Rightarrow P \not\subseteq NC^1$

Pf:  $NC^1 = P \Rightarrow \text{Circ Eval} \in NC^1$

Let  $f_n \in P_n$ . (Let  $E$  be a ckt

Let  $C$  compute  $f_n$  & min. for Circ Eval on inputs of size  $O(C(f_n) \log C(f_n))$

Define  $E'(x) := E(\underset{\substack{\uparrow \\ \text{hardcoded.}}}{C}, x)$  w/ depth( $E$ )  $\leq O(\log C(f_n))$ .

$E' \equiv f_n \Rightarrow D(f_n) \leq O(\log C(f_n)) \forall n. \square$

CircEval is "hardest" for depth minimization:  
 Lower depth of cuts for CircEval  
 $\Rightarrow$  lower depths of "all" cuts.

Proving  $D(f) \gg \log C(f)$  looks hard... more later.

Depth  
upper  
 bound:

Thm [Paterson-Valiant '76]

$$D(f) \leq O(C(f)/\log C(f))$$

Pf

Outline: Let  $C$  be a min cut of size  $s$ .

① Find partition of gates of  $C$  into "balanced" subsets  $X$  &  $Y$

② Construct two cuts  $C_1, C_2$  equiv to  $C$ ,  
 Claim: min depth of  $C_1, C_2 \leq O(s/\log s)$ .

②.1  $C_1$ : take min cut for  $X$   
 min cut for  $Y$ , compose them.

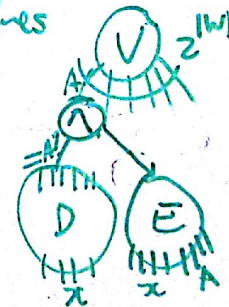
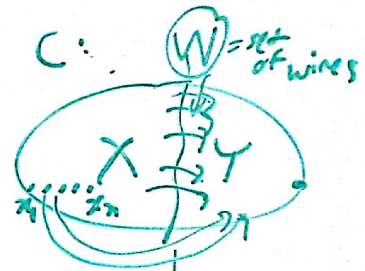
Good if  $|W|$  is large

②.2  $C_2$ : take OR of all  $2^{|W|}$  possible values  
 on wires  $W$  on a given input.

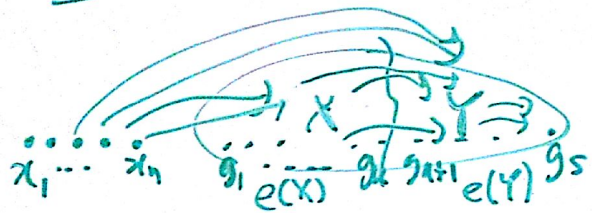
For each value assignment  $A$ ,  
 take AND of two cuts:

- verify that  $X$  outputs  $A$
- verify  $Y$  on  $A$  outputs  $1$ .

Good when  $|W|$  is small.



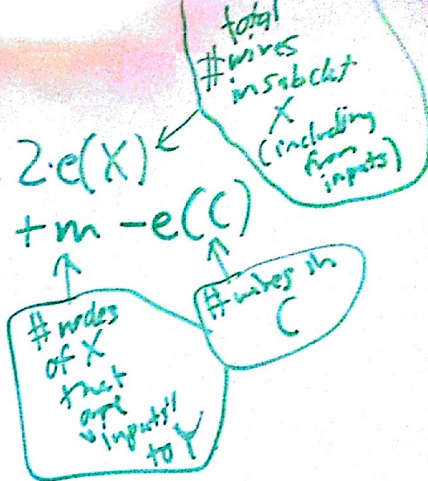
Pf. Ind on # of wires in  $C = O(s)$



Find cut  $(X, Y)$   
of  $s$  gates  
s.t.  $e(X) \approx e(Y)$

Define

$$\mu(X, Y) = 2 \cdot e(X) + m - e(C)$$



Claim:  $\exists$  cut  $(X, Y)$  s.t.  $|\mu(X, Y)| \leq 2$

Pf. Start with  $X = \{g_1, \dots, g_s\}, Y = \emptyset \Rightarrow \mu(X, Y) = e(C)$

$X = \{g_1, \dots, g_i\} \rightarrow$  Move gate  $g_i$  from  $X$  to  $Y$ :

$Y = \{g_{i+1}, \dots, g_s\}$  - decreases  $m$  by  $\leq 1$

- decreases  $e(X)$  by  $\leq 2$ .

