



# Hardness for easy problems

An introduction

# The real world and hard problems



I've got data. I want to solve this algorithmic problem but I'm stuck!

Ok, thanks, I feel better that none of my attempts worked. I'll use some heuristics.

I'm sorry, this problem is NP-hard. A fast algorithm for it would resolve a hard problem in CS/math.



# The real world and easy problems



I've got data. I want to solve this algorithmic problem but I'm stuck!

But my data size  $n$  is huge! Don't you have a faster algorithm?

?!? ... Should I wait?  
... Or should I be satisfied with heuristics?

Great news! Your problem is in P. Here's an  $O(n^2)$  time algorithm!

Uhm, I don't know... This is already theoretically fast... For some reason I can't come up with a faster algorithm for it right now...





In theoretical CS,  
**polynomial** time = efficient/easy.

This is for a variety of reasons.

E.g. composing two efficient algorithms results in an efficient algorithm. Also, model-independence.

However, no one would consider an  $O(n^{100})$  time algorithm efficient in practice.

If  $n$  is huge, then  $O(n^2)$  can also be inefficient.

How do we explain when we are stuck?



# The “easy” problems

Let's focus on  $O(N^2)$  time

( $N$ - size of the input)

# What do we know about $O(N^2)$ time?

- ▶ Amazingly fast algorithms for:
  - ▶ Classical (almost) *linear* time: connectivity, planarity, minimum spanning tree, single source shortest paths, min cut, topological order of DAGs ...
  - ▶ Recent breakthroughs: solving SDD linear systems ( $m^{1+o(1)}$ , [ST'04] ...), approx. max flow ( $m^{1+o(1)}$ , [KLOS'13]), max matching ( $m^{10/7}$ , [M'14]), min cost flow ( $\sim m\sqrt{n}$ , [LS'14]), ...
- ▶ Sublinear algorithms/property testing

Good news: A lot of problems are in close to linear time!

# Hard problems in $O(N^2)$ time

Bad news: on many problems we are **stuck**:

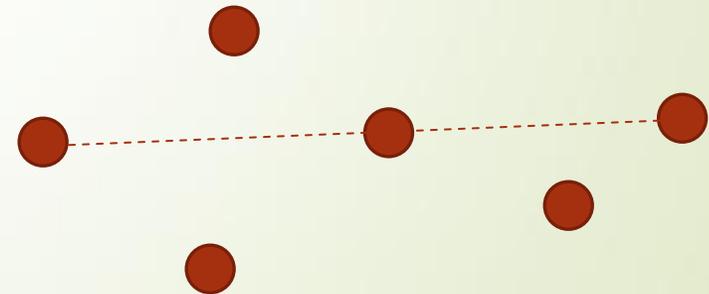
No  $N^{2-\epsilon}$  time algorithms known for:

► Many problems in *computational geometry*: e.g

Given  $n$  points in the plane, are any **three collinear**?

*A very important primitive!*

In general, for many problems in P, there's an "easy"  $O(N^c)$  time solution but essentially no improvement in decades.





# Hard problems in $O(N^2)$ time

Bad news: on many problems we are **stuck**:

No  $N^{2-\epsilon}$  time algorithm known for:

- Many problems in *computational geometry*
- Many ***string matching*** problems:

*Edit distance, Sequence local alignment, Longest common subsequence, jumbled indexing ...*



# Sequence alignment

A fundamental problem from computational biology:

Given two DNA strings

ATCGGGTTCCTTAAGGG

ATTGGTACCTTCAGG

How similar are they? What do they have in common?

Several notions of similarity!

E.g. Local alignment, Edit Distance, Longest Common Subsequence



# Longest Common Subsequence

Given two strings

ATCGGGTTCCTTAAGGG

ATTGGTACCTTCAGG

Find a subsequence of both strings of maximum length.

Applications both in comp. biology and in spellcheckers.



