Low-Rank Tensors for Scoring Dependency Structures
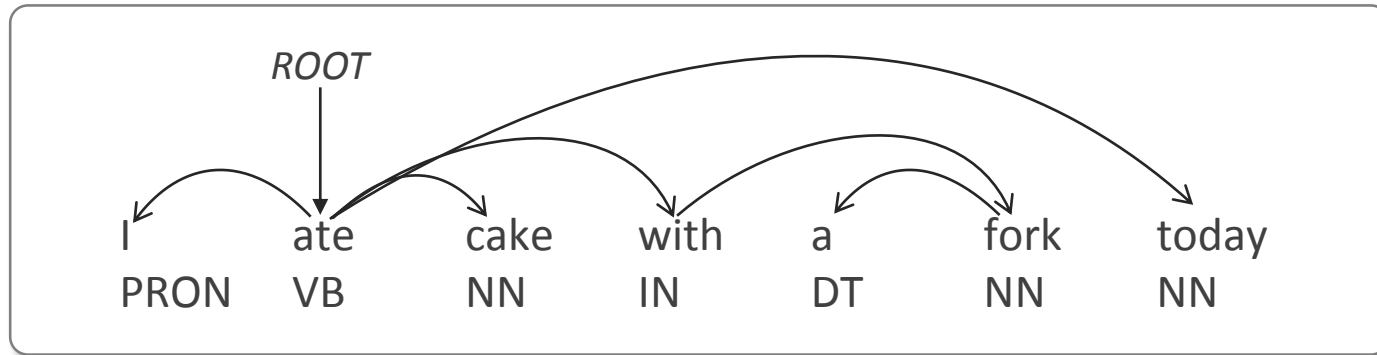
Tao Lei

Yu Xin, Yuan Zhang, Regina Barzilay, Tommi Jaakkola

CSAIL, MIT

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Dependency Parsing

- Dependency parsing as maximization problem:

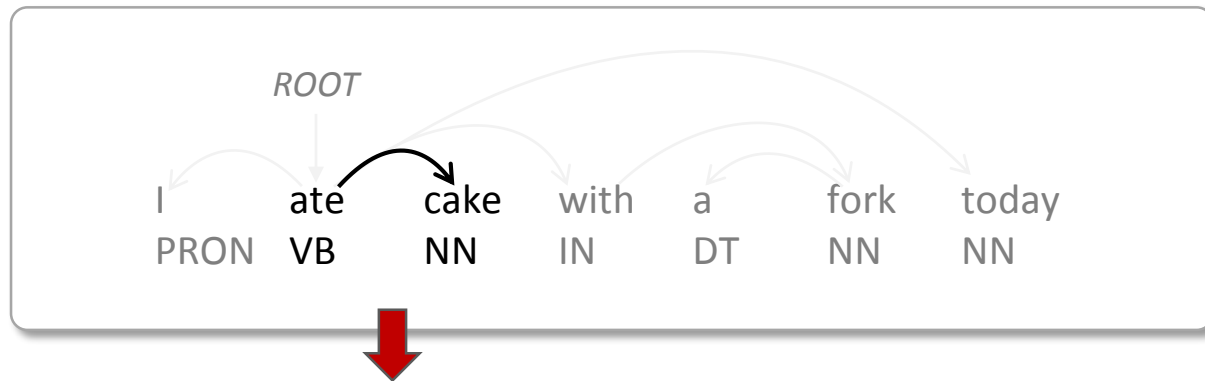$$y^* = \underset{y \in T(x)}{\text{argmax}} \ S(x, y; \theta)$$

- Key aspects of a parsing system:

1. Accurate scoring function $S(x, y; \theta)$ ⟶   *Our Goal*

2. Efficient decoding procedure   argmax

Finding Expressive Feature Set

Traditional view:

requires a rich, expressive set of manually-crafted feature templates

High-dim. sparse vector $\phi(x, y) \in \mathbb{R}^L$

1	0	1	1	0	0	0	... ...	0

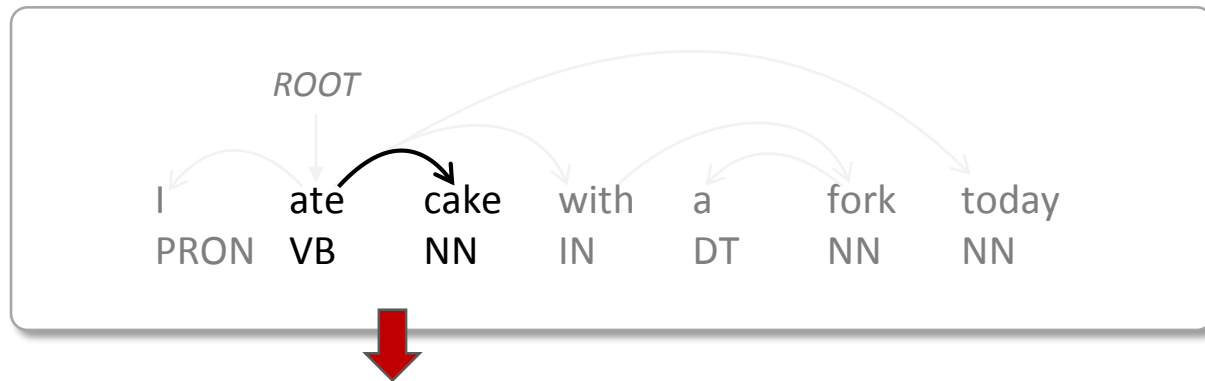*Feature Template:*

`head POS, modifier POS and length`

Feature Example:

"VB⊕NN⊕2"

Finding Expressive Feature Set

Traditional view:

requires a rich, expressive set of manually-crafted feature templates

ROOT

I ate cake with a fork today

PRON VB NN IN DT NN NN

High-dim. sparse vector $\phi(x, y) \in \mathbb{R}^L$

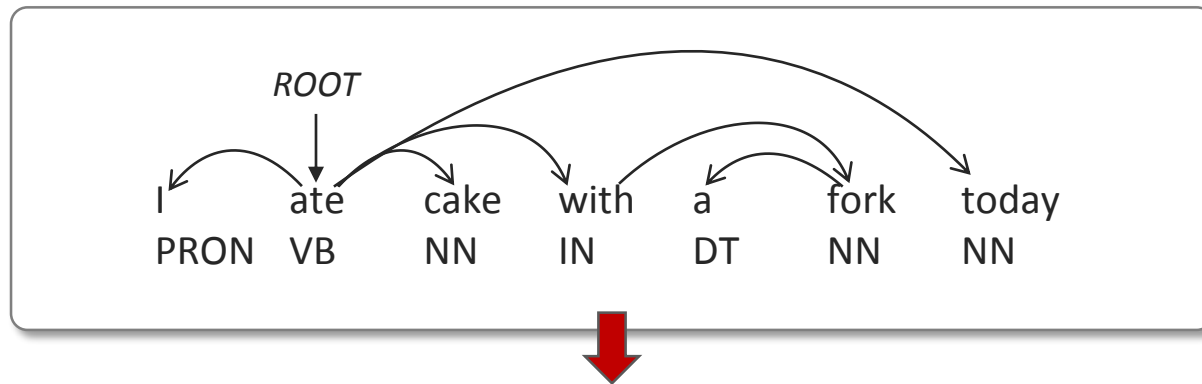| 1	0	1	1	0	0	0	… …	0

Feature Template:

`head word and modifier word`

Feature Example:

"ate⊕cake"

Finding Expressive Feature Set

Traditional view:

requires a rich, expressive set of manually-crafted feature templates

High-dim. sparse vector $\phi(x, y) \in \mathbb{R}^L$

1	0	2	1	2	0	0	⋯ ⋯	0

Parameter vector $\theta \in \mathbb{R}^L$ •

0.1	0.3	2.2	1.1	0	0.1	0.9	⋯ ⋯	0

$$S_\theta(x, y) = \langle \theta, \phi(x, y) \rangle$$

Traditional Scoring Revisited

- Features and templates are ***manually-selected*** concatenations of atomic features, in traditional vector-based scoring:

ROOT

I	ate	cake	with	a	fork	today
PRON	VB	NN	IN	DT	NN	NN

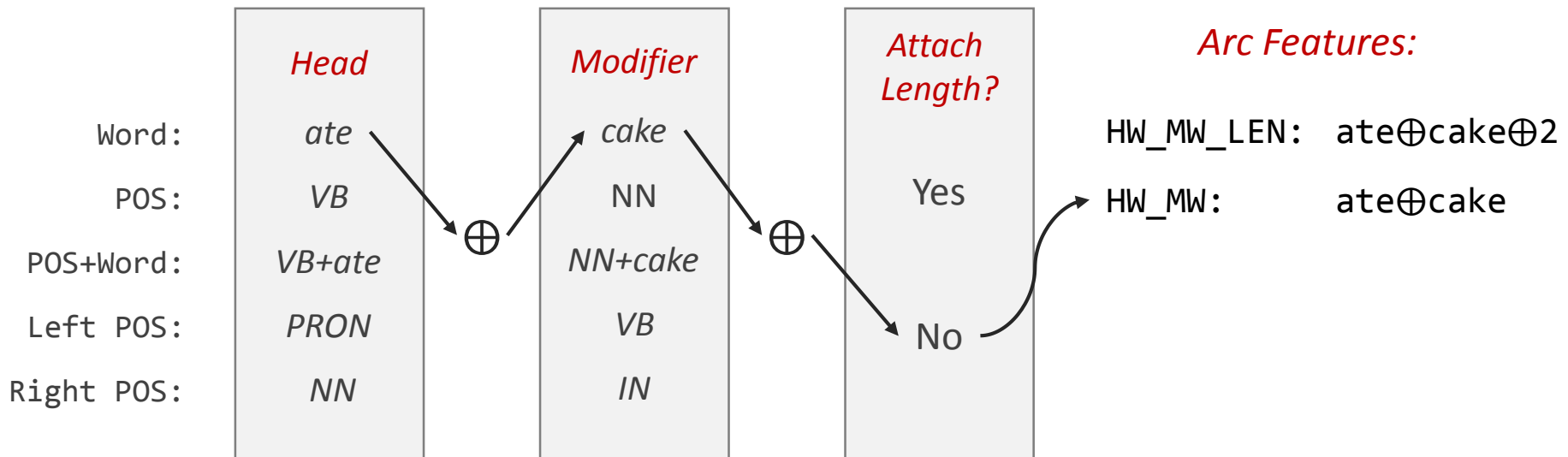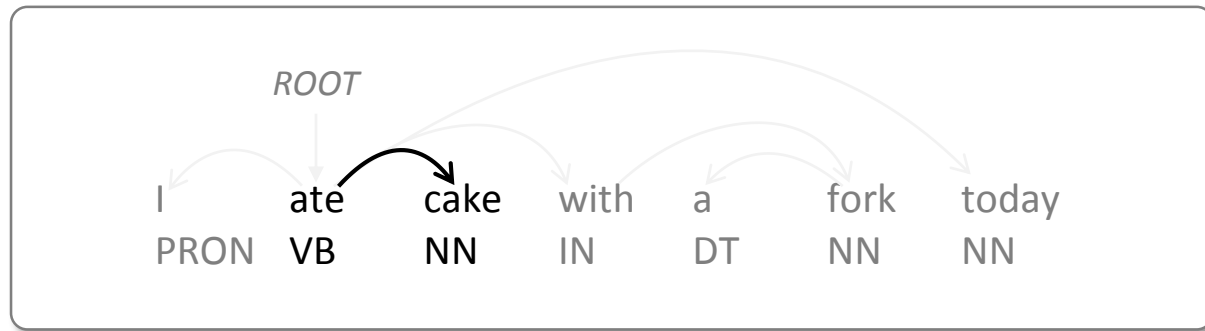|	**Head**		**Modifier**		**Attach Length?**	**Arc Features:**
Word:	*ate*		*cake*			HW_MW_LEN: ate⊕cake⊕2
POS:	*VB*	⊕	NN	⊕	Yes	
POS+Word:	*VB+ate*		*NN+cake*			
Left POS:	*PRON*		*VB*		No	
Right POS:	*NN*		*IN*			

Traditional Scoring Revisited

- Features and templates are ***manually-selected*** concatenations of atomic features, in traditional vector-based scoring:

ROOT

I   ate   cake   with   a   fork   today
PRON   VB   NN   IN   DT   NN   NN

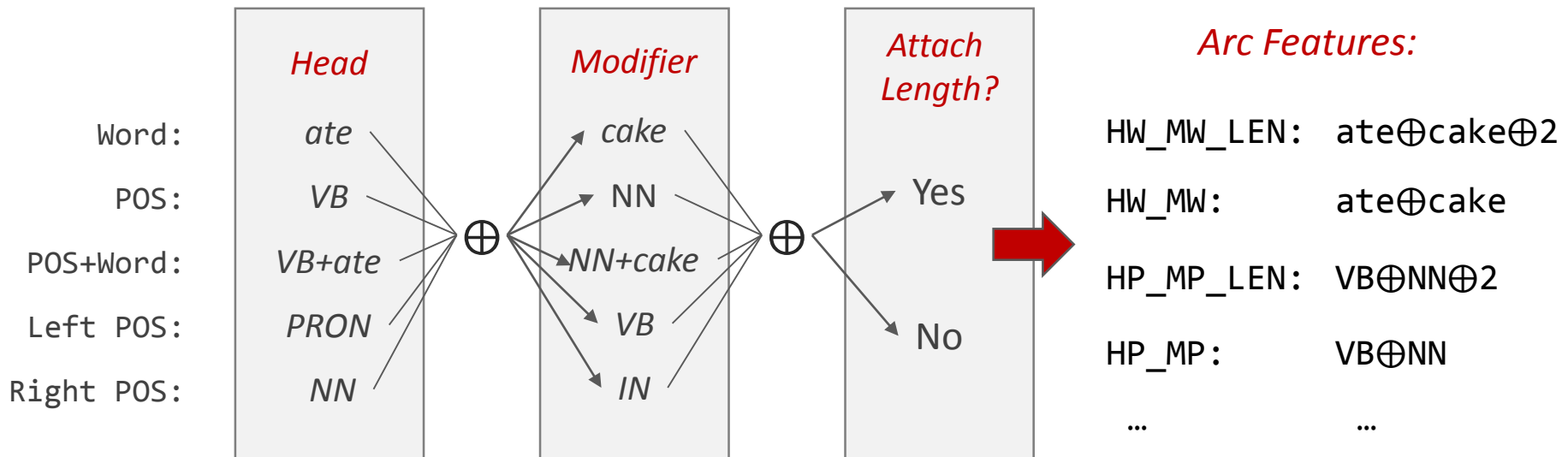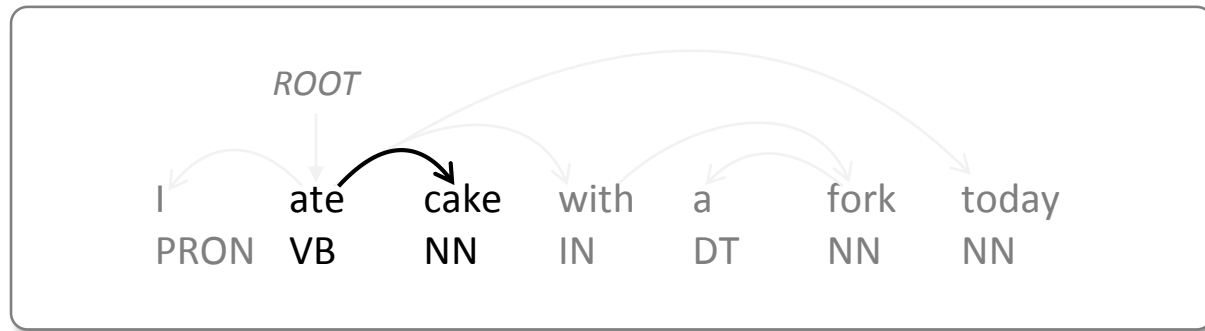|	*Head*	*Modifier*	*Attach Length?*	*Arc Features:*
Word:	*ate*	*cake*	Yes	HW_MW_LEN: ate⊕cake⊕2
POS:	*VB*	NN		HW_MW: ate⊕cake
POS+Word:	*VB+ate*	*NN+cake*	No	
Left POS:	*PRON*	*VB*		
Right POS:	*NN*	*IN*		

⊕   ⊕

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Traditional Scoring Revisited

- Features and templates are ***manually-selected*** concatenations of atomic features, in traditional vector-based scoring:

ROOT

I	ate	cake	with	a	fork	today
PRON	VB	NN	IN	DT	NN	NN

	Head		*Modifier*		*Attach Length?*		*Arc Features:*
Word:	*ate*		*cake*				HW_MW_LEN: ate⊕cake⊕2
POS:	*VB*		NN		Yes		HW_MW: ate⊕cake
POS+Word:	*VB+ate*	⊕	*NN+cake*	⊕			HP_MP_LEN: VB⊕NN⊕2
Left POS:	*PRON*		*VB*		No		HP_MP: VB⊕NN
Right POS:	*NN*		*IN*				… …

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Traditional Scoring Revisited

- **Problem:** very difficult to pick the best subset of concatenations

Too few templates ⟹ Lose performance

Too many templates ⟹ Too many parameters to estimate
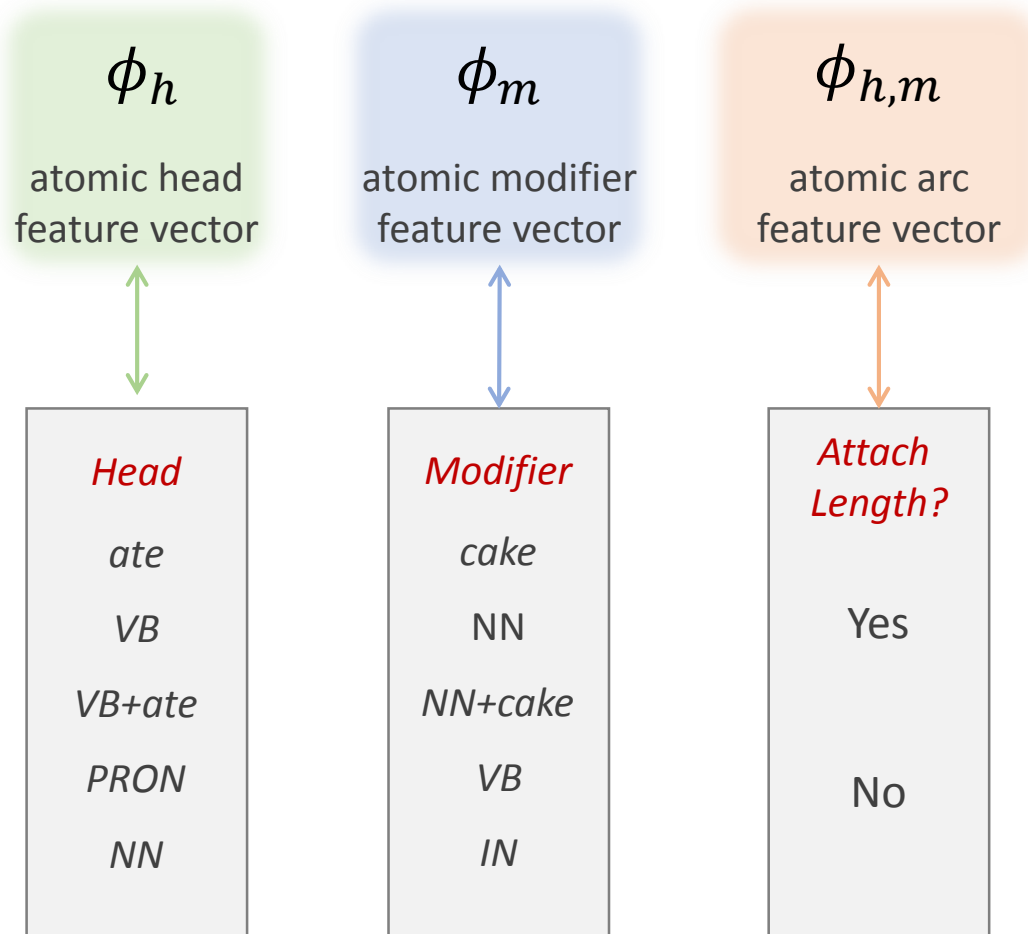
Searching the best set? ⟹ Features are correlated

Choices are exponential

- **Our approach:** use low-rank tensor (i.e. multi-way array)

  ▪ Capture a whole range of feature combinations

  ▪ Keep the parameter estimation problem in control

Low-Rank Tensor Scoring: Formulation

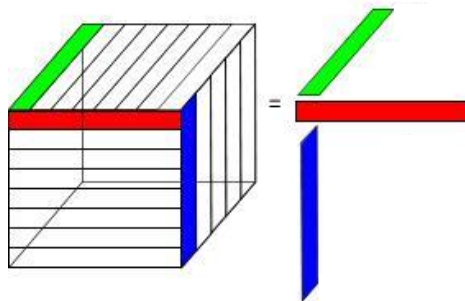- Formulate **ALL possible** concatenations as a rank-1 tensor

ϕ_h	ϕ_m	$\phi_{h,m}$
atomic head feature vector	atomic modifier feature vector	atomic arc feature vector

Head	*Modifier*	*Attach Length?*
ate	*cake*	
VB	NN	Yes
VB+ate	*NN+cake*	
PRON	*VB*	No
NN	*IN*	

Low-Rank Tensor Scoring: Formulation

- Formulate **ALL possible** concatenations as a rank-1 tensor

$$\phi_h \quad \otimes \quad \phi_m \quad \otimes \quad \phi_{h,m} \quad \in \mathbb{R}^{n \times n \times d}$$

atomic head
feature vector

atomic modifier
feature vector

atomic arc
feature vector

$$(x \otimes y \otimes z)_{ijk} = x_i y_j z_k$$

tensor product

Each entry indicates
the occurrence of one
feature concatenation

Low-Rank Tensor Scoring: Formulation

- Formulate **ALL possible** concatenations as a rank-1 tensor

$$\phi_h \quad \otimes \quad \phi_m \quad \otimes \quad \phi_{h,m} \qquad \in \mathbb{R}^{n \times n \times d}$$

atomic head
feature vector

atomic modifier
feature vector

atomic arc
feature vector

- Formulate the parameters as a tensor as well

$\theta \in \mathbb{R}^L:$ $\qquad S_\theta(h \to m) = \langle \theta, \phi_{h \to m} \rangle$ $\qquad$ (vector-based)

$A \in \mathbb{R}^{n \times n \times d}:$ $\qquad S_{tensor}(h \to m) = \langle A, \phi_h \otimes \phi_m \otimes \phi_{h,m} \rangle$ $\qquad$ (tensor-based)

Involves features not in θ

Can be huge. On English:
$n \times n \times d \approx 10^{11}$

Low-Rank Tensor Scoring: Formulation

- Formulate **ALL possible** concatenations as a rank-1 tensor

$$\phi_h \quad \otimes \quad \phi_m \quad \otimes \quad \phi_{h,m} \qquad \in \mathbb{R}^{n \times n \times d}$$
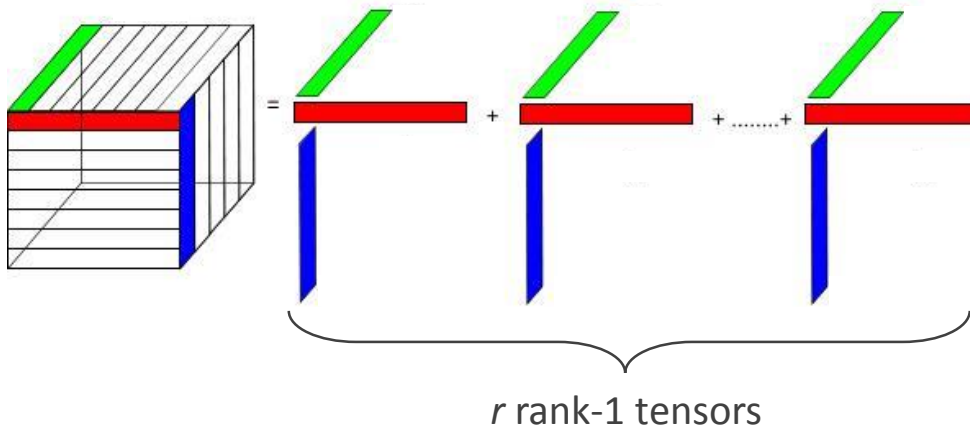
atomic head feature vector   atomic modifier feature vector   atomic arc feature vector

- Formulate the parameters as a **low-rank tensor**

$$\theta \in \mathbb{R}^L: \qquad S_\theta(h \to m) = \langle \theta, \phi_{h \to m} \rangle \qquad \text{(vector-based)}$$

$$A \in \mathbb{R}^{n \times n \times d}: \qquad S_{tensor}(h \to m) = \langle A, \phi_h \otimes \phi_m \otimes \phi_{h,m} \rangle \qquad \text{(tensor-based)}$$

$$U, V \in \mathbb{R}^{r \times n}, W \in \mathbb{R}^{r \times d}:$$
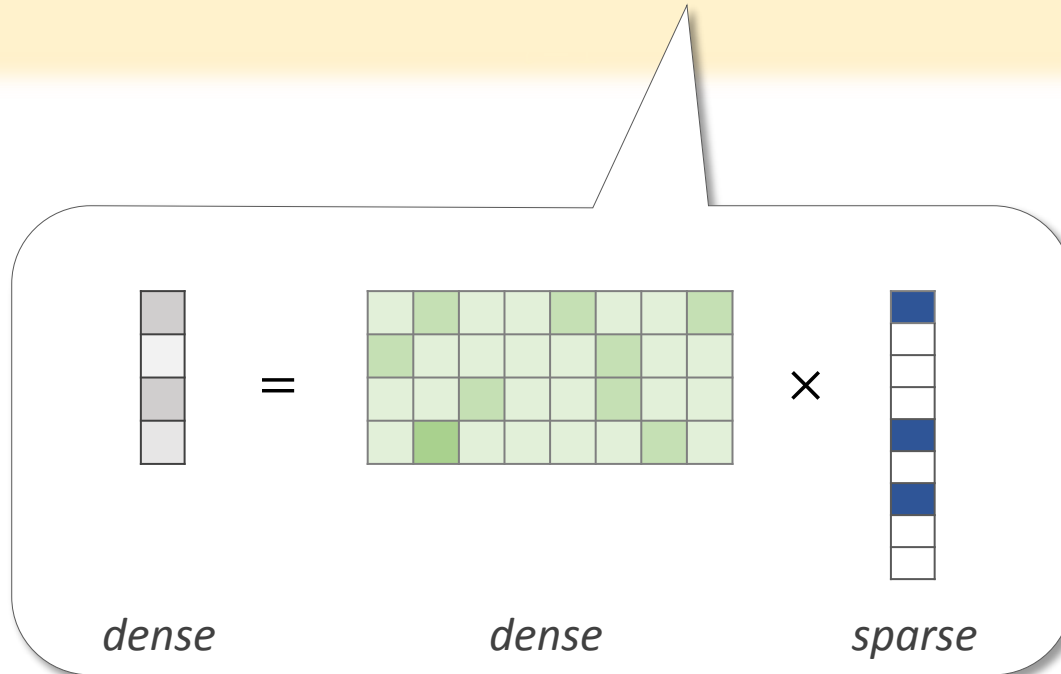
$$A = \sum U(i) \otimes V(i) \otimes W(i)$$

Low-rank tensor

r rank-1 tensors

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Low-Rank Tensor Scoring: Formulation

$$A = \sum U(i) \otimes V(i) \otimes W(i) \quad \Longrightarrow$$

$$S_{tensor}(h \to m) = \langle A, \phi_h \otimes \phi_m \otimes \phi_{h,m} \rangle$$

$$= \sum_{i=1}^{r} [U\phi_h]_i [V\phi_m]_i [W\phi_{h,m}]_i$$

Dense low-dim representations: $U\phi_h \quad V\phi_m \quad W\phi_{h,m} \quad \in \mathbb{R}^r$

dense   *dense*   *sparse*

Low-Rank Tensor Scoring: Formulation

$$A = \sum U(i) \otimes V(i) \otimes W(i) \quad \Longrightarrow$$

$$S_{tensor}(h \to m) = \langle A, \phi_h \otimes \phi_m \otimes \phi_{h,m} \rangle$$

$$= \sum_{i=1}^{r} [U\phi_h]_i [V\phi_m]_i [W\phi_{h,m}]_i$$

Dense low-dim representations: $\quad U\phi_h \quad V\phi_m \quad W\phi_{h,m} \quad \in \quad \mathbb{R}^r$

Element-wise products: $\quad [U\phi_h]_i [V\phi_m]_i [W\phi_{h,m}]_i$

Sum over these products: $\quad \sum_{i=1}^{r} [U\phi_h]_i [V\phi_m]_i [W\phi_{h,m}]_i$

Intuition and Explanations

Example: Collaborative Filtering

Approximate user-ratings via low-rank

"price"
"quality"

0.1	1.3	-0.1	2.2	-0.2
0.2	-0.6	2.3	1.1	0.9

$U^{2 \times n}$: preferences

user-rating sparse matrix A

"price"
"quality"

0.1	-0.3	1.1	2.1
1.0	0.2	0.4	1.5

$V^{2 \times m}$: properties

- Ratings not completely independent

- Items share **hidden** properties ("price" and "quality")

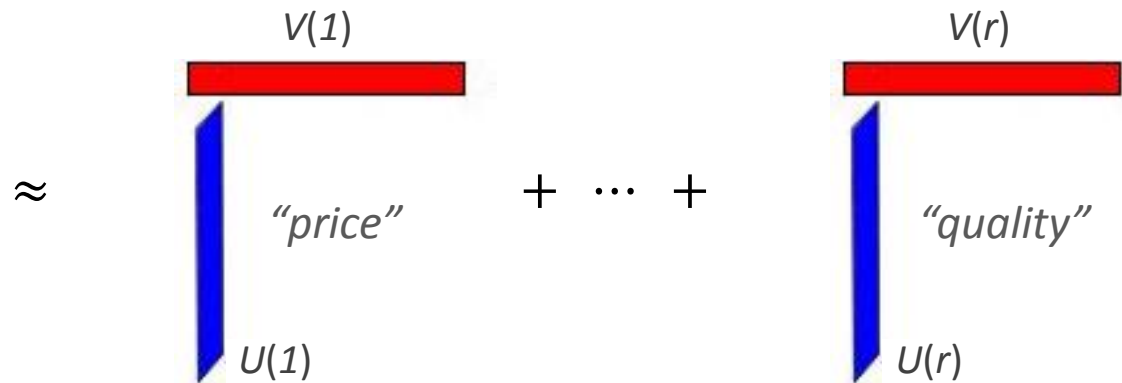- Users have **hidden** preferences over properties

Intuition and Explanations

Example: Collaborative Filtering   *Approximate user-ratings via low-rank*

user-rating sparse matrix A

$$A = U^{\mathrm{T}}V = \sum U(i) \otimes V(i)$$

of parameters: $n \times m$ $(n + m)r$

Intuition: Data and parameters can be approximately

characterized by a small number of hidden factors

Intuition and Explanations

Our Case: *Approximate parameters (feature weights) via low-rank*

parameter tensor A

...	2	**??**	...	4
...	0	0	...	...
...	0	0	...	
...	1	0.9		5
...	0.1	0.1		

similar values because "apple" and "banana" have similar syntactic behavior
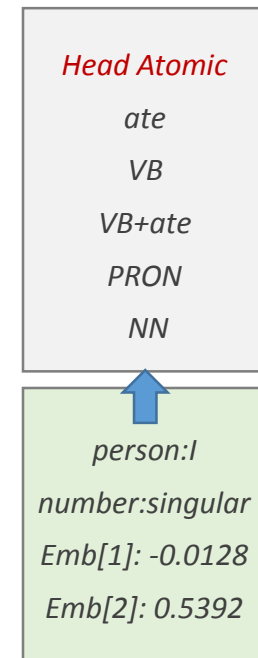
$\approx$ $+ \cdots +$

$$A = \sum U(i) \otimes V(i) \otimes W(i)$$

- Hidden properties associated with each word

- Share parameter values via the hidden properties

Low-Rank Tensor Scoring: Summary

- Naturally captures full feature expansion (concatenations)
  - *-- Without mannually specifying a bunch of feature templates*

- Controlled feature expansion by low-rank (small *r*)
  - *-- better feature tuning and optimization*

- Easily add and utilize new, auxiliary features
  - *-- Simply append them as atomic features*

Head Atomic
ate
VB
VB+ate
PRON
NN

person:l
number:singular
Emb[1]: -0.0128
Emb[2]: 0.5392

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Combined Scoring

- Combining traditional and tensor scoring in $S_\gamma(x, y)$:

$$\gamma \cdot S_\theta(x, y) + (1 - \gamma) \cdot S_{tensor}(x, y) \qquad \gamma \in [0,1]$$

Set of manual selected features

Full feature expansion controlled by low-rank

Similar "sparse+low-rank" idea for matrix decomposition:
Tao and Yuan, 2011; Zhou and Tao, 2011;
Waters et al., 2011; Chandrasekaran et al., 2011

- Final maximization problem given parameters θ, U, V, W:

$$y^* = \operatorname*{argmax}_{y \in T(x)} S_\gamma(x, y; \theta, U, V, W)$$

Learning Problem

- Given training set $D = \{(\hat{x}_i, \hat{y}_i)\}_{i=1}^N$

- Search for parameter values that score the gold trees higher than others:

$$\forall y \in \textbf{Tree}\ (x_i): \qquad S(\hat{x}_i, \hat{y}_i) \geq S(\hat{x}_i, y) + |\hat{y}_i - y| - \xi_i$$

Non-negative loss unsatisfied constraints are penalized against

- The training objective:

$$\min_{\theta, U, V, W, \xi_i \geq 0}\ C \sum_i \xi_i + \|U\|^2 + \|V\|^2 + \|W\|^2 + \|\theta\|^2$$
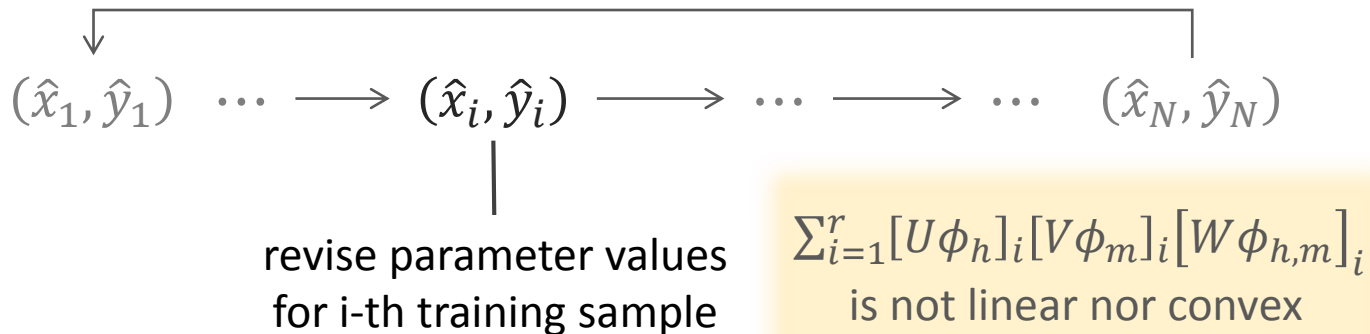
Training loss   Regularization

Calculating the loss requires to solve the expensive maximization problem;

Following common practices, adopt online learning framework.

Online Learning

- Use passive-aggressive algorithm (Crammer et al. 2006) **tailored** to our tensor setting

(i) Iterate over training samples successively:

$$(\hat{x}_1, \hat{y}_1) \quad \cdots \longrightarrow (\hat{x}_i, \hat{y}_i) \longrightarrow \cdots \longrightarrow \cdots \quad (\hat{x}_N, \hat{y}_N)$$

revise parameter values
for i-th training sample

$$\sum_{i=1}^{r} [U\phi_h]_i [V\phi_m]_i [W\phi_{h,m}]_i$$
is not linear nor convex

(ii) choose to update a pair of sets (θ, U), (θ, V) or (θ, W):

Increments: $\quad \theta^{(t+1)} = \theta^{(t)} + \Delta\theta, \qquad U^{(t+1)} = U^{(t)} + \Delta U$

Sub-problem: $\quad \min_{\Delta\theta, \Delta U} \frac{1}{2} \|\Delta\theta\|^2 + \frac{1}{2} \|\Delta U\|^2 + C\xi_i$

Efficient parameter update via closed-form solution

Experiment Setup

Datasets

- 14 languages in CoNLL 2006 & 2008 shared tasks

Features

- Only 16 atomic word features for tensor

- Combine with 1st-order (single arc) and up to 3rd-order (three arcs) features used in MST/Turbo parsers
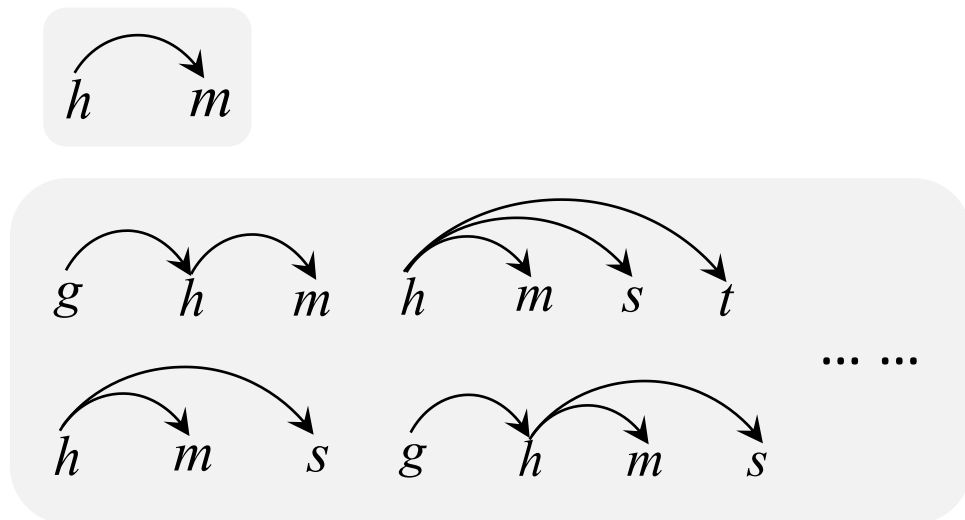
Unigram features:		
form	form-p	form-n
lemma	lemma-p	lemma-n
pos	pos-p	pos-n
morph	bias	
Bigram features:		
pos-p, pos		
pos, pos-n		
pos, lemma		
morph, lemma		
Trigram features:		
pos-p, pos, pos-n		

Experiment Setup

Datasets

- 14 languages in CoNLL 2006 & 2008 shared tasks

Features

- Only 16 atomic word features for tensor

- Combine with 1st-order (single arc) and up to 3rd-order (three arcs) features used in MST/Turbo parsers

Implementation

- By default, rank of the tensor r=50

- 3-way tensor captures only 1st-order arc-based features

- Train 10 iterations for all 14 languages
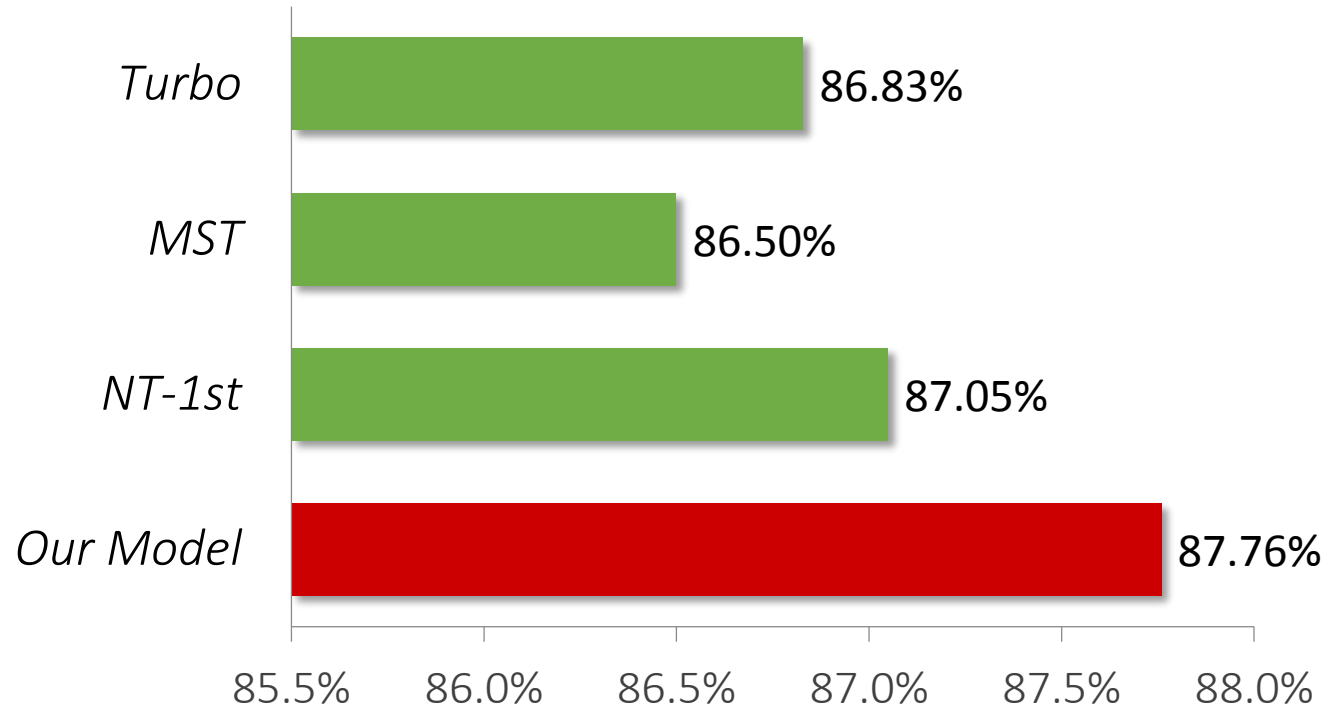
Baselines and Evaluation Measure

MST and Turbo Parsers

representative graph-based parsers;
use similar set of features

NT-1st and NT-3rd

variants of our model by removing the tensor component;
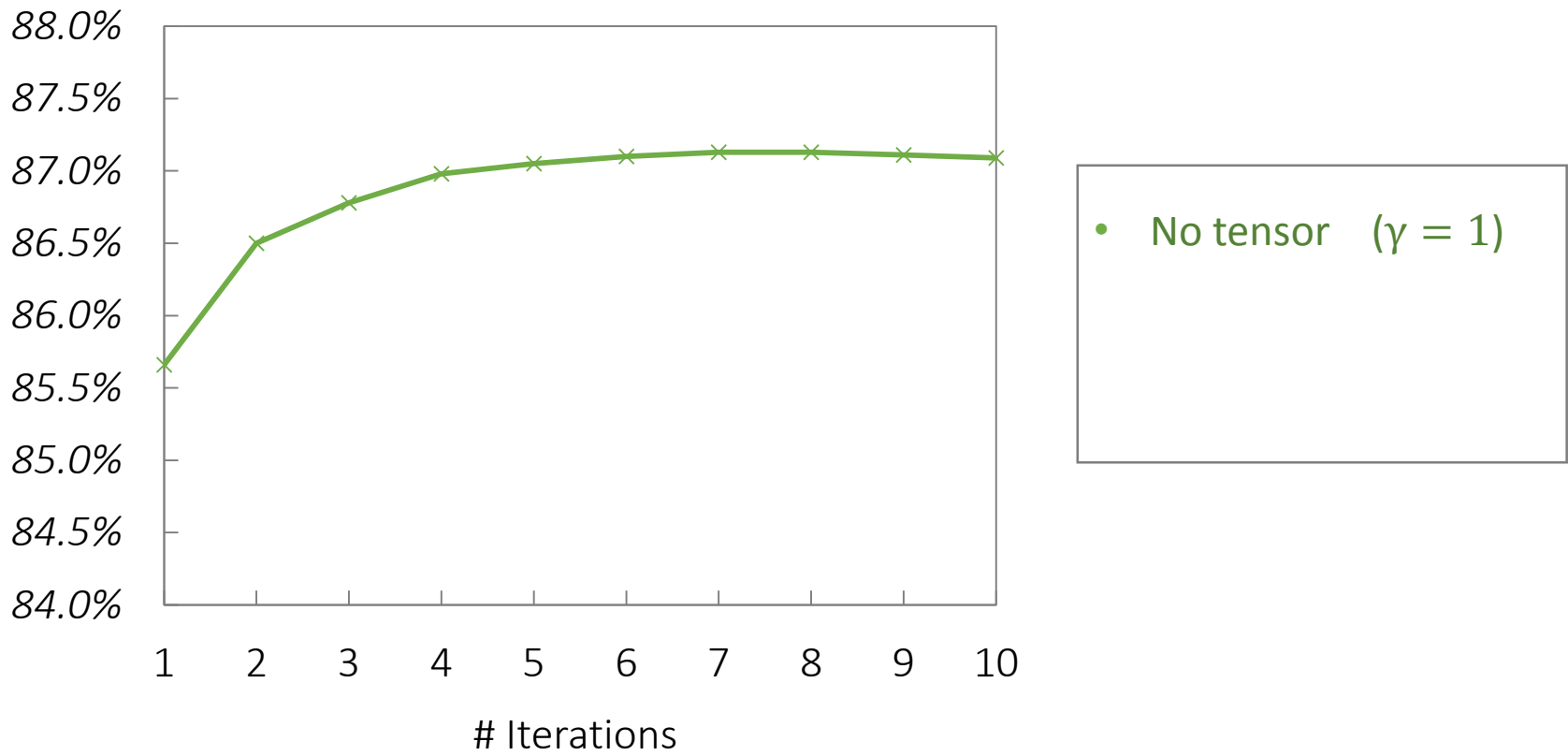*reimplementation of MST and Turbo Parser features*

Unlabeled Attachment Score (UAS) evaluated without punctuations
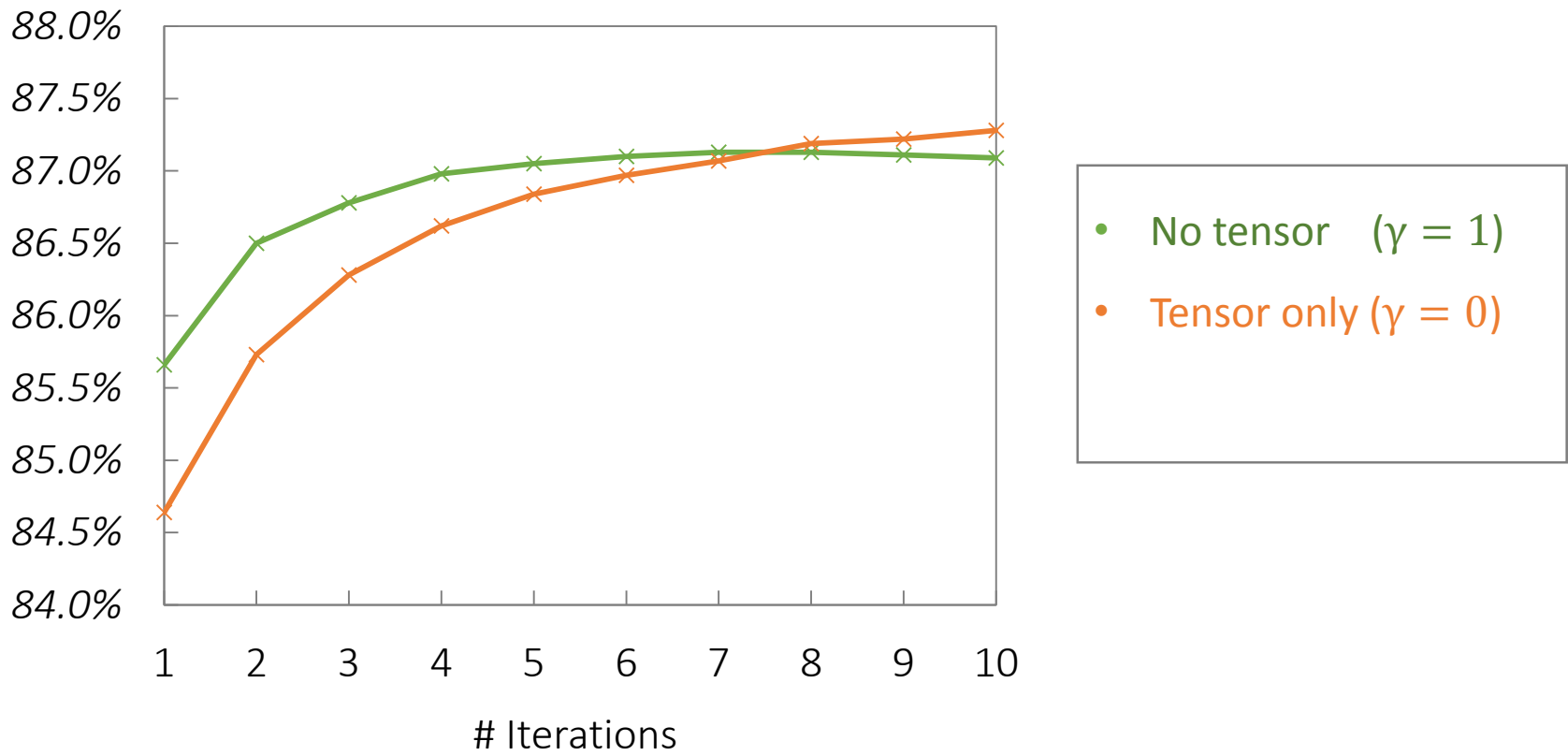
Overall 1ˢᵗ-order Results

- > 0.7% average improvement
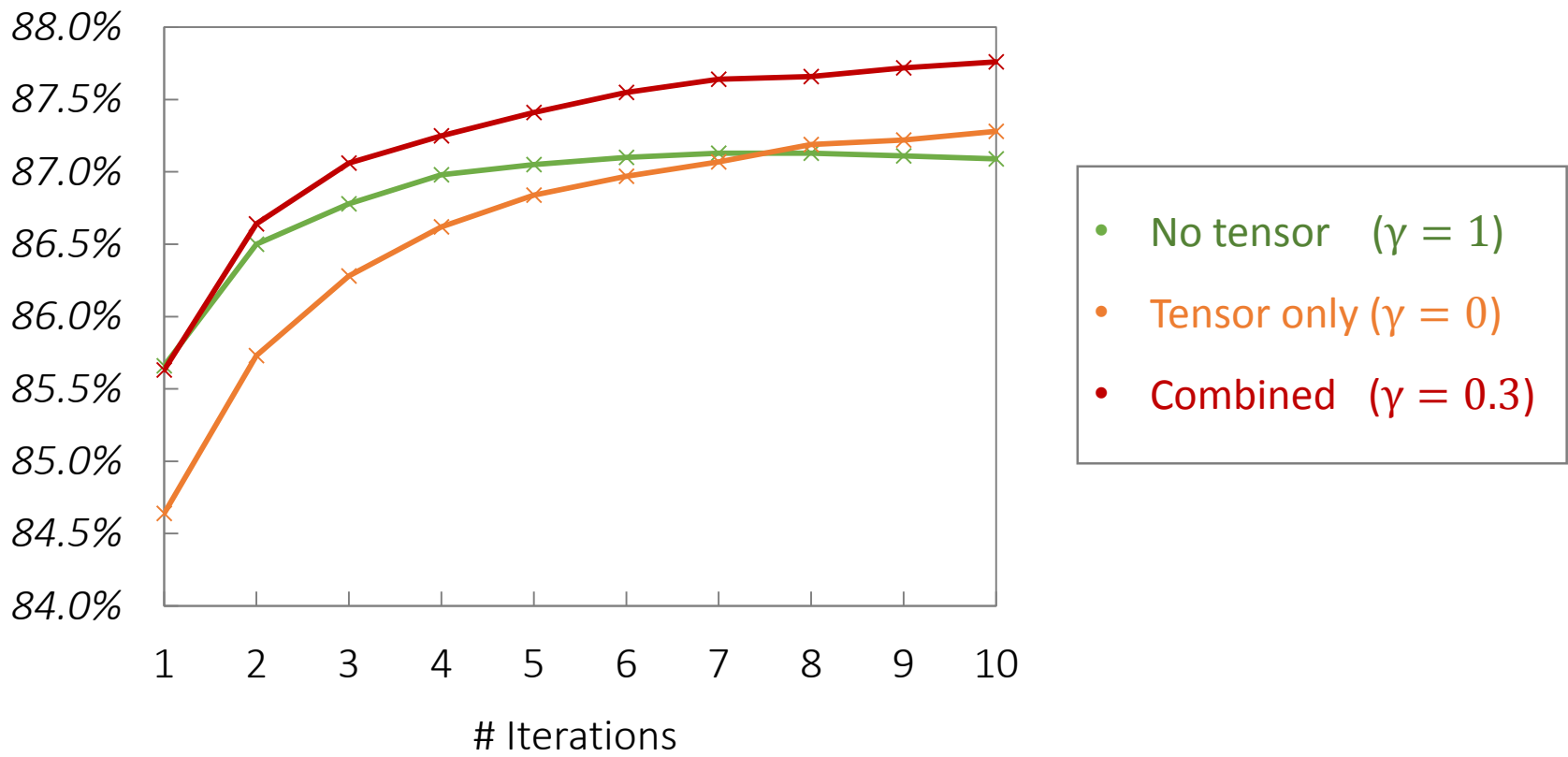- Outperforms on 11 out of 14 languages

Impact of Tensor Component

Legend:
- No tensor $(\gamma = 1)$

Impact of Tensor Component

- Tensor component achieves better generalization on test data

Legend:
- No tensor ($\gamma = 1$)
- Tensor only ($\gamma = 0$)
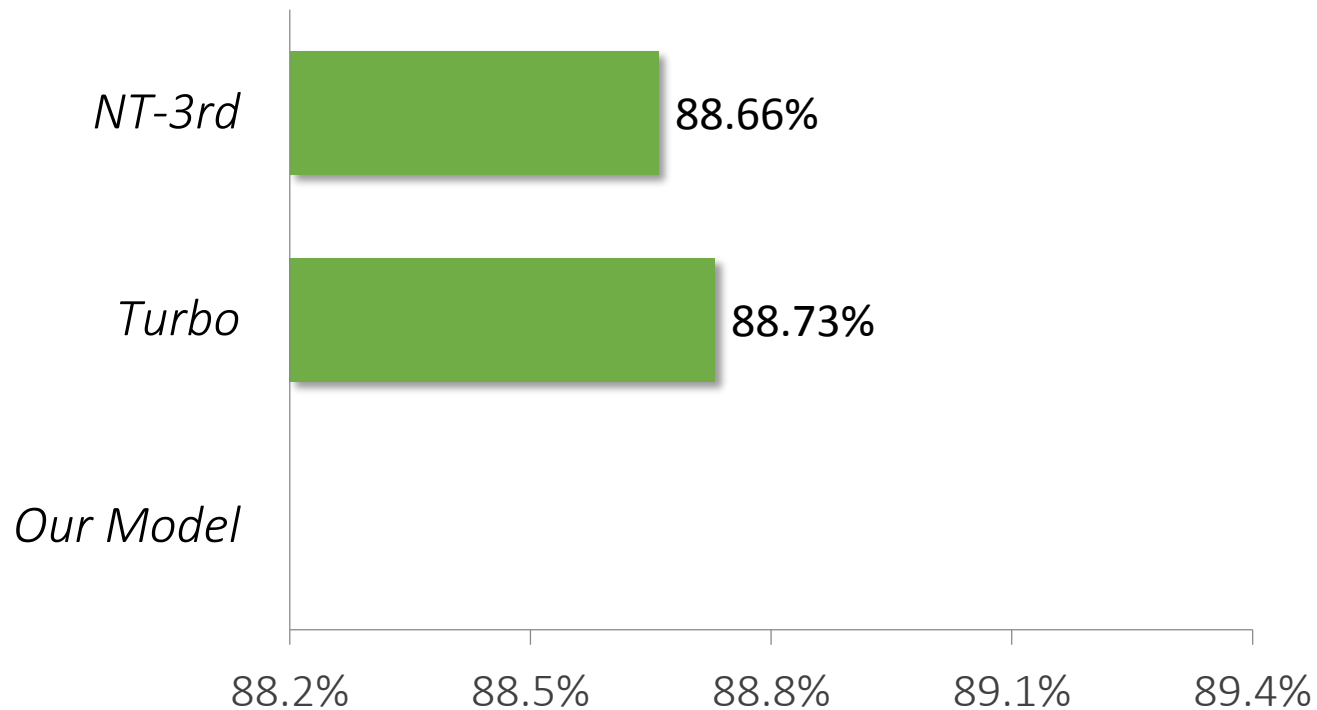
Impact of Tensor Component

- Tensor component achieves better generalization on test data
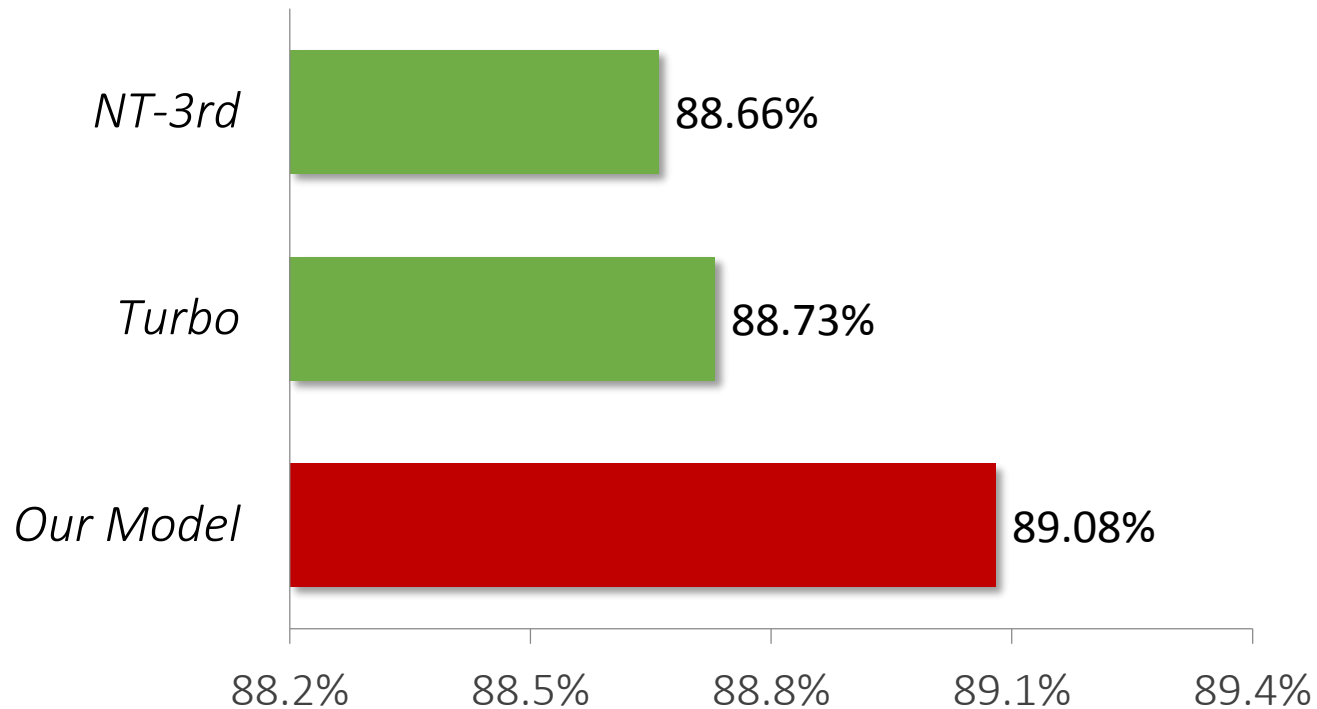
- Combined scoring outperforms single components

Legend:
- No tensor $(\gamma = 1)$
- Tensor only $(\gamma = 0)$
- Combined $(\gamma = 0.3)$

x-axis: # Iterations

Overall 3rd-order Results

- Our traditional scoring component is just as good as the state-of-the-art system

Overall 3rd-order Results

Bar chart showing:
- NT-3rd: 88.66%
- Turbo: 88.73%
- Our Model: 89.08%

X-axis: 88.2%, 88.5%, 88.8%, 89.1%, 89.4%

- The 1st-order tensor component remains useful on high-order parsing
- Outperforms state-of-the-art single system
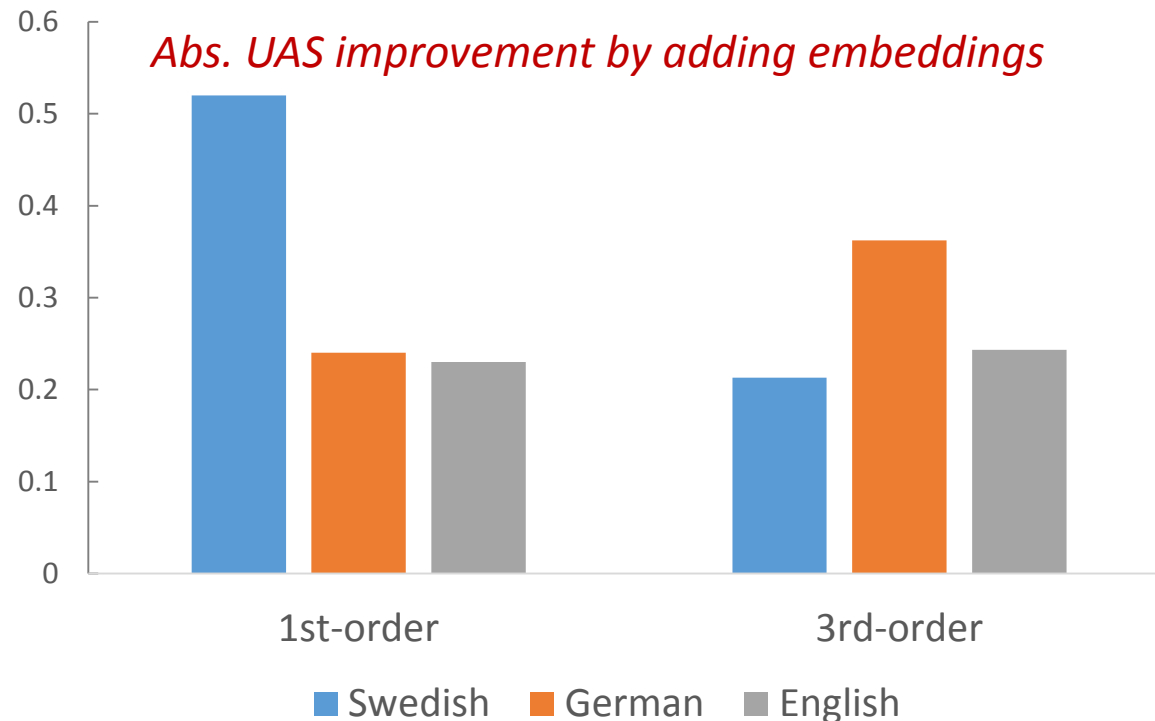- Achieves best published results on 5 languages

Leveraging Auxiliary Features

- Unsupervised word embeddings publicly available*

  English, German and Swedish have word embeddings in this dataset

- Append the embeddings of <u>current</u>, <u>previous</u> and <u>next</u> words into ϕ_h, ϕ_m

  $\phi_h \otimes \phi_m$ *involves more than* $(50 \times 3)^2$ *values for 50-dimensional embeddings!*

Abs. UAS improvement by adding embeddings

Legend: ■ Swedish ■ German ■ English

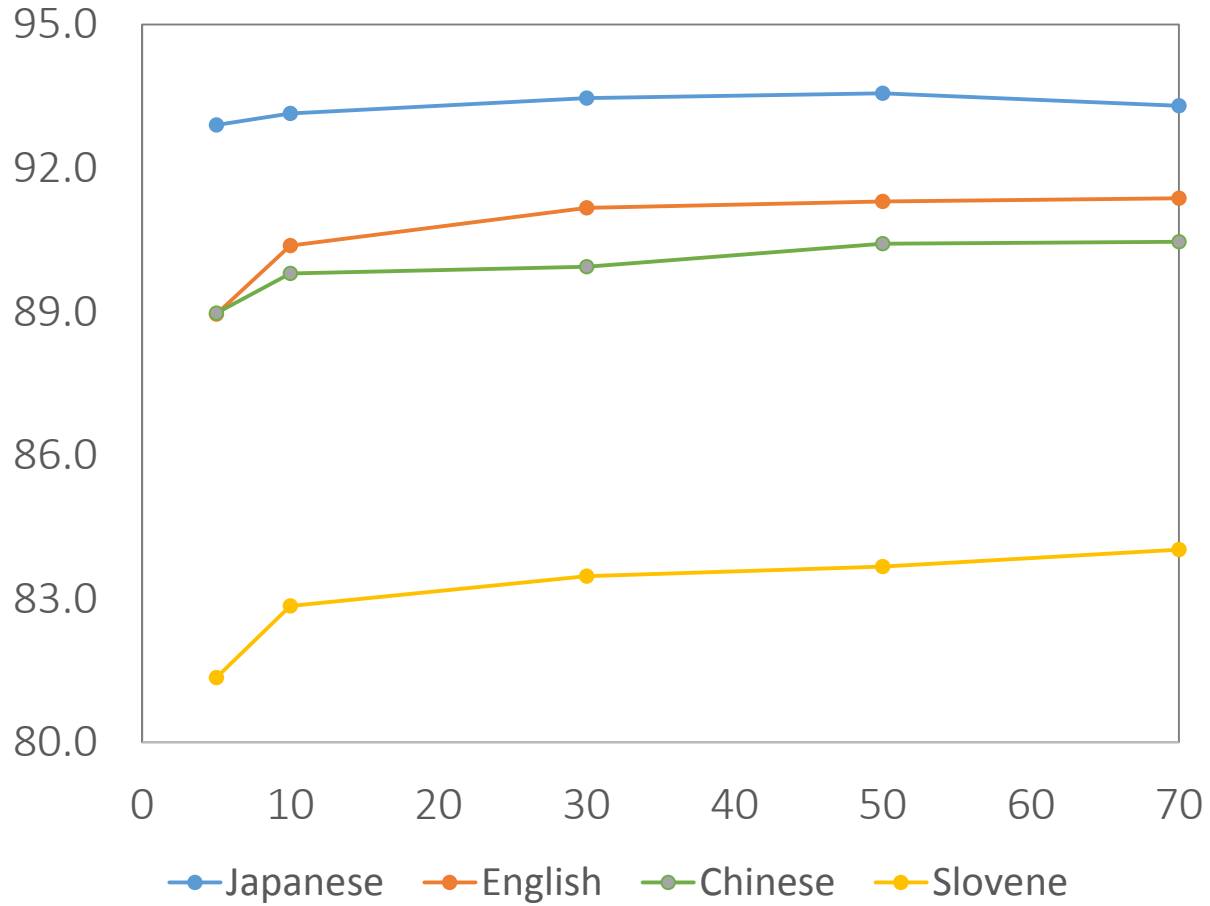* https://github.com/wolet/sprml13-word-embeddings

Conclusion

- *Modeling:* we introduced a low-rank tensor factorization model for scoring dependency arcs

- *Learning:* we proposed an online learning method that directly optimizes the low-rank factorization for parsing performance, achieving state-of-the-art results

- *Opportunities & Challenges:* we hope to apply this idea to other structures and NLP problems.

Source code available at:
https://github.com/taolei87/RBGParser

Rank of the Tensor

Choices of Gamma