(lose the two input wires to  $g_i$ )

(if  $m$  increases, it's by 2, and  $e(X)$  decreases by 2.)

$$(e(X) = e(C), m = 0)$$

$\Rightarrow \mu(X, Y)$  decreases by  $\leq 5$

[does not increase! if  $m$  increases, it's by 2 but  $e(X)$  decreases by 2.]

For  $X = \emptyset, Y = \{g_1, \dots, g_s\}$ ,

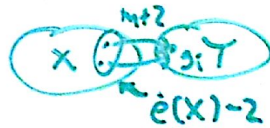
$$\mu(X, Y) = -e(C)$$

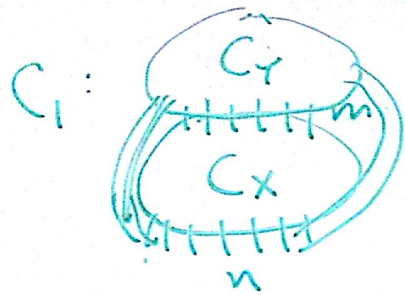
$(m = 0, e(X) = 0) \Rightarrow \exists i$  s.t.  $\mu(X, Y) \in \{-2, -1, 0, 1, 2\}$ .  $\square$

Idea:  $e(X) + e(Y) = e(C)$   
 $e(X) \approx \frac{e(C) - m}{2}$

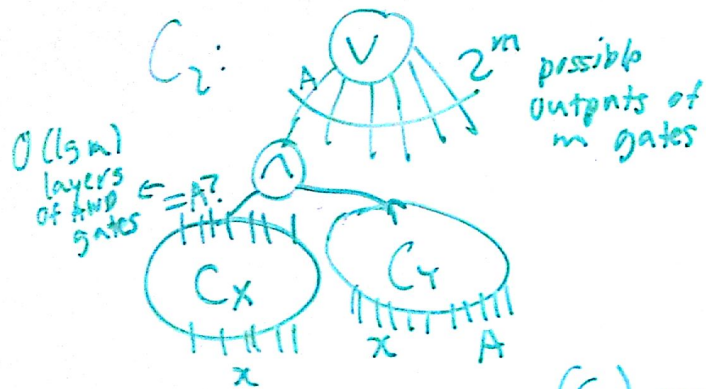
$$e(Y) \approx \frac{e(C) + m}{2}$$

Let  $C_X =$  min-depth cut for  $X$  w/  $n$  inputs,  $m$  outputs (could imagine in diff.  $C_X$ 's)  
 $C_Y =$  min- " " "  $Y$  w/  $n+m$  inputs, 1 output





$$d(C_1) = d(C_x) + d(C_y)$$



$$d(C_2) = m + 1 + \max\{d(C_x) + O(\log m), d(C_y)\}$$

$C' := \min$  depth ckt of  $C_1, C_2$

$x = e(C_x), y = e(C_y) < e(C) = z$ , so by induction

$$d(C_x) \leq k \frac{x}{\log x} + k \frac{y}{\log y}$$

$$d(C_y) \leq m + 1 + \max\{k \frac{x}{\log x} + O(\log m), k \frac{y}{\log y}\}$$

WTS:  $d(C') \leq k \frac{z}{\log z}$

By claim,  
 $x = \frac{z - m}{2} \pm c$

$y = \frac{z + m}{2} \pm c$

Case 1  $m \leq \epsilon \cdot \frac{z}{\log z}$ .

Then  $d(C_2) \leq \epsilon \cdot \frac{z}{\log z} + 1 + \max\{k \frac{x}{\log x} + O(\log m),$

Set  $\epsilon > 0$  suff. small  $k \frac{y}{\log y}\}$ .

$\leq k \frac{z}{\log z}$

②  $m > \epsilon \cdot z / \log z$

Removed  $\frac{z}{\log z}$  wires  
 from  $X$ , made  $\frac{z}{\log z}$  new wts, outputs are inputs to  $Y$

$x + y \leq (1 - \frac{\epsilon}{\log z})z \Rightarrow d(C_1) = k(x/\log x + y/\log y)$

# wires in  $x$    # wires in  $Y$

$x \leq \frac{z - m}{2} = c$

$\dots \leq k z / \log z$

$\Rightarrow x \leq \frac{z}{2} (1 - \epsilon / \log z)$

Cor: Every  $O(n)$ -size ckt has an equivalent  $2^{O(n/\log n)}$ -size formula.