# Longest Common Subsequence

Given two strings

**ATCGGGTTCCTAAGGG**

**AT T GG \_TACCTCA \_GG**

Find a subsequence of both strings of maximum length.

Applications both in comp. biology and in spellcheckers.

Solved daily on huge strings!!

# Sequence problems theory/practice

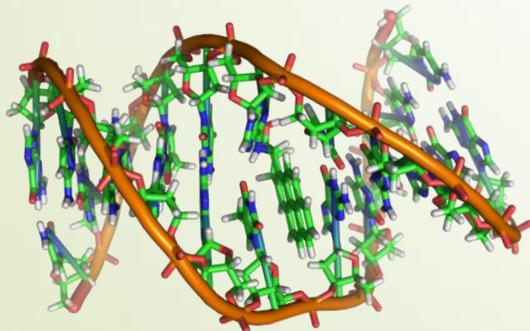
Fastest algorithm for most sequence alignment variants:

$O(n^2)$  time on length  $n$  sequences

Sequence alignment is run on whole genome sequences.

Human genome:  $3 \times 10^9$  base pairs.

A quadratic time algorithm is not fast!





# Hard problems in $O(N^2)$ time

Bad news: on many problems we are **stuck**:

No  $N^{2-\epsilon}$  time algorithm known for:

- Many problems in *computational geometry*
- Many *string matching* problems
- Many **graph problems** in sparse graphs: e.g.

Given an  $n$  node,  $O(n)$  edge graph, what is its **diameter**?

*Fundamental problem. Even approximation algorithms seem hard!*

# Hard problems in $O(N^2)$ time

Bad news: on many problems we are **stuck**:

No  $N^{2-\epsilon}$  time algorithm known for:

- Many problems in *computational geometry*
- Many *string matching* problems
- Many *graph problems* in *sparse graphs*

No  $N^{1.5-\epsilon}$  time algs known for many problems in **dense** graphs: diameter, radius, median, second shortest path, shortest cycle...

$N^{1.5}$  time  
algs exist

i.e.  $n^3$



# Why are we stuck?

We are stuck on many problems from different subareas of CS!

Are we stuck because of *the same reason*?

How do we address this?

How did we address this for the hard problems?

# A canonical hard problem

## k-SAT

*Input:* variables  $x_1, \dots, x_n$  and a formula

$F = C_1 \wedge C_2 \wedge \dots \wedge C_m$  so that each  $C_i$  is of the form

$\{y_1 \vee y_2 \vee \dots \vee y_k\}$  and  $\forall i, y_i$  is either  $x_t$  or  $\neg x_t$  for some  $t$ .

*Output:* A boolean assignment to  $\{x_1, \dots, x_n\}$  that satisfies all the clauses, or NO if the formula is not satisfiable

Trivial algorithm: try all  $2^n$  assignments

Best known algorithm:  $O(2^{n-(cn/k)} n^d)$  time for const  $c, d$

# Why is k-SAT hard?

Theorem [Cook,Karp'72]:

k-SAT is **NP-complete** for all  $k \geq 3$ .

Tool: poly-time  
reductions

That is, if there is an algorithm that solves k-SAT instances on  $n$  variables in  $\text{poly}(n)$  time, then all problems in NP have  $\text{poly}(N)$  time solutions, and so **P=NP**.

k-SAT (and all other NP-complete problems) are considered *hard* because ***fast algorithms for them imply fast algs for many important problems.***



# Addressing the hardness of easy problems

1. Identify **key hard problems**
2. **Reduce** these to all (?) other hard easy problems
3. Hopefully form *equivalence classes*

Goal: *understand the landscape of polynomial time.*



# CNF SAT is conjectured to be really hard

Two popular conjectures about SAT [IPZ01]:

**ETH:** 3-SAT requires  $2^{\delta n}$  time for some  $\delta > 0$ .

**SETH:** for every  $\varepsilon > 0$ , there is a  $k$  such that  $k$ -SAT on  $n$  variables,  $m$  clauses cannot be solved in  $2^{(1-\varepsilon)n}$  **poly**  $m$  time.

So we can use  $k$ -SAT as our hard problem and ETH or SETH as the conjecture we base hardness on.

# Three more problems we can blame

- **3SUM**: Given a set  $S$  of  $n$  integers, are there  $a, b, c \in S$  with  $\mathbf{a+b+c = 0}$ ?
- **Orthogonal vectors (OV)**: Given a set  $S$  of  $n$  vectors in  $\{0,1\}^d$ , for  $d = O(\log n)$  are there  $u, v \in S$  with  $\mathbf{u \cdot v = 0}$ ?
- **All pairs shortest paths (APSP)**: given a weighted graph, find the **distance** between every two nodes.



**3SUM:** Given a set  $S$  of  $n$  numbers, are there  $a, b, c \in S$  with  $\mathbf{a+b+c = 0}$ ?

- Easy  $O(n^2)$  time algorithm
- [BDP'05]:  $\sim n^2/\log^2 n$  time algorithm for integers
- [GP'14]:  $\sim n^2/\log n$  time for real numbers
- Here we'll talk about 3SUM over the integers
- Folklore: one can assume the integers are in  $\{-n^3, \dots, n^3\}$

**3SUM Conjecture:** 3SUM on  $n$  integers in  $\{-n^3, \dots, n^3\}$  requires  $n^{2-o(1)}$  time.

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- Easy  $O(n^2 \log n)$  time algorithm
- Best known [AWY'15]:  $n^{2 - \Theta(1 / \log(d/\log n))}$

**OV Conjecture:** OV on  $n$  vectors requires  $n^{2-o(1)}$  time.

- [W'04]: SETH implies the OV Conjecture.
- I'll prove this to you later

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- **All pairs shortest paths (APSP)**: given a weighted graph, find the **distance** between every two nodes.

**APSP:** given a weighted graph, find the **distance** between every two nodes.

Classical problem  
Long history

**APSP Conjecture:**  
APSP on  $n$  nodes  
and  $O(\log n)$  bit  
weights requires  
 $n^{3-o(1)}$  time.

Author	Runtime	Year
Fredman	$n^3 \log \log^{1/3} n / \log^{1/3} n$	1976
Takaoka	$n^3 \log \log^{1/2} n / \log^{1/2} n$	1992
Dobosiewicz	$n^3 / \log^{1/2} n$	1992
Han	$n^3 \log \log^{5/7} n / \log^{5/7} n$	2004
Takaoka	$n^3 \log \log^2 n / \log n$	2004
Zwick	$n^3 \log \log^{1/2} n / \log n$	2004
Chan	$n^3 / \log n$	2005
Han	$n^3 \log \log^{5/4} n / \log^{5/4} n$	2006
Chan	$n^3 \log \log^3 n / \log^2 n$	2007
Han, Takaoka	$n^3 \log \log n / \log^2 n$	2012
Williams	$n^3 / \exp(\sqrt{\log n})$	2014



# Addressing the hardness of easy problems

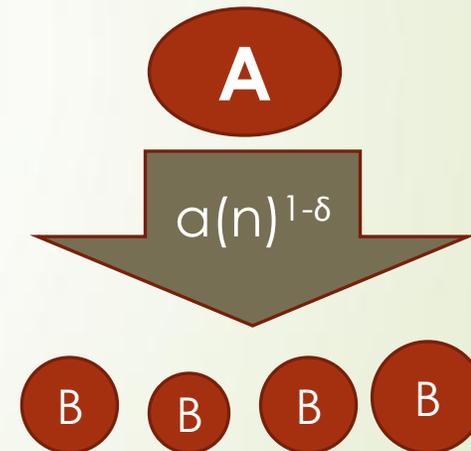
1. Identify **key hard problems**
2. **Reduce** these to all (?) other hard easy problems
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# Fine-grained reductions

**Intuition:**  $a(n), b(n)$  are the naive runtimes for A and B. A reducible to B implies that beating the naive runtime for B implies also beating the naive runtime for A.

- ▶ A is  $(a,b)$ -reducible to B if for every  $\varepsilon > 0 \exists \delta > 0$ , and an  $O(a(n)^{1-\delta})$  time algorithm that transforms any A-instance of size  $n$  to B-instances of size  $n_1, \dots, n_k$  so that  $\sum_i b(n_i)^{1-\varepsilon} < a(n)^{1-\delta}$ .

- If B is in  $O(b(n)^{1-\varepsilon})$  time, then A is in  $O(a(n)^{1-\delta})$  time.
- Focus on exponents.
- We can build equivalences.



**A theory of hardness for polynomial time.**



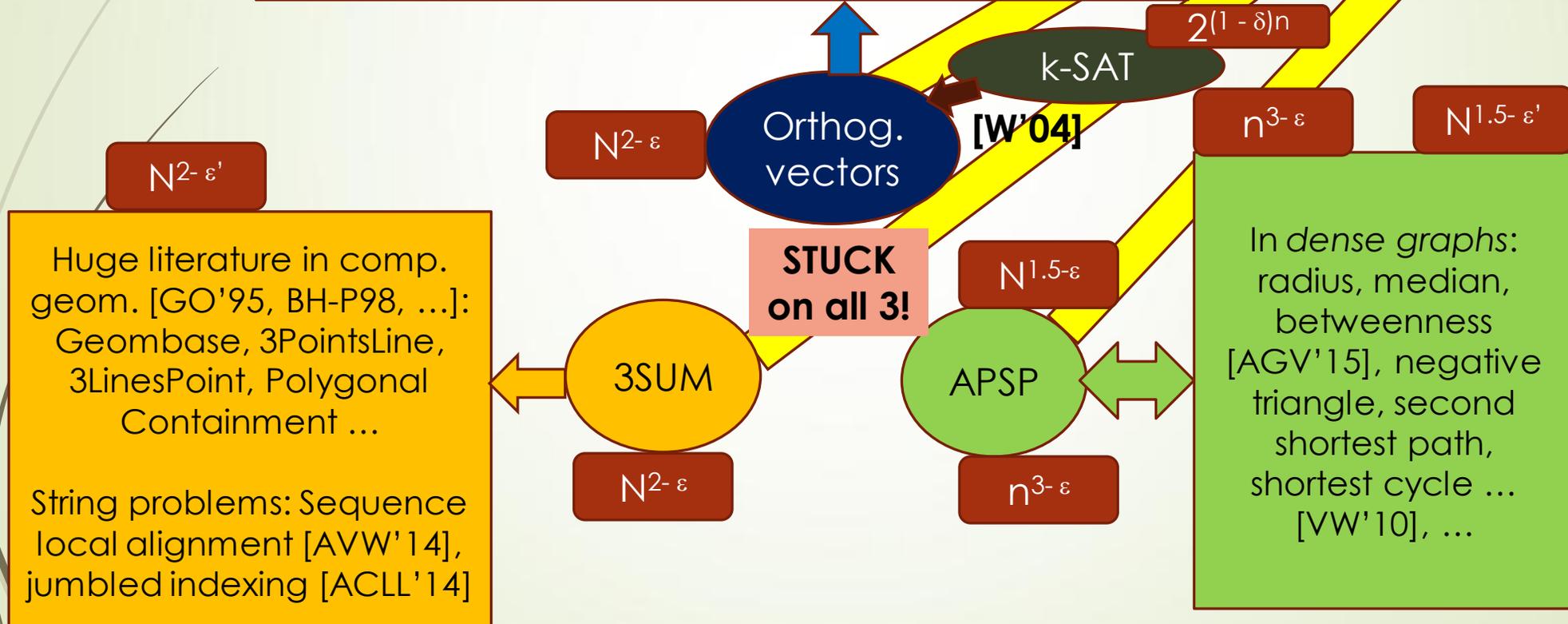
# Addressing the hardness of easy problems

1. Identify **key hard problems**
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# Some structure within P

Sparse graph *diameter* [RV'13], local alignment, longest common substring\* [AVW'14], Frechet distance [Br'14], Edit distance [BI'15], LCS [ABV'15, BrK'15]...

Dynamic problems [P'10],[AV'14],[HKNS'15],[RZ'04]

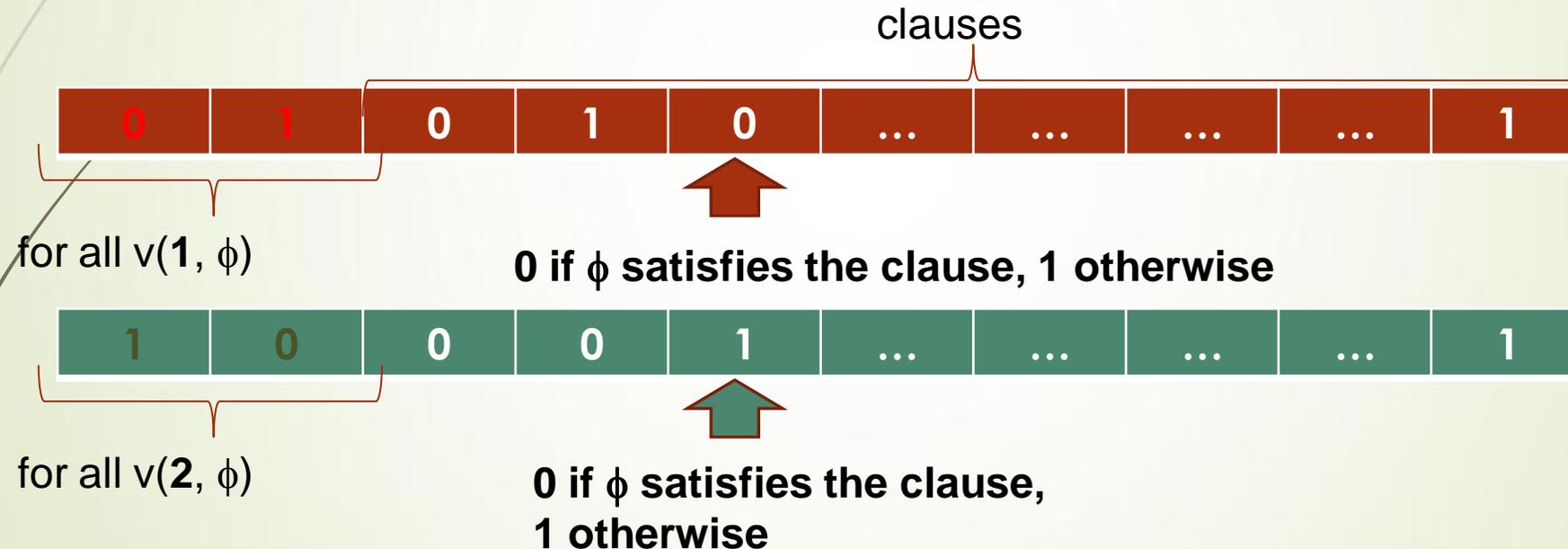


# Fast OV implies SETH is false [W'04]

F-  $k$ -CNF-formula on  $n$  vars,  $m = O(n)$  clauses\*

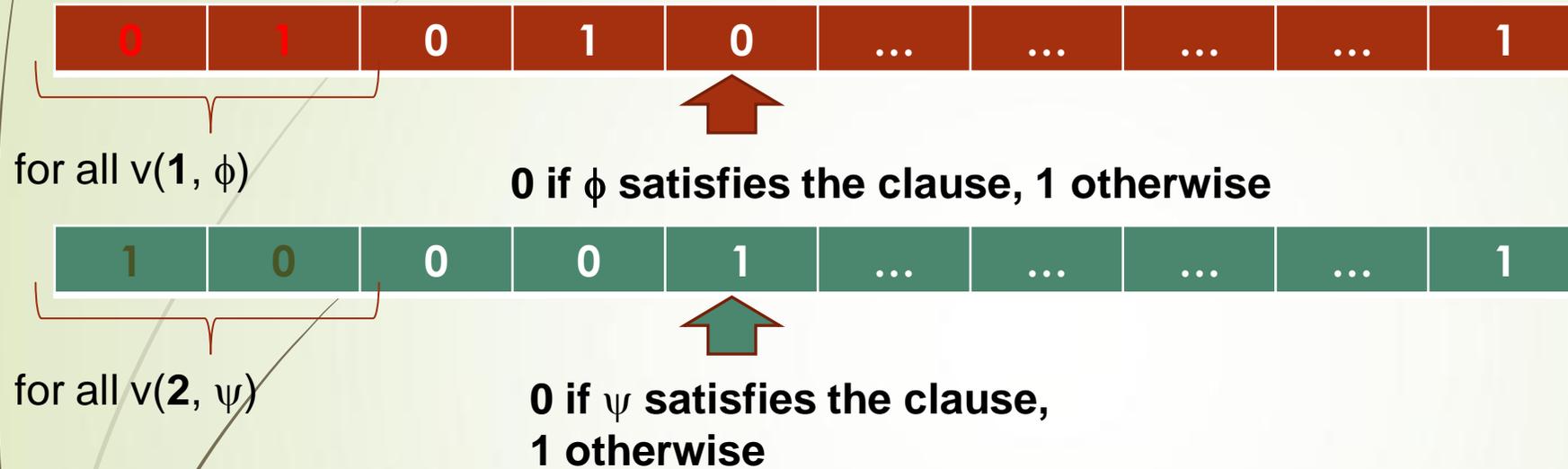
Split the vars into  $V_1$  and  $V_2$  on  $n/2$  vars each

For  $j=1,2$  and each **partial assignment**  $\phi$  of  $V_j$  create  $(m+2)$  length vector  $v(j, \phi)$ :



\*By sparsification lemma, any  $k$ -CNF can be converted into a small number of  $k$ -CNFs on  $O(n)$  clauses.

# Fast OV implies SETH is false



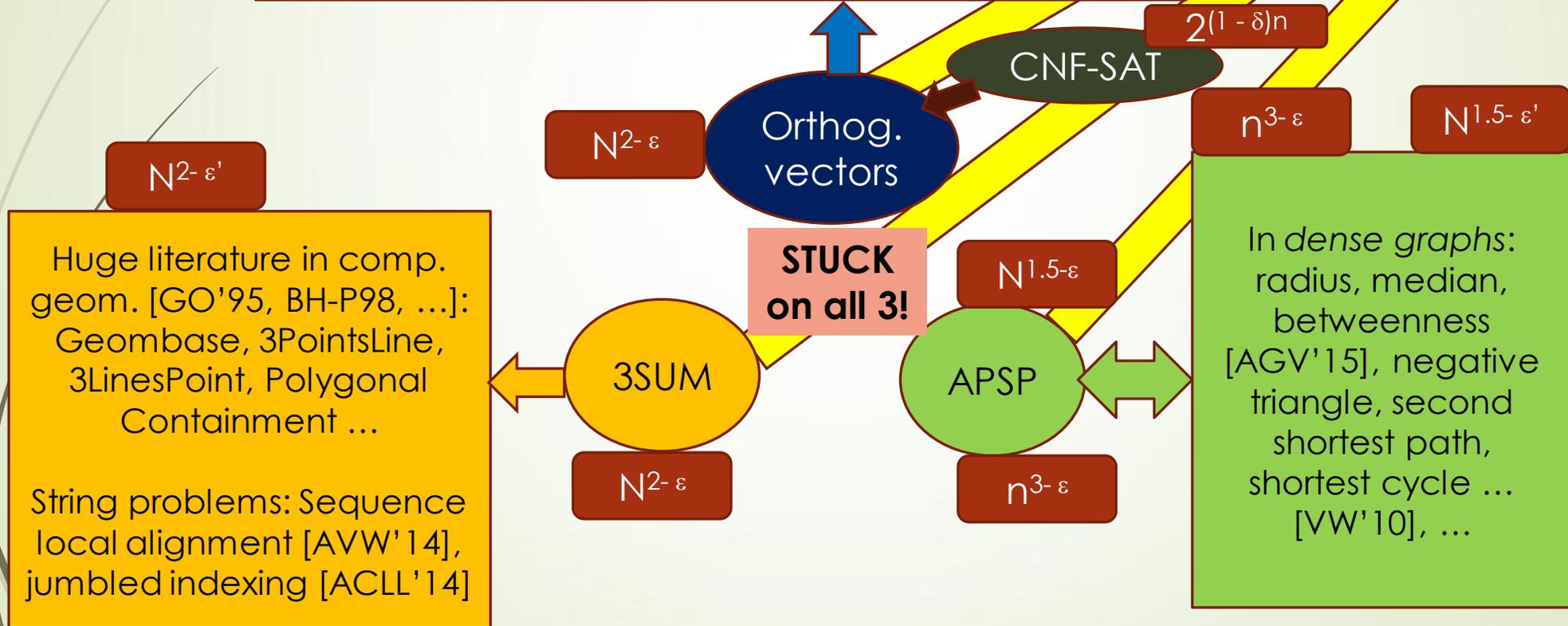
**Claim:**  $v(1, \phi) \cdot v(2, \psi) = 0$  iff  $\phi \odot \psi$  is a sat assignment.

$N = 2^{n/2}$  vectors of dimension  $O(n) = O(\log N) \rightarrow$  an OV instance.  
So  $O(N^{2-\delta})$  time implies SETH is false.

# Some structure within P

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Dynamic problems [P'10],[AV'14],[HKNS'15],[RZ'04]



# Another popular conjecture

**Boolean matrix multiplication** (BMM): given two  $n \times n$  boolean matrices  $A$  and  $B$ , compute their boolean product  $C$ , where  $C[i, j] = \vee_k (A[i, k] \wedge B[k, j])$

BMM can be computed in  $O(n^\omega)$  time,  $\omega < 2.38$ . The algebraic techniques are not very practical, however.

The best known “combinatorial” techniques get a runtime of at best  $n^3 / \log^4 n$  [Yu'15].

## **BMM Conjecture:**

Any “combinatorial” algorithm for BMM requires  $n^{3-o(1)}$  time.



# BMM conjecture consequences

- Reductions from BMM are typically used to show that fast matrix multiplication is probably required
- Some implications of the BMM conjecture:
  - A triangle in a graph cannot be found faster than in  $n^{3-o(1)}$  time by any combinatorial algorithm
  - The radius of unweighted graphs requires  $n^{3-o(1)}$  time via combinatorial techniques
  - Maintaining a maximum bipartite matching dynamically with nontrivial update times requires fast matrix multiplication
  - CFG parsing requires fast matrix multiplication
  - ...

# Which conjectures are more believable?

- Besides Ryan's proof that  $\text{SETH} \rightarrow \text{OV Conjecture}$ , there are *no other reductions* relating the conjectures known
- **It could be that one is true while all others are false**
- However:
  - The *decision tree complexities* of both 3SUM (GP'14) and APSP (Fredman'75) are low:  $n^{1.5}$  and  $n^{2.5}$ , respectively. This is not known for OV. Perhaps this means the OV conjecture is more believable?
  - OV and APSP both admit *better than logarithmic* improvements over the naïve runtime, 3SUM does not, as far as we know. So maybe the 3SUM conjecture is more believable?
- There are natural problems that 3SUM, APSP and k-SAT **all** reduce to, *Matching Triangles & Triangle Collection*. Amir will talk about them later.

# Some structure within P

4pm  
Piotr Arturs

Sparse graph *diameter* [RV'13], local alignment, longest common substring\* [AVW'14], Frechet distance [Br'14], Edit distance [BI'15], LCS [ABV'15, BrK'15]...

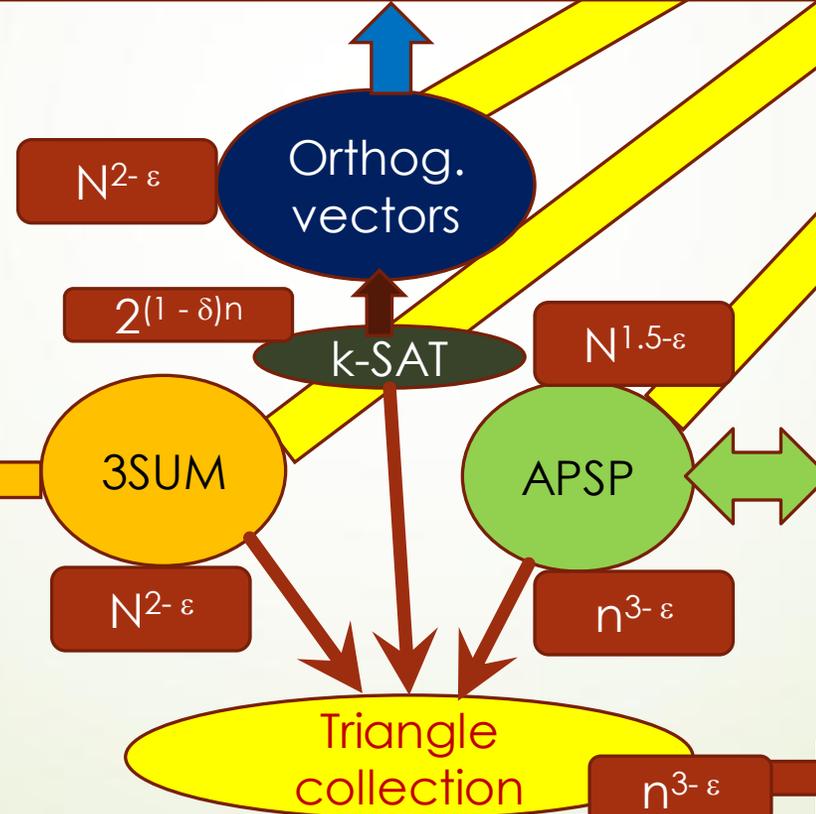
3pm  
Amir

Dynamic problems [P'10],[AV'14],[HKNS'15],[RZ'04]

$N^{2-\epsilon'}$

Huge literature in comp. geom. [GO'95, BH-P98, ...]: Geombase, 3PointsLine, 3LinesPoint, Polygonal Containment ...

String problems: Sequence local alignment [AVW'14], jumbled indexing [ACLL'14]



$n^{3-\epsilon}$   $N^{1.5-\epsilon'}$

In dense graphs: radius, median, betweenness [AGV'15], negative triangle, second shortest path, shortest cycle ... [VW'10], ...

2pm  
v.

Monday 10:15am  
Amir

$n^{3-\epsilon}$

S-T max flow, dynamic max flow, ... [AVY'15]



## Plan for the rest of the day:

- ▶ 2 pm Hardness and Equivalences for Graph Problems (Virginia)
- ▶ 3pm Hardness for Dynamic Problems (Amir)
- ▶ 4pm Intro to hardness for sequence problems (Piotr)
- ▶ 4:30pm Hardness for sequence problems (Arturs)
- ▶ 5pm Conclusion and future work (Amir)

# THANKS!