Pf.  $O(n)$ -size ckt  $\mapsto O(n/\log n)$ -depth ckt

$\mapsto 2^{O(n/\log n)}$ -size formula.  $\square$

Thm [HPV]  $\text{TIME}(t) \leq \text{SPACE}(t/\log t)$   
 $\Leftrightarrow \text{SIZE}(t) \Leftrightarrow \text{DEPTH}(t/\log t)$

Idea: "Graph" of a computation of time  $t$ :



Nodes = time steps  
edges = data dependencies between time steps.

Pick out  $(X, Y) = (\{s_1, \dots, s_{t/2}\}, \{s_{t/2+1}, \dots, s_t\})$

Let  $m = \#$   
nodes in  $X$   
with output  
to  $Y$ .

Cases (1.  $m$  is small:

- try all possible  $2^{O(m)}$  guesses  
for data being read / state at these steps of  $X$   
 $O(m)$  space.

Recurse on  $X$ , then on  $Y$ :

- Verify each data guess is correct  
Sim.  $X$  from start, separately on each  
Erase space used for verification:

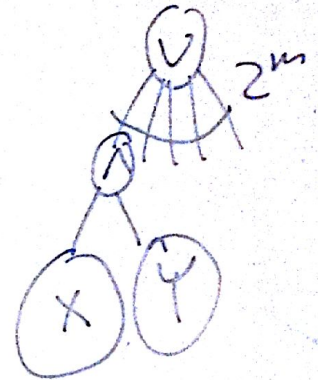
- Sim. starting from  $Y$ , assuming guesses correct.

(Run  $Y$  using  $O(m)$  bits of guesses)

(2.  $m$  is large.)

Sim. starting from  $Y$ , When  $Y$  needs to know data from  
 $S_i$  of  $X$ , sim.  $X$  from start to get  $S_i$ .  
(Erase work when done with call)

Get a similar recurrence.





Claim:  $E_1, \dots, E_k$  is a partition of  $E$ .

Remove the  $k$  smallest  $E_i$ 's from  $G$ .  $E_1, \dots, E_k$ .

Their union has  $\leq \frac{km}{\log m}$  edges.

!  $\rightarrow$  Can rewrite the labels  $l(v)$  using only  $e-k$  bits!

Remove bits at positions  $i_1, \dots, i_k$  from all labels  $\mapsto l'$ .

Still have:  $\forall (u, v) \in E \Rightarrow l'(u) < l'(v)$ !

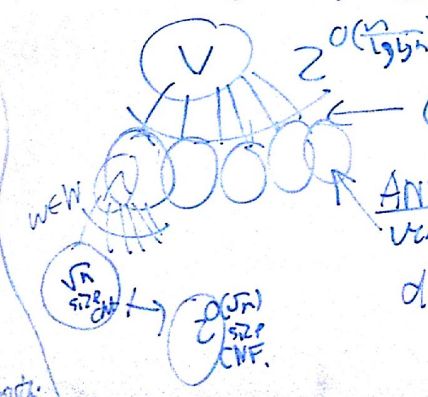
(Removed all edges in which those bits are first flipped!)

$\Rightarrow$  Longest path is now  $\leq 2^{d-k} = d/2^k$ .  $\square$

Pf:  $C$  w/n inputs, size  $\leq cn$   
depth  $\leq c \log n$ .

Find  $\frac{cn}{\log(c \log n)}$  wires  $W$  in  $C$  st, removal  $\Rightarrow C'$  of depth  $\leq \frac{c \log n}{2^k}$ .

Cor:  $f$  isn't in  $2^{cn}$  size depth-3 ckt  
 $\Rightarrow f$  isn't in  $O(n)$  size  $O(\log n)$  depth.



$2^{O(\frac{cn}{\log n})} = 2^{cn}$   
Guess all possible values that could be on these wires  $W$ .

AND: Verify these values for each wire in  $W$ .

depth  $\leq \frac{c \log n}{2^k} \Rightarrow$  size  $\leq 2^{\frac{c \log n}{2^k}} = n^{c/2^k}$   
Can represent w/ CNF of  $2^{O(\sqrt{n})}$  size.  
 $k = \log(c) + 1 \Rightarrow$  CNF of size  $2^{O(\sqrt{n})